

Vortices in the cuprate superconductors

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Ying Zhang

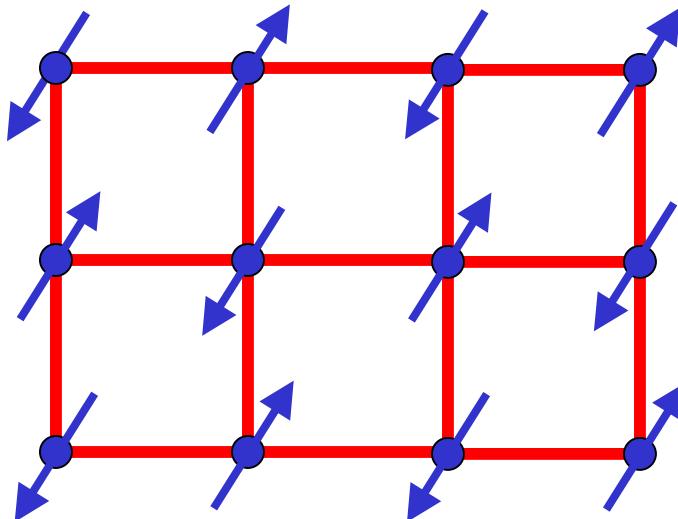
Science **286**, 2479 (1999).

Transparencies online at
<http://pantheon.yale.edu/~subir>



Parent compound of the high temperature superconductors: La_2CuO_4

Mott insulator: square lattice antiferromagnet



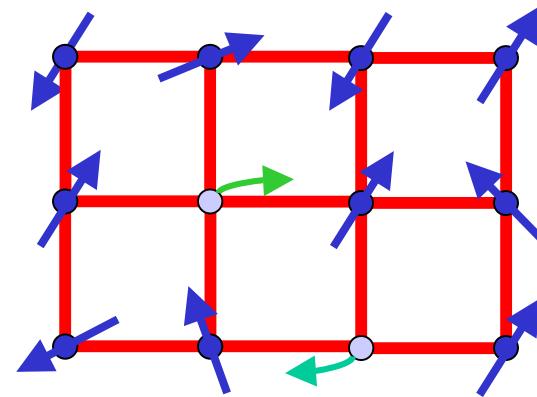
$$H = \sum_{\langle ij \rangle} J_{ij} \vec{S}_i \cdot \vec{S}_j$$

Ground state has long-range magnetic Néel order, or a “spin density wave (SDW)”

Néel order parameter: $\vec{\phi} = (-1)^{i_x + i_y} \vec{S}_i$

$$\langle \vec{\phi} \rangle \neq 0 \quad ; \quad \langle \vec{S}_i \rangle \neq 0$$

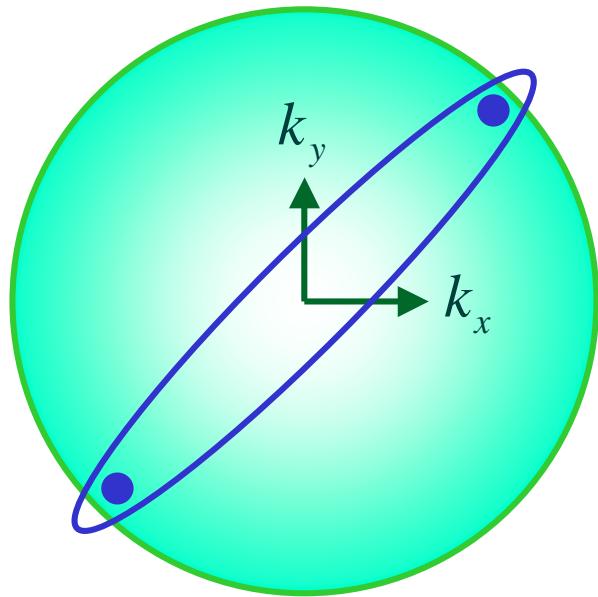
Introduce mobile carriers of density δ
by substitutional doping of out-of-plane
ions e.g. $\text{La}_{2-\delta}\text{Sr}_\delta\text{CuO}_4$



Exhibits superconductivity below a high critical temperature T_c

Superconductivity in a doped Mott insulator

BCS superconductor obtained by the Cooper instability of a metallic Fermi liquid



Pair wavefunction

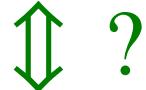
$$\Psi = (k_x^2 - k_y^2)(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

$$\langle \vec{S} \rangle = 0$$

Observed low temperature properties of the cuprate superconductors appear to be qualitatively similar to those predicted by BCS theory.

Many experiments above T_c are not described quantitatively by BCS theory: this is probably due to strong-coupling “crossover” effects, and I will not discuss this issue further.

Superconductivity in a doped Mott insulator



BCS superconductor obtained by the Cooper
instability of a metallic Fermi liquid

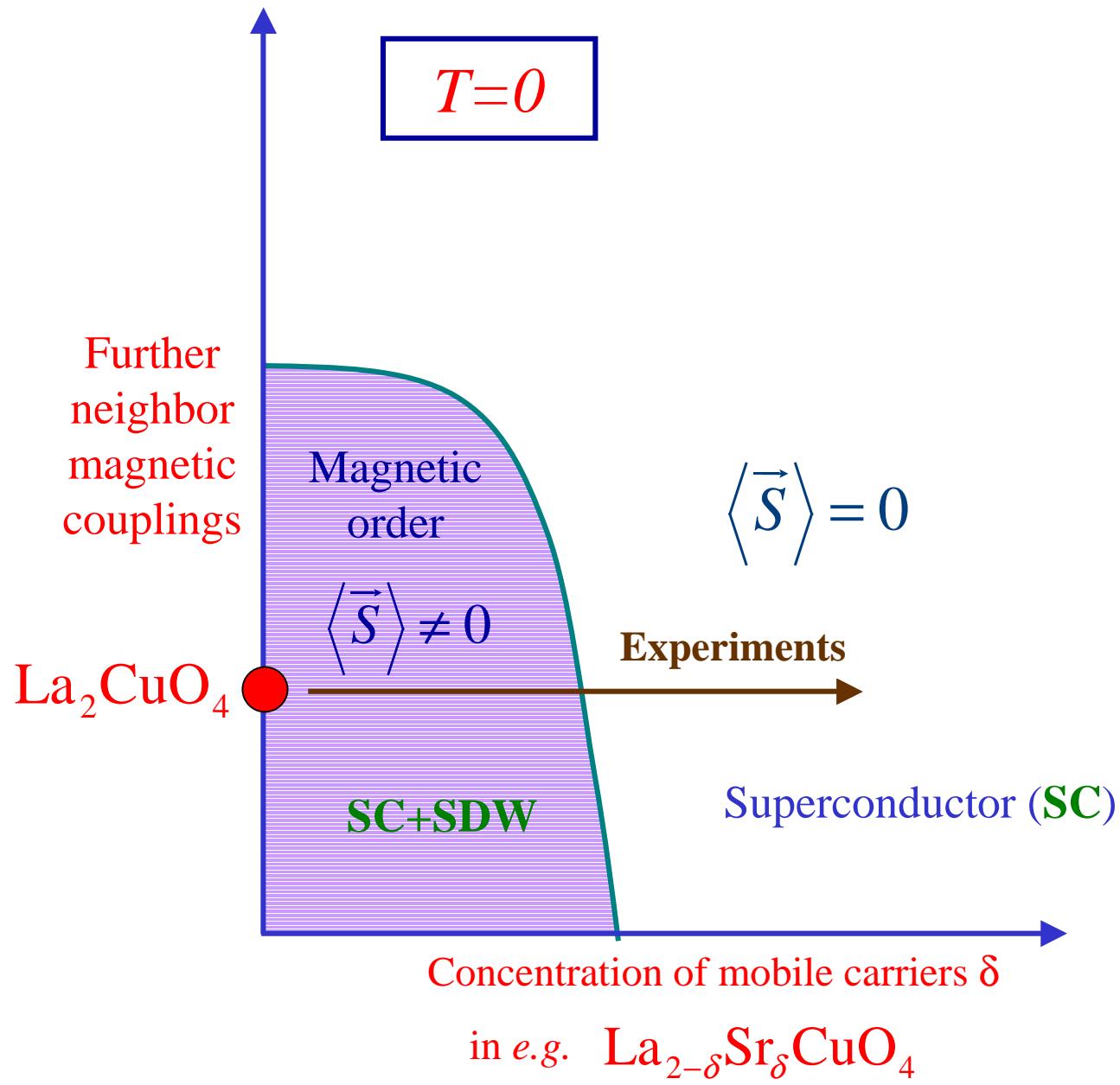
Quantum numbers of ground state and low energy quasiparticles are the same, but characteristics of the Mott insulator are revealed near the vortices (and near impurities).

S. Sachdev, *Phys. Rev. B* **45**, 389 (1992); K. Park and S. Sachdev *Phys. Rev. B* **64**, 184510 (2001).

STM measurement of J. E. Hoffman, E. W. Hudson, K. M. Lang, V. Madhavan, S. H. Pan, H. Eisaki, S. Uchida, and J. C. Davis, *Science* **295**, 466 (2002).

Outline

- I. Mott insulators – with and without magnetic order.
- II. Theory of doped paramagnetic Mott insulators.
- III. Experiments on
 - A. Vortices
 - B. Zn/Li impurities
- IV. Competition between co-existing magnetism and superconductivity at low carrier concentrations: theory and neutron scattering experiments.
- V. Conclusions



P.W. Anderson, *Science*, **235**, 1196 (1987).

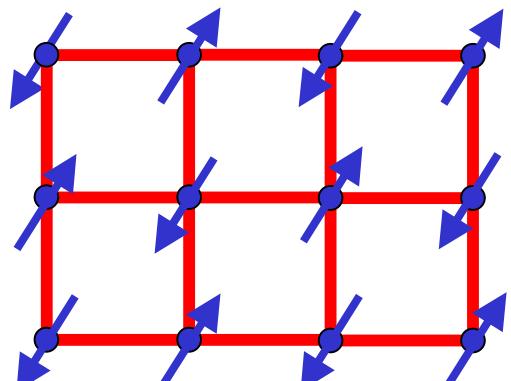
S. Sachdev and J. Ye, *Phys. Rev. Lett.* **69**, 2411 (1992).

A.V. Chubukov, S. Sachdev, and J. Ye, *Phys. Rev. B* **49**, 11919 (1994)

I. Magnetic ordering transitions in the Mott insulator

Square lattice with first(J_1) and second (J_2) neighbor exchange interactions (say)

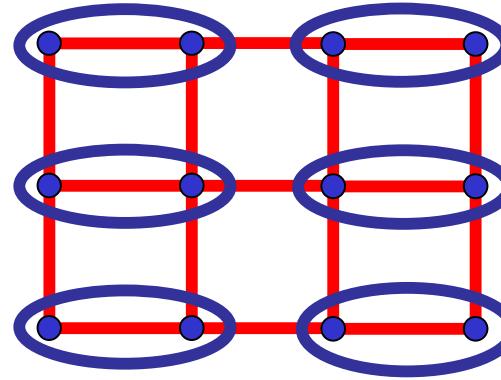
$$H = \sum_{i < j} J_{ij} \vec{S}_i \cdot \vec{S}_j$$



Neel state

A single square plaquette with four blue circles at its corners. Two configurations are shown: one where the top-left and bottom-right circles have up arrows and the bottom-left and top-right have down arrows, and another where the top-left and bottom-right have down arrows and the bottom-left and top-right have up arrows. The equation below shows the superposition of these states.

$$= \frac{1}{\sqrt{2}} \left(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle \right)$$



Spin-Peierls (or plaquette) state
“Bond-centered charge order”

$$J_2 / J_1$$

N. Read and S. Sachdev,
Phys. Rev. Lett. **62**, 1694
(1989).

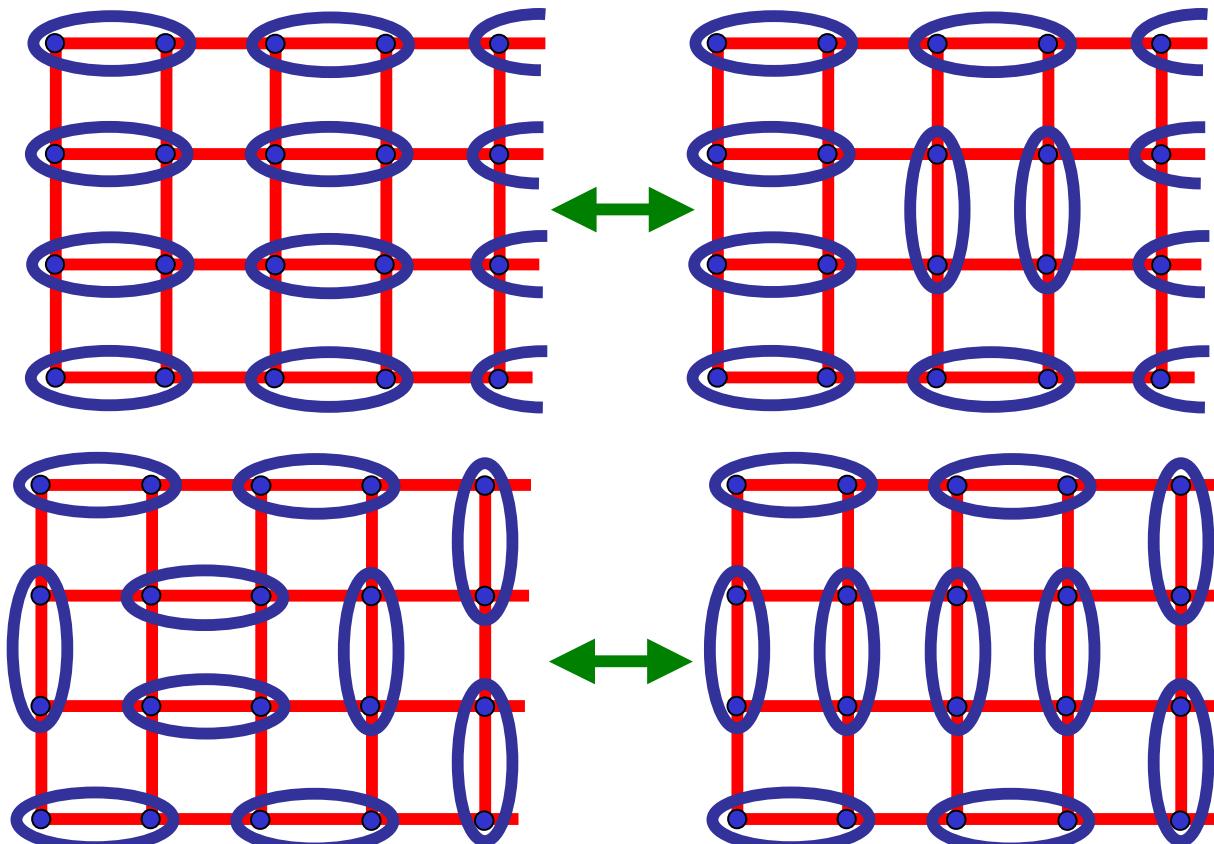
O. P. Sushkov, J. Oitmaa,
and Z. Weihong, *Phys.
Rev. B* **63**, 104420 (2001).

M.S.L. du Croo de Jongh,
J.M.J. van Leeuwen,
W. van Saarloos, *Phys.
Rev. B* **62**, 14844 (2000).

See however L. Capriotti,
F. Becca, A. Parola,
S. Sorella,
[cond-mat/0107204](https://arxiv.org/abs/cond-mat/0107204) .

Quantum dimer model –

D. Rokhsar and S. Kivelson *Phys. Rev. Lett.* **61**, 2376 (1988)



Quantum “entropic” effects prefer one-dimensional striped structures in which the largest number of singlet pairs can resonate. The state on the upper left has more flippable pairs of singlets than the one on the lower left.

These effects lead to a broken square lattice symmetry near the transition to the Neel state.

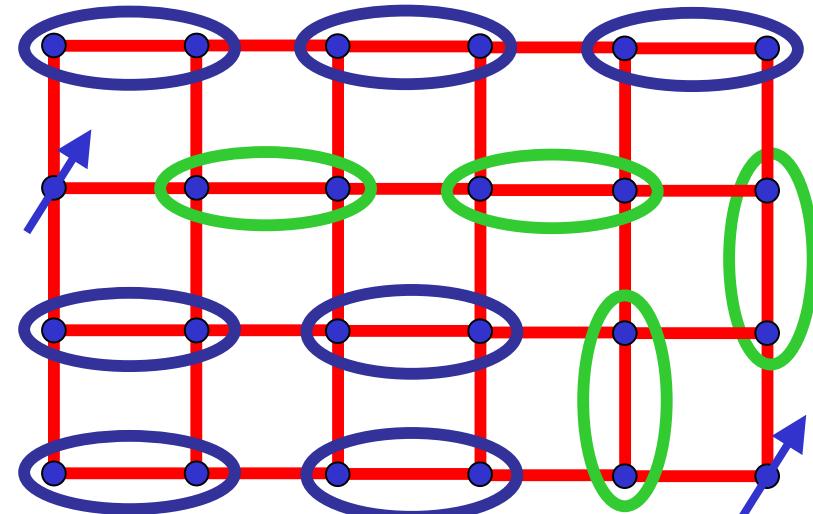
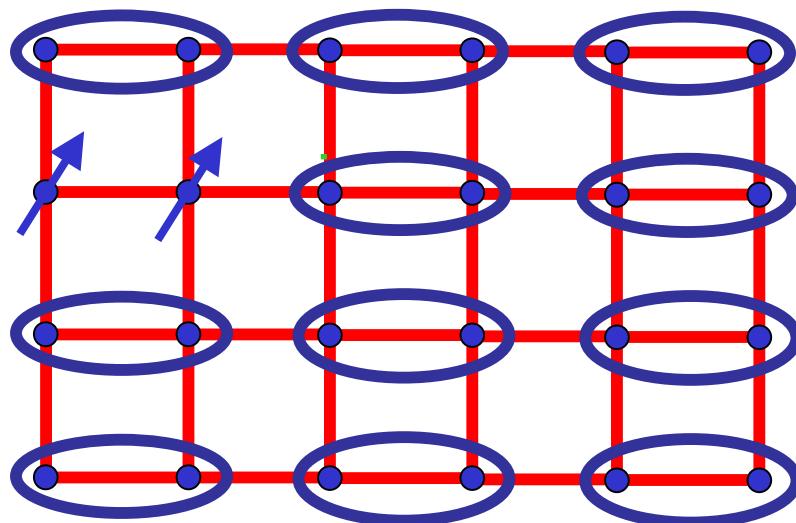
N. Read and S. Sachdev *Phys. Rev. B* **42**, 4568 (1990).

Properties of paramagnet with bond-charge-order

Stable $S=1$ spin exciton

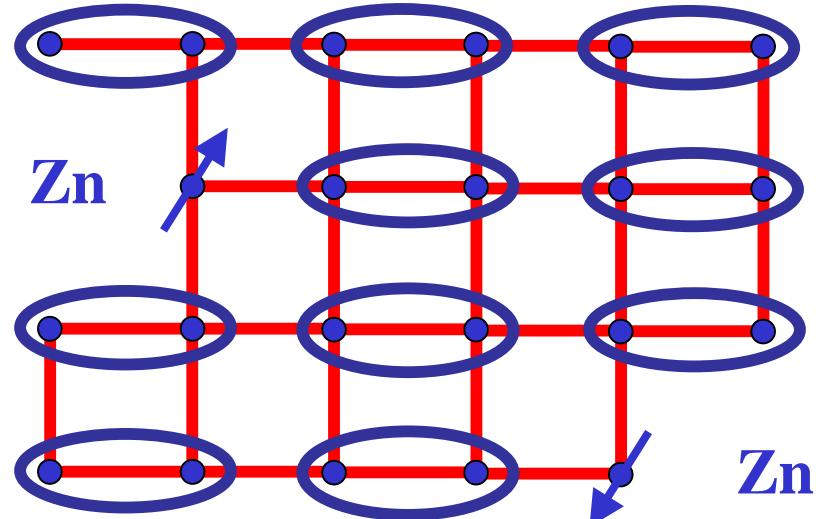
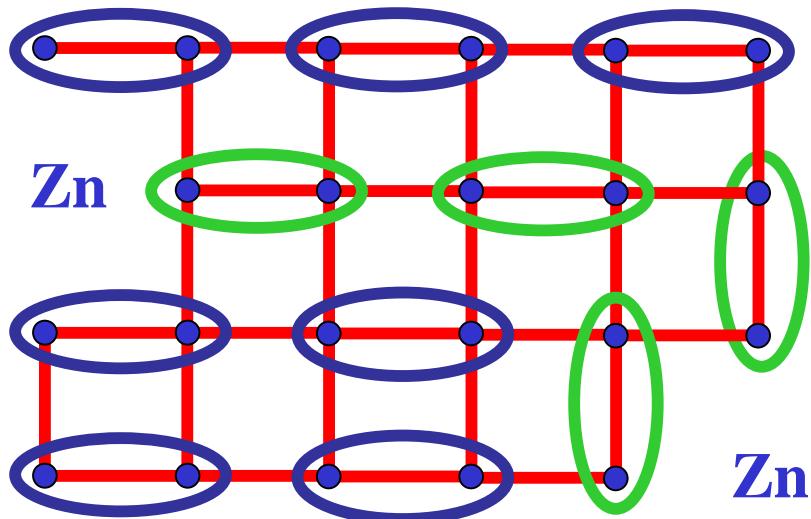
$$\varepsilon_k = \Delta + \frac{c_x^2 k_x^2 + c_y^2 k_y^2}{2\Delta}$$

$\Delta \rightarrow$ Spin gap



$S=1/2$ spinons are *confined*
by a linear potential.

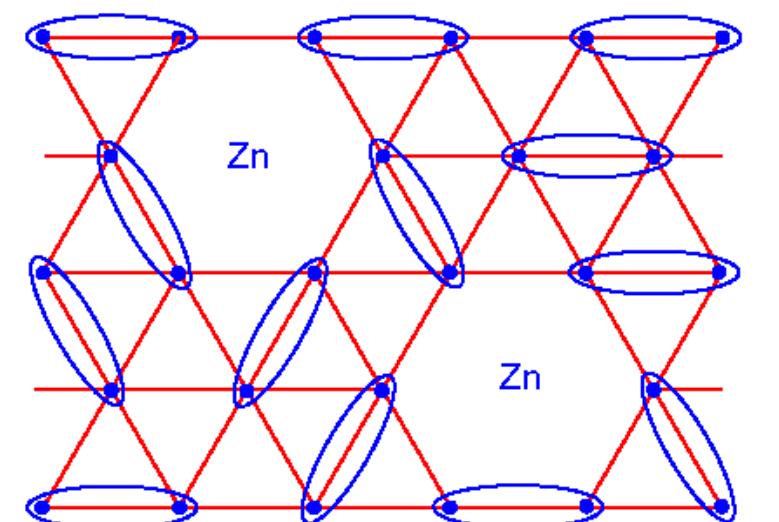
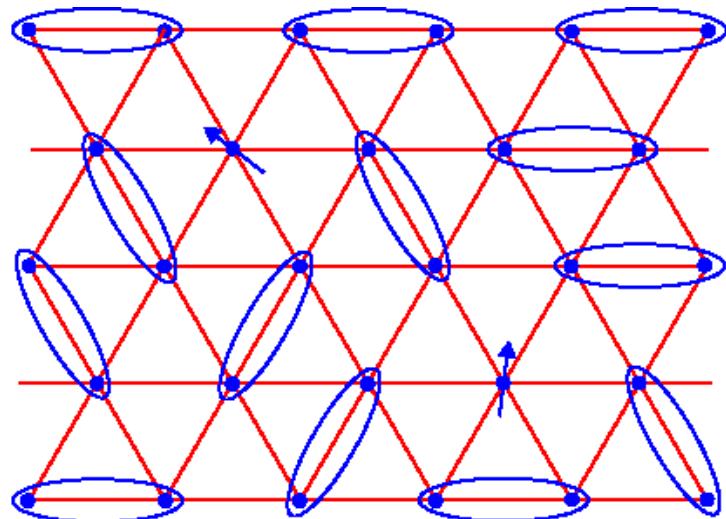
Effect of static non-magnetic impurities (Zn or Li)



Spinon confinement implies that free $S=1/2$
moments form near each impurity

$$\chi_{\text{impurity}}(T \rightarrow 0) = \frac{S(S+1)}{3k_B T}$$

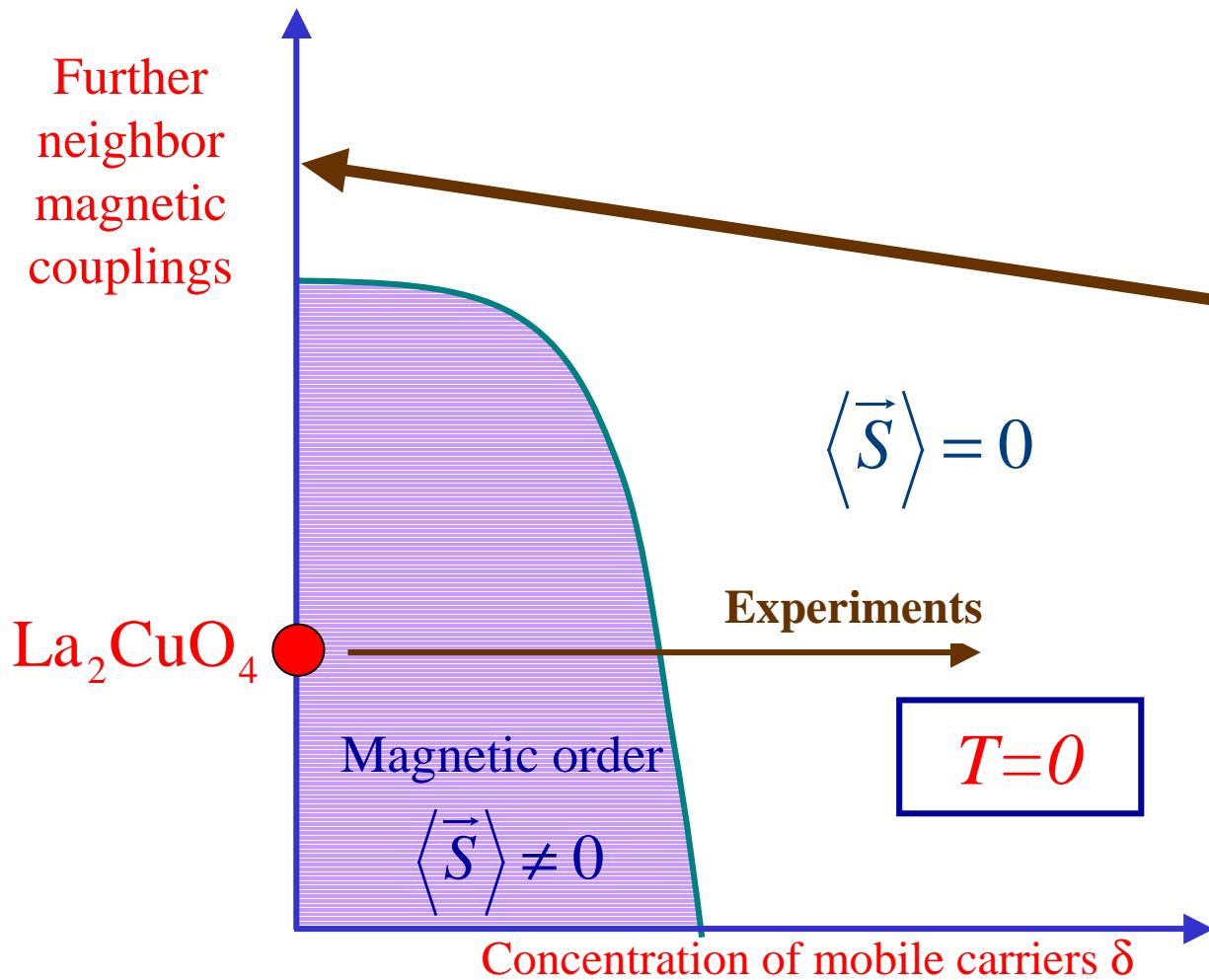
Impurities in paramagnets with spinon deconfinement



“Spin Liquid”

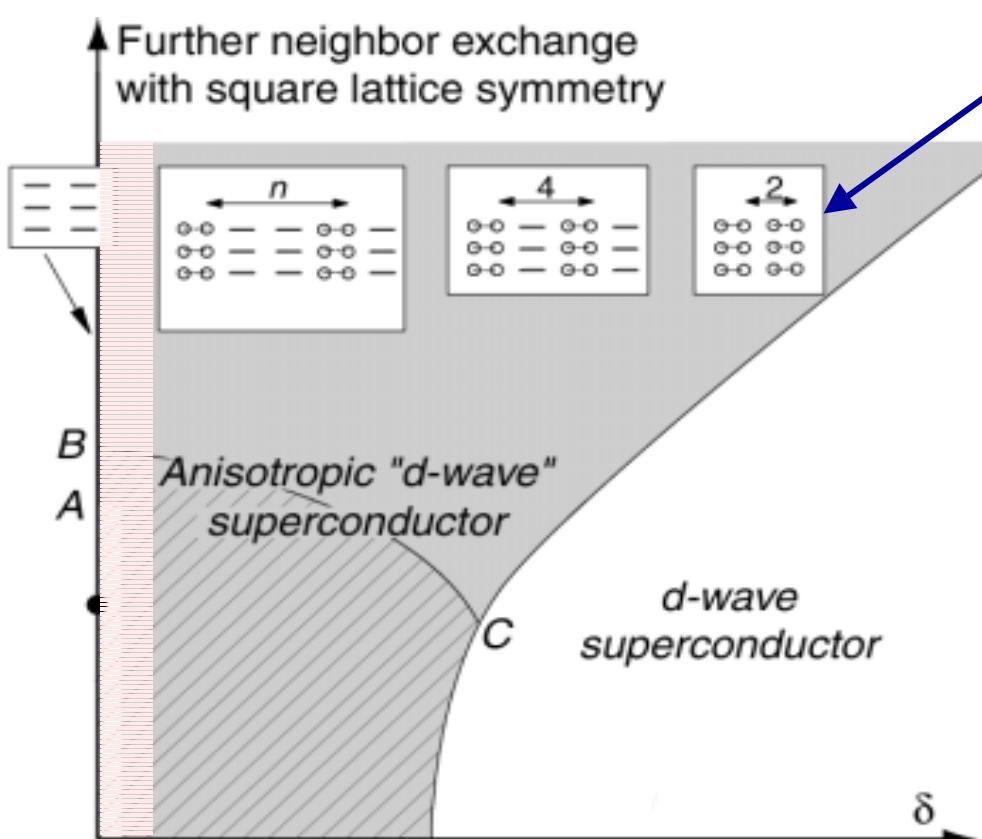
- P. Fazekas and P.W. Anderson, *Phil Mag* **30**, 23 (1974).
S. Sachdev, *Phys. Rev. B* **45**, 12377 (1992).
G. Misguich and C. Lhuillier, cond-mat/0002170.
R. Moessner and S.L. Sondhi, *Phys. Rev. Lett.* **86**, 1881 (2001).

Framework for spin/charge order in cuprate superconductors

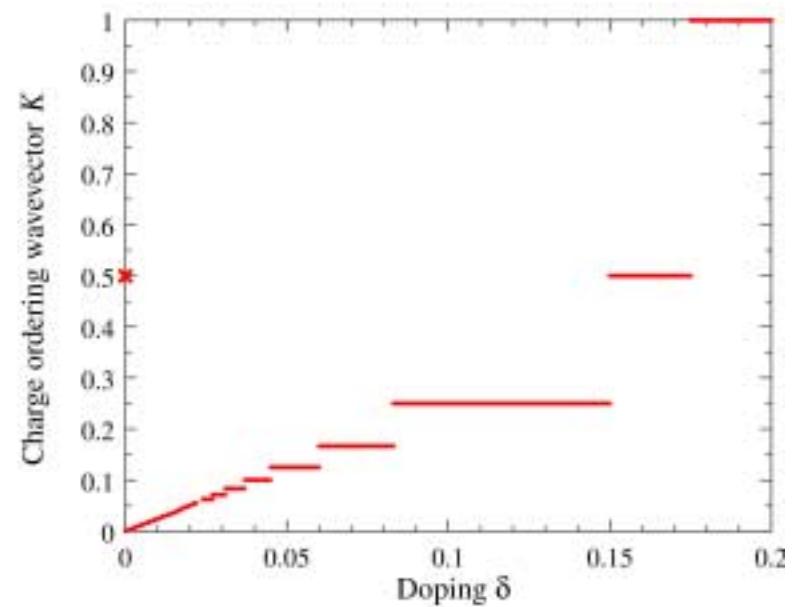


- Confined, paramagnetic Mott insulator has
1. Stable $S=1$ spin exciton.
 2. Broken translational symmetry:- bond-centered charge order.
 3. $S=1/2$ moments near non-magnetic impurities

II. Doping the Mott insulator



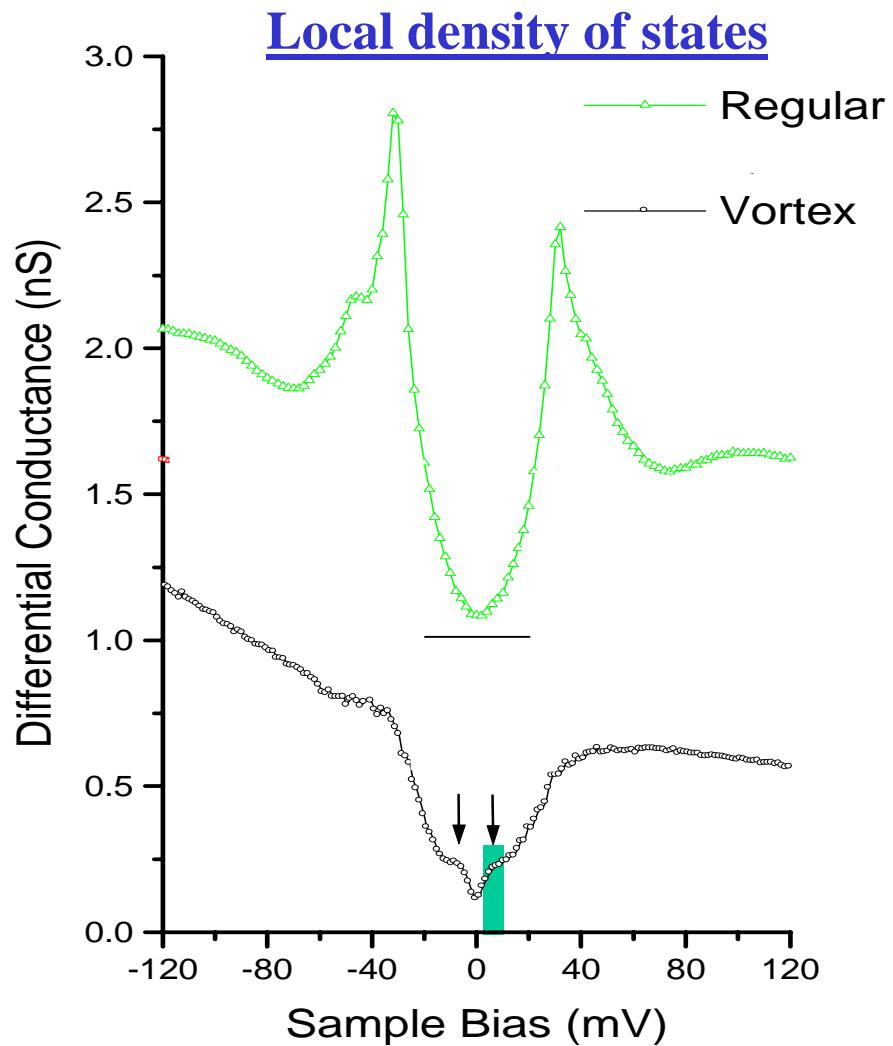
“Large N ” theory in region with preserved spin rotation symmetry
S. Sachdev and N. Read, *Int. J. Mod. Phys. B* **5**, 219 (1991).
M. Vojta and S. Sachdev, *Phys. Rev. Lett.* **83**, 3916 (1999).
M. Vojta, Y. Zhang, and S. Sachdev, *Phys. Rev. B* **62**, 6721 (2000).



See also J. Zaanen, *Physica C* **217**, 317 (1999),
S. Kivelson, E. Fradkin and V. Emery, *Nature* **393**, 550 (1998),
S. White and D. Scalapino, *Phys. Rev. Lett.* **80**, 1272 (1998).

III.A STM around vortices induced by a magnetic field in the superconducting state

J. E. Hoffman, E. W. Hudson, K. M. Lang, V. Madhavan, S. H. Pan,
H. Eisaki, S. Uchida, and J. C. Davis, *Science* **295**, 466 (2002).

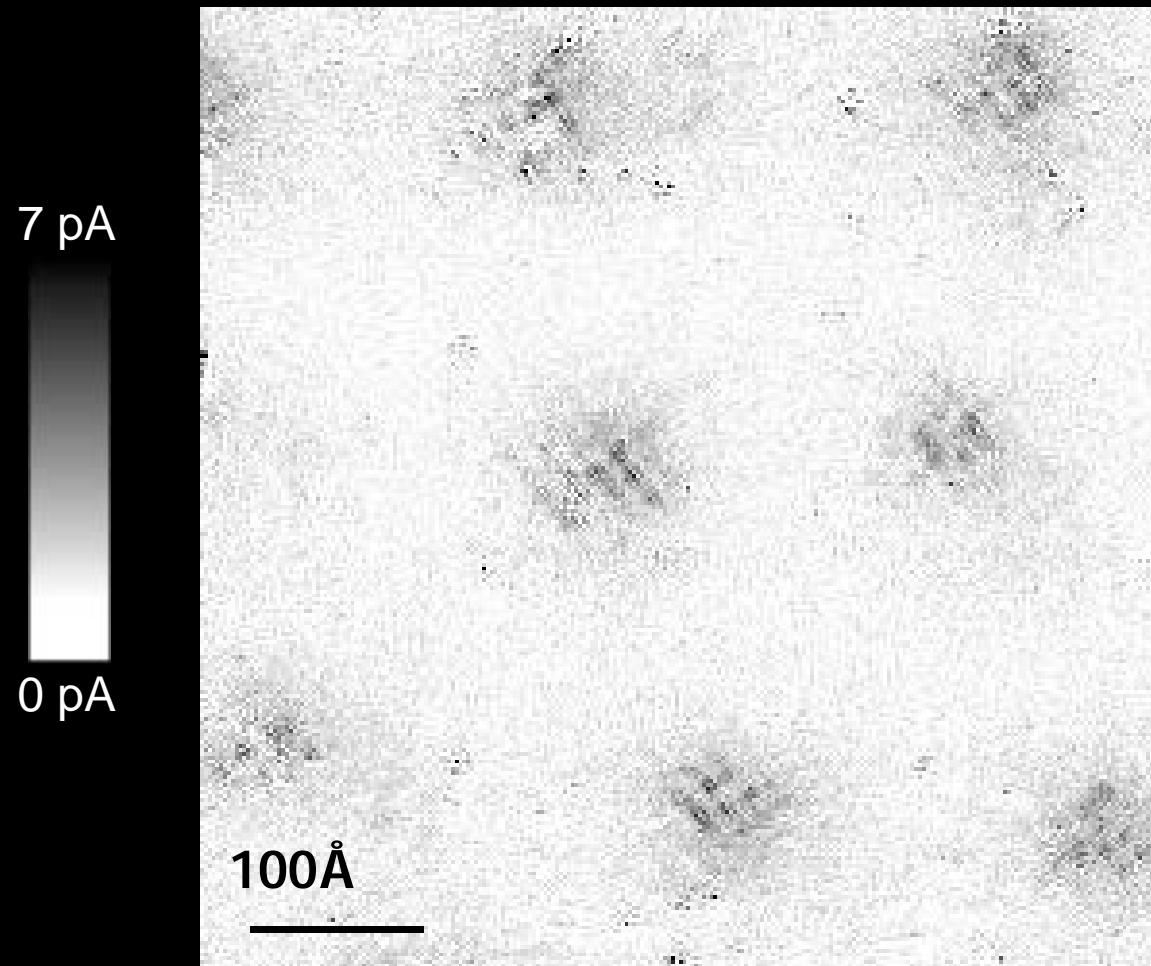


S.H. Pan *et al.* *Phys. Rev. Lett.* **85**, 1536 (2000).

1 Å spatial resolution
image of integrated
LDOS of
 $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$
(1meV to 12 meV)
at B=5 Tesla.



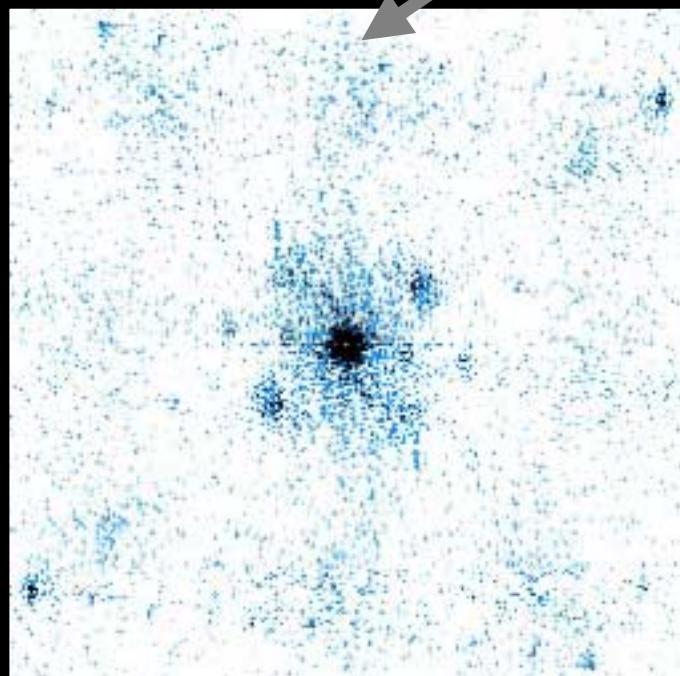
Vortex-induced LDOS integrated from 1meV to 12meV



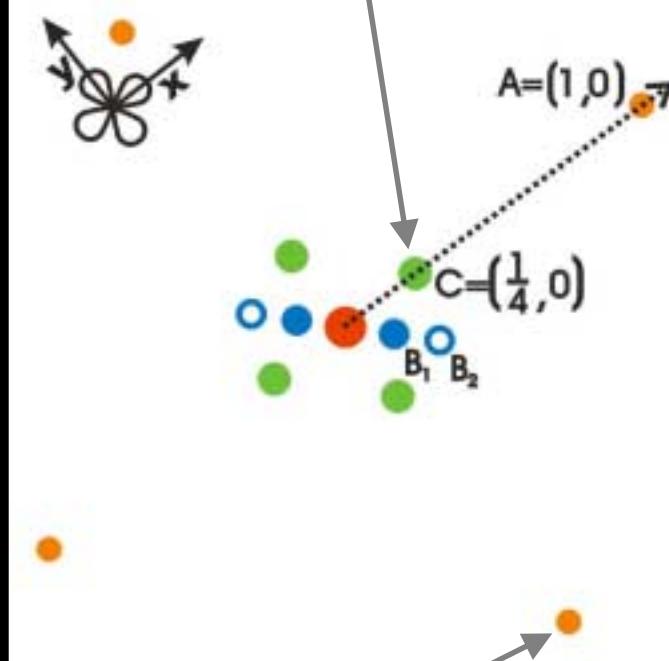
J. Hoffman *et al.* *Science*, **295**, 466 (2002).



Fourier Transform of Vortex-Induced LDOS map



K-space locations of vortex induced LDOS



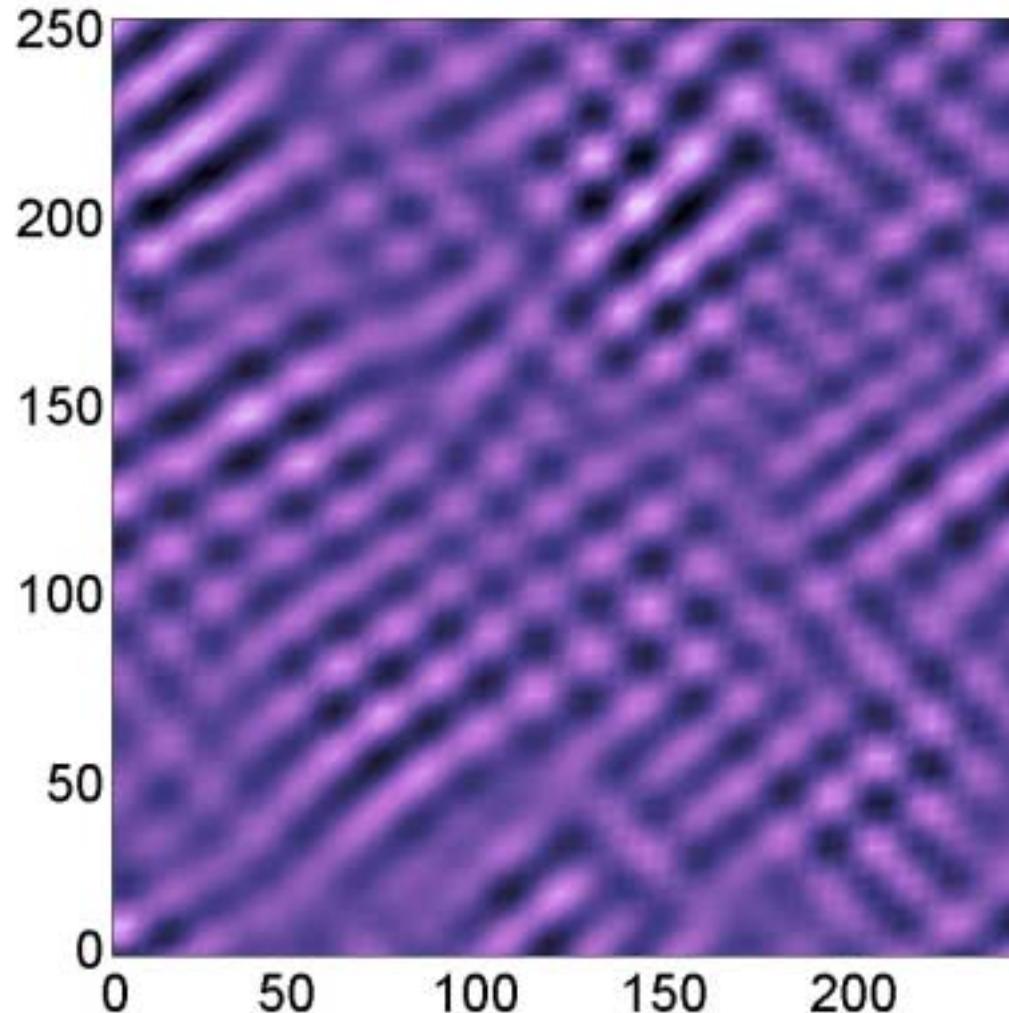
K-space locations of Bi and Cu atoms

Distances in k -space have units of $2\pi/a_0$
 $a_0=3.83 \text{ \AA}$ is Cu-Cu distance

J. Hoffman *et al.* *Science*, **295**, 466 (2002).

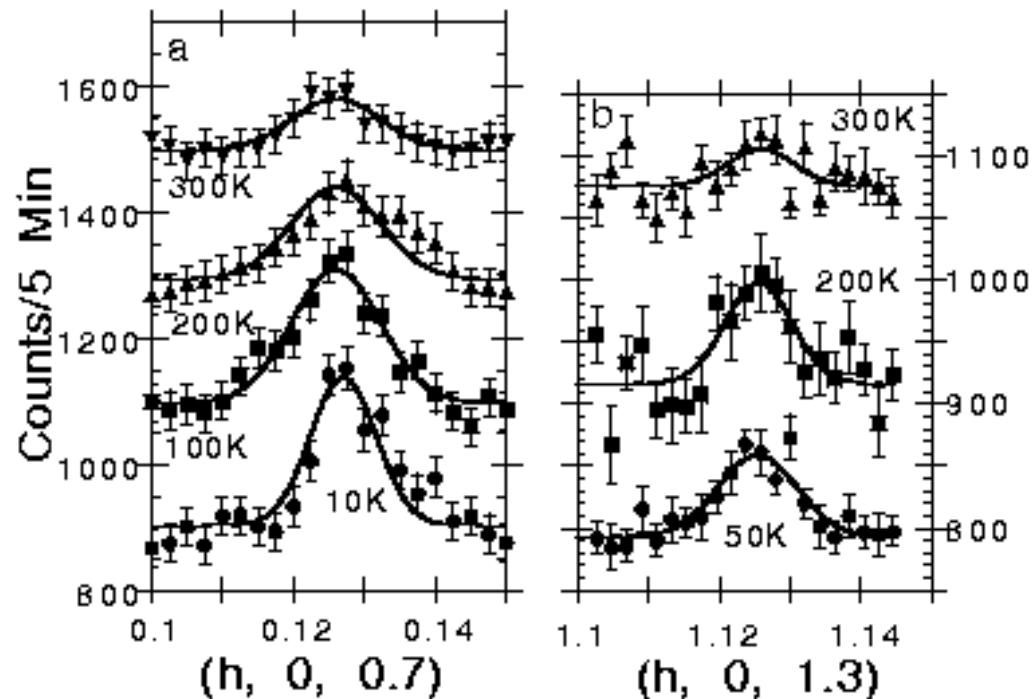
Two recent experiments

1. STM image of pinned charge order in $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$ in zero magnetic field



Charge order period
= 4 lattice spacings

2. Observation of static charge order in $\text{YBa}_2\text{Cu}_3\text{O}_{6.35}$ (spin correlations remain dynamic)

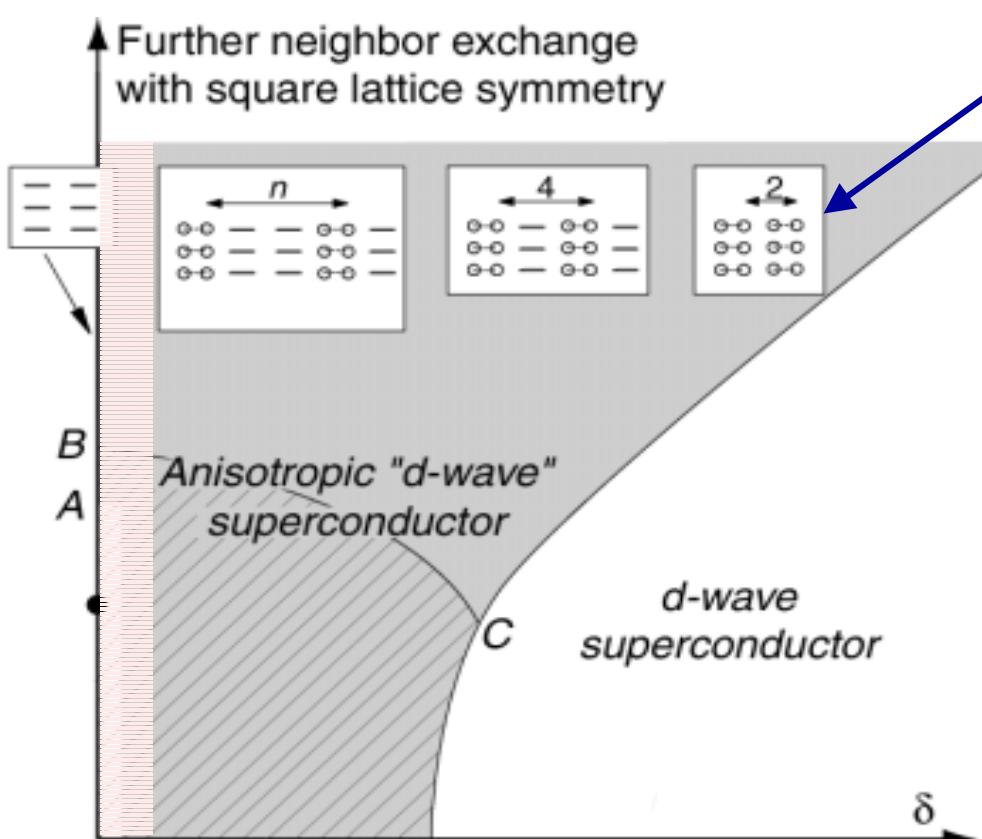


Charge order period
= 8 lattice spacings

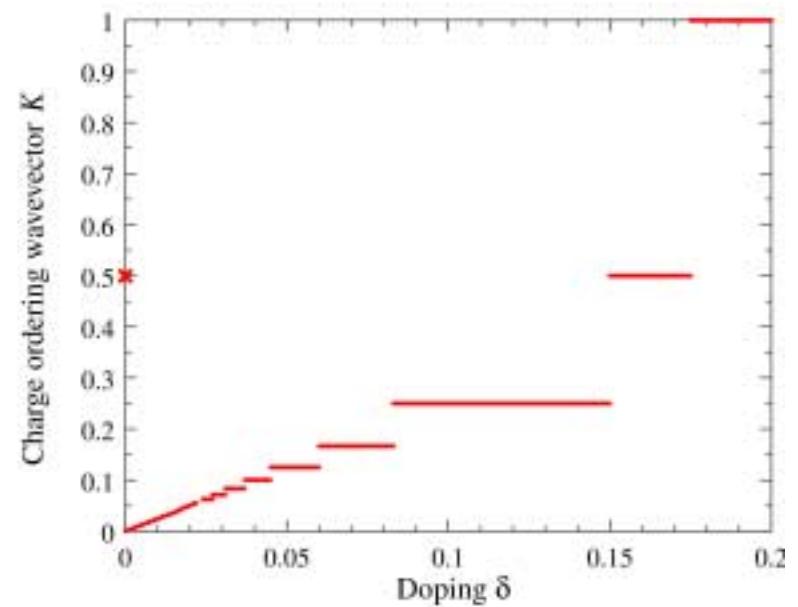
FIG. 1. Measurements of the charge order for YBCO6.35. (a) Measurements obtained at a small momentum transfer so the results are not affected by impurity powder lines. Powder lines were also avoided around the $(1.125, 0, 1.3)$ t.l.u. position shown in (b). The lines are Gaussian fits to the data. In (a) 200 and (b) 100 additional counts were added onto successive scans so the data could be presented on the same plot. The scattering broadens at higher temperatures.

H. A. Mook, Pengcheng Dai, and F. Dogan
Phys. Rev. Lett. **88**, 097004 (2002).

II. Doping the Mott insulator



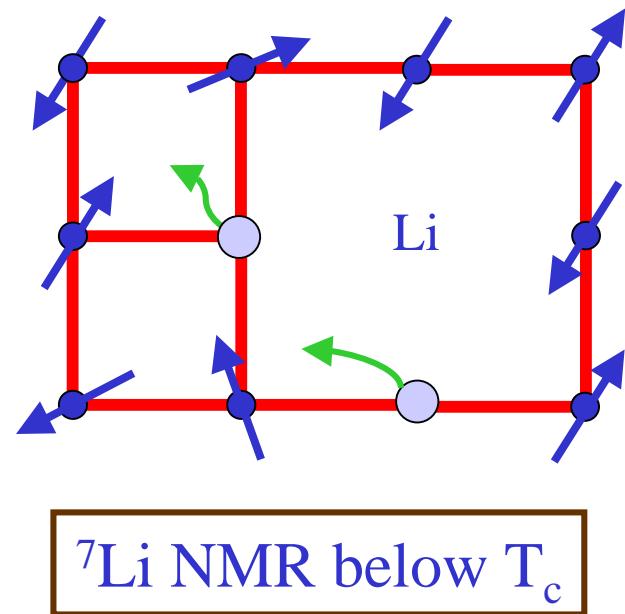
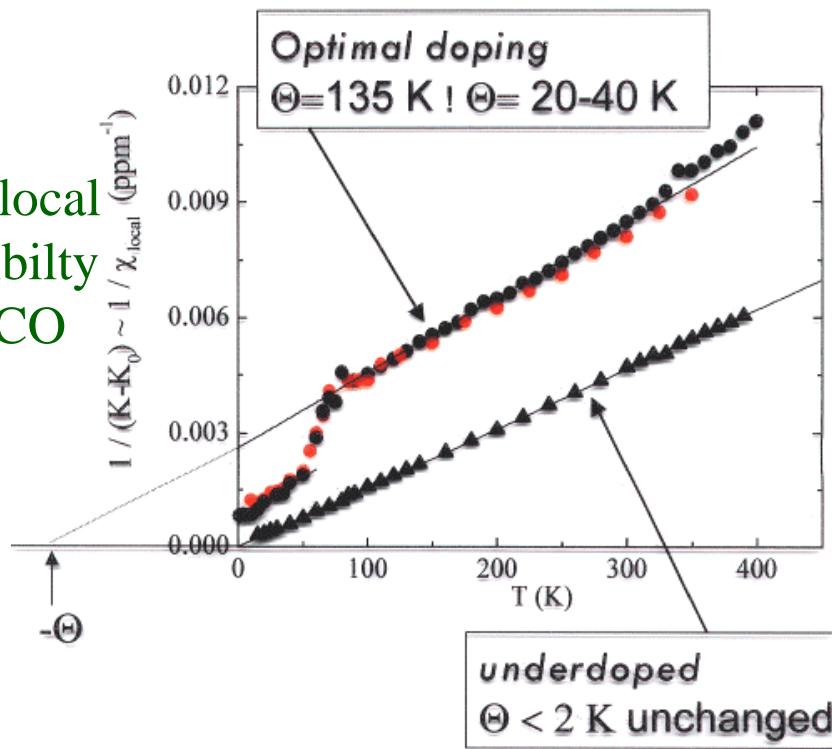
“Large N ” theory in region with preserved spin rotation symmetry
S. Sachdev and N. Read, *Int. J. Mod. Phys. B* **5**, 219 (1991).
M. Vojta and S. Sachdev, *Phys. Rev. Lett.* **83**, 3916 (1999).
M. Vojta, Y. Zhang, and S. Sachdev, *Phys. Rev. B* **62**, 6721 (2000).



See also J. Zaanen, *Physica C* **217**, 317 (1999),
S. Kivelson, E. Fradkin and V. Emery, *Nature* **393**, 550 (1998),
S. White and D. Scalapino, *Phys. Rev. Lett.* **80**, 1272 (1998).

III.B Spatially resolved NMR of Zn/Li impurities in the superconducting state

Inverse local susceptibility in YBCO



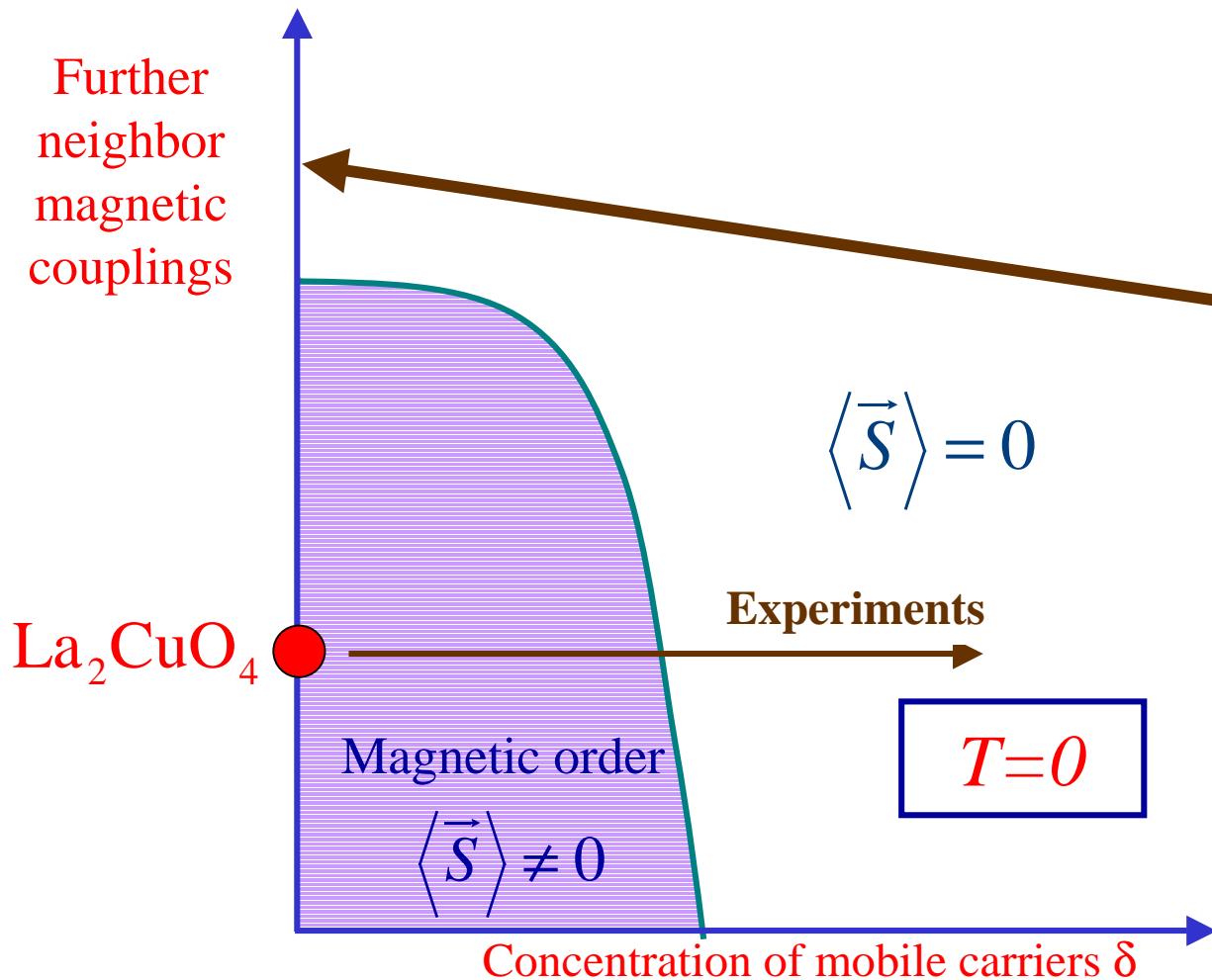
J. Bobroff, H. Alloul, W.A. MacFarlane, P. Mendels, N. Blanchard, G. Collin, and J.-F. Marucco, *Phys. Rev. Lett.* **86**, 4116 (2001).

Measured $\chi_{\text{impurity}}(T \rightarrow 0) = \frac{S(S+1)}{3k_B T}$ with $S = 1/2$ in underdoped sample.

This behavior does not emerge out of BCS theory.

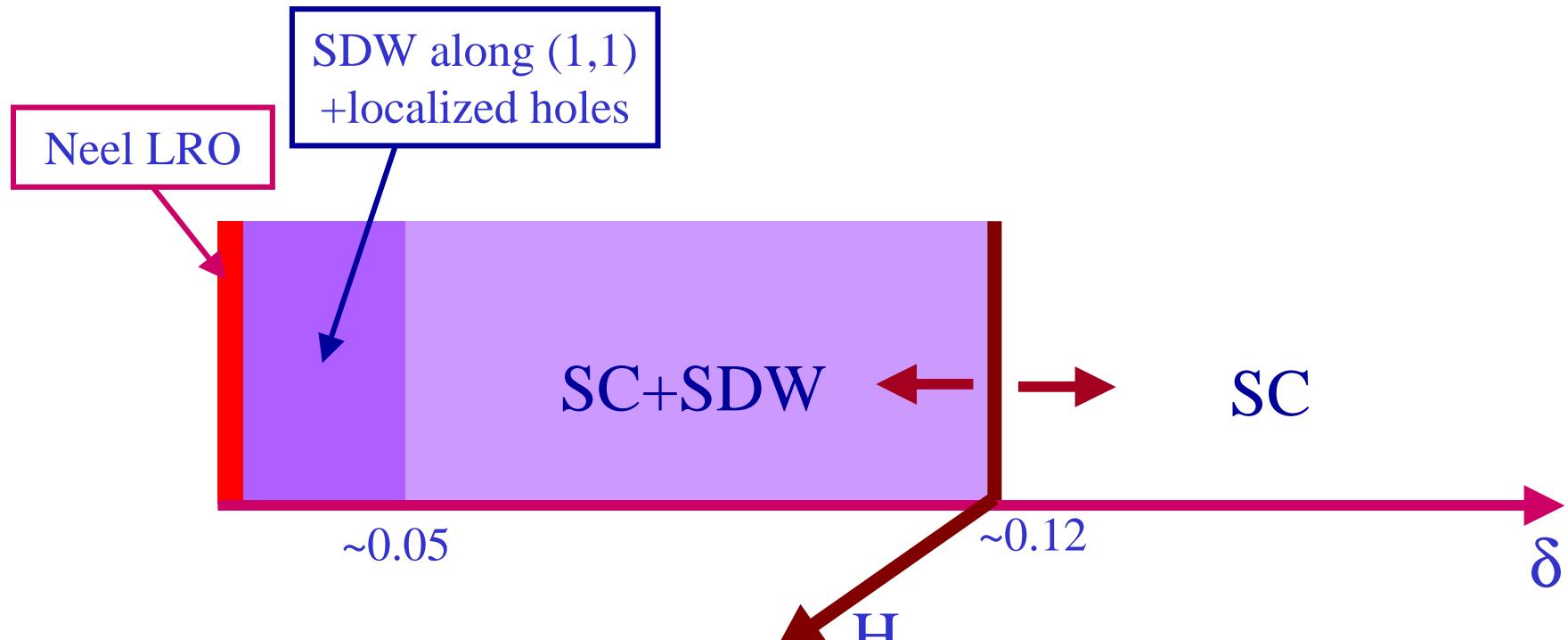
A.M Finkelstein, V.E. Kataev, E.F. Kukovitskii, G.B. Teitel'baum, *Physica C* **168**, 370 (1990).

Framework for spin/charge order in cuprate superconductors



- Confined, paramagnetic Mott insulator has
1. Stable $S=1$ spin exciton.
 2. Broken translational symmetry:- bond-centered charge order.
 3. $S=1/2$ moments near non-magnetic impurities

IV. Competition between magnetism and superconductivity as a function of hole density



Theory for a system with strong interactions:
describe SC and SC+SDW phases by expanding in the deviation from the quantum critical point between them.

B. Keimer *et al.* *Phys. Rev. B* **46**, 14034 (1992).

S. Wakimoto, G. Shirane *et al.*, *Phys. Rev. B* **60**, R769 (1999).

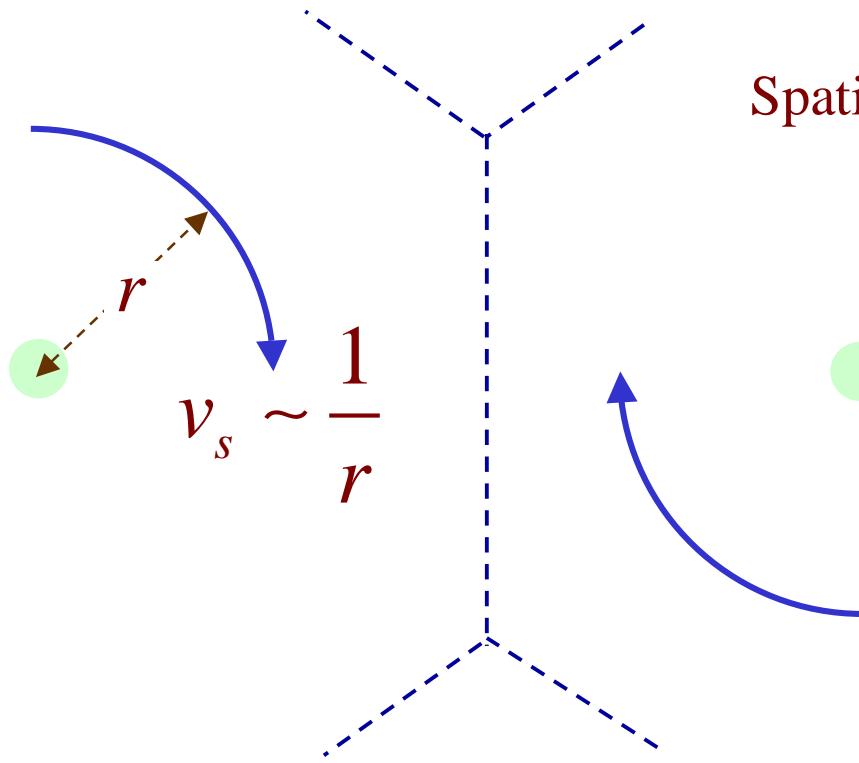
G. Aeppli, T.E. Mason, S.M. Hayden, H.A. Mook, J. Kulda, *Science* **278**, 1432 (1997).

Y. S. Lee, R. J. Birgeneau, M. A. Kastner *et al.*, *Phys. Rev. B* **60**, 3643 (1999).

J. E. Sonier *et al.*, cond-mat/0108479.

C. Panagopoulos, B. D. Rainford, J. L. Tallon, T. Xiang, J. R. Cooper, and C. A. Scott, preprint.

Dominant effect: **uniform** softening of spin excitations by superflow kinetic energy



Spatially averaged superflow kinetic energy

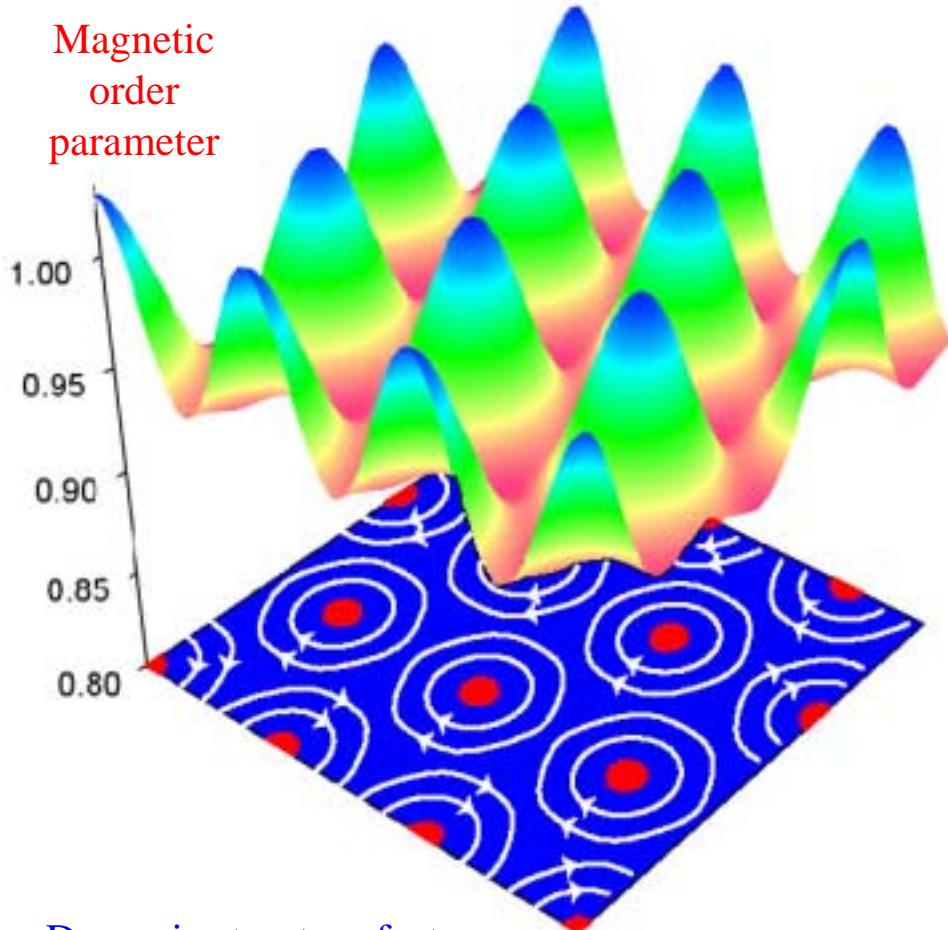
$$\sim \langle v_s^2 \rangle \sim \frac{H}{H_{c2}} \ln \frac{3H_{c2}}{H}$$

Coupling determining spin excitation energy, s ,

$$\text{replaced by } s_{\text{eff}}(H) = s - C \frac{H}{H_{c2}} \ln \left(\frac{3H_{c2}}{H} \right)$$

Structure of *long-range* SDW order in SC+SDW phase

E. Demler, S. Sachdev, and Y. Zhang, *Phys. Rev. Lett.* **87**, 067202 (2001).



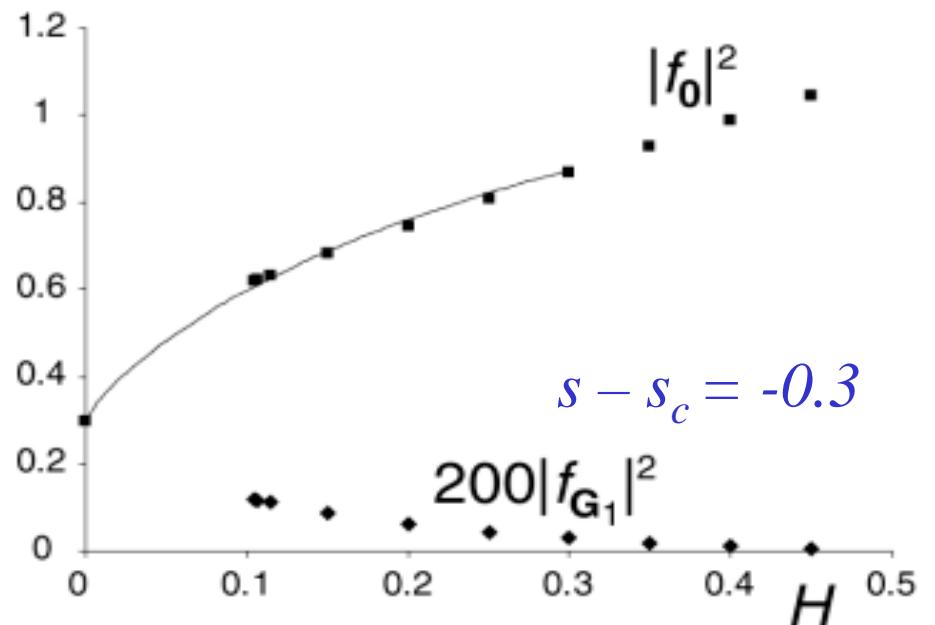
Dynamic structure factor

$$S(\mathbf{k}, \omega) = (2\pi)^3 \delta(\omega) \sum_G |f_G|^2 \delta(\mathbf{k} - \mathbf{G}) + \dots$$

\mathbf{G} → reciprocal lattice vectors of vortex lattice.

\mathbf{k} measures deviation from SDW ordering wavevector \mathbf{K}

$$\delta |f_0|^2 \propto H \ln(1/H)$$

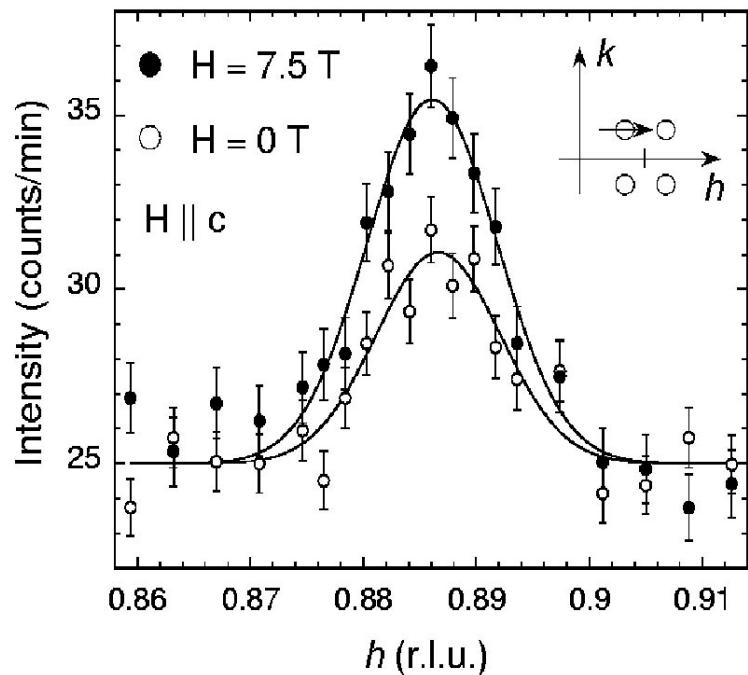


D. P. Arovas, A. J. Berlinsky, C. Kallin, and S.-C. Zhang, *Phys. Rev. Lett.* **79**, 2871 (1997) discussed static magnetism in the vortex cores.

Neutron scattering measurements of static spin correlations of the superconductor+spin-density-wave (SC+SDW) in a magnetic field

Elastic neutron scattering off $\text{La}_2\text{CuO}_{4+y}$

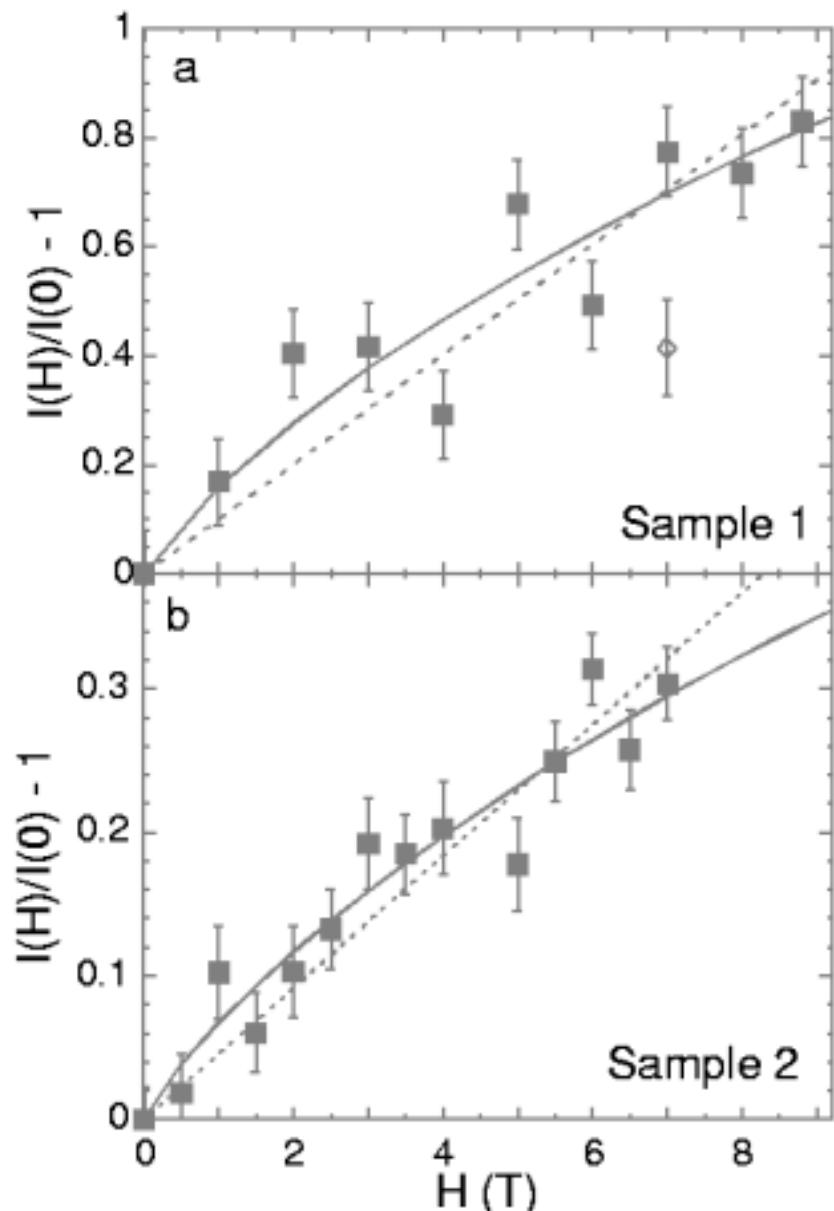
B. Khaykovich, Y. S. Lee, S. Wakimoto,
 K. J. Thomas, M. A. Kastner,
 and R.J. Birgeneau, cond-mat/0112505.



Solid line --- fit to :
$$\frac{I(H)}{I(0)} = 1 + a \frac{H}{H_{c2}} \ln \left(\frac{3.0 H_{c2}}{H} \right)$$

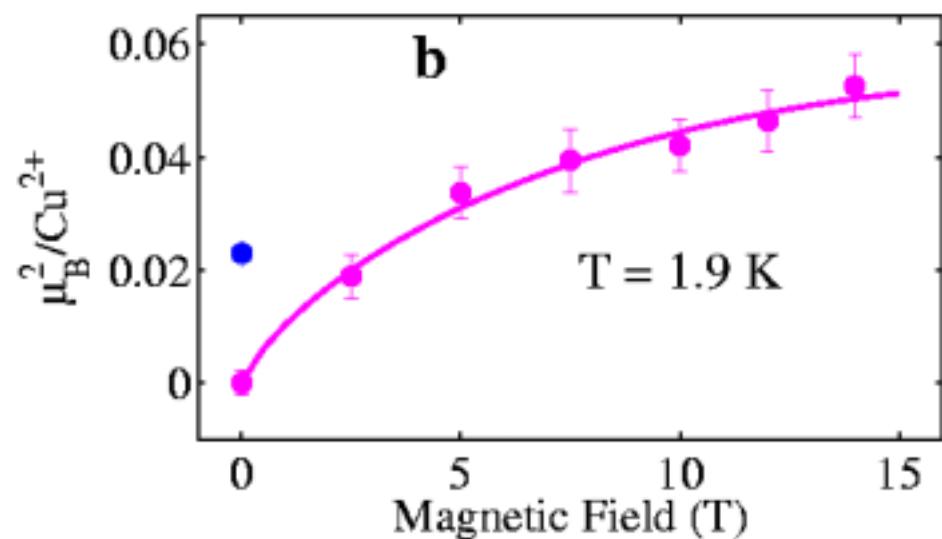
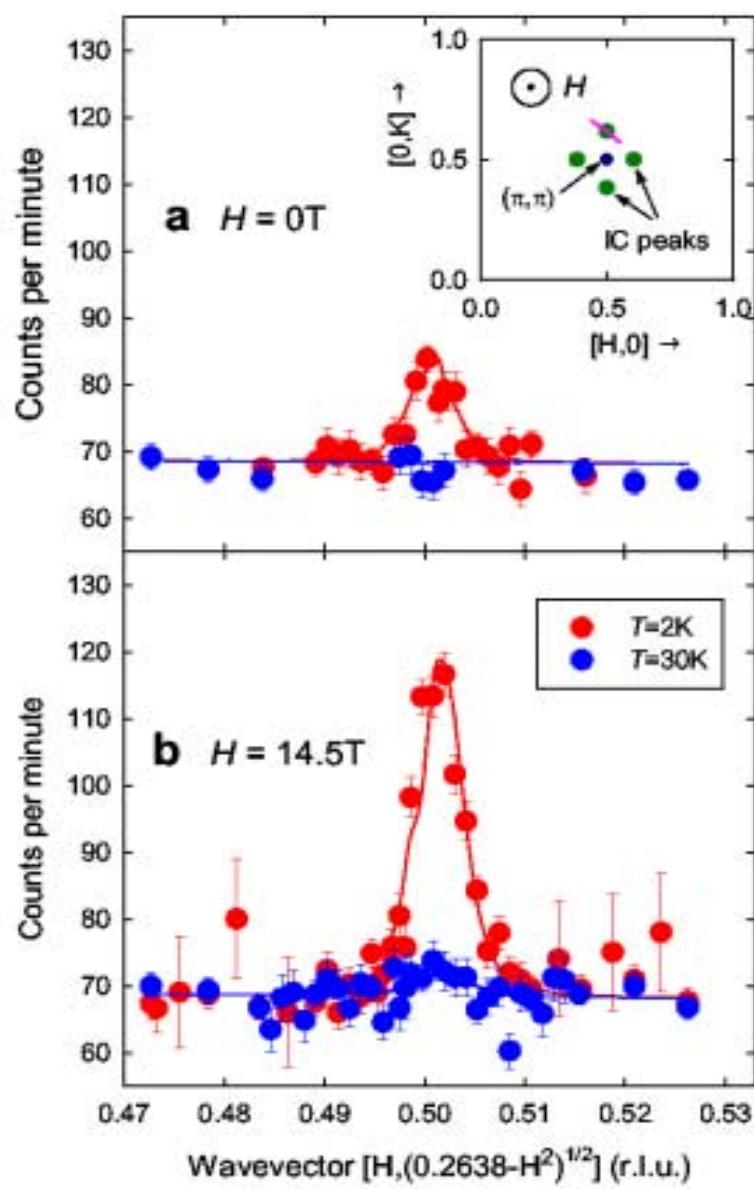
a is the only fitting parameter

Best fit value - $a = 2.4$ with $H_{c2} = 60 \text{ T}$



Neutron scattering of $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ at $x=0.1$

B. Lake, G. Aeppli, *et al.*,
Nature, **415**, 299 (2002).



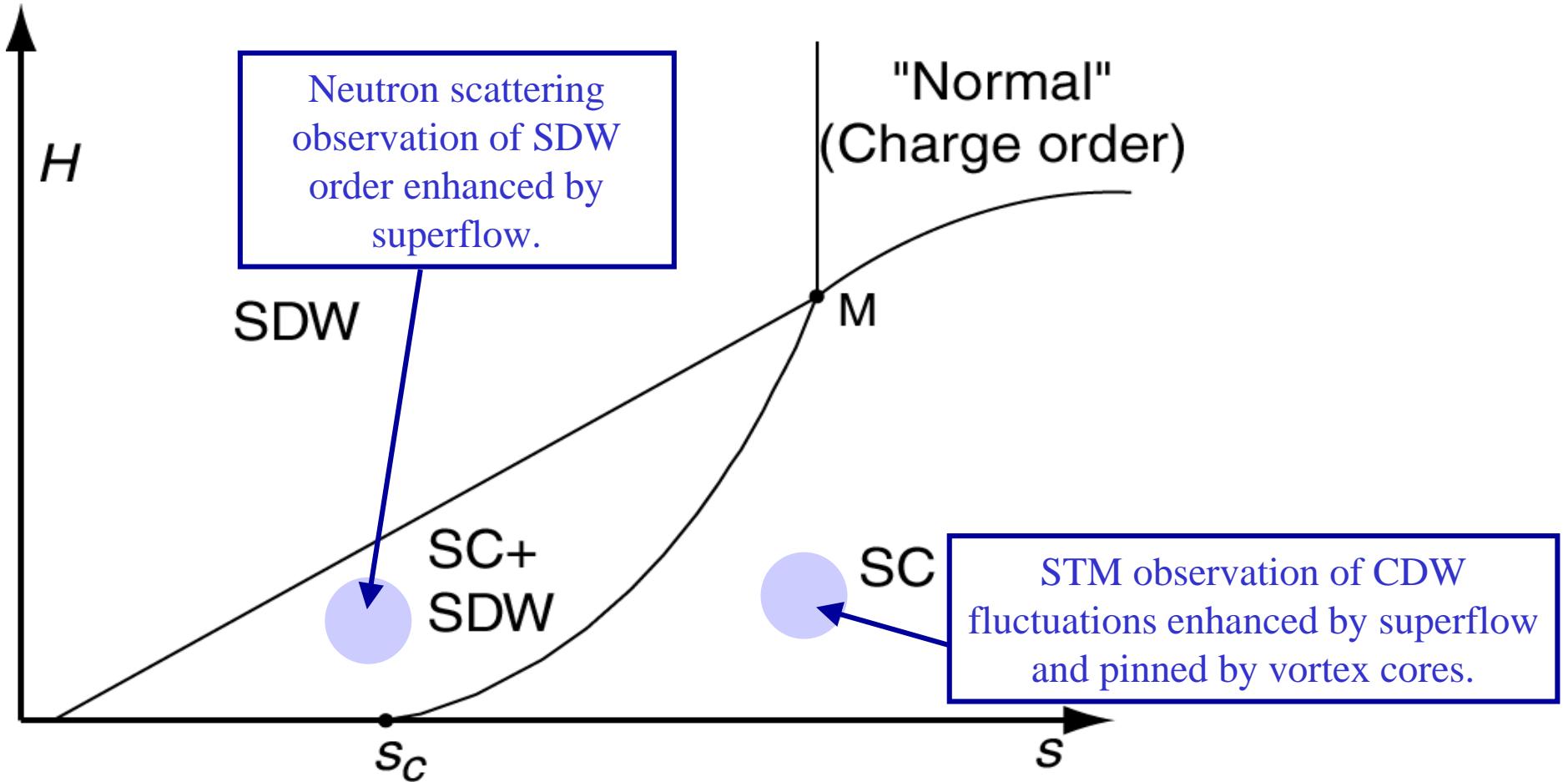
Solid line - fit to : $I(H) = a \frac{H}{H_{c2}} \ln\left(\frac{H_{c2}}{H}\right)$

Effect of magnetic field on SDW+SC to SC transition

(extreme Type II superconductivity)

$T=0$

Main results



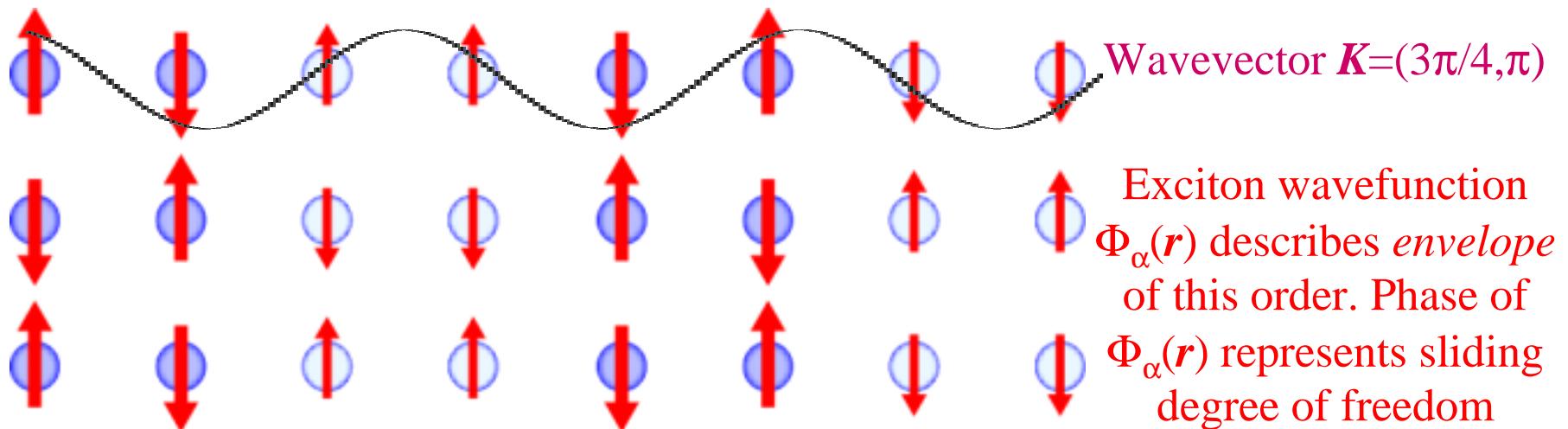
E. Demler, S. Sachdev, and Y. Zhang, *Phys. Rev. Lett.* **87**, 067202 (2001).

Theory of SC+SDW to SC quantum transition

Spin density wave order parameter for general ordering wavevector

$$S_\alpha(\mathbf{r}) = \Phi_\alpha(\mathbf{r}) e^{i\mathbf{K}\cdot\mathbf{r}} + \text{c.c.}$$

$\Phi_\alpha(\mathbf{r})$ is a complex field (except for $\mathbf{K}=(\pi,\pi)$ when $e^{i\mathbf{K}\cdot\mathbf{r}} = (-1)^{r_x+r_y}$)



Associated “charge” density wave order

$$\delta\rho(\mathbf{r}) \propto S_\alpha^2(\mathbf{r}) = \sum_\alpha \Phi_\alpha^2(\mathbf{r}) e^{i2\mathbf{K}\cdot\mathbf{r}} + \text{c.c.}$$

J. Zaanen and O. Gunnarsson, *Phys. Rev. B* **40**, 7391 (1989).

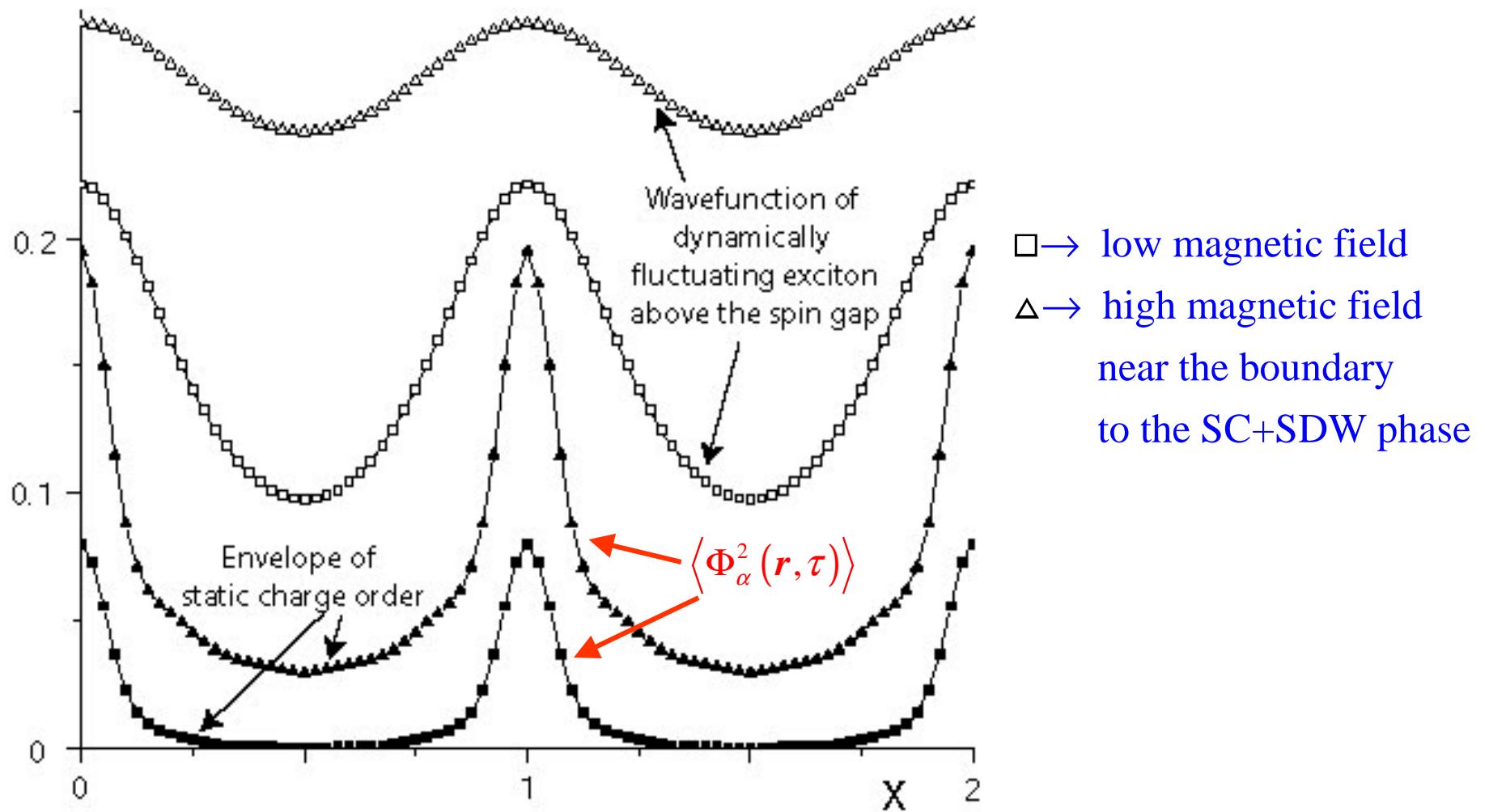
H. Schulz, *J. de Physique* **50**, 2833 (1989).

O. Zachar, S. A. Kivelson, and V. J. Emery, *Phys. Rev. B* **57**, 1422 (1998).

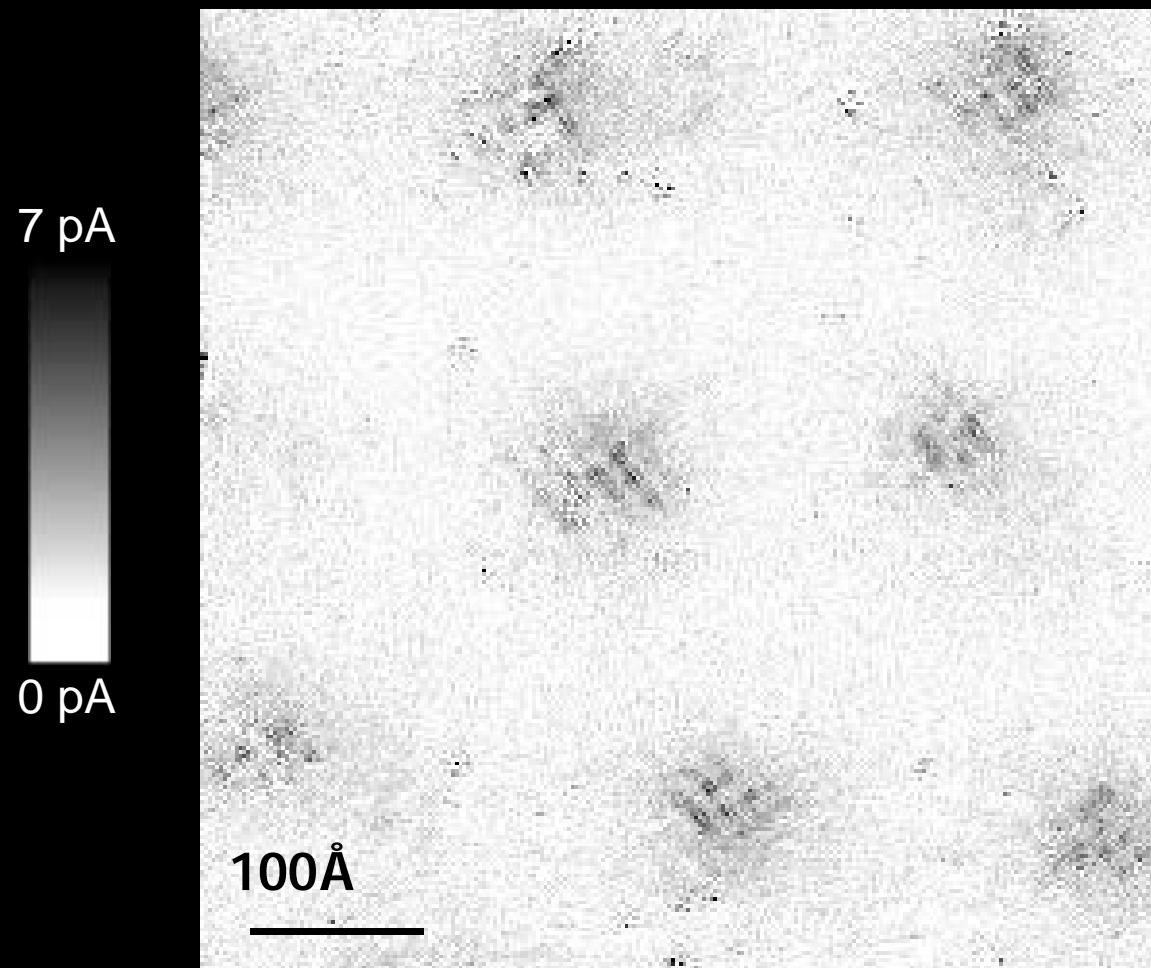
Pinning of CDW order by vortex cores in SC phase

Y. Zhang, E. Demler, and S. Sachdev, cond-mat/0112343.

$$\langle \Phi_\alpha^2(\mathbf{r}, \tau) \rangle \propto \zeta \int d\tau_1 \langle \Phi_\alpha(\mathbf{r}, \tau) \Phi_\alpha^*(\mathbf{r}_v, \tau_1) \rangle^2$$



Vortex-induced LDOS integrated from 1meV to 12meV



J. Hoffman *et al.* 295, 466 (2002).

Conclusions

- I. Cuprate superconductivity is associated with doping Mott insulators with charge carriers
- II. The correct paramagnetic Mott insulator has charge-order and confinement of spinons
- III. Mott insulator reveals itself vortices and near impurities. Predicted effects seen recently in STM and NMR experiments.
- IV. Semi-quantitative predictions for neutron scattering measurements of spin-density-wave order in superconductors; theory also establishes connection to STM experiments.
- V. Future experiments should search for SC+SDW to SC quantum transition driven by a magnetic field.
- VI. Major open question: how does understanding of low temperature order parameters help explain anomalous behavior at high temperatures ?