

Outline

1. Introduction to the Hubbard model

Superexchange and antiferromagnetism

2. Coupled dimer antiferromagnet

CFT₃: the Wilson-Fisher fixed point

3. Honeycomb lattice: semi-metal and antiferromagnetism

CFT₃: Dirac fermions and the Gross-Neveu model

4. Quantum critical dynamics

AdS/CFT and the collisionless-hydrodynamic crossover

5. Hubbard model as a SU(2) gauge theory

Spin liquids, valence bond solids: analogies with SQED and SYM

Outline

6. Square lattice: Fermi surfaces and spin density waves
Fermi pockets and Quantum oscillations
7. Instabilities near the SDW critical point
d-wave superconductivity and other orders
8. Global phase diagram of the cuprates
Competition for the Fermi surface

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8. Global phase diagram of the cuprates

Competition for the Fermi surface

The Hubbard Model

$$H = - \sum_{i < j} t_{ij} c_{i\alpha}^\dagger c_{j\alpha} + U \sum_i \left(n_{i\uparrow} - \frac{1}{2} \right) \left(n_{i\downarrow} - \frac{1}{2} \right) - \mu \sum_i c_{i\alpha}^\dagger c_{i\alpha}$$

$t_{ij} \rightarrow$ “hopping”. $U \rightarrow$ local repulsion, $\mu \rightarrow$ chemical potential

Spin index $\alpha = \uparrow, \downarrow$

$$n_{i\alpha} = c_{i\alpha}^\dagger c_{i\alpha}$$

$$c_{i\alpha}^\dagger c_{j\beta} + c_{j\beta} c_{i\alpha}^\dagger = \delta_{ij} \delta_{\alpha\beta}$$

$$c_{i\alpha} c_{j\beta} + c_{j\beta} c_{i\alpha} = 0$$

Will study on the honeycomb and square lattices

The Hubbard Model

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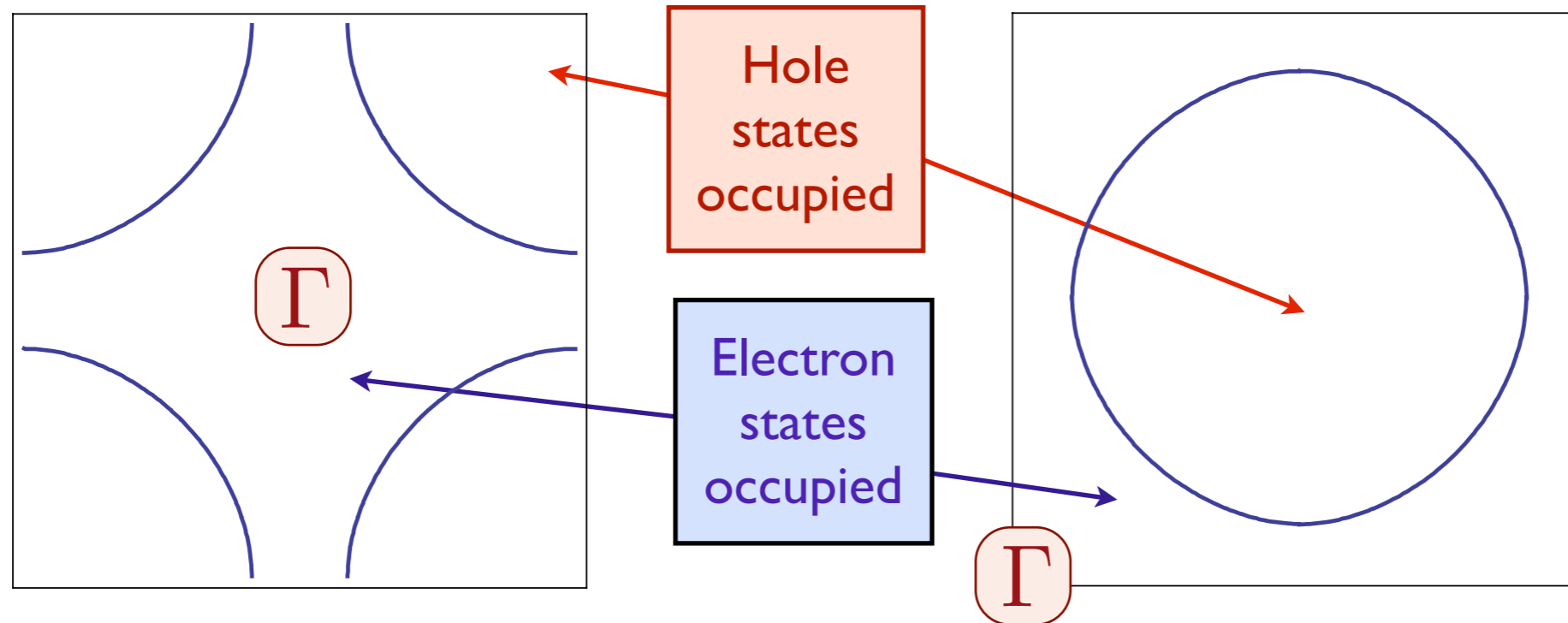
$$n_{i\alpha} = c_{i\alpha}^\dagger c_{i\alpha}$$

$$c_{i\alpha}^\dagger c_{j\beta} + c_{j\beta} c_{i\alpha}^\dagger = \delta_{ij} \delta_{\alpha\beta}$$

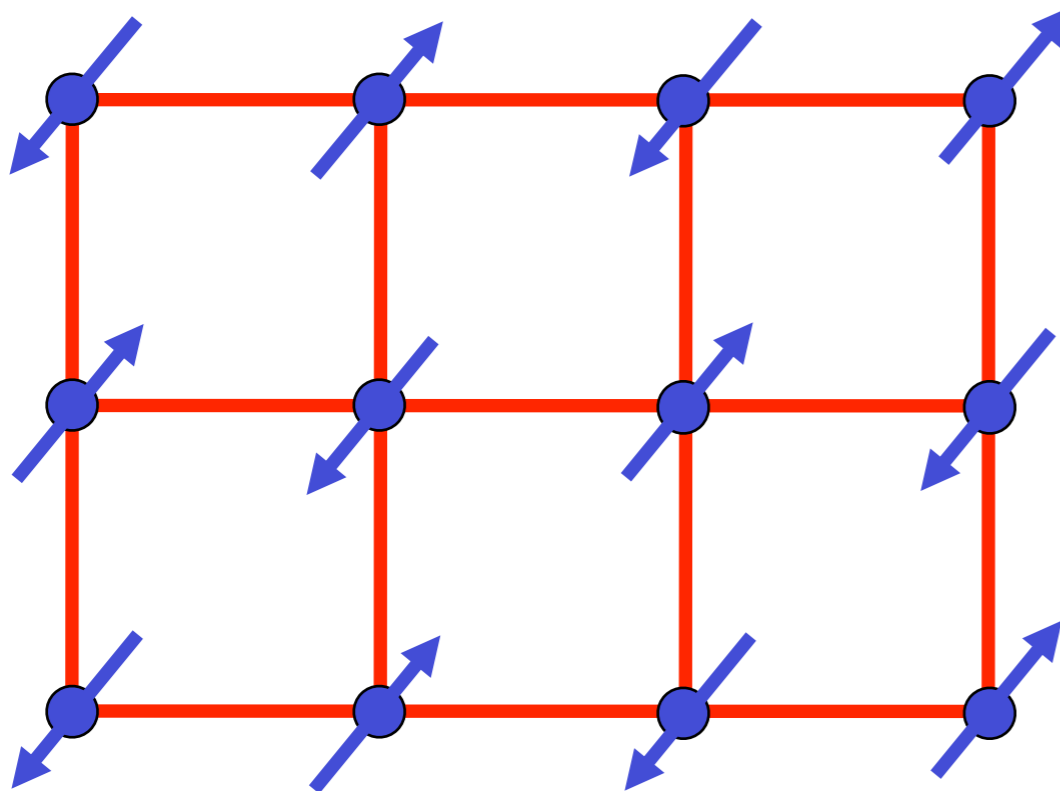
$$c_{i\alpha} c_{j\beta} + c_{j\beta} c_{i\alpha} = 0$$

Will study on the honeycomb and **square** lattices

Fermi surface+antiferromagnetism



+

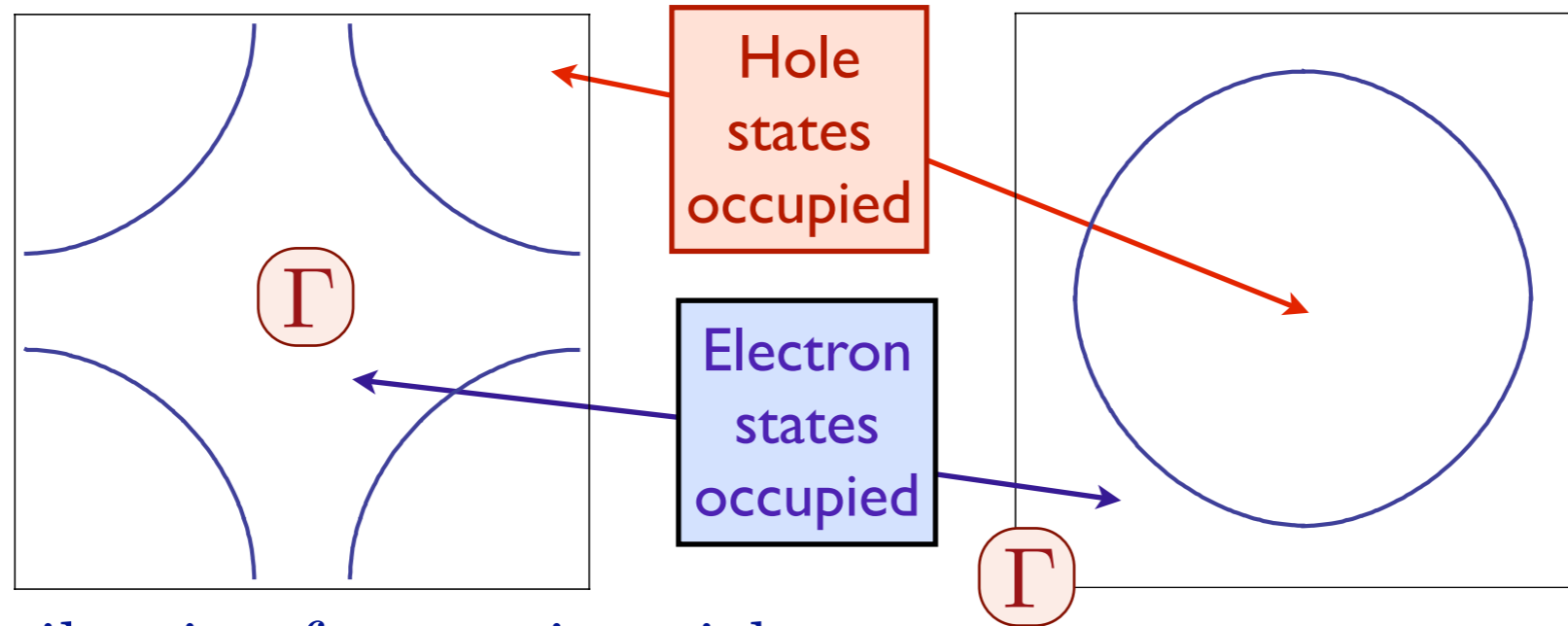


The electron spin polarization obeys

$$\langle \vec{S}(\mathbf{r}, \tau) \rangle = \vec{\varphi}(\mathbf{r}, \tau) e^{i\mathbf{K} \cdot \mathbf{r}}$$

where \mathbf{K} is the ordering wavevector.

Fermi surfaces in electron- and hole-doped cuprates



Effective Hamiltonian for quasiparticles:

$$H_0 = - \sum_{i < j} t_{ij} c_{i\alpha}^\dagger c_{j\alpha} \equiv \sum_{\mathbf{k}} \epsilon_{\mathbf{k}} c_{\mathbf{k}\alpha}^\dagger c_{\mathbf{k}\alpha}$$

with t_{ij} non-zero for first, second and third neighbor, leads to satisfactory agreement with experiments. The area of the occupied electron states, \mathcal{A}_e , from Luttinger's theory is

$$\mathcal{A}_e = \begin{cases} 2\pi^2(1 - p) & \text{for hole-doping } p \\ 2\pi^2(1 + x) & \text{for electron-doping } x \end{cases}$$

The area of the occupied hole states, \mathcal{A}_h , which form a closed Fermi surface and so appear in quantum oscillation experiments is $\mathcal{A}_h = 4\pi^2 - \mathcal{A}_e$.

Spin density wave theory

In the presence of spin density wave order, $\vec{\varphi}$ at wavevector $\mathbf{K} = (\pi, \pi)$, we have an additional term which mixes electron states with momentum separated by \mathbf{K}

$$H_{\text{sdw}} = \vec{\varphi} \cdot \sum_{\mathbf{k}, \alpha, \beta} c_{\mathbf{k}, \alpha}^{\dagger} \vec{\sigma}_{\alpha\beta} c_{\mathbf{k}+\mathbf{K}, \beta}$$

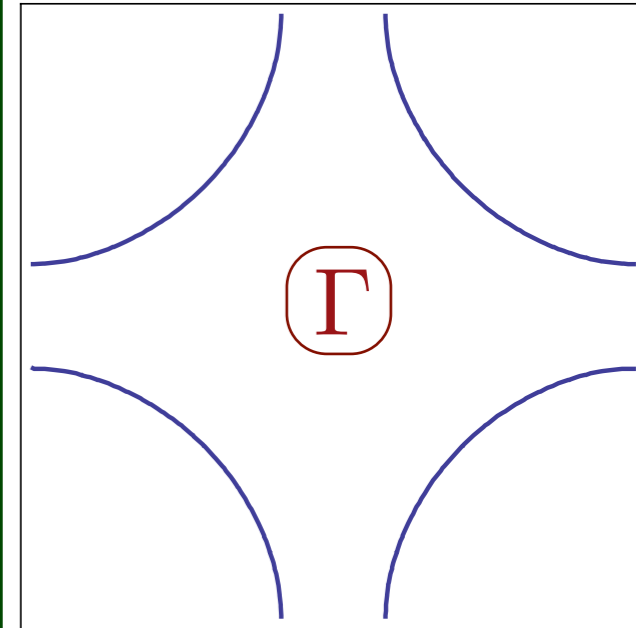
where $\vec{\sigma}$ are the Pauli matrices. The electron dispersions obtained by diagonalizing $H_0 + H_{\text{sdw}}$ for $\vec{\varphi} \propto (0, 0, 1)$ are

$$E_{\mathbf{k}\pm} = \frac{\varepsilon_{\mathbf{k}} + \varepsilon_{\mathbf{k}+\mathbf{K}}}{2} \pm \sqrt{\left(\frac{\varepsilon_{\mathbf{k}} - \varepsilon_{\mathbf{k}+\mathbf{K}}}{2}\right)^2 + \varphi^2}$$

This leads to the Fermi surfaces shown in the following slides for electron and hole doping.

Hole-doped cuprates

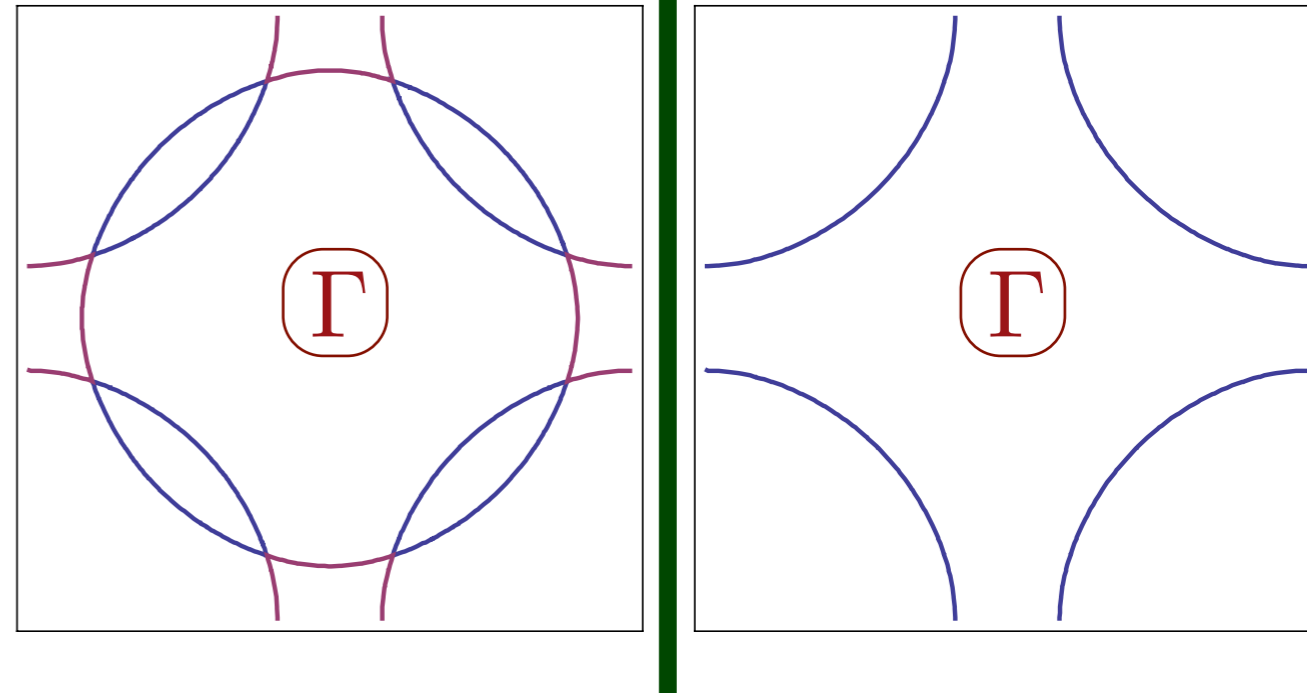
← Increasing SDW order →



S. Sachdev, A. V. Chubukov, and A. Sokol, *Phys. Rev. B* **51**, 14874 (1995).
A. V. Chubukov and D. K. Morr, *Physics Reports* **288**, 355 (1997).

Hole-doped cuprates

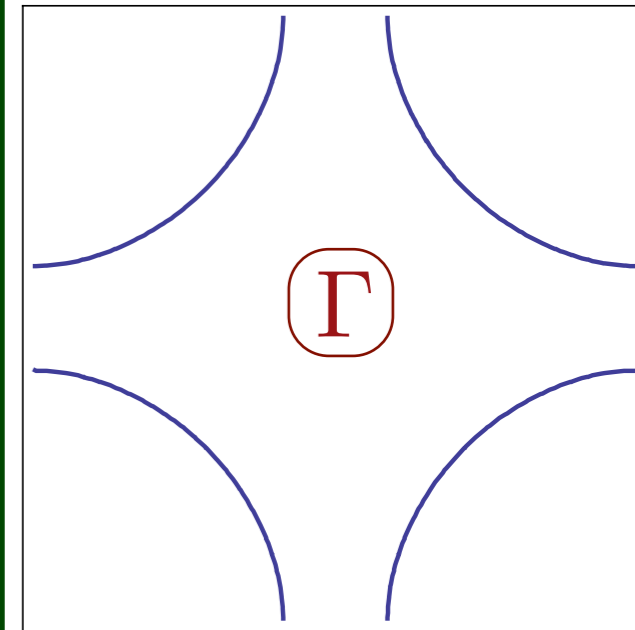
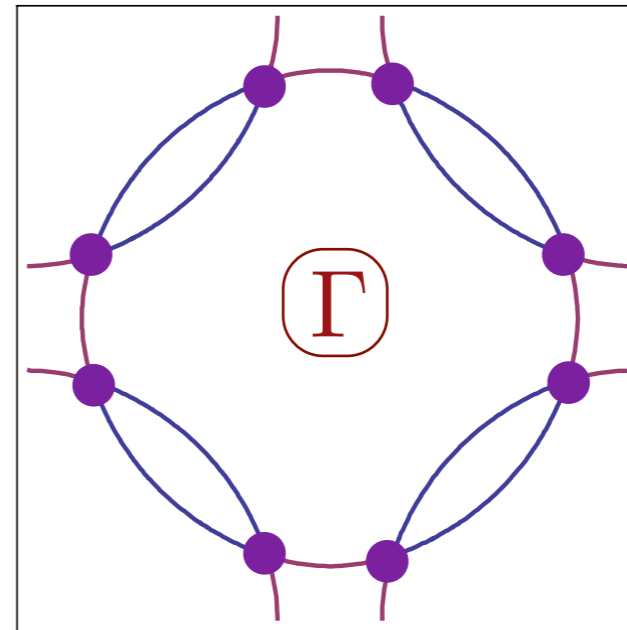
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A. V. Chubukov and D. K. Morr, *Physics Reports* **288**, 355 (1997).

Hole-doped cuprates

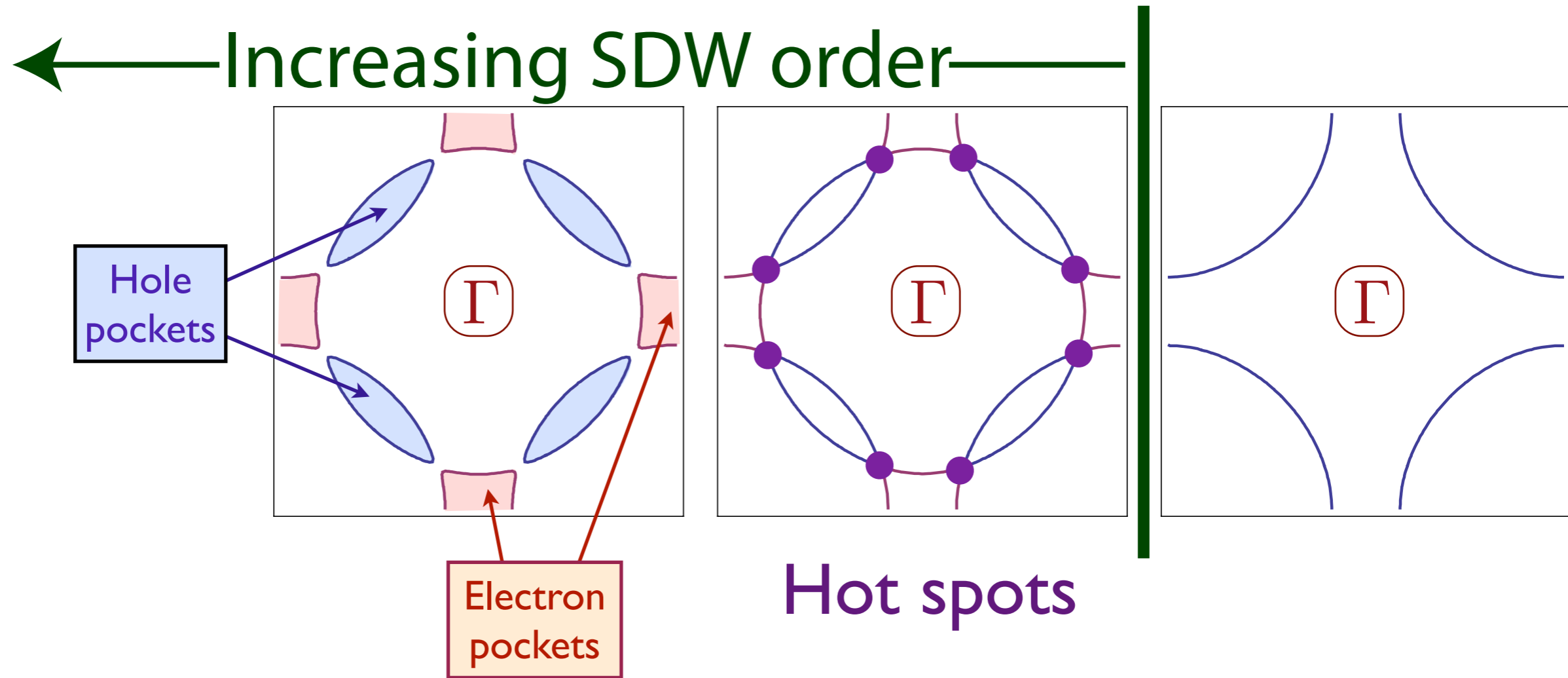
← Increasing SDW order →



Hot spots

S. Sachdev, A. V. Chubukov, and A. Sokol, *Phys. Rev. B* **51**, 14874 (1995).
A. V. Chubukov and D. K. Morr, *Physics Reports* **288**, 355 (1997).

Hole-doped cuprates

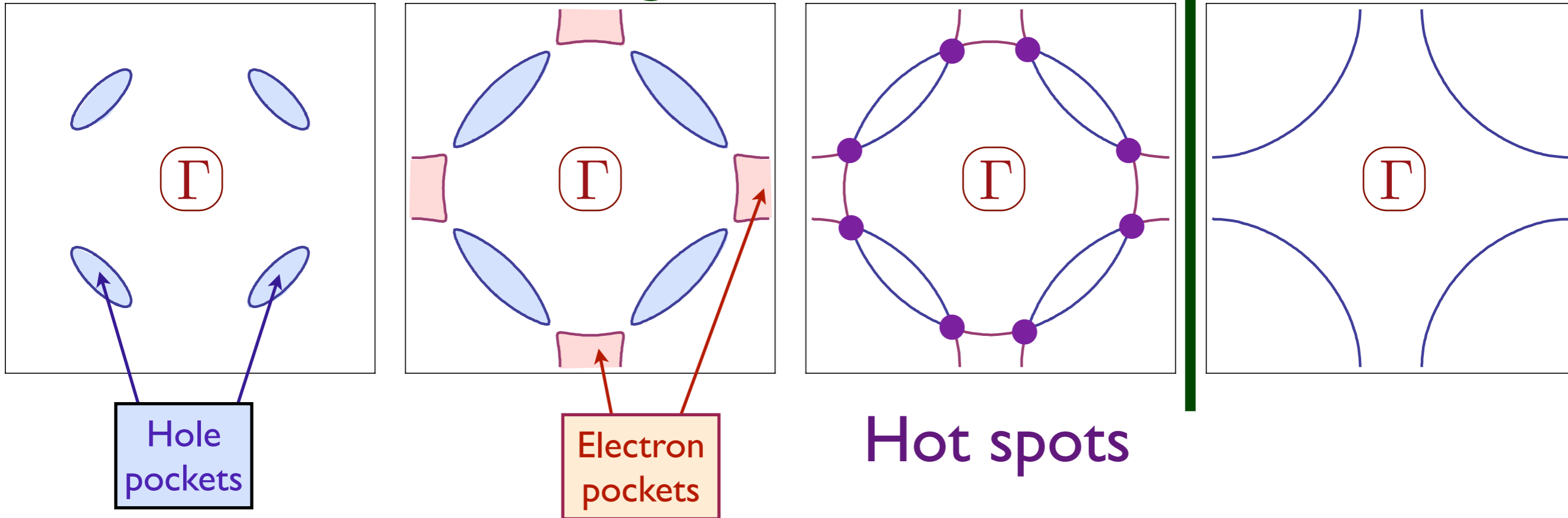


Fermi surface breaks up at hot spots
into electron and hole “pockets”

S. Sachdev, A. V. Chubukov, and A. Sokol, *Phys. Rev. B* **51**, 14874 (1995).
A. V. Chubukov and D. K. Morr, *Physics Reports* **288**, 355 (1997).

Hole-doped cuprates

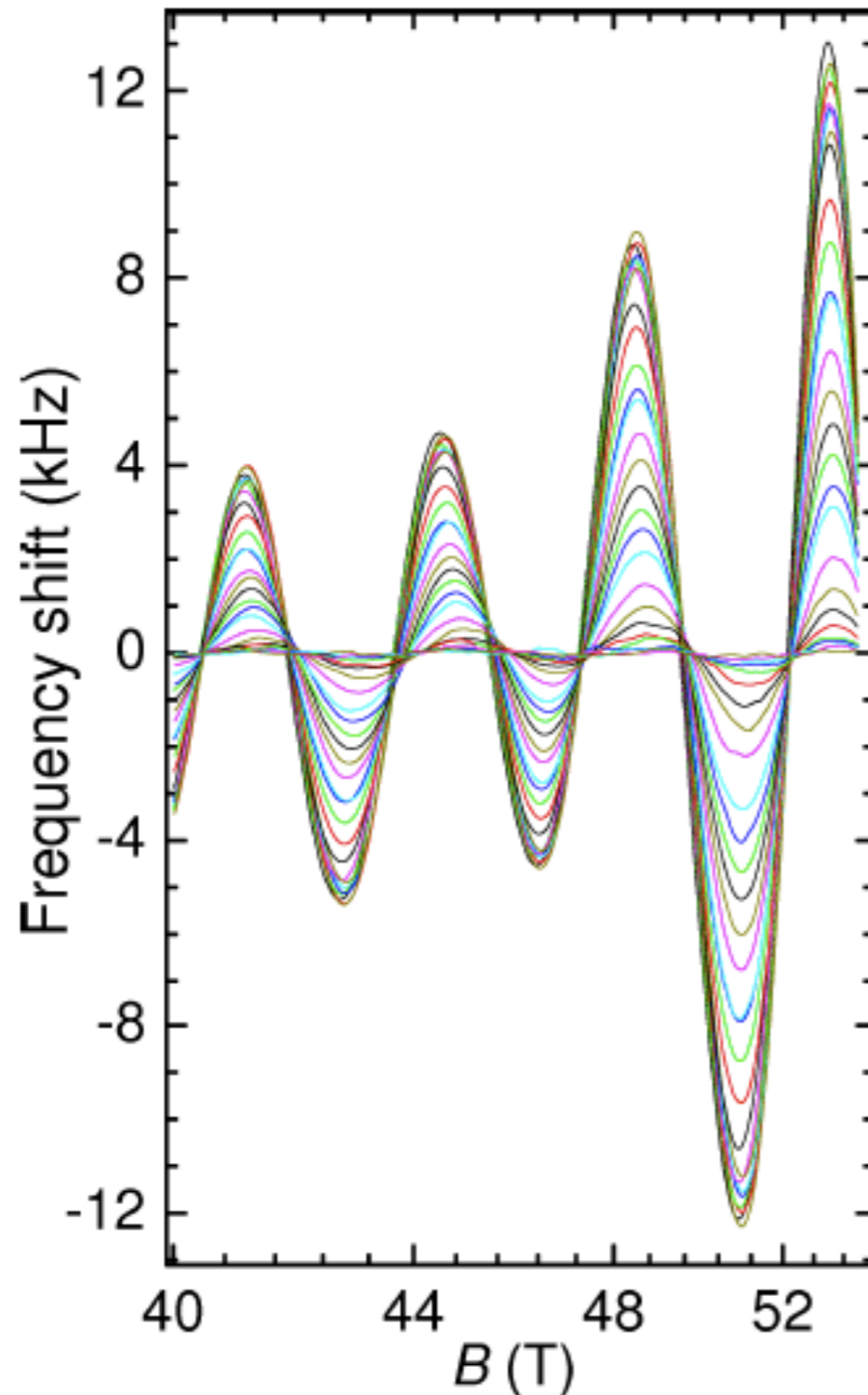
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S. Sachdev, A. V. Chubukov, and A. Sokol, *Phys. Rev. B* **51**, 14874 (1995).
A. V. Chubukov and D. K. Morr, *Physics Reports* **288**, 355 (1997).

Evidence for small Fermi pockets



Fermi liquid behaviour in an underdoped high T_c superconductor

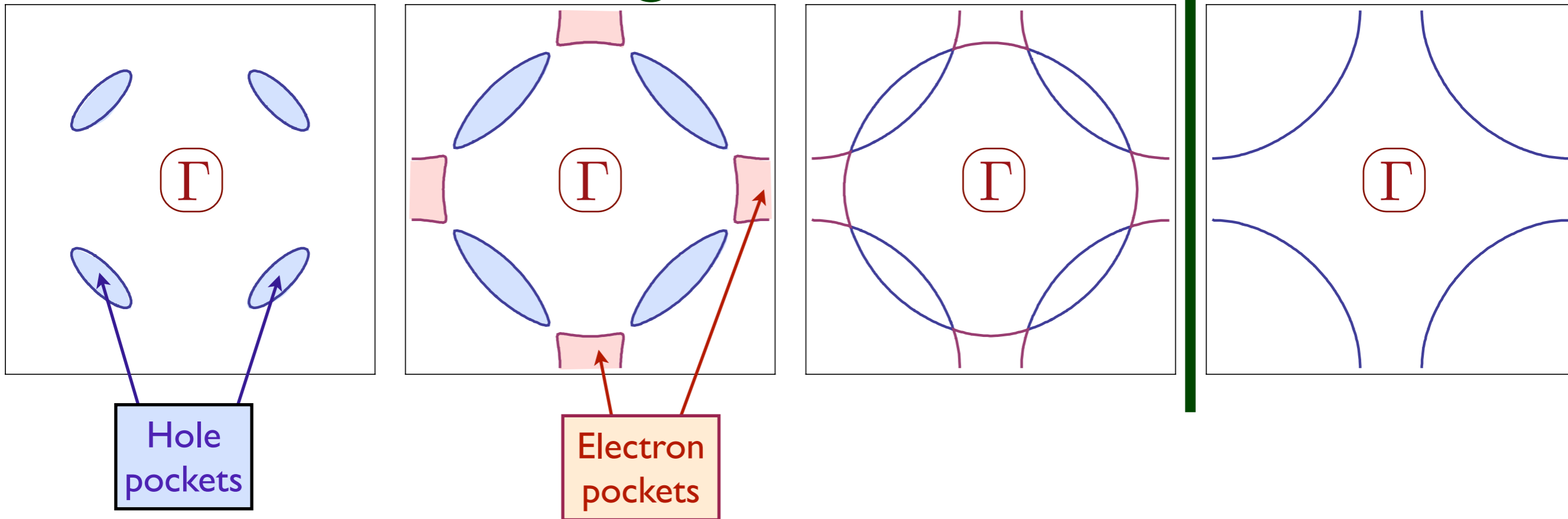
Suchitra E. Sebastian, N. Harrison,
M. M. Altarawneh, Ruixing Liang, D. A. Bonn,
W. N. Hardy, and G. G. Lonzarich

arXiv:0912.3022

FIG. 2: Magnetic quantum oscillations measured in $\text{YBa}_2\text{Cu}_3\text{O}_{6+x}$ with $x \approx 0.56$ (after background polynomial subtraction). This restricted interval in $B = |\mathbf{B}|$ furnishes a dynamic range of ~ 50 dB between $T = 1$ and 18 K. The actual T values are provided in Fig. 3.

Hole-doped cuprates

← Increasing SDW order →

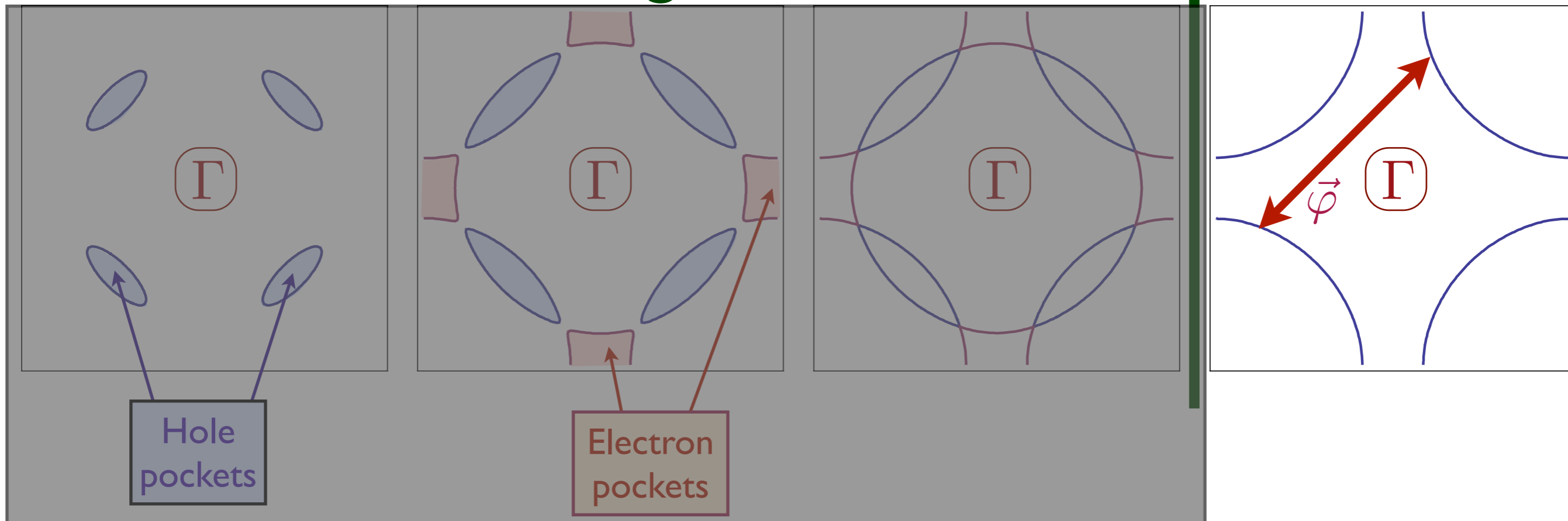


Large Fermi surface breaks up into
electron and hole pockets

S. Sachdev, A. V. Chubukov, and A. Sokol, *Phys. Rev. B* **51**, 14874 (1995).
A. V. Chubukov and D. K. Morr, *Physics Reports* **288**, 355 (1997).

Hole-doped cuprates

← Increasing SDW order →

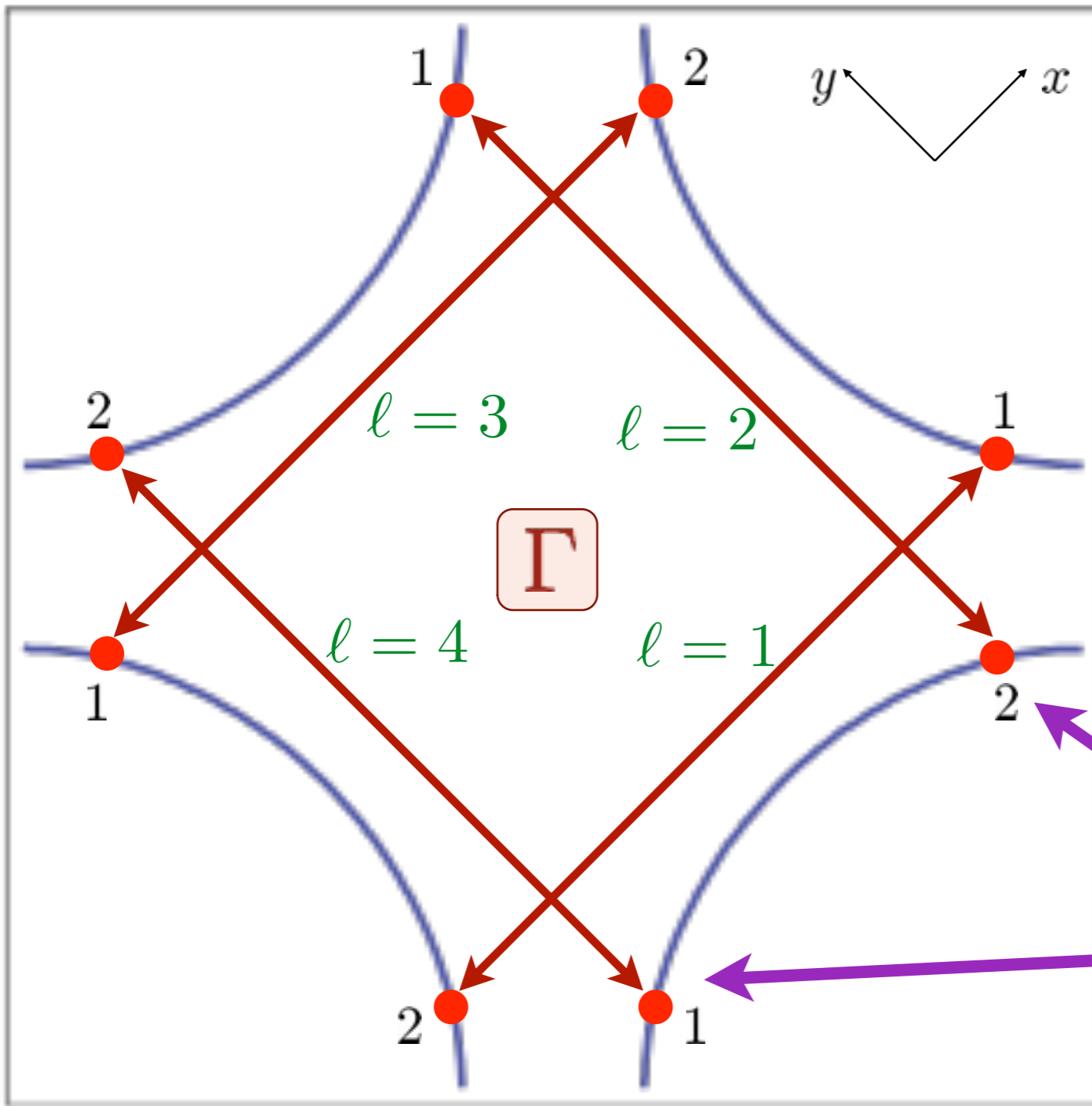


$\vec{\varphi}$ fluctuations act on the
large Fermi surface

S. Sachdev, A. V. Chubukov, and A. Sokol, *Phys. Rev. B* **51**, 14874 (1995).
A. V. Chubukov and D. K. Morr, *Physics Reports* **288**, 355 (1997).

Start from the “spin-fermion” model

$$\begin{aligned}
 \mathcal{Z} &= \int \mathcal{D}c_\alpha \mathcal{D}\vec{\varphi} \exp(-\mathcal{S}) \\
 \mathcal{S} &= \int d\tau \sum_{\mathbf{k}} c_{\mathbf{k}\alpha}^\dagger \left(\frac{\partial}{\partial \tau} - \varepsilon_{\mathbf{k}} \right) c_{\mathbf{k}\alpha} \\
 &\quad - \lambda \int d\tau \sum_i c_{i\alpha}^\dagger \vec{\varphi}_i \cdot \vec{\sigma}_{\alpha\beta} c_{i\beta} e^{i\mathbf{K}\cdot\mathbf{r}_i} \\
 &\quad + \int d\tau d^2r \left[\frac{1}{2} (\nabla_r \vec{\varphi})^2 + \frac{\tilde{\zeta}}{2} (\partial_\tau \vec{\varphi})^2 + \frac{s}{2} \vec{\varphi}^2 + \frac{u}{4} \vec{\varphi}^4 \right]
 \end{aligned}$$



Low energy fermions

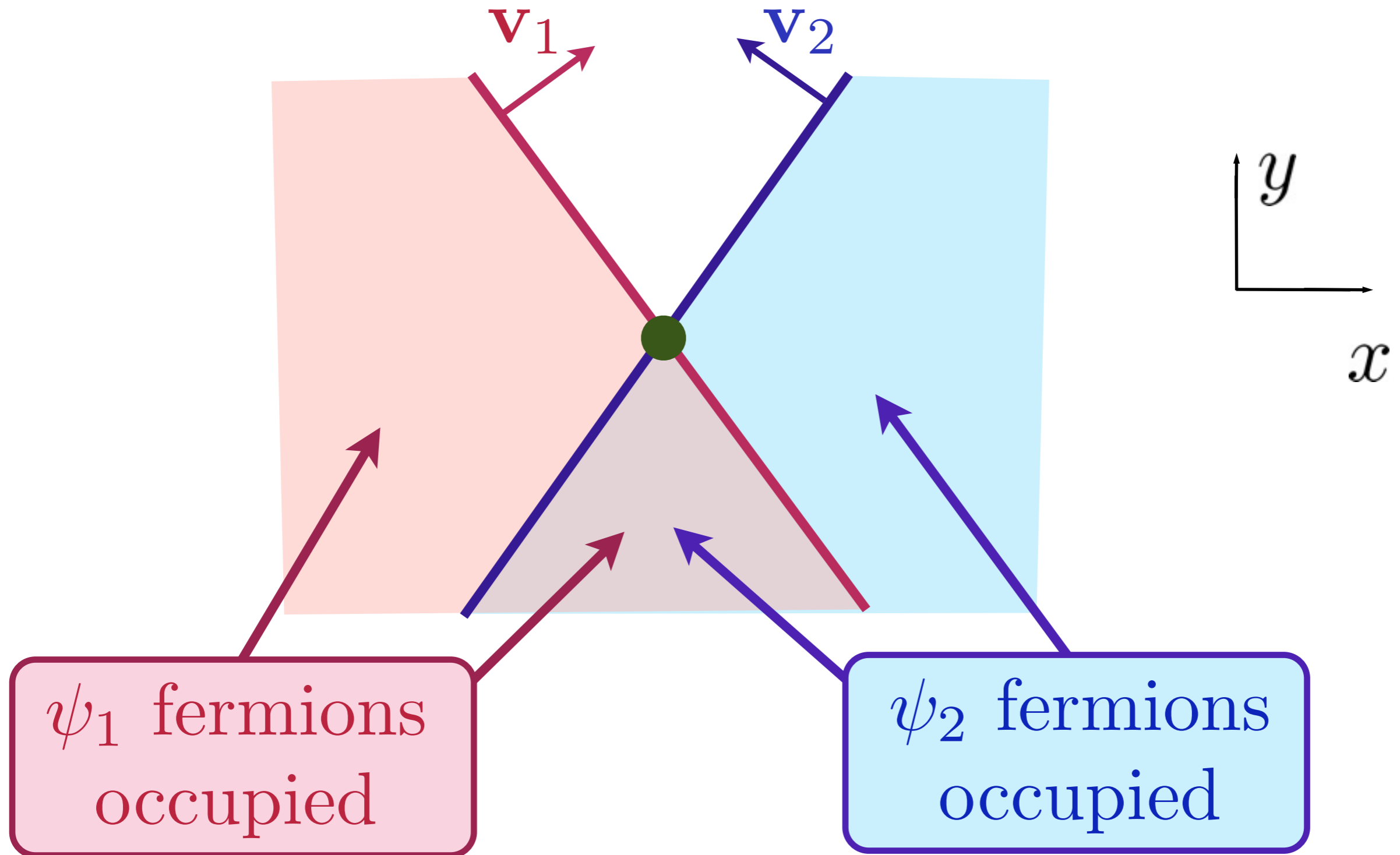
$$\psi_{1\alpha}^l, \psi_{2\alpha}^l$$

$$l = 1, \dots, 4$$

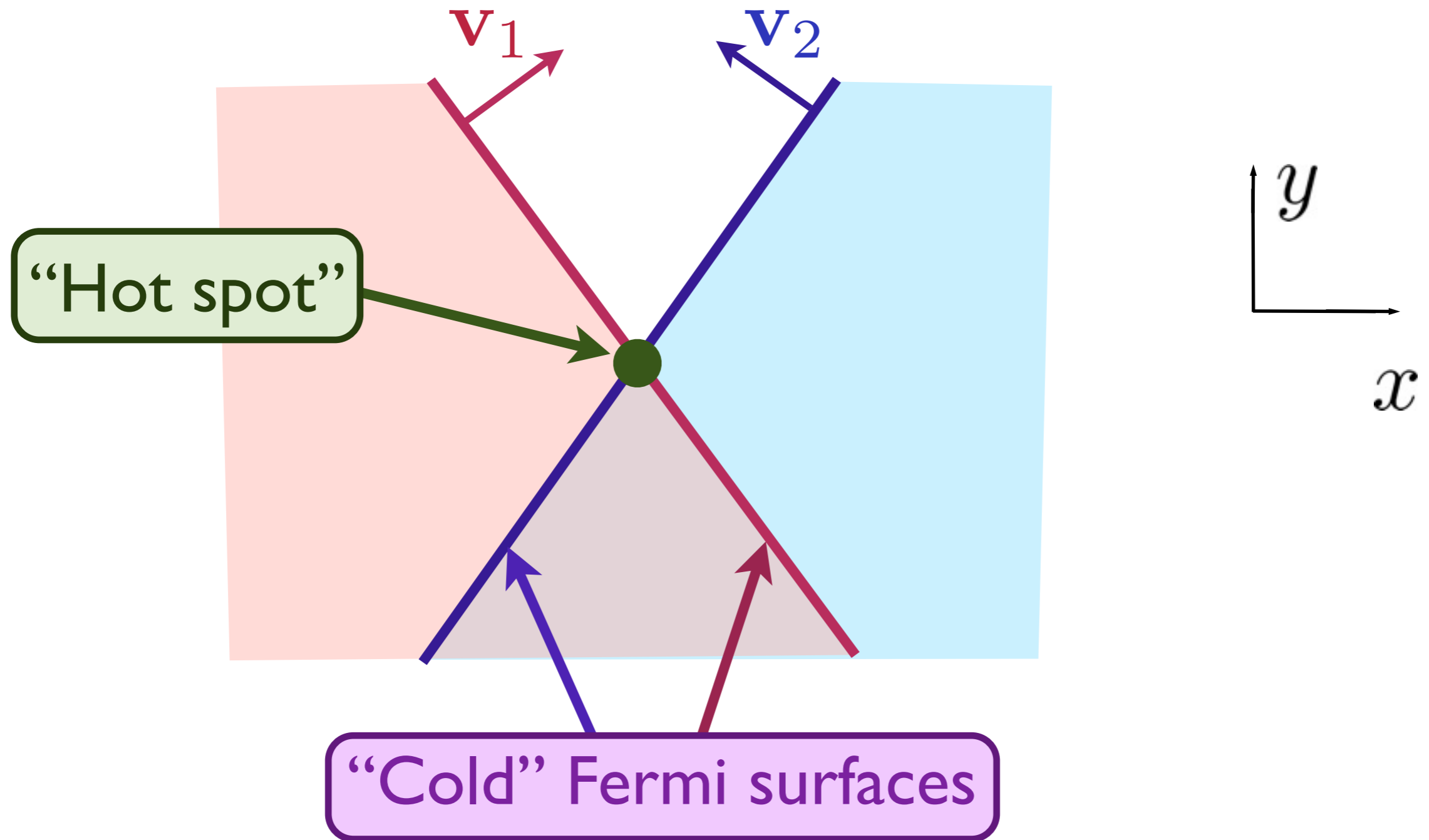
$$\mathcal{L}_f = \psi_{1\alpha}^{l\dagger} (\zeta \partial_\tau - i \mathbf{v}_1^l \cdot \nabla_r) \psi_{1\alpha}^l + \psi_{2\alpha}^{l\dagger} (\zeta \partial_\tau - i \mathbf{v}_2^l \cdot \nabla_r) \psi_{2\alpha}^l$$

$$\mathbf{v}_1^{\ell=1} = (v_x, v_y), \quad \mathbf{v}_2^{\ell=1} = (-v_x, v_y)$$

$$\mathcal{L}_f = \psi_{1\alpha}^{\ell\dagger} (\zeta \partial_\tau - i \mathbf{v}_1^\ell \cdot \nabla_r) \psi_{1\alpha}^\ell + \psi_{2\alpha}^{\ell\dagger} (\zeta \partial_\tau - i \mathbf{v}_2^\ell \cdot \nabla_r) \psi_{2\alpha}^\ell$$



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Order parameter:
$$\mathcal{L}_\varphi = \frac{1}{2} (\nabla_r \vec{\varphi})^2 + \frac{\tilde{\zeta}}{2} (\partial_\tau \vec{\varphi})^2 + \frac{s}{2} \vec{\varphi}^2 + \frac{u}{4} \vec{\varphi}^4$$

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“Yukawa” coupling:
$$\mathcal{L}_c = -\lambda \vec{\varphi} \cdot \left(\psi_{1\alpha}^{\ell\dagger} \vec{\sigma}_{\alpha\beta} \psi_{2\beta}^\ell + \psi_{2\alpha}^{\ell\dagger} \vec{\sigma}_{\alpha\beta} \psi_{1\beta}^\ell \right)$$

$$\mathcal{L}_f = \psi_{1\alpha}^{\ell\dagger} (\zeta \partial_\tau - i \mathbf{v}_1^\ell \cdot \nabla_r) \psi_{1\alpha}^\ell + \psi_{2\alpha}^{\ell\dagger} (\zeta \partial_\tau - i \mathbf{v}_2^\ell \cdot \nabla_r) \psi_{2\alpha}^\ell$$

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Hertz theory

Integrate out fermions and obtain non-local corrections to \mathcal{L}_φ

$$\mathcal{L}_\varphi = \frac{1}{2} \vec{\varphi}^2 [\mathbf{q}^2 + \gamma |\omega|] / 2 \quad ; \quad \gamma = \frac{2}{\pi v_x v_y}$$

Exponent $z = 2$ and mean-field criticality (upto logarithms)

$$\mathcal{L}_f = \psi_{1\alpha}^{\ell\dagger} (\zeta \partial_\tau - i \mathbf{v}_1^\ell \cdot \nabla_r) \psi_{1\alpha}^\ell + \psi_{2\alpha}^{\ell\dagger} (\zeta \partial_\tau - i \mathbf{v}_2^\ell \cdot \nabla_r) \psi_{2\alpha}^\ell$$

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Exponent $z = 2$ and mean-field criticality (upto logarithms)

OK in $d = 3$, but higher order terms contain an infinite number of marginal couplings in $d = 2$

Ar.Abanov and A.V. Chubukov, *Phys. Rev. Lett.* **93**, 255702 (2004).

$$\mathcal{L}_f = \psi_{1\alpha}^{\ell\dagger} (\zeta \partial_\tau - i \mathbf{v}_1^\ell \cdot \nabla_r) \psi_{1\alpha}^\ell + \psi_{2\alpha}^{\ell\dagger} (\zeta \partial_\tau - i \mathbf{v}_2^\ell \cdot \nabla_r) \psi_{2\alpha}^\ell$$

Order parameter: $\mathcal{L}_\varphi = \frac{1}{2} (\nabla_r \vec{\varphi})^2 + \frac{\tilde{\zeta}}{2} (\partial_\tau \vec{\varphi})^2 + \frac{s}{2} \vec{\varphi}^2 + \frac{u}{4} \vec{\varphi}^4$

“Yukawa” coupling: $\mathcal{L}_c = -\lambda \vec{\varphi} \cdot \left(\psi_{1\alpha}^{\ell\dagger} \vec{\sigma}_{\alpha\beta} \psi_{2\beta}^\ell + \psi_{2\alpha}^{\ell\dagger} \vec{\sigma}_{\alpha\beta} \psi_{1\beta}^\ell \right)$

Perform RG on both fermions and $\vec{\varphi}$,
using a *local* field theory.

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Superconductivity by SDW fluctuation exchange

***d*-wave pairing near a spin-density-wave instability**

D. J. Scalapino, E. Loh, Jr.,* and J. E. Hirsch†

Institute for Theoretical Physics, University of California, Santa Barbara, California 93106

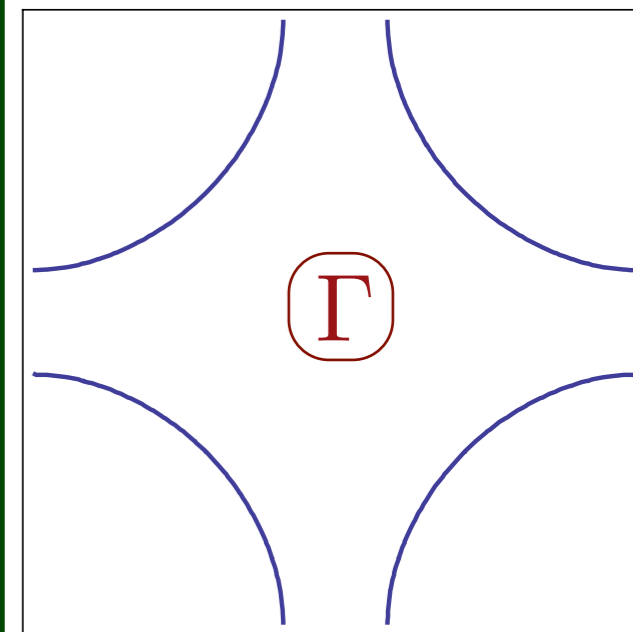
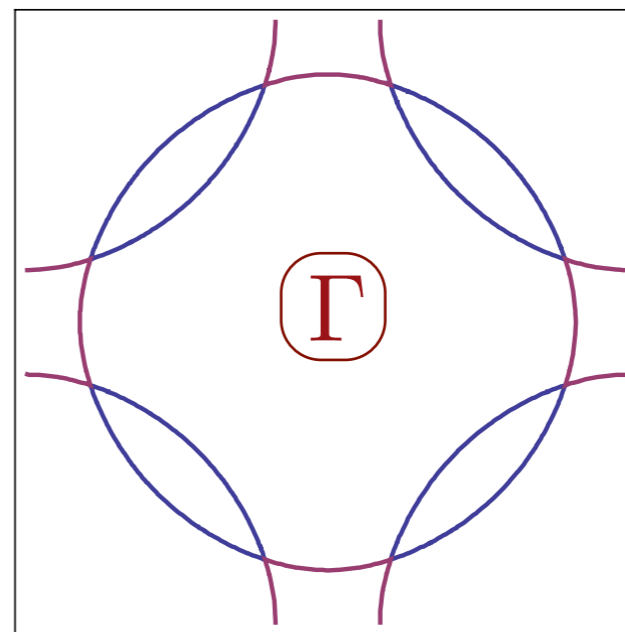
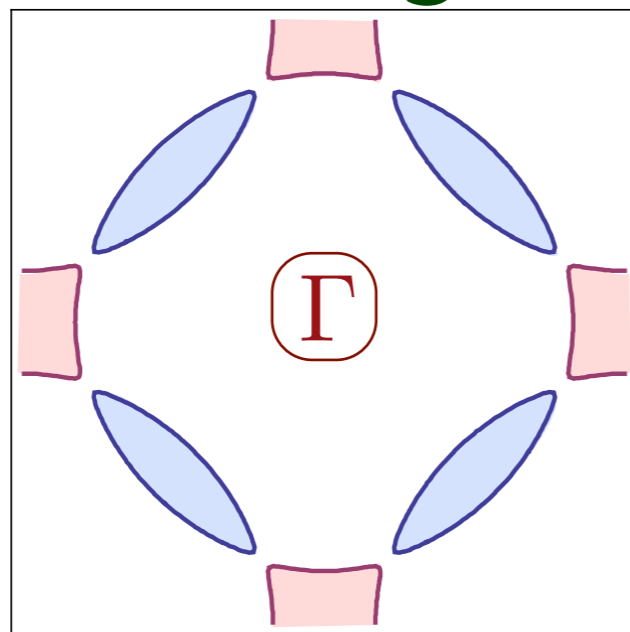
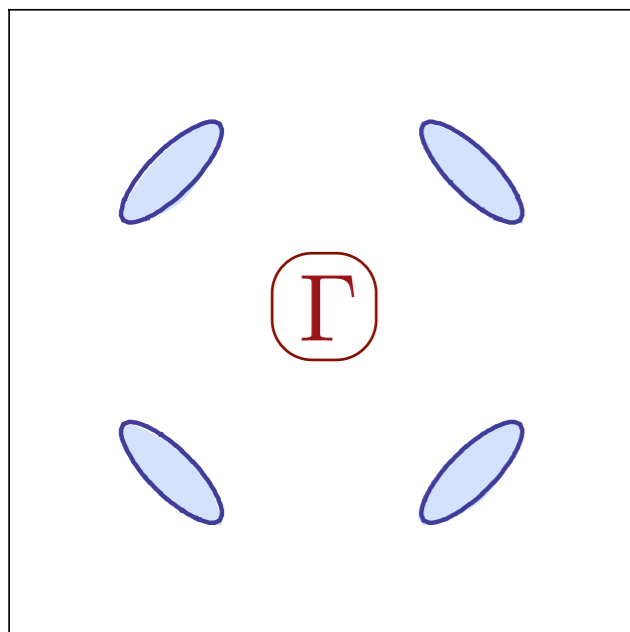
(Received 23 June 1986)

We investigate the three-dimensional Hubbard model and show that paramagnon exchange near a spin-density-wave instability gives rise to a strong singlet *d*-wave pairing interaction. For a cubic band the singlet ($d_{x^2-y^2}$ and $d_{3z^2-r^2}$) channels are enhanced while the singlet (d_{xy}, d_{xz}, d_{yz}) and triplet *p*-wave channels are suppressed. A unique feature of this pairing mechanism is its sensitivity to band structure and band filling.

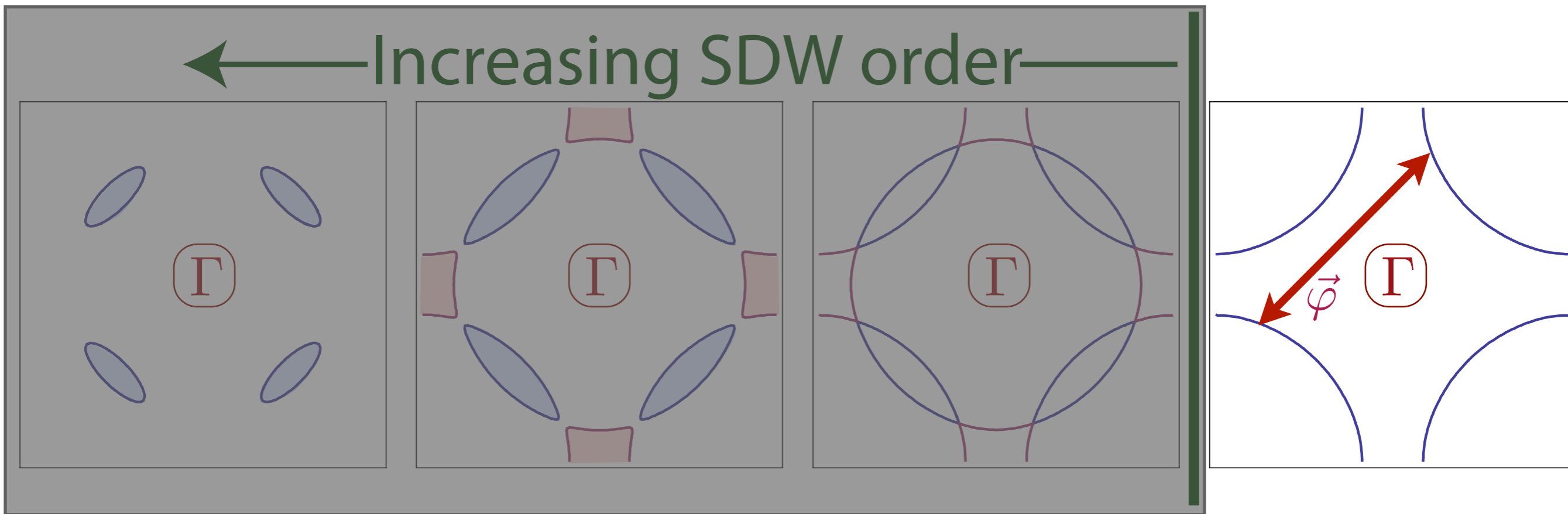
Physical Review B **34**, 8190 (1986)

Spin density wave theory in hole-doped cuprates

← Increasing SDW order →



Spin-fluctuation exchange theory of d-wave superconductivity in the cuprates



Fermions at the *large* Fermi surface exchange fluctuations of the SDW order parameter $\vec{\varphi}$.

David Pines, Douglas Scalapino

Pairing by SDW fluctuation exchange

We now allow the SDW field $\vec{\varphi}$ to be dynamical, coupling to electrons as

$$H_{\text{sdw}} = - \sum_{\mathbf{k}, \mathbf{q}, \alpha, \beta} \vec{\varphi}_{\mathbf{q}} \cdot c_{\mathbf{k}, \alpha}^{\dagger} \vec{\sigma}_{\alpha\beta} c_{\mathbf{k}+\mathbf{K}+\mathbf{q}, \beta}.$$

Exchange of a $\vec{\varphi}$ quantum leads to the effective interaction

$$H_{ee} = -\frac{1}{2} \sum_{\mathbf{q}} \sum_{\mathbf{p}, \gamma, \delta} \sum_{\mathbf{k}, \alpha, \beta} V_{\alpha\beta, \gamma\delta}(\mathbf{q}) c_{\mathbf{k}, \alpha}^{\dagger} c_{\mathbf{k}+\mathbf{q}, \beta} c_{\mathbf{p}, \gamma}^{\dagger} c_{\mathbf{p}-\mathbf{q}, \delta},$$

where the pairing interaction is

$$V_{\alpha\beta, \gamma\delta}(\mathbf{q}) = \vec{\sigma}_{\alpha\beta} \cdot \vec{\sigma}_{\gamma\delta} \frac{\chi_0}{\xi^{-2} + (\mathbf{q} - \mathbf{K})^2},$$

with $\chi_0 \xi^2$ the SDW susceptibility and ξ the SDW correlation length.

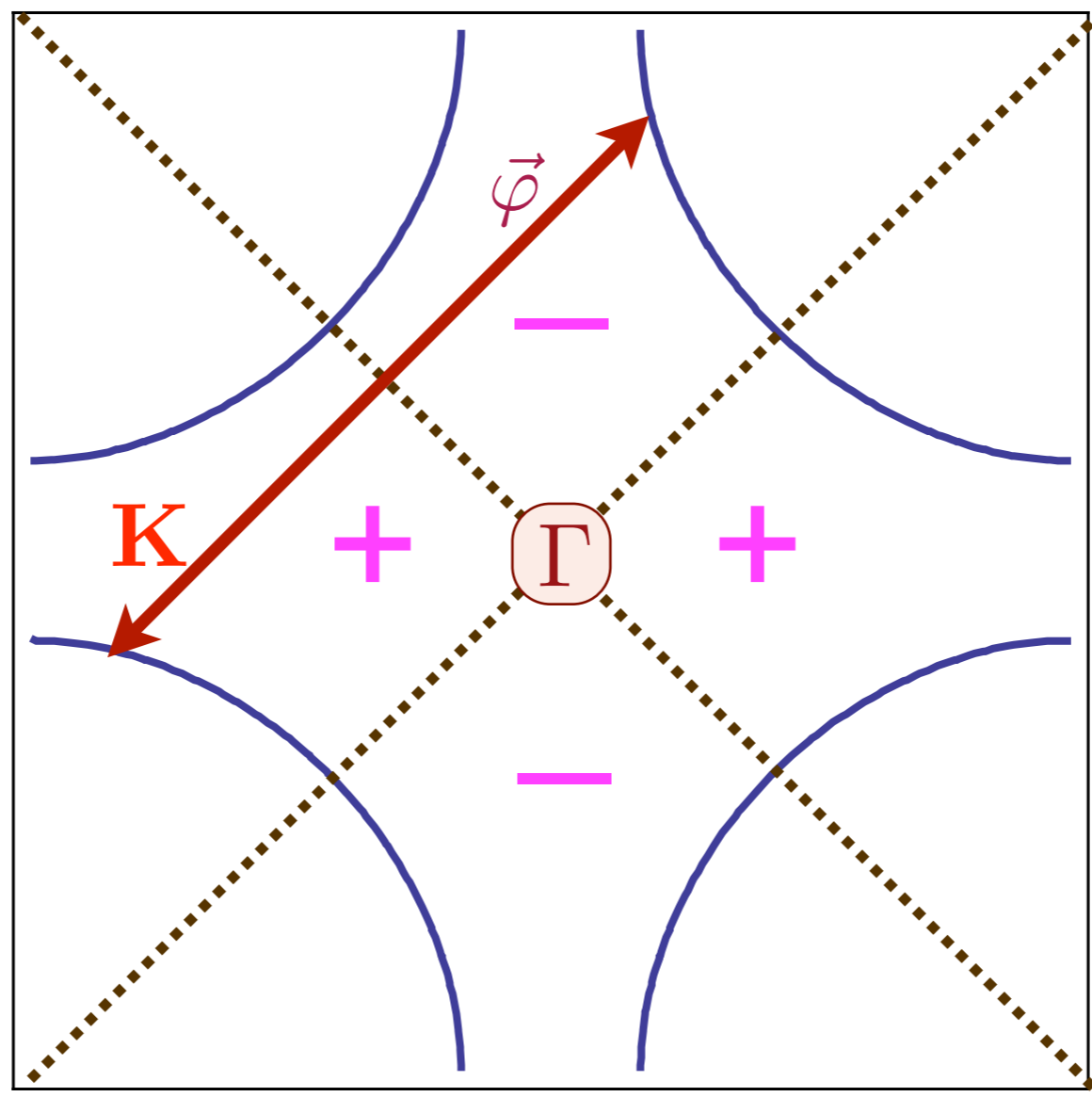
BCS Gap equation

In BCS theory, this interaction leads to the ‘gap equation’ for the pairing gap $\Delta_{\mathbf{k}} \propto \langle c_{\mathbf{k}\uparrow} c_{-\mathbf{k}\downarrow} \rangle$.

$$\Delta_{\mathbf{k}} = - \sum_{\mathbf{p}} \left(\frac{3\chi_0}{\xi^{-2} + (\mathbf{p} - \mathbf{k} - \mathbf{K})^2} \right) \frac{\Delta_{\mathbf{p}}}{2\sqrt{\varepsilon_{\mathbf{p}}^2 + \Delta_{\mathbf{p}}^2}}$$

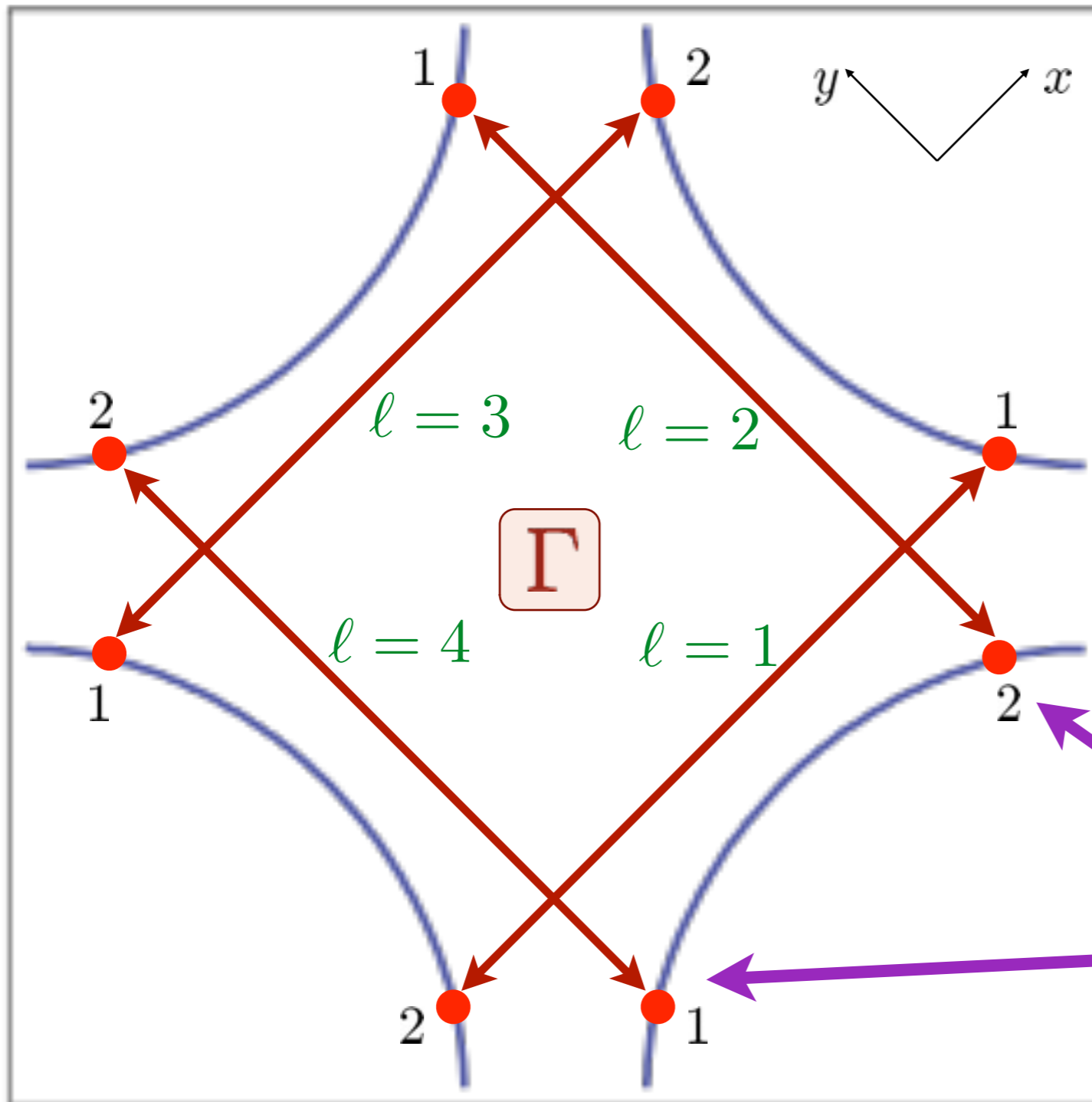
Non-zero solutions of this equation require that $\Delta_{\mathbf{k}}$ and $\Delta_{\mathbf{p}}$ have opposite signs when $\mathbf{p} - \mathbf{k} \approx \mathbf{K}$.

d -wave pairing of the large Fermi surface



$$\langle c_{\mathbf{k}\uparrow} c_{-\mathbf{k}\downarrow} \rangle \propto \Delta_{\mathbf{k}} = \Delta_0 (\cos(k_x) - \cos(k_y))$$

d -wave pairing in the theory of hotspots

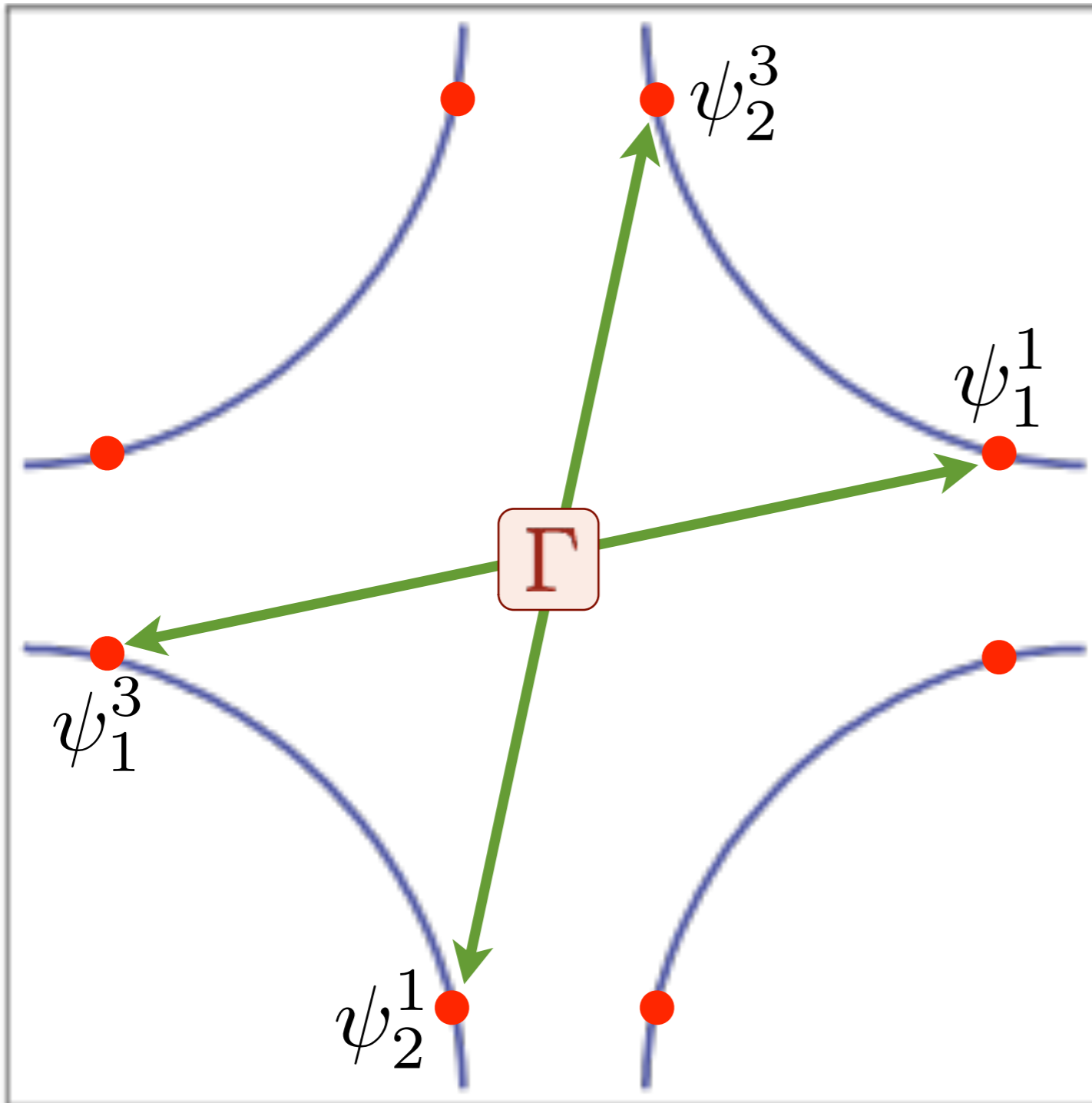


Low energy fermions

$$\psi_{1\alpha}^l, \psi_{2\alpha}^l$$

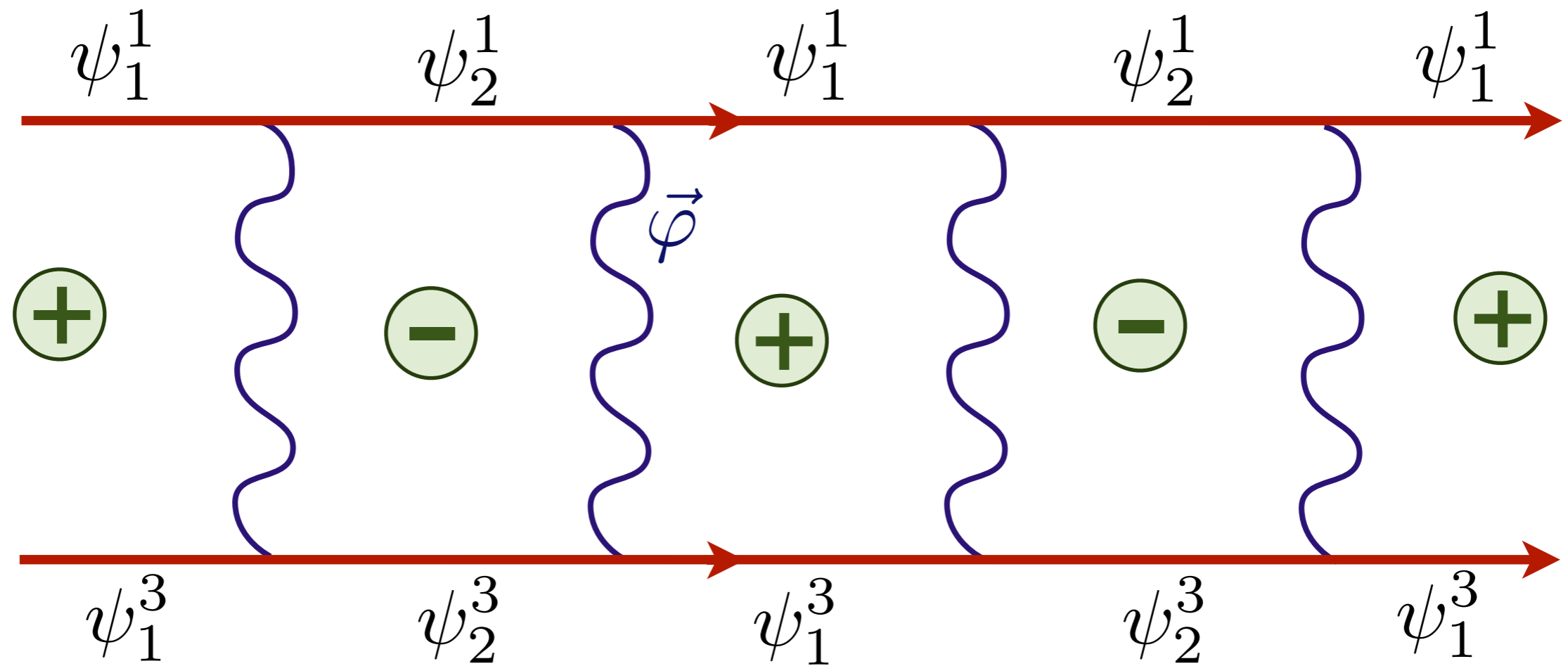
$$l = 1, \dots, 4$$

d -wave pairing in the theory of hotspots

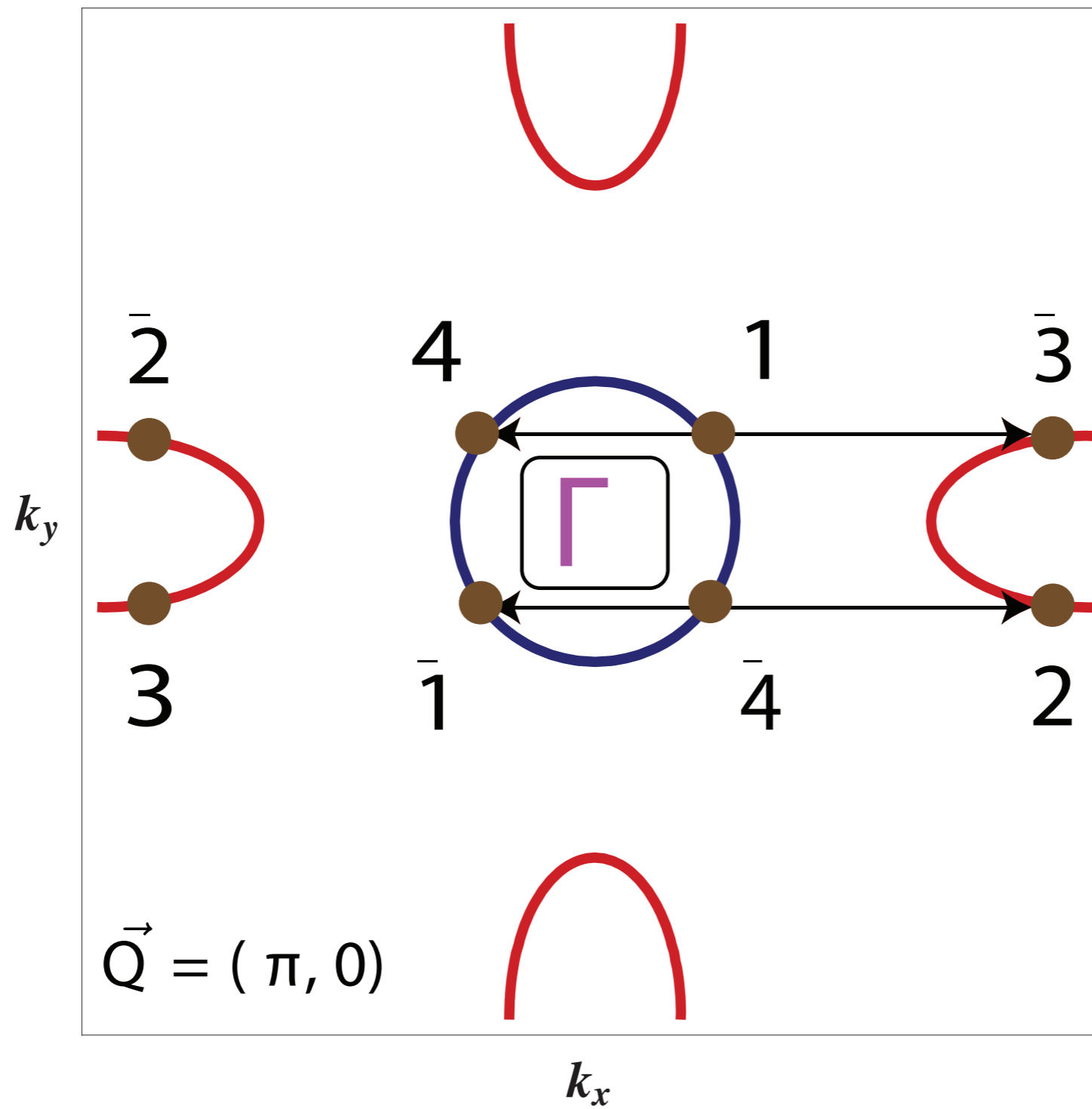


Hot spots have strong instability to d -wave pairing near SDW critical point. This instability is stronger than the BCS instability of a Fermi liquid.

$$\text{Pairing order parameter: } \varepsilon^{\alpha\beta} \left(\psi_{1\alpha}^3 \psi_{1\beta}^1 - \psi_{2\alpha}^3 \psi_{2\beta}^1 \right)$$



d-wave Cooper pairing instability in particle-particle channel



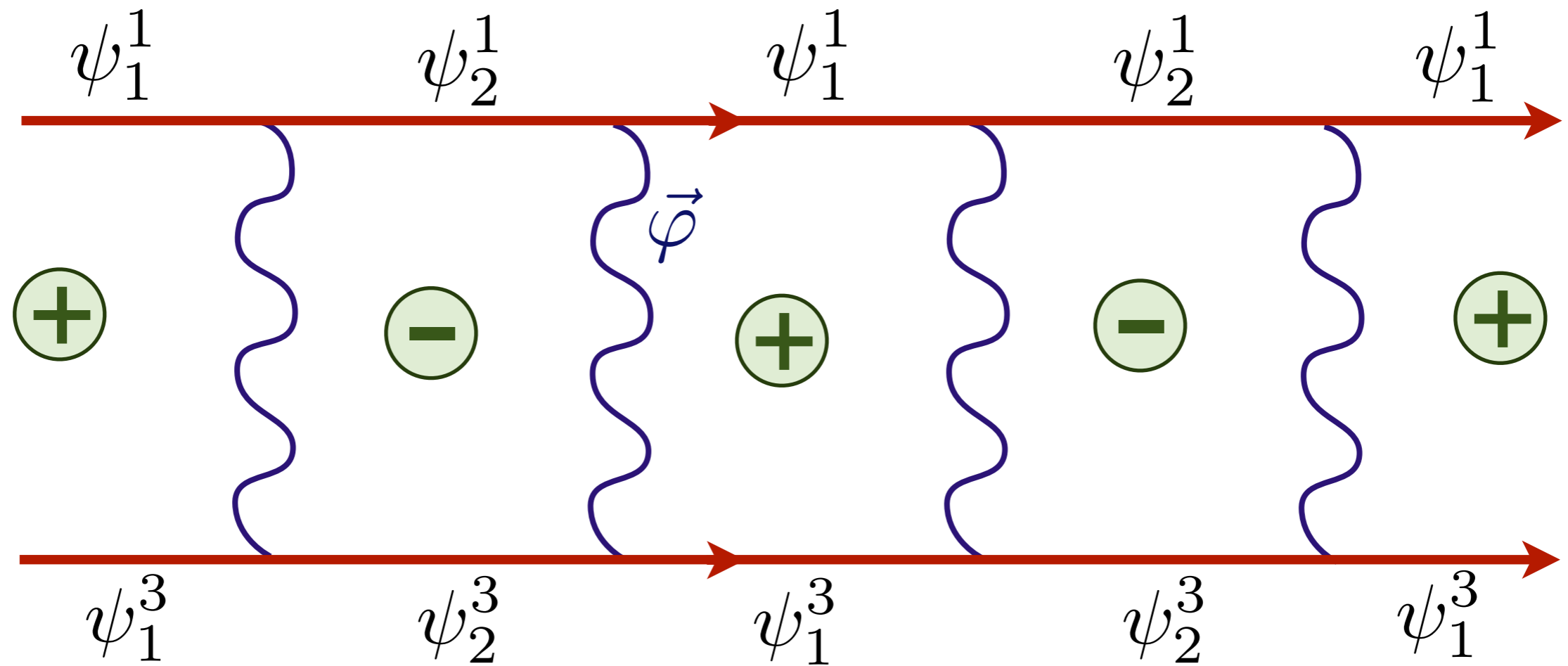
Similar theory applies to the pnictides, and leads to s_{\pm} pairing.

Emergent Pseudospin symmetry

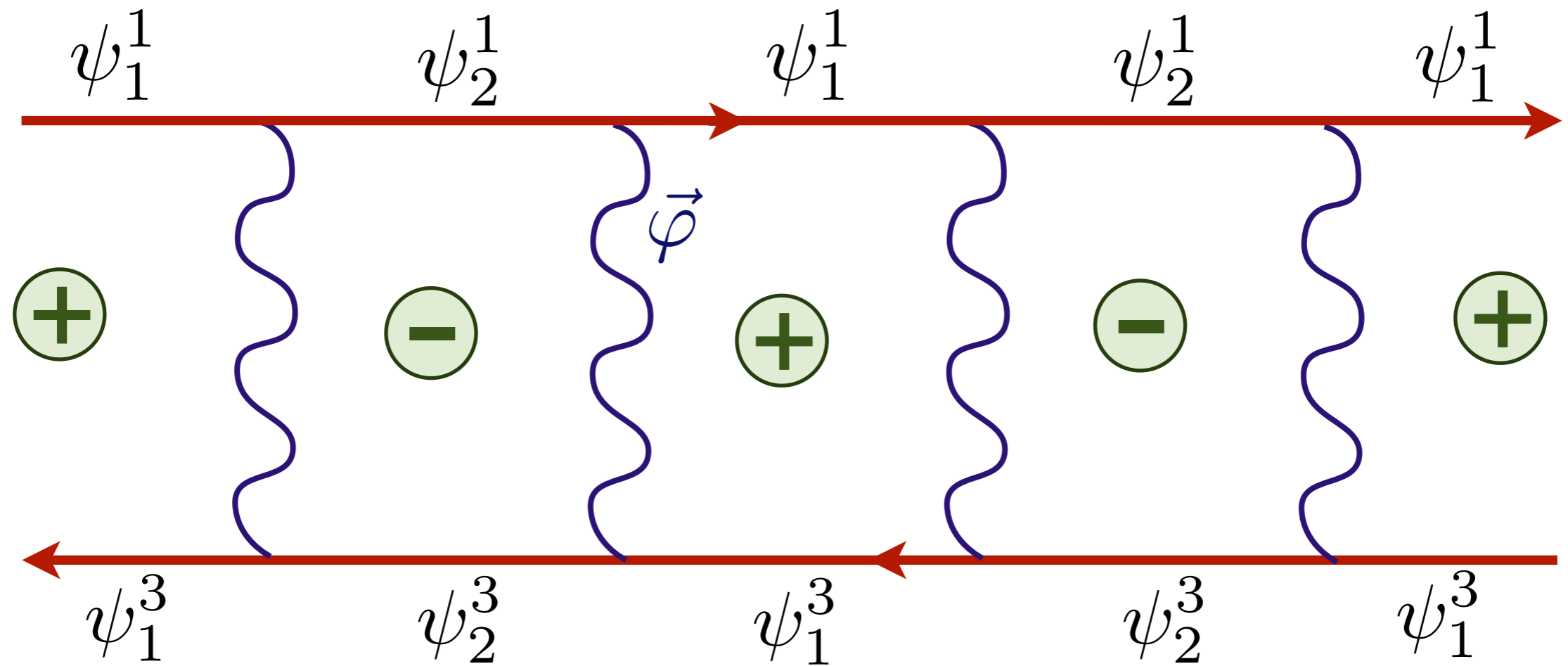
Continuum theory of hotspots is invariant under:

$$\begin{pmatrix} \psi_{\uparrow}^{\ell} \\ \psi_{\downarrow}^{\ell\dagger} \end{pmatrix} \rightarrow U^{\ell} \begin{pmatrix} \psi_{\uparrow}^{\ell} \\ \psi_{\downarrow}^{\ell\dagger} \end{pmatrix}$$

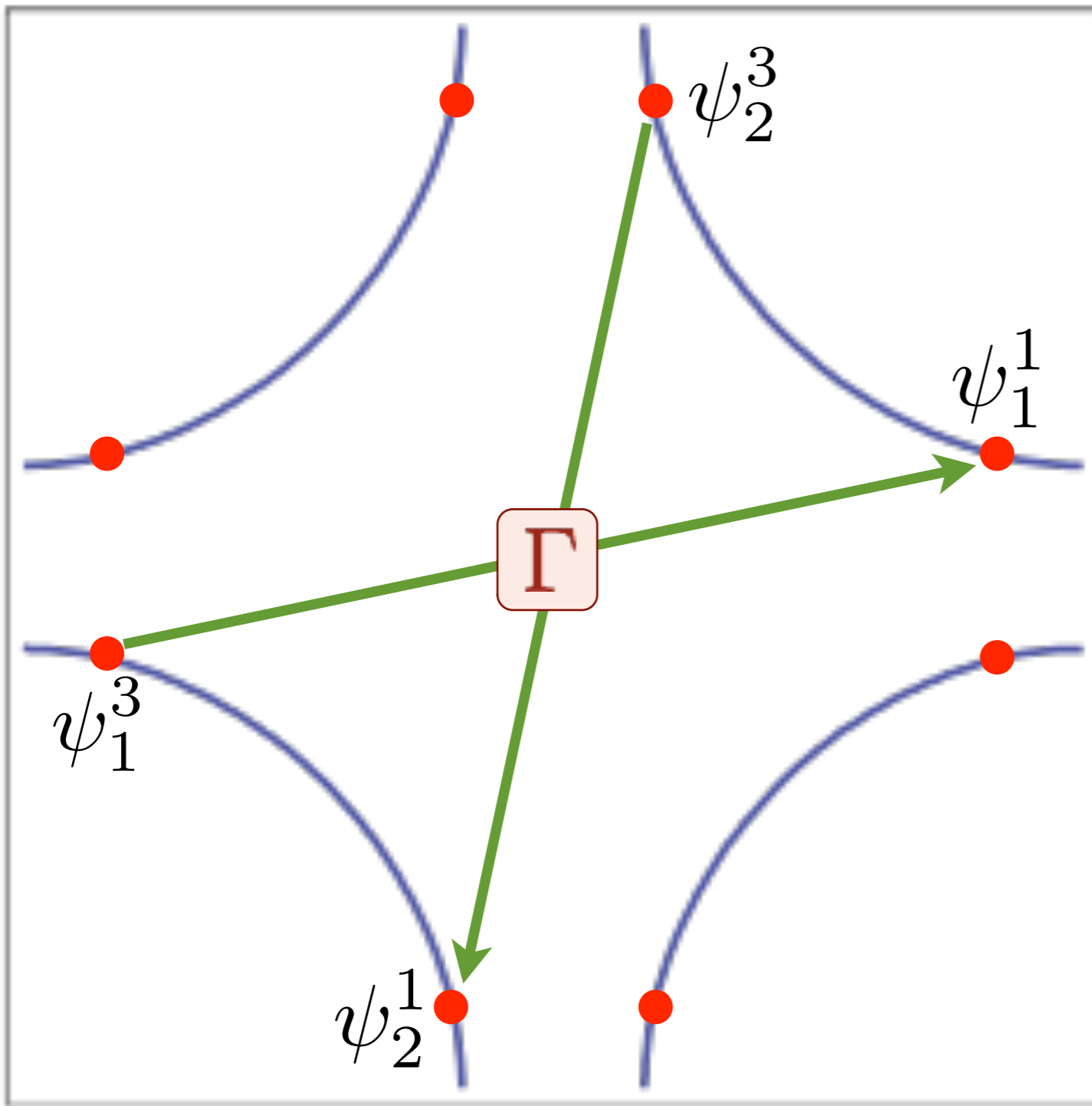
where U^{ℓ} are arbitrary SU(2) matrices which can be *different* on different hotspots ℓ .



d-wave Cooper pairing instability in particle-particle channel

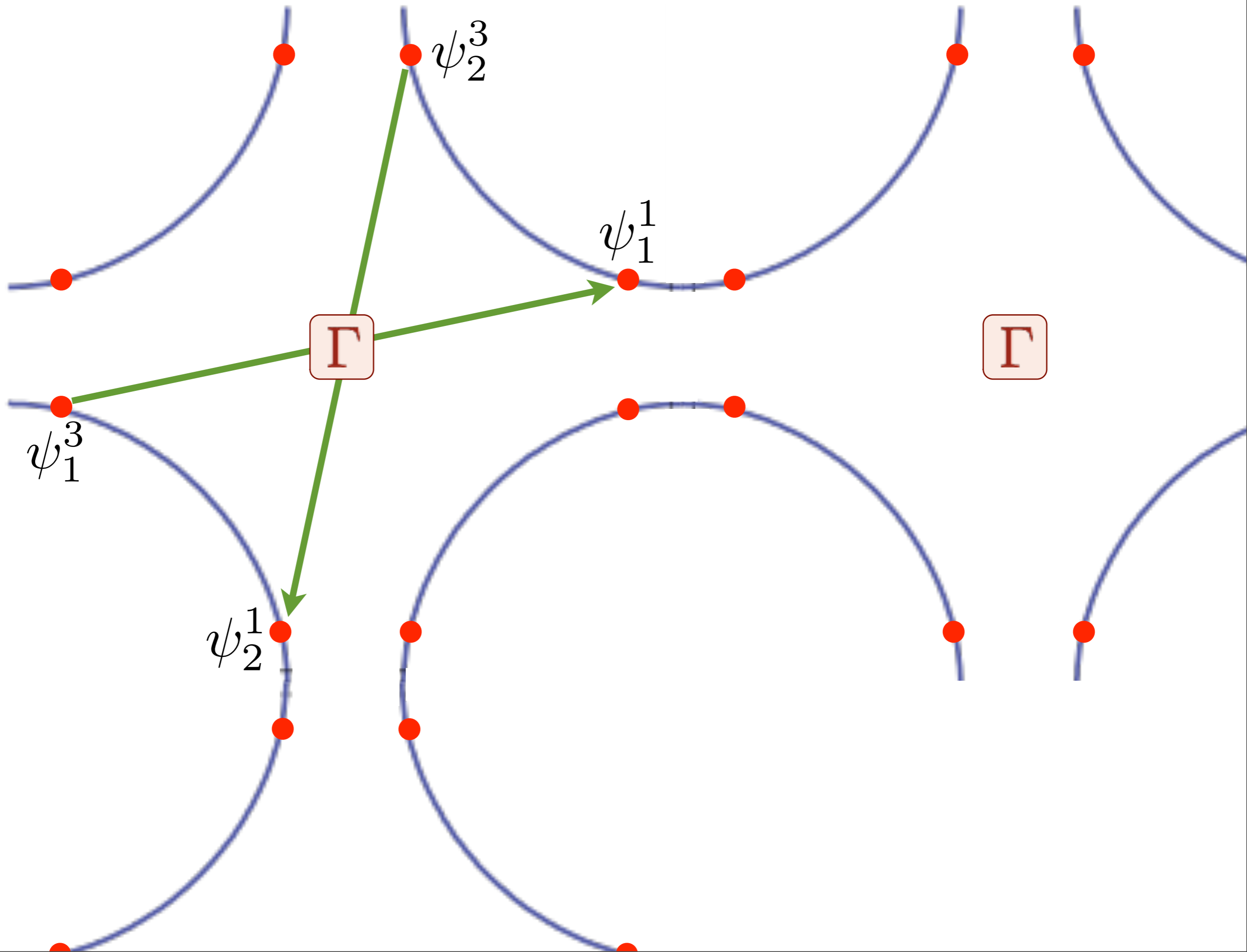


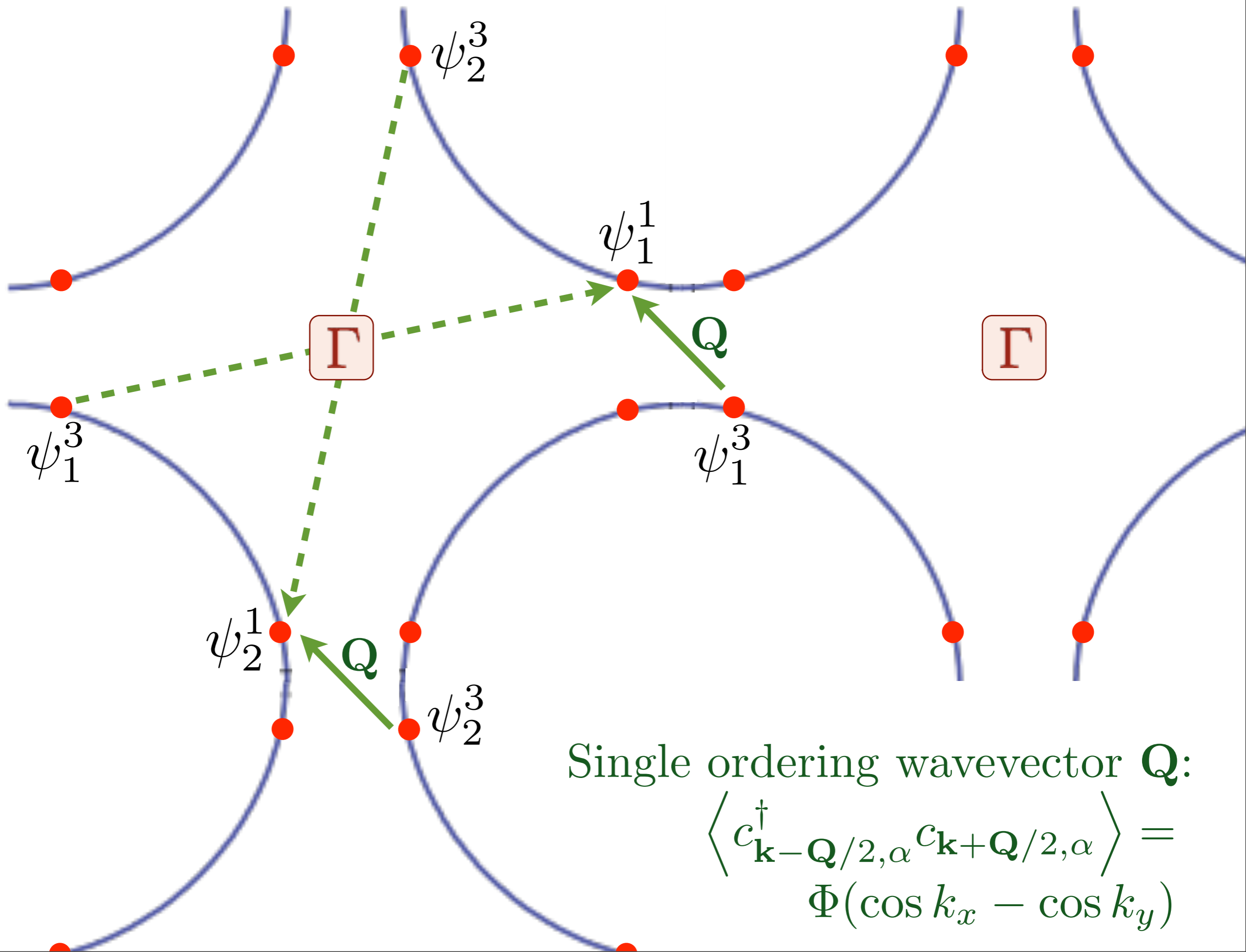
Bond density wave (with local Ising-nematic order) instability in particle-hole channel



Density-wave order parameter:

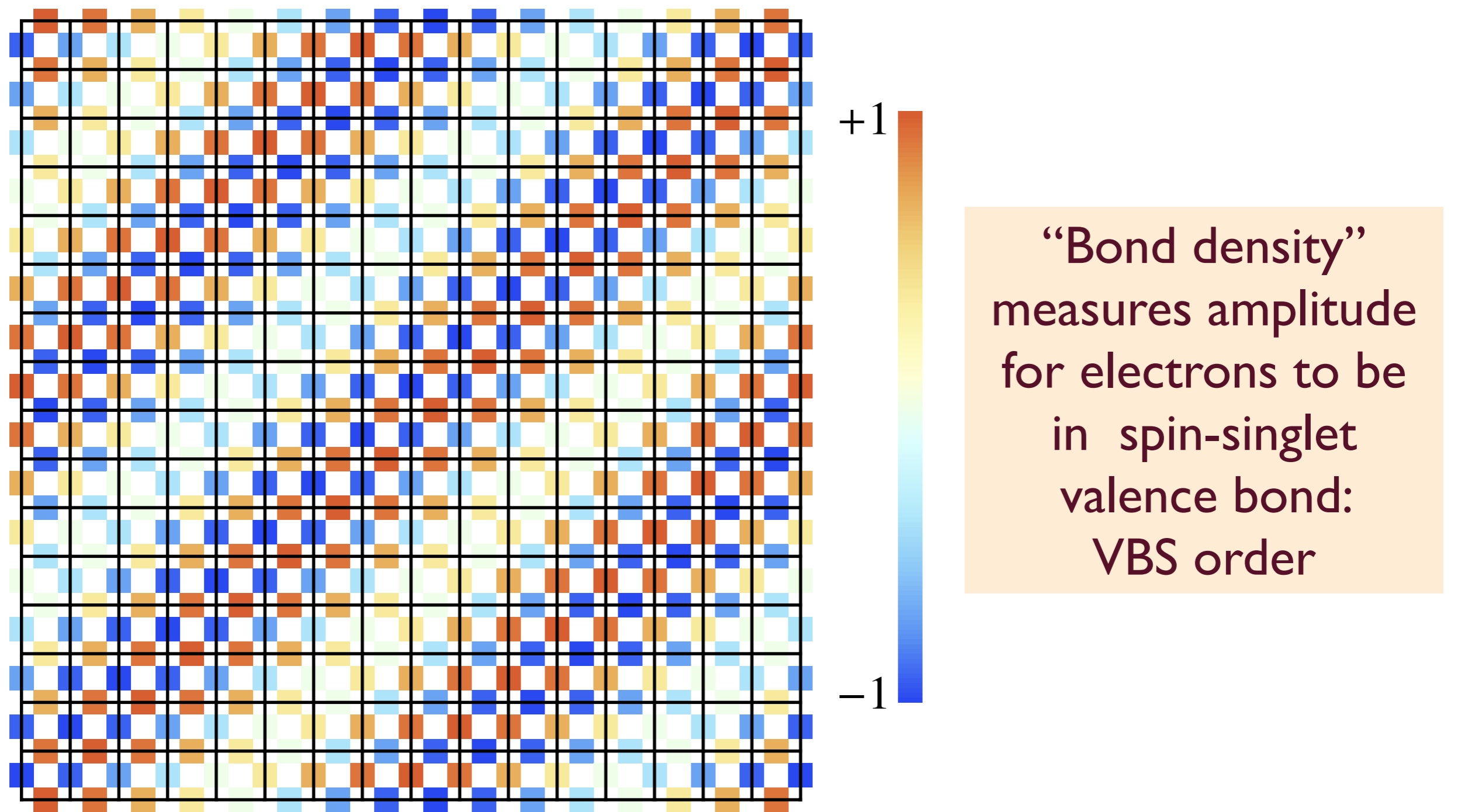
$$\left(\psi_{1\alpha}^{3\dagger} \psi_{1\alpha}^1 - \psi_{2\alpha}^{3\dagger} \psi_{2\alpha}^1 \right)$$





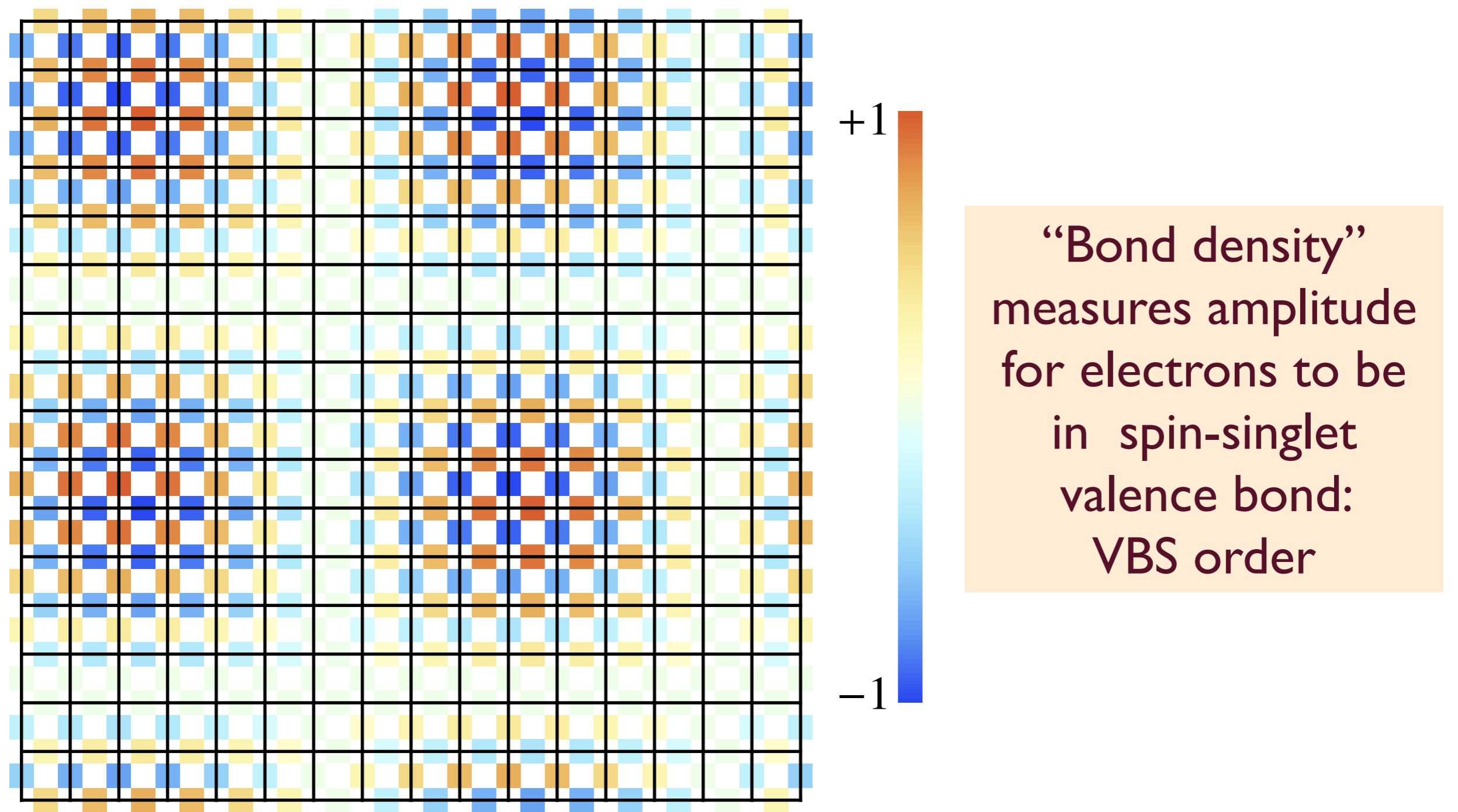
Single ordering wavevector Q :

$$\left\langle c_{\mathbf{k}-\mathbf{Q}/2,\alpha}^\dagger c_{\mathbf{k}+\mathbf{Q}/2,\alpha} \right\rangle = \Phi(\cos k_x - \cos k_y)$$



No modulations on sites. Modulated bond-density wave with local Ising-nematic ordering:

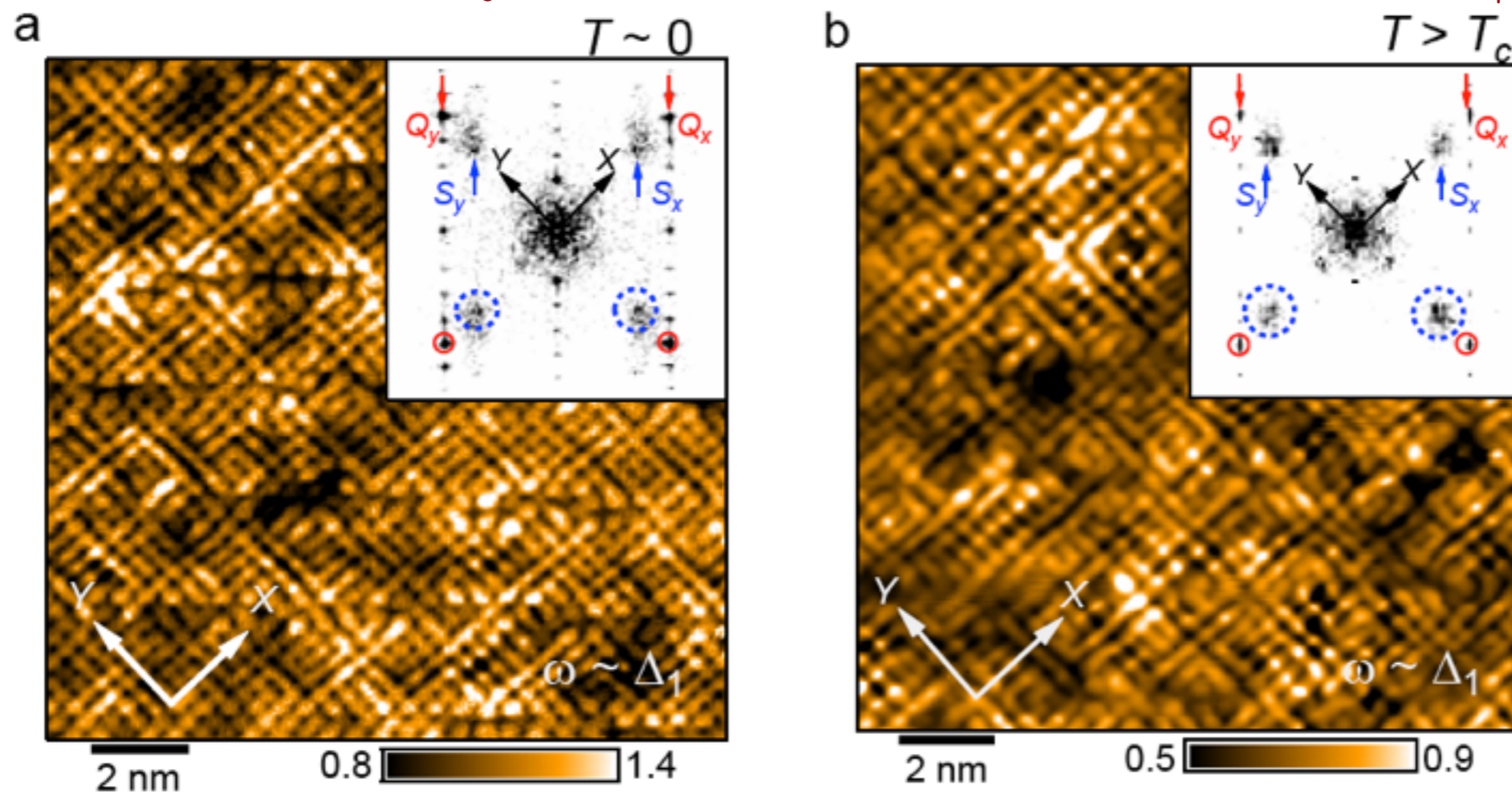
$$\left\langle c_{\mathbf{k}-\mathbf{Q}/2,\alpha}^\dagger c_{\mathbf{k}+\mathbf{Q}/2,\alpha} \right\rangle = \Phi(\cos k_x - \cos k_y)$$



No modulations on sites. Modulated bond-density wave with local Ising-nematic ordering:

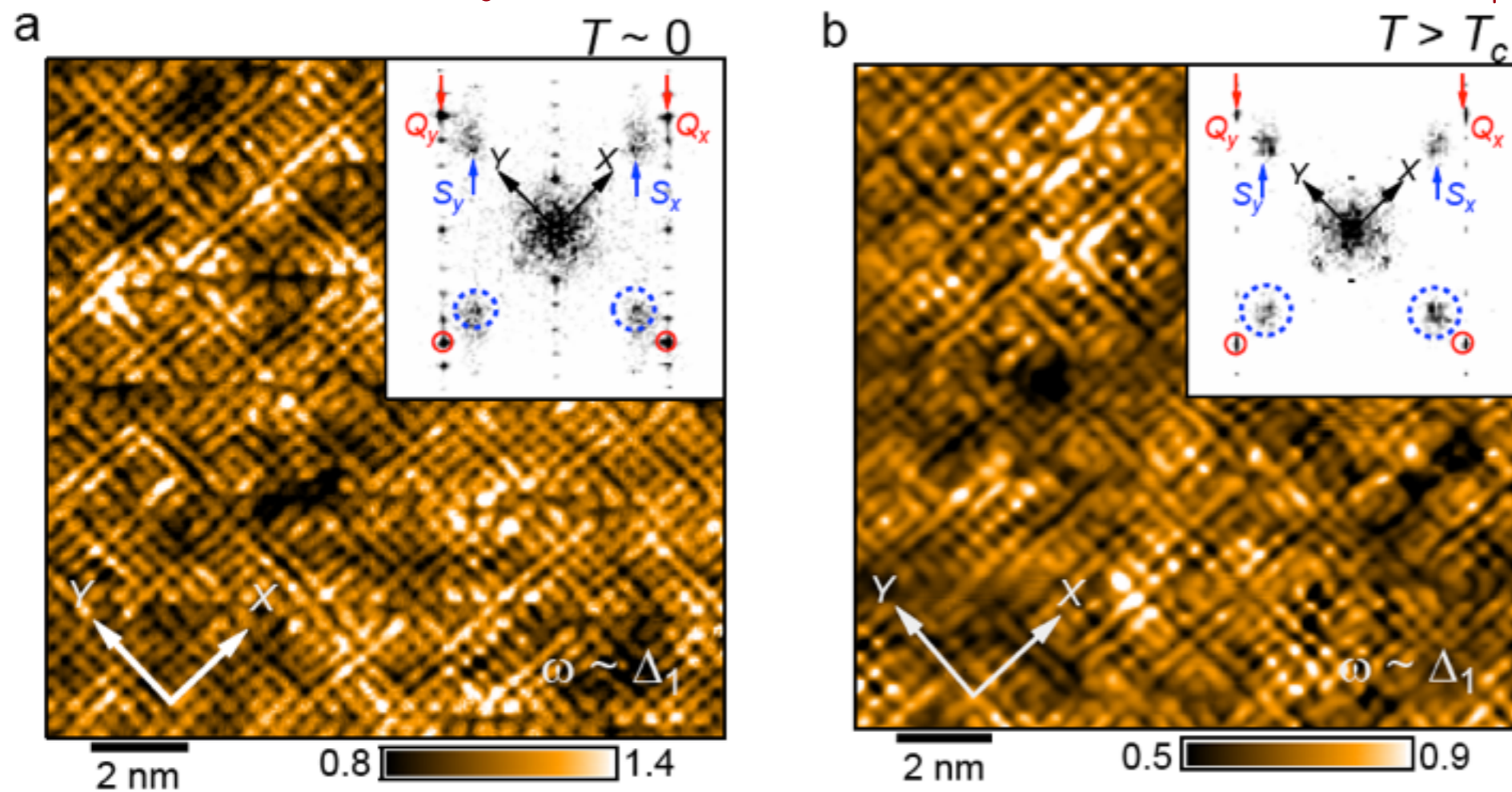
$$\left\langle c_{\mathbf{k}-\mathbf{Q}/2,\alpha}^\dagger c_{\mathbf{k}+\mathbf{Q}/2,\alpha} \right\rangle = \Phi(\cos k_x - \cos k_y)$$

STM measurements of $Z(r)$, the energy asymmetry in density of states in $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$.

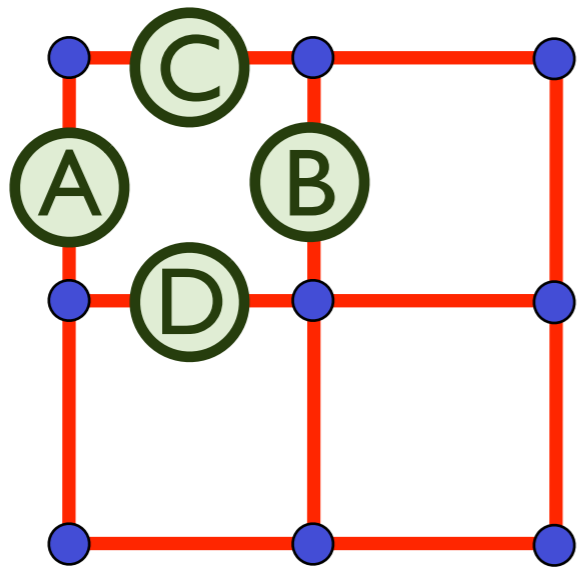


M. J. Lawler, K. Fujita,
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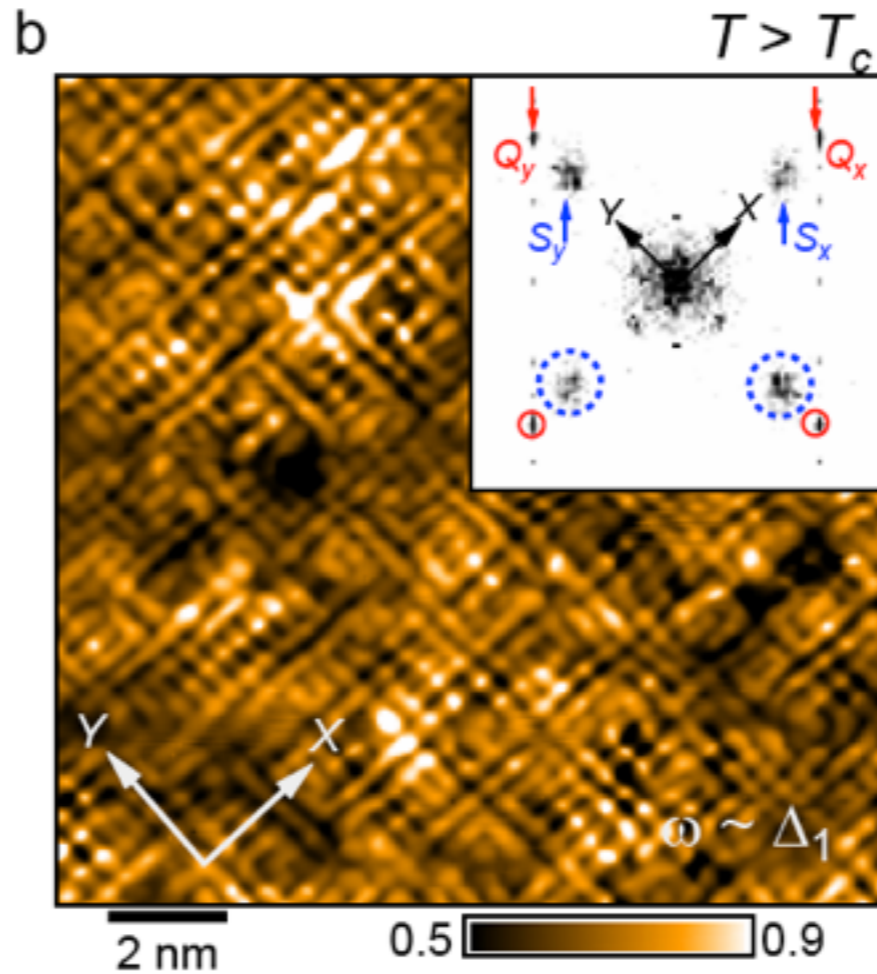
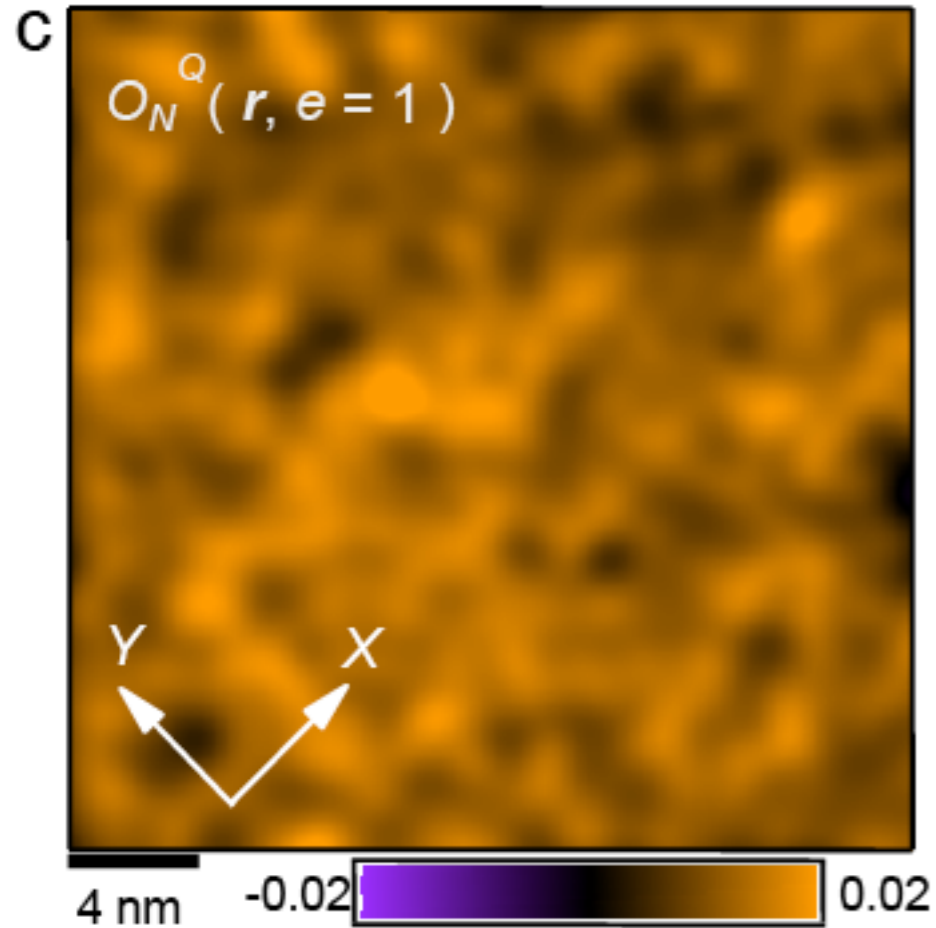


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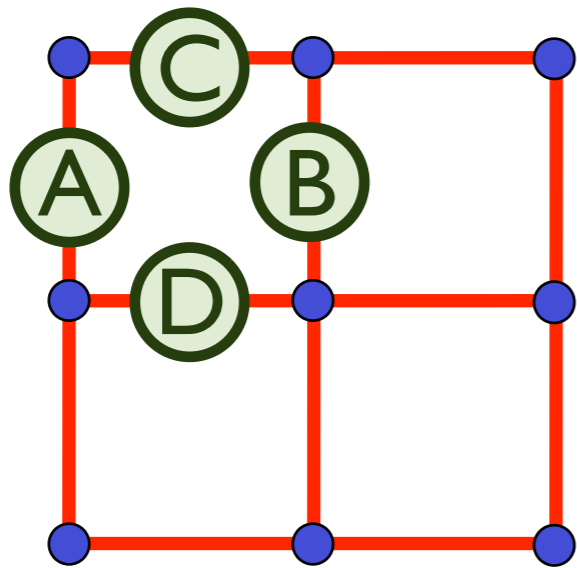


$$O_N = Z_A + Z_B - Z_C - Z_D$$

STM measurements of $Z(r)$, the energy asymmetry in density of states in $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$.



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$$O_N = Z_A + Z_B - Z_C - Z_D$$

Strong anisotropy of electronic states between x and y directions:
Electronic “Ising-nematic” order

Outline

6. Square lattice: Fermi surfaces and spin density waves
Fermi pockets and Quantum oscillations

7. Instabilities near the SDW critical point
d-wave superconductivity and other orders

8. Global phase diagram of the cuprates
Competition for the Fermi surface

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**Antiferro-
magnetism**

**d-wave
supercon-
ductivity**

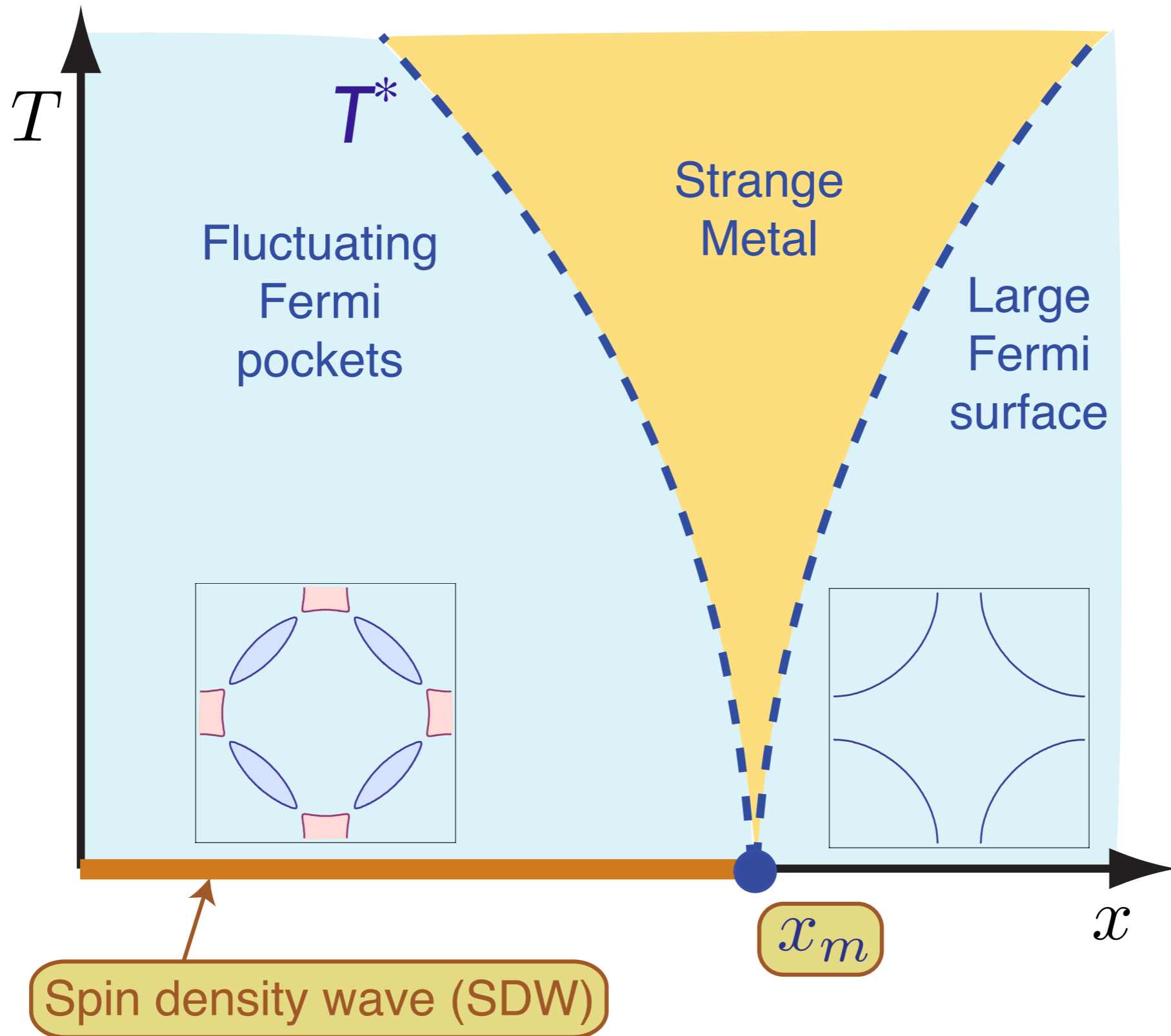
**Fermi
surface**

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Theory of quantum criticality in the cuprates



Underlying SDW ordering quantum critical point
in metal at $x = x_m$

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supercon-
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**Fermi
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wave**

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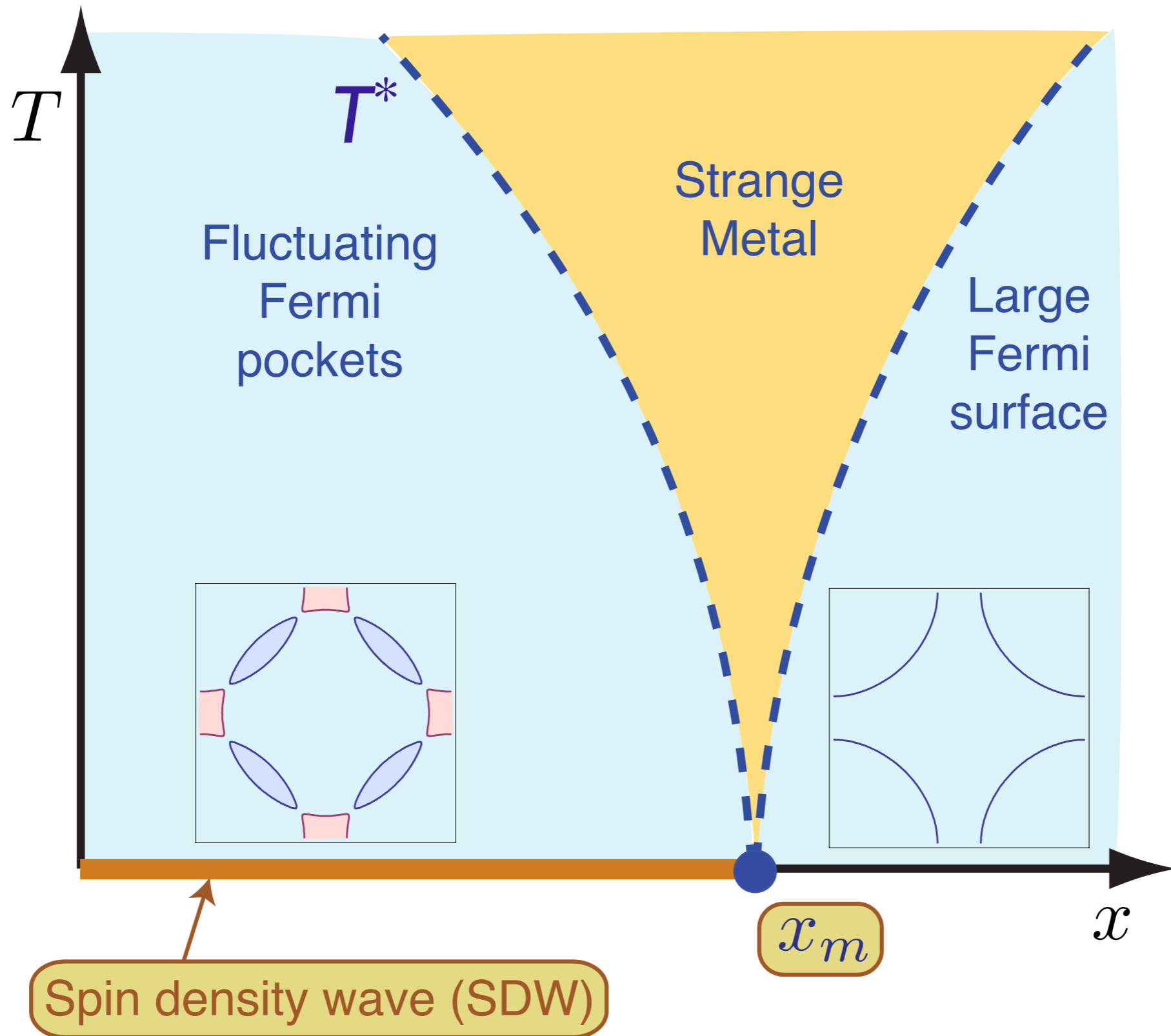
**Fermi
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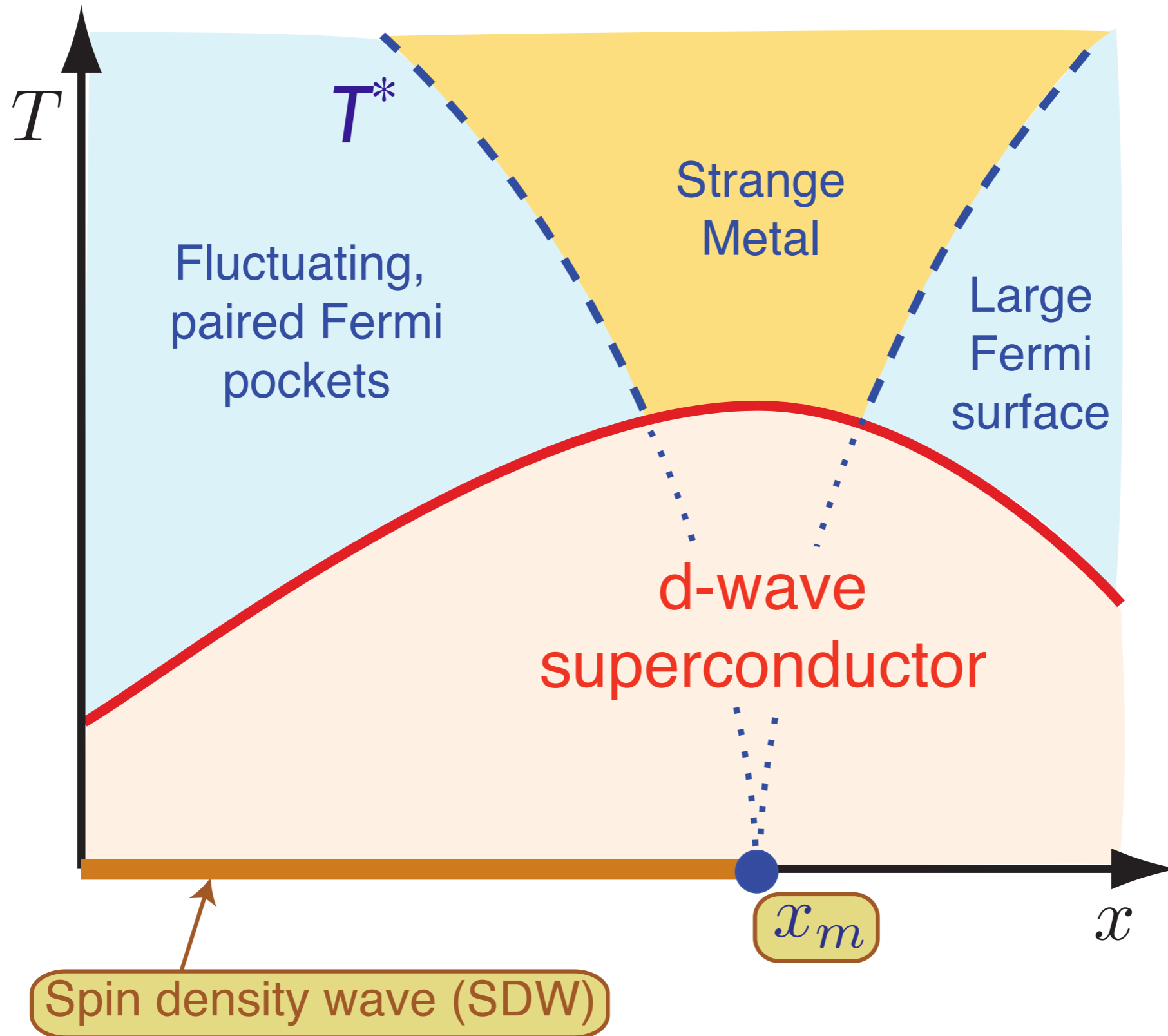
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Theory of quantum criticality in the cuprates



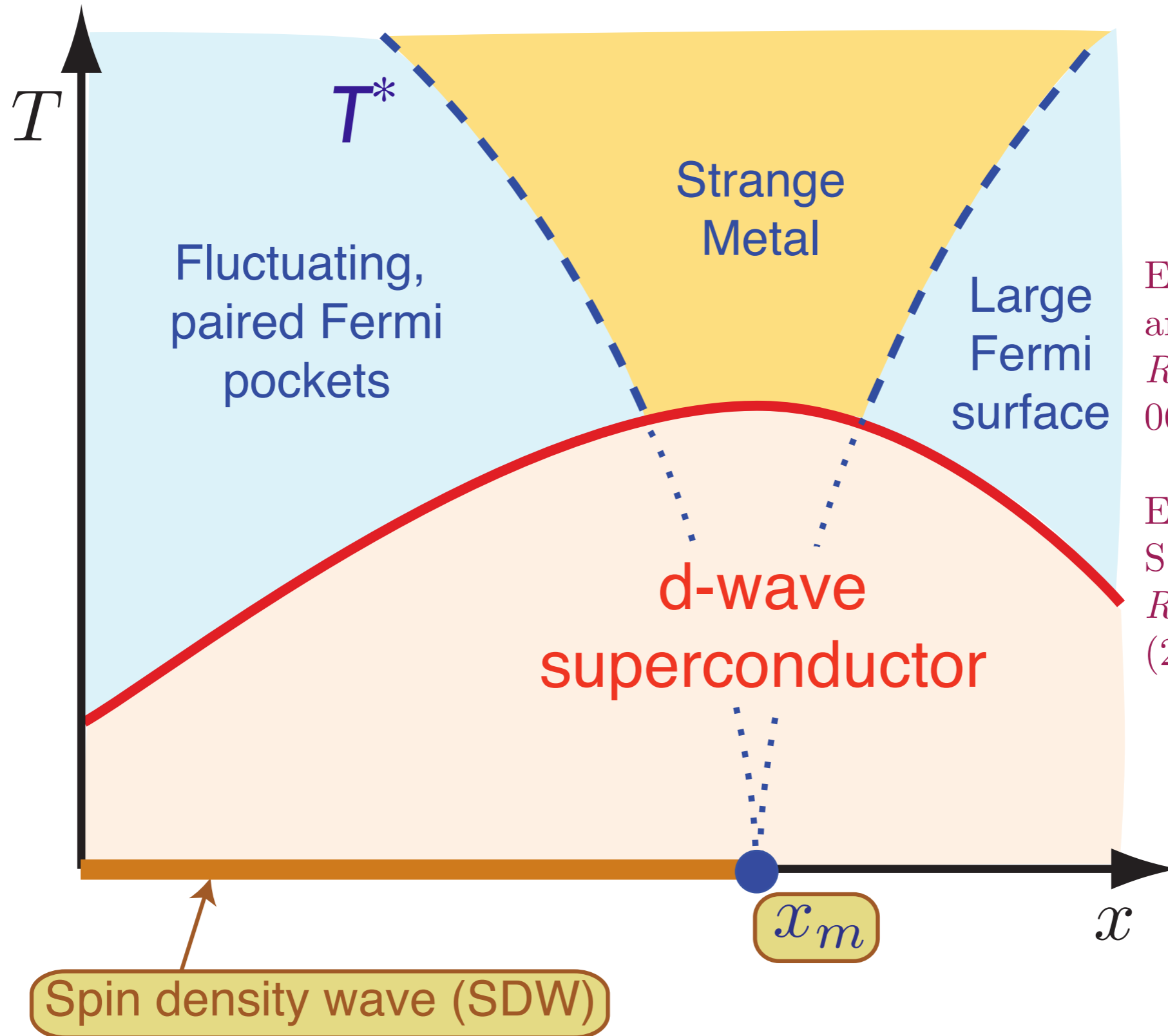
Underlying SDW ordering quantum critical point
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Theory of quantum criticality in the cuprates



Onset of d -wave superconductivity
hides the critical point $x = x_m$

Theory of quantum criticality in the cuprates

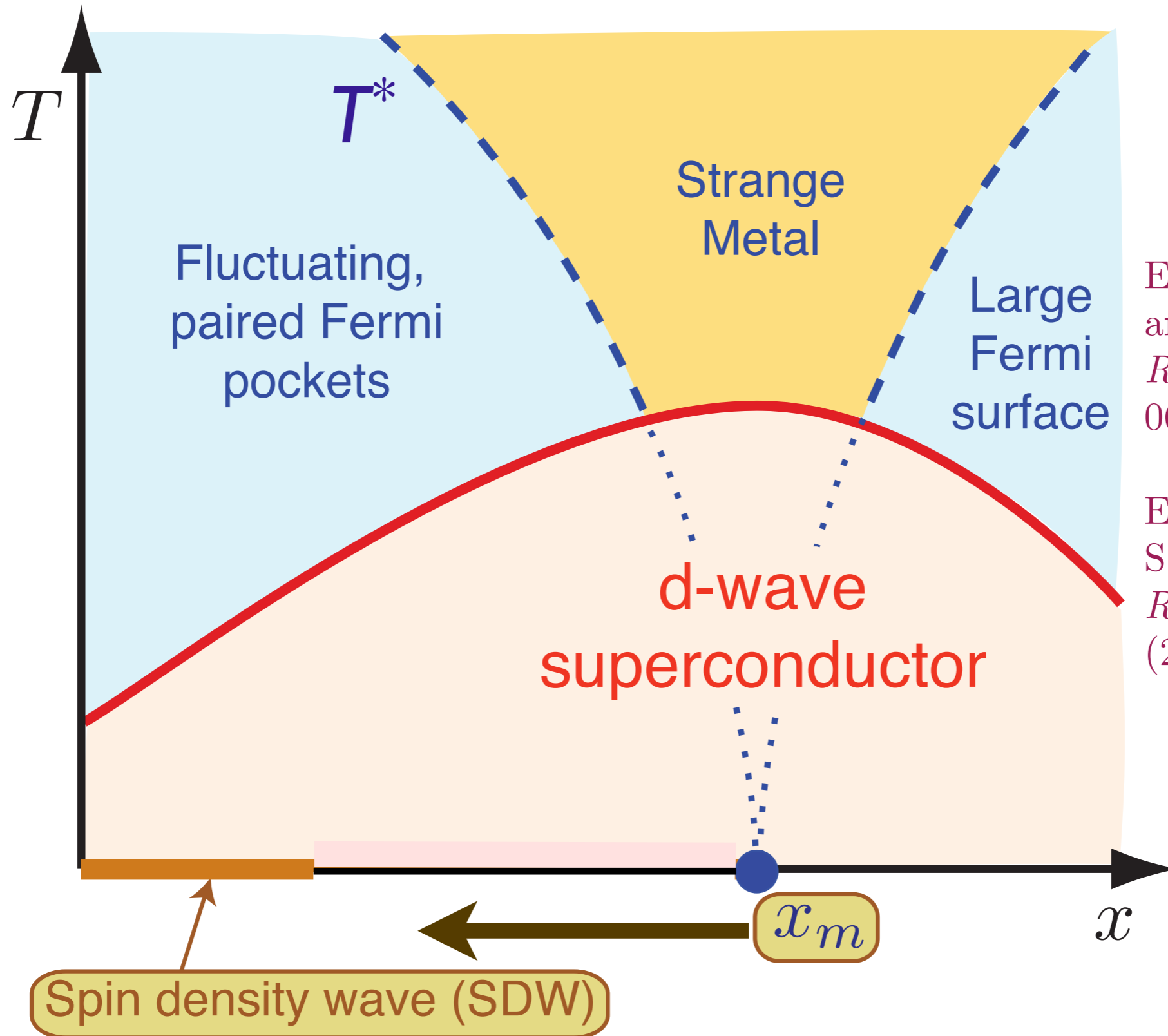


E. Demler, S. Sachdev and Y. Zhang, *Phys. Rev. Lett.* **87**, 067202 (2001).

E. G. Moon and S. Sachdev, *Phys. Rev. B* **80**, 035117 (2009)

Competition between SDW order and superconductivity moves the actual quantum critical point to $x = x_s < x_m$.

Theory of quantum criticality in the cuprates

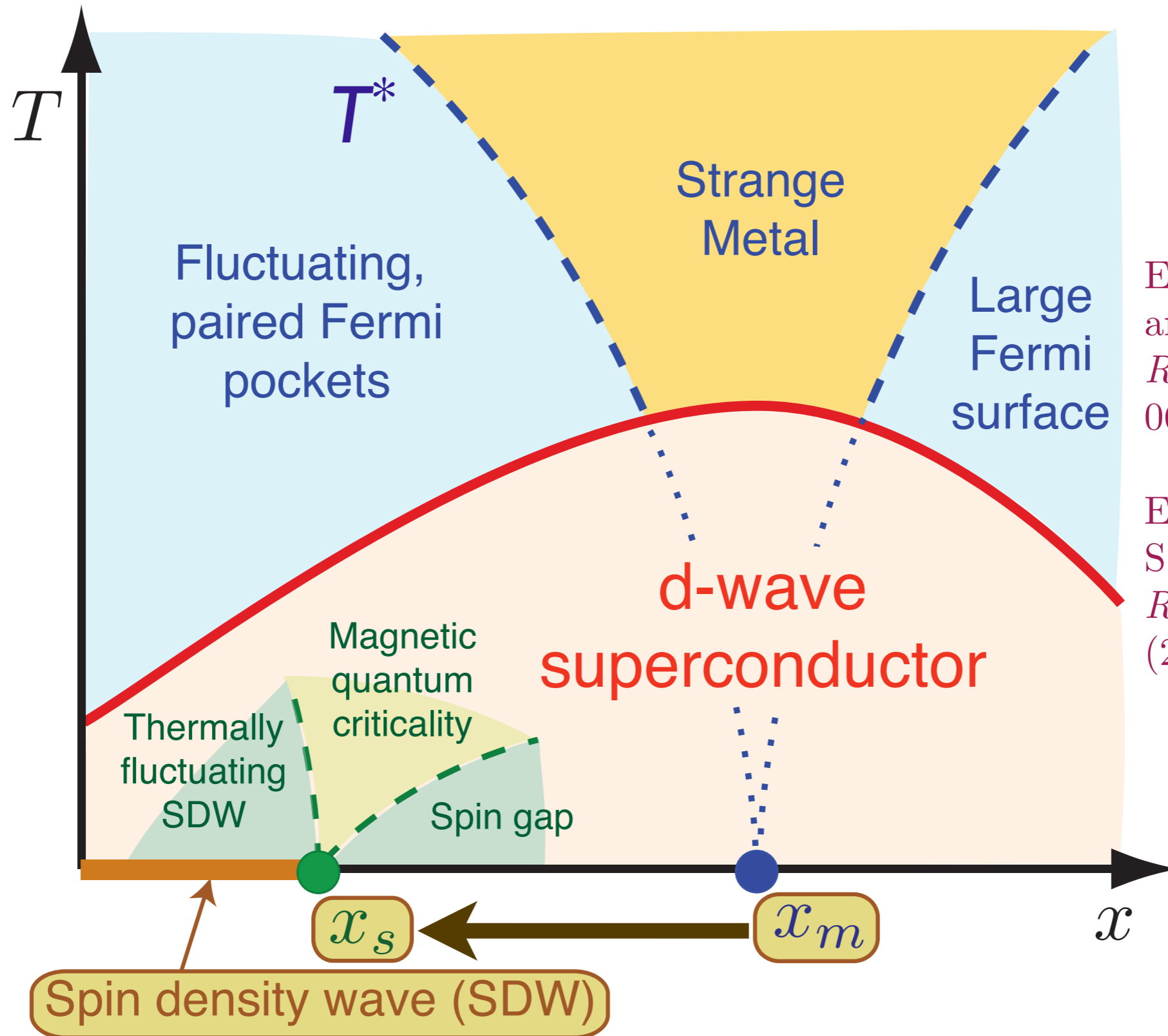


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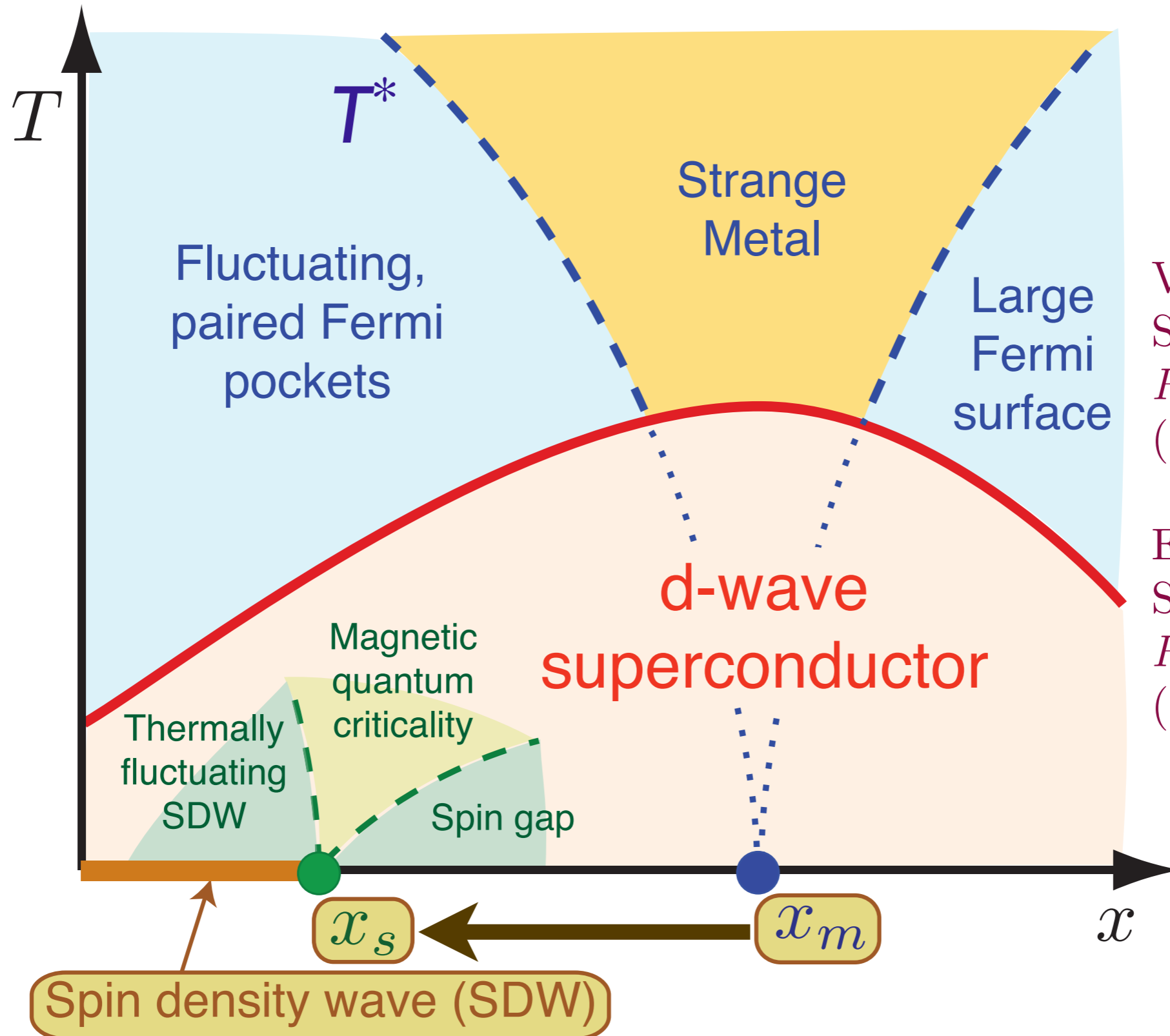


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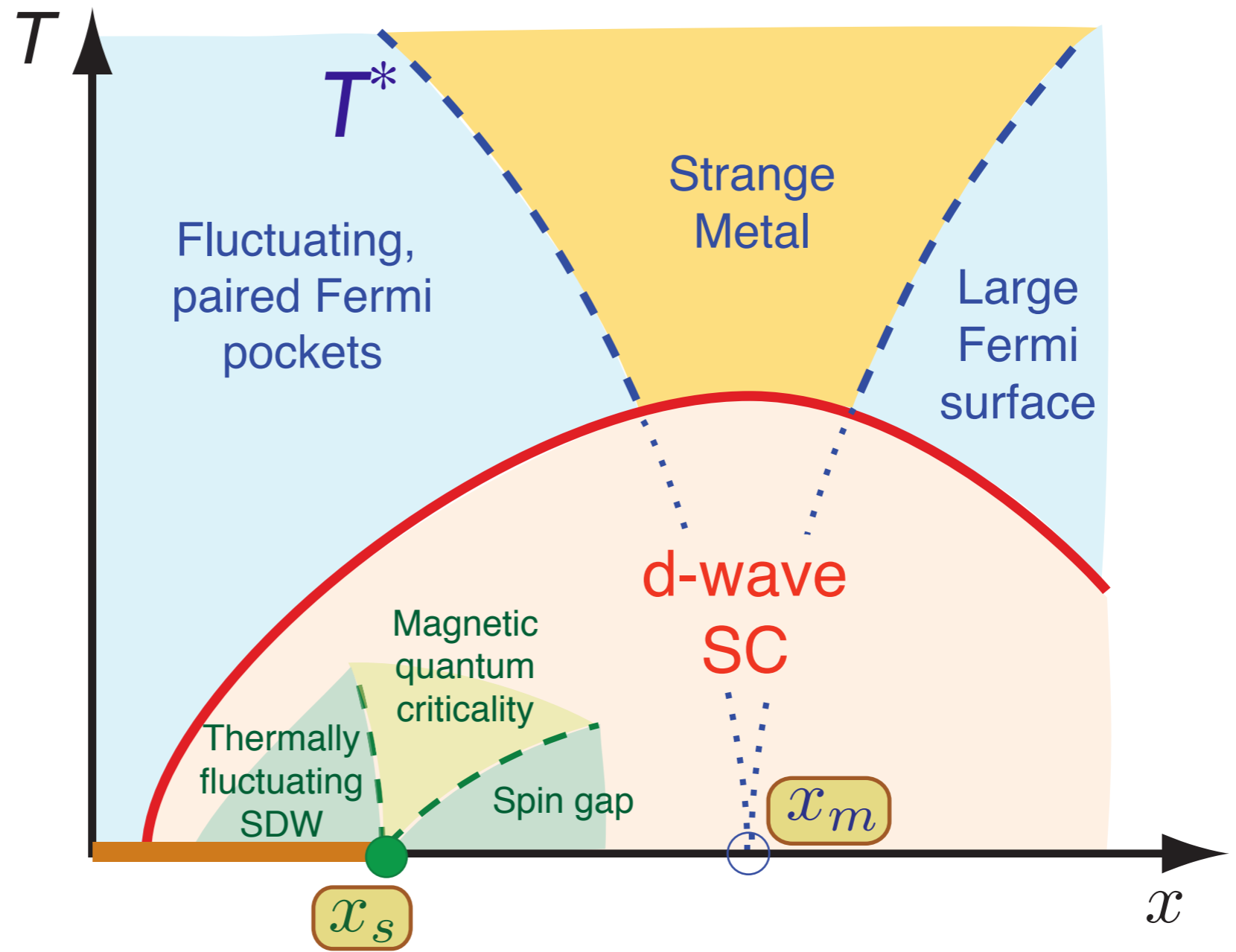
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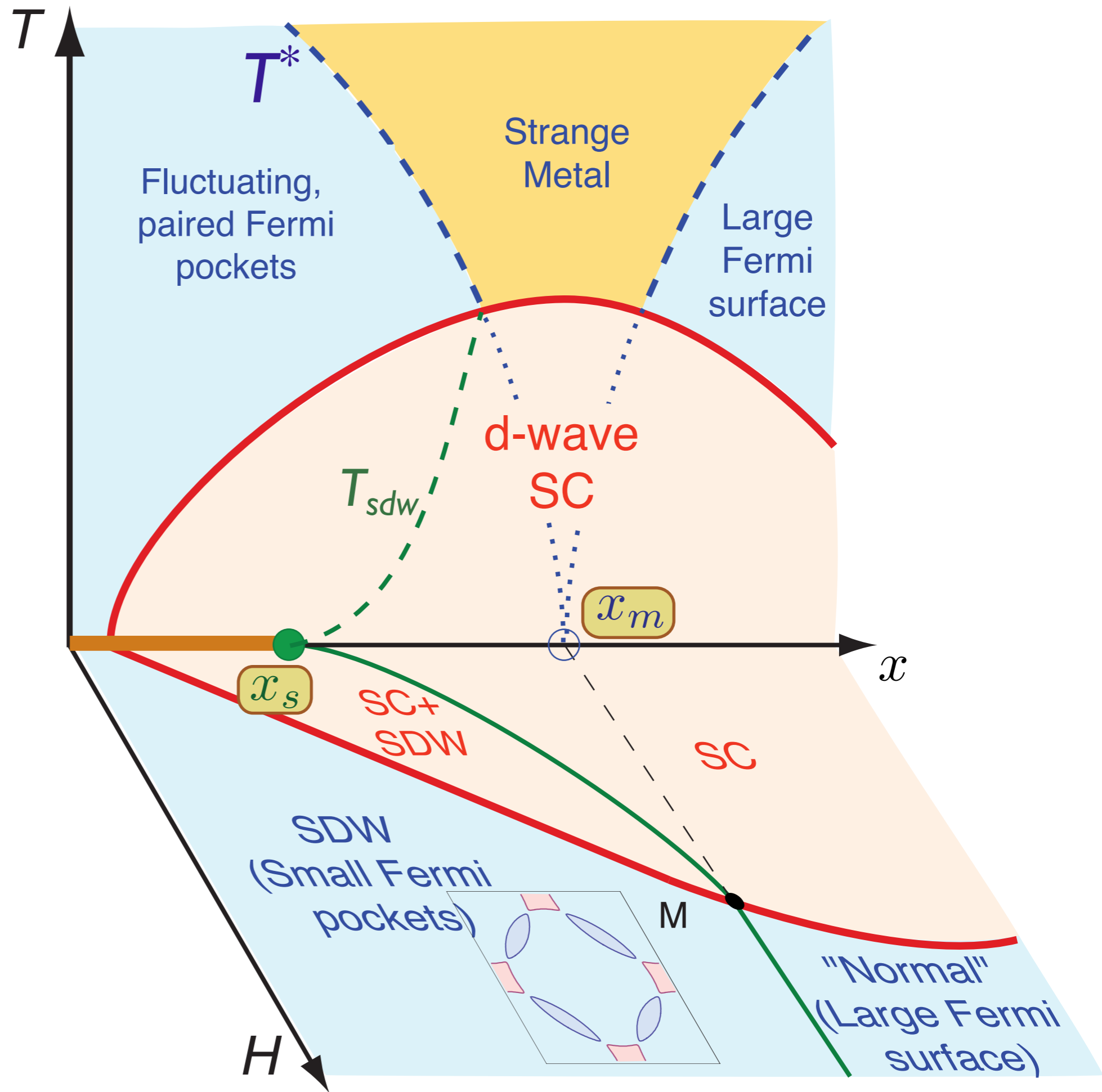


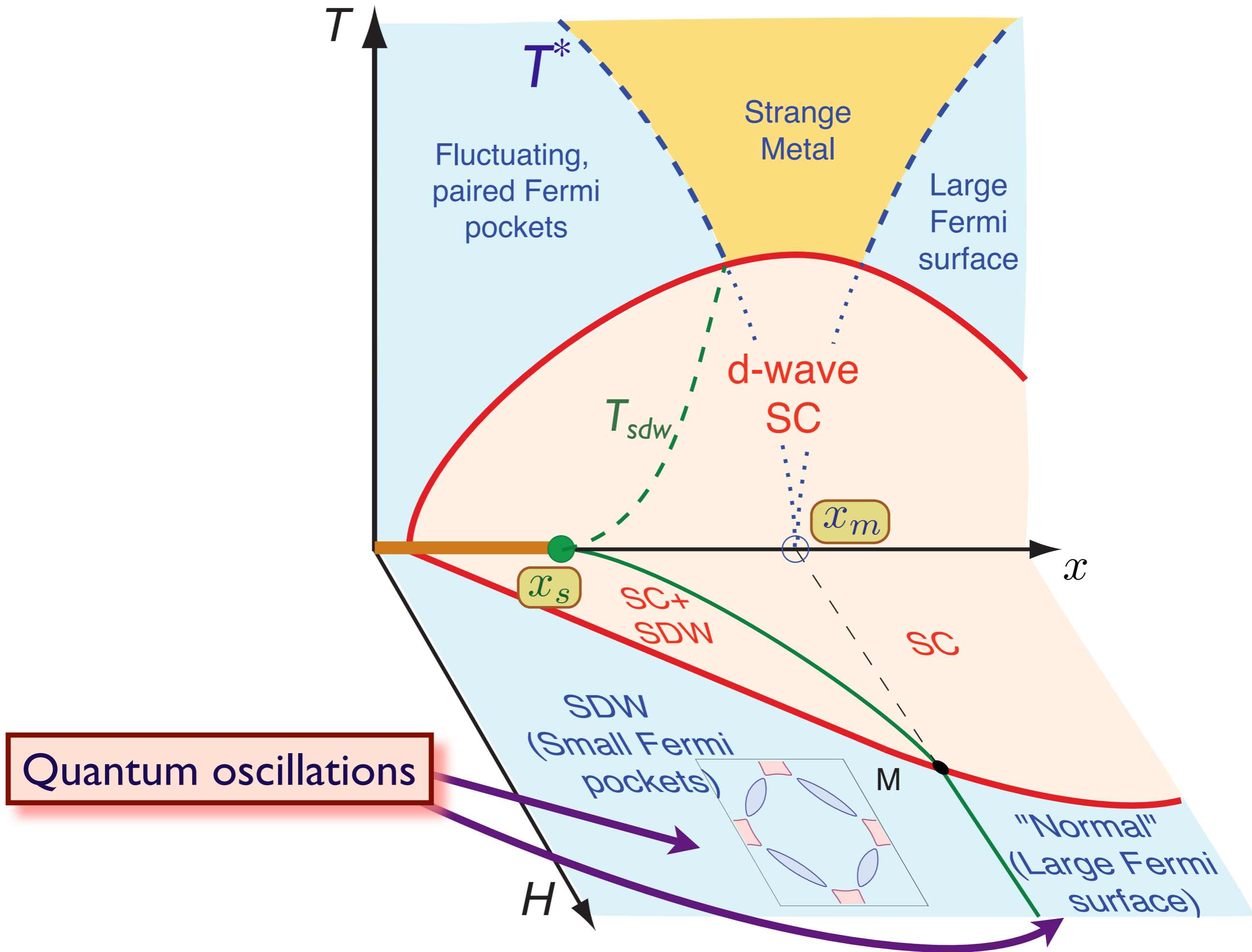
V. Galitski and S. Sachdev, *Phys. Rev. B* **79**, 134512 (2009).

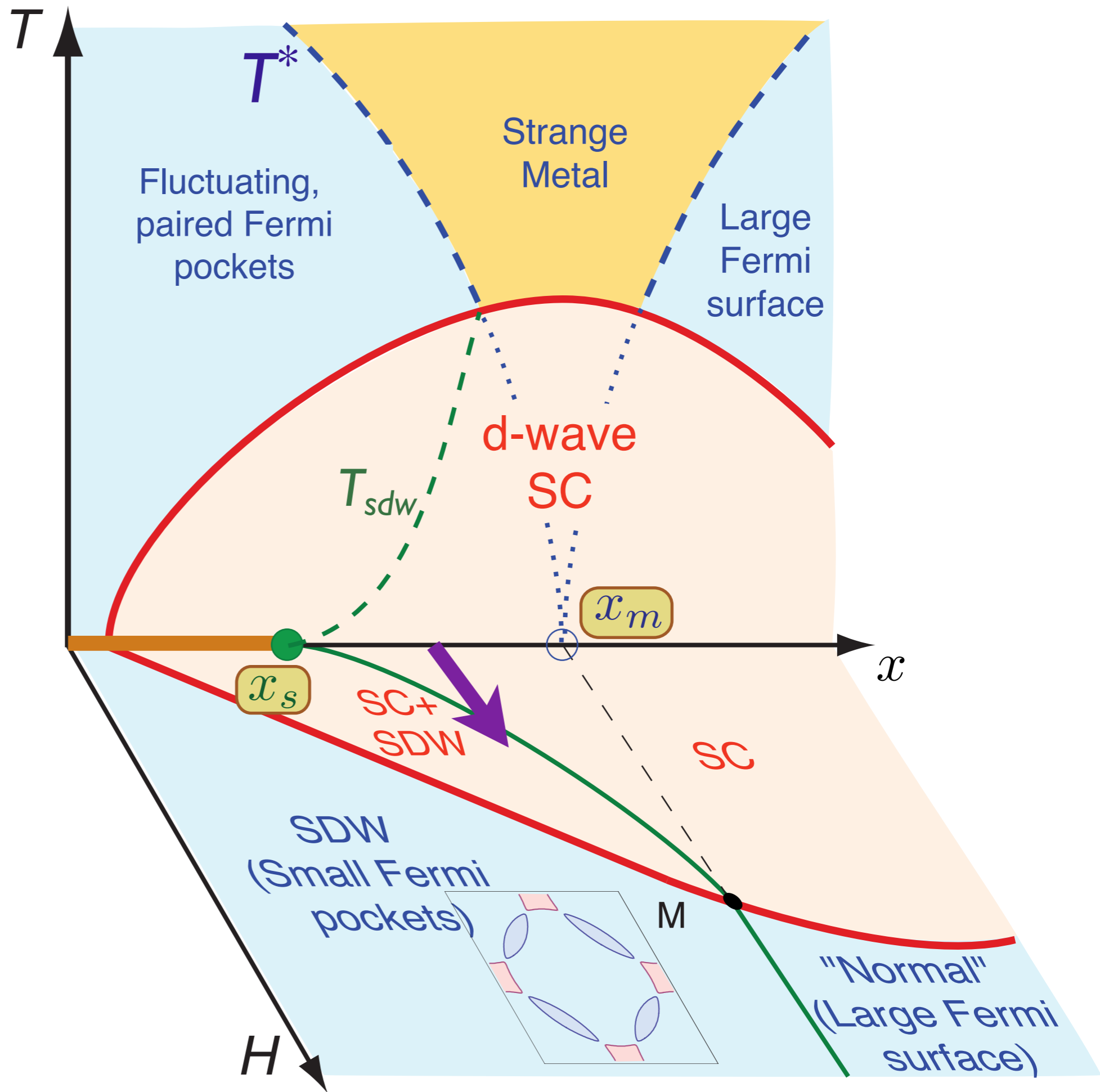
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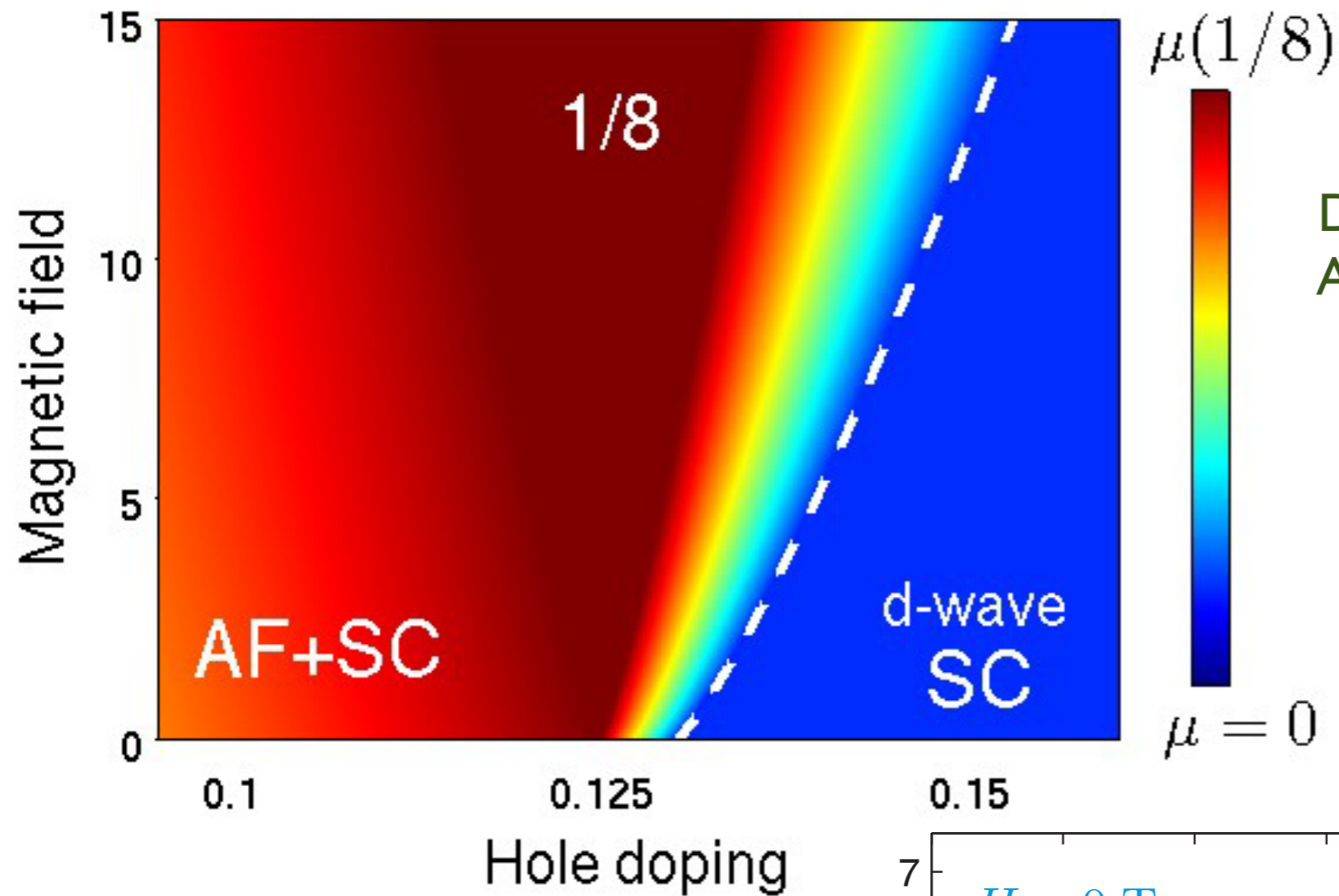
Physics of competition: d -wave SC and SDW
“eat up” same pieces of the large Fermi surface.





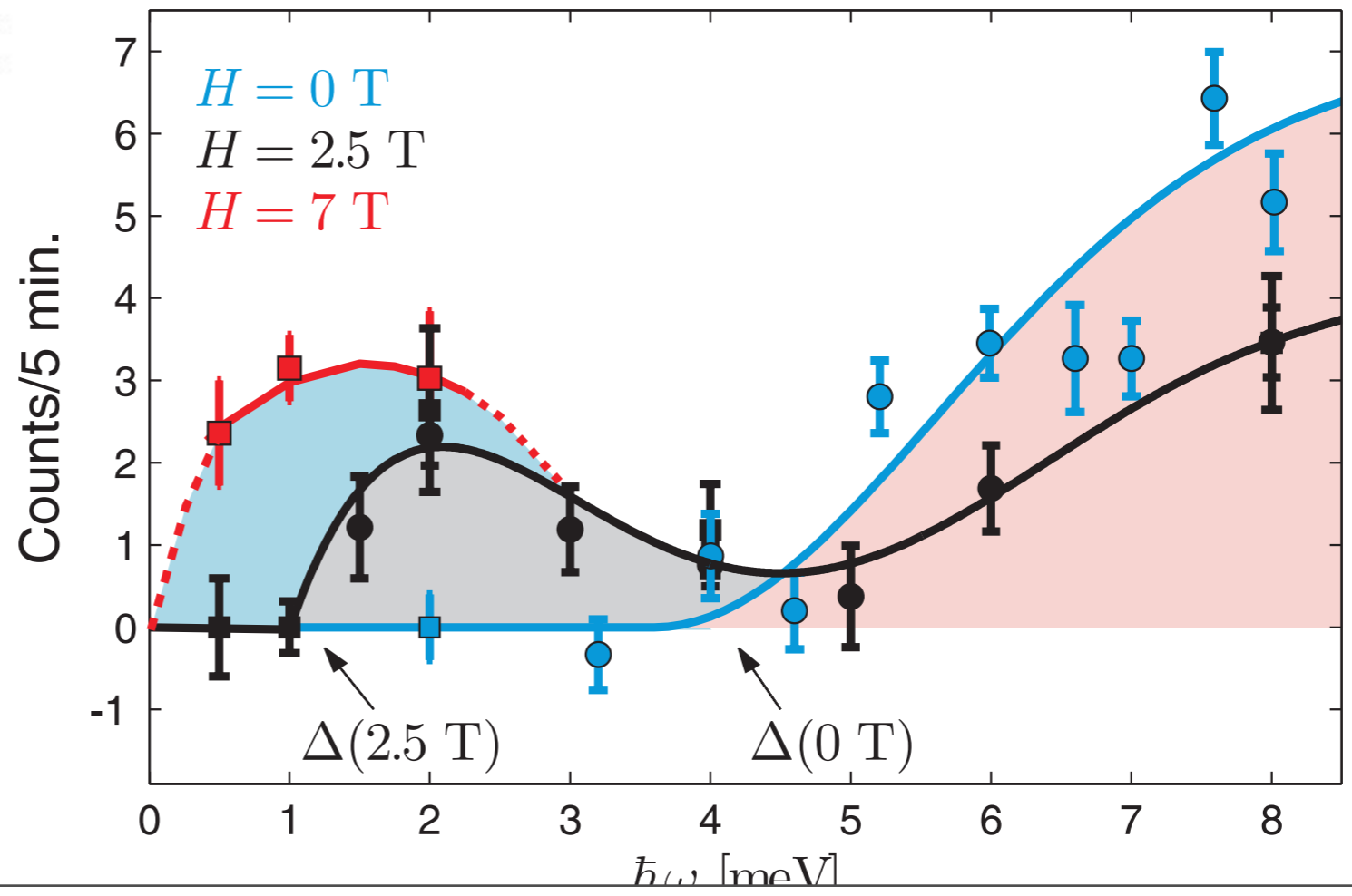


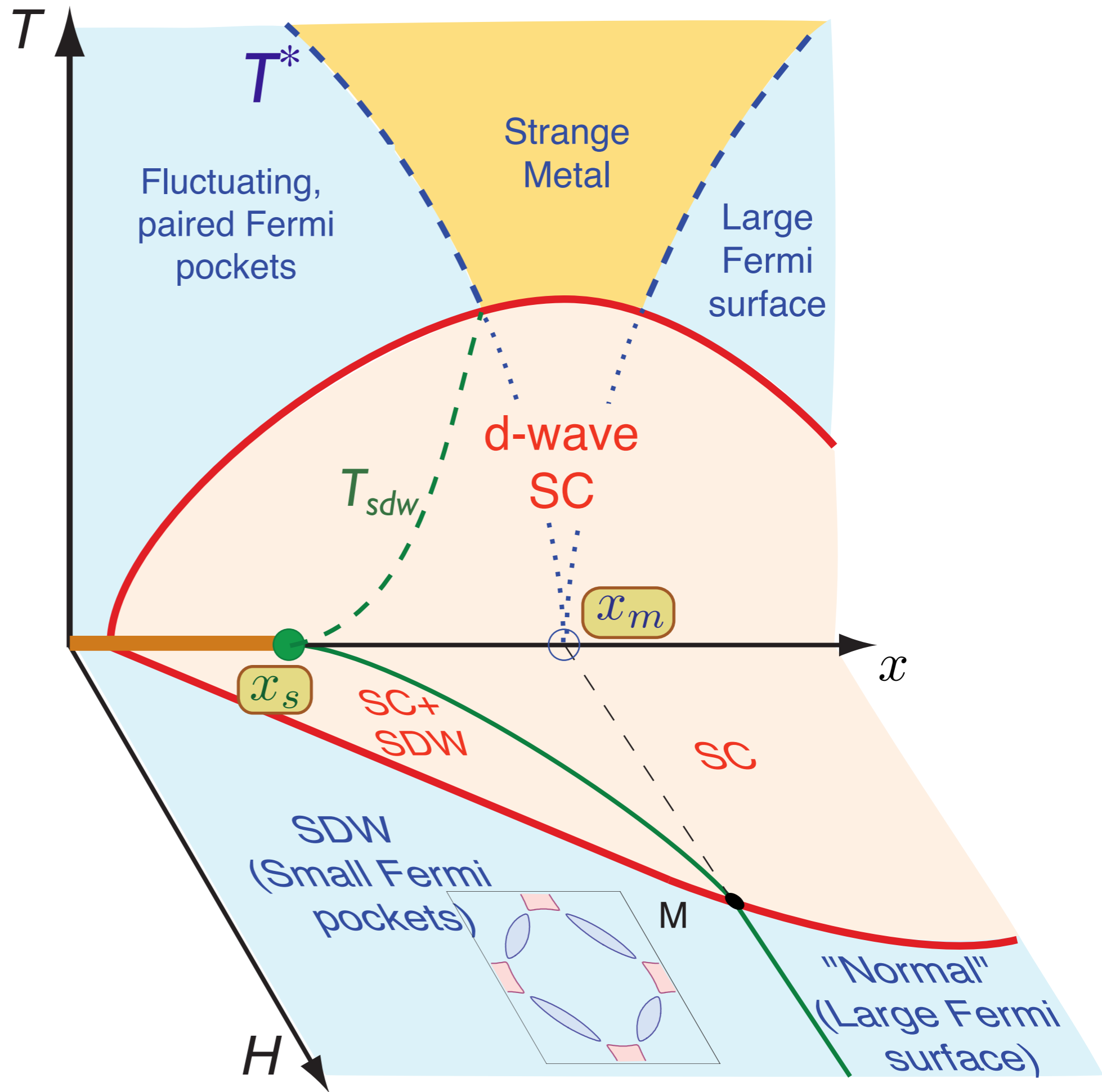




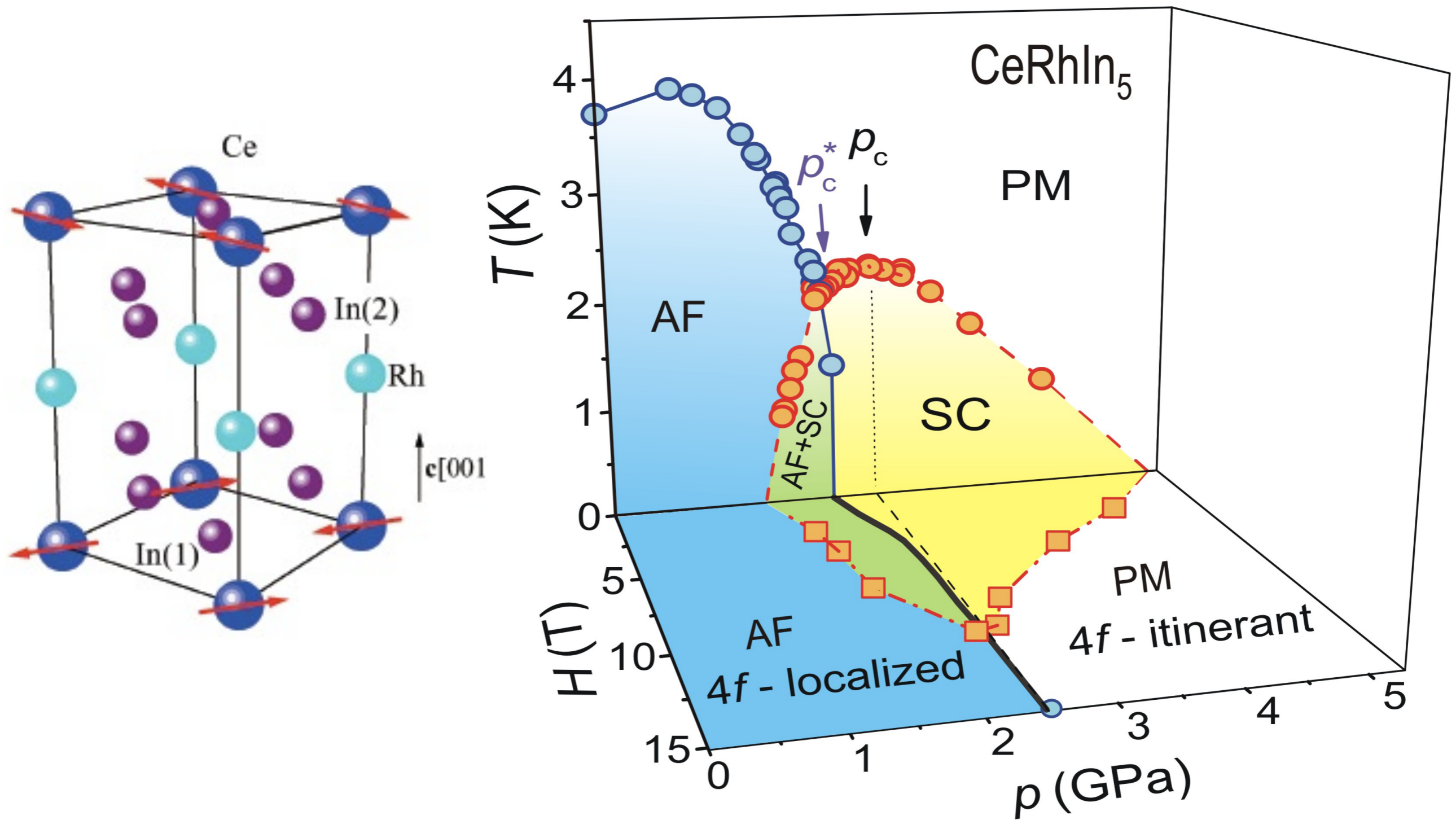
J. Chang, Ch. Niedermayer, R. Gilardi,
 N.B. Christensen, H.M. Ronnow,
 D.F. McMorrow, M. Ay, J. Stahn, O. Sobolev,
 A. Hiess, S. Pailhes, C. Baines, N. Momono,
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Physical Review B **78**, 104525 (2008).

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Phys. Rev. Lett. **102**, 177006
 (2009).



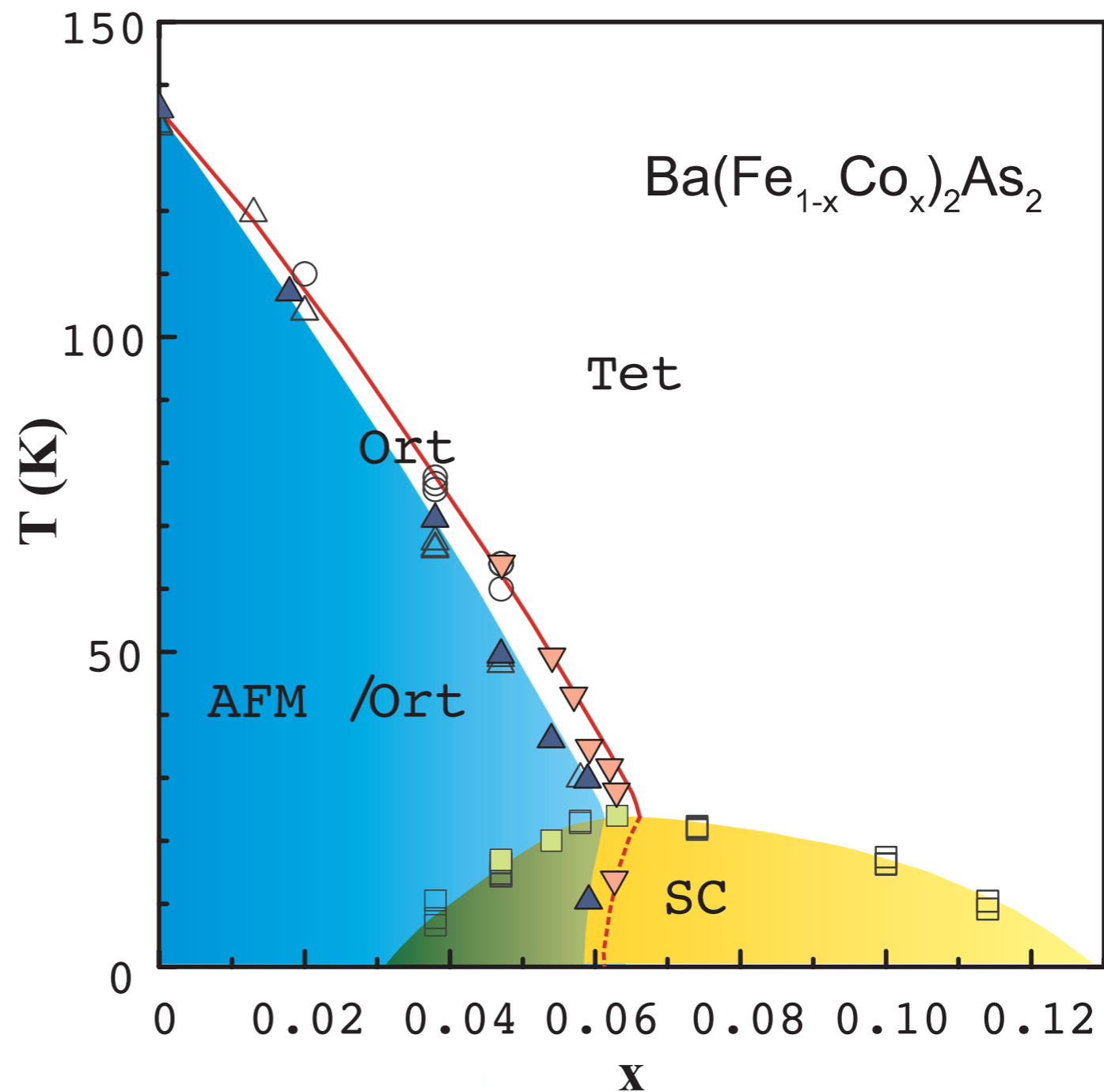
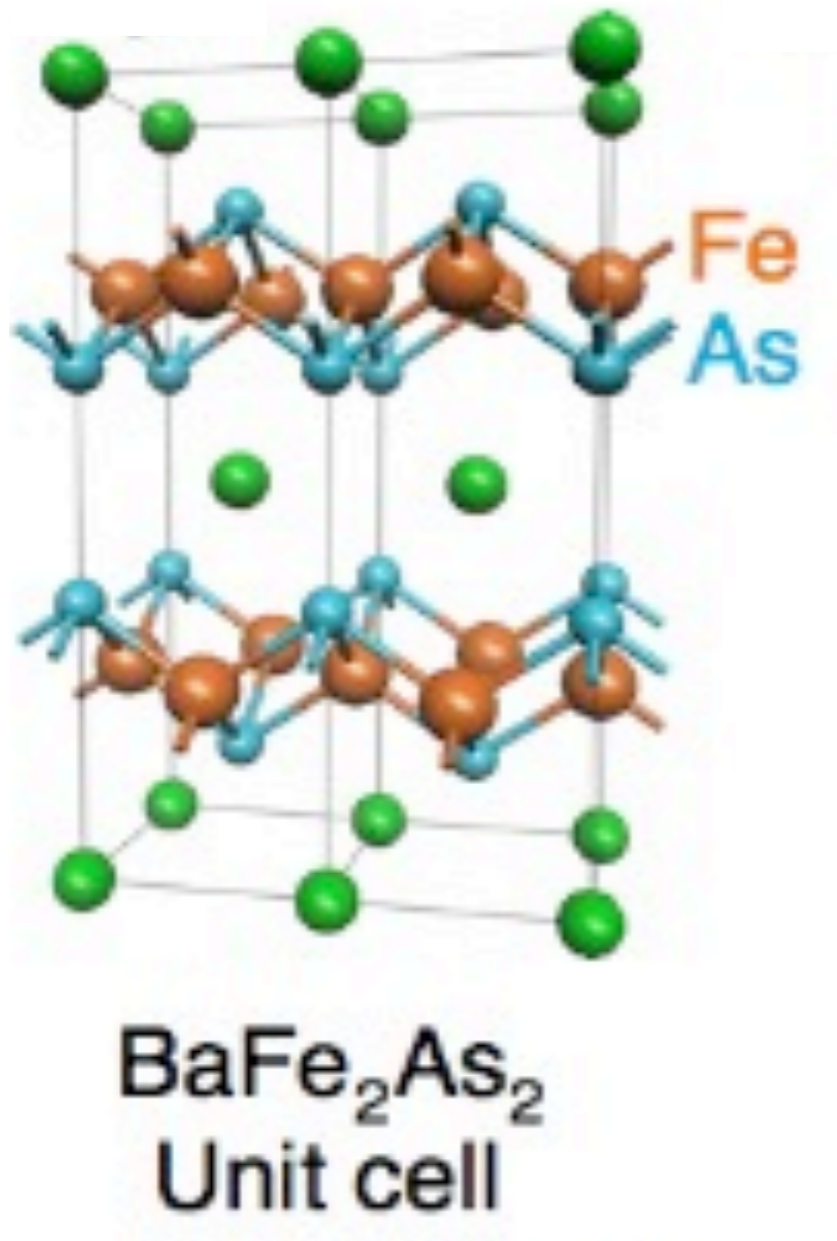


Similar phase diagram for CeRhIn₅



G. Knebel, D. Aoki, and J. Flouquet, arXiv:0911.5223

Similar phase diagram for the pnictides



S. Nandi, M. G. Kim, A. Kreyssig, R. M. Fernandes, D. K. Pratt, A. Thaler, N. Ni, S. L. Bud'ko, P. C. Canfield, J. Schmalian, R. J. McQueeney, A. I. Goldman, arXiv:0911.3136.