

# Planckian metals and the time reparameterization soft-mode

25th Rencontres Itzykson

Many Body Chaos, Scrambling and Thermalization

in Interacting Quantum Systems

Institut de Physique Theorique, Saclay

June 3, 2021

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PHYSICS



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Talk online: [sachdev.physics.harvard.edu](https://sachdev.physics.harvard.edu)

1. SYK models

2. Time reparameterization soft mode

3. Random t-J model

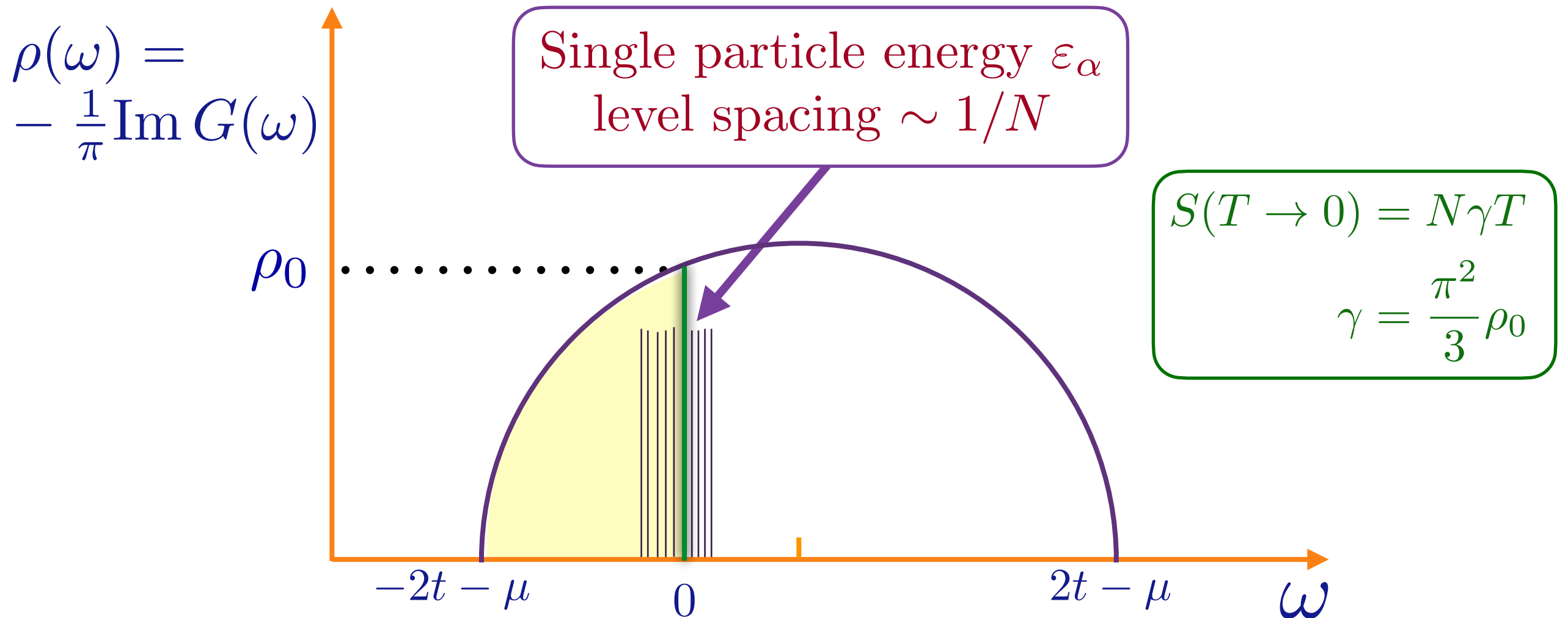
# A simple model of a metal with quasiparticles

$$H = \frac{1}{(N)^{1/2}} \sum_{i,j=1}^N t_{ij} c_i^\dagger c_j - \mu \sum_i c_i^\dagger c_i$$

$$c_i c_j + c_j c_i = 0 \quad , \quad c_i c_j^\dagger + c_j^\dagger c_i = \delta_{ij}$$

$$\frac{1}{N} \sum_i c_i^\dagger c_i = Q$$

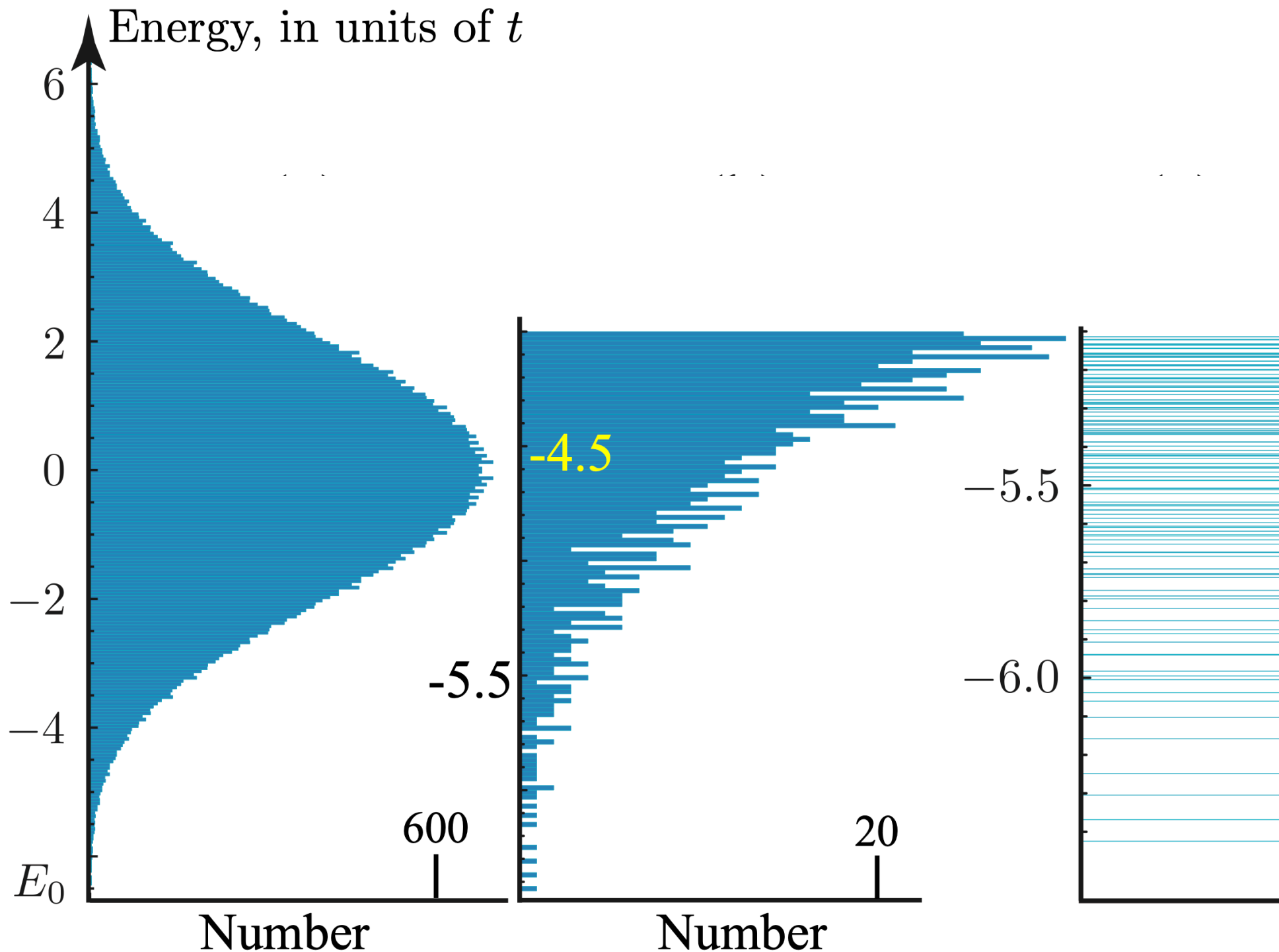
$t_{ij}$  are independent random variables with  $\overline{t_{ij}} = 0$  and  $\overline{|t_{ij}|^2} = t^2$



# Many-body density of states

$$D(E) = \sum_i \delta(E - E_i); \quad E_0 + E_i \Rightarrow \text{Many body eigenvalue}$$

For random matrix model:  
 $E_0 + E_i = \sum_{\alpha} n_{\alpha} \epsilon_{\alpha}$   
 $n_{\alpha} = 0, 1,$   
occupation number



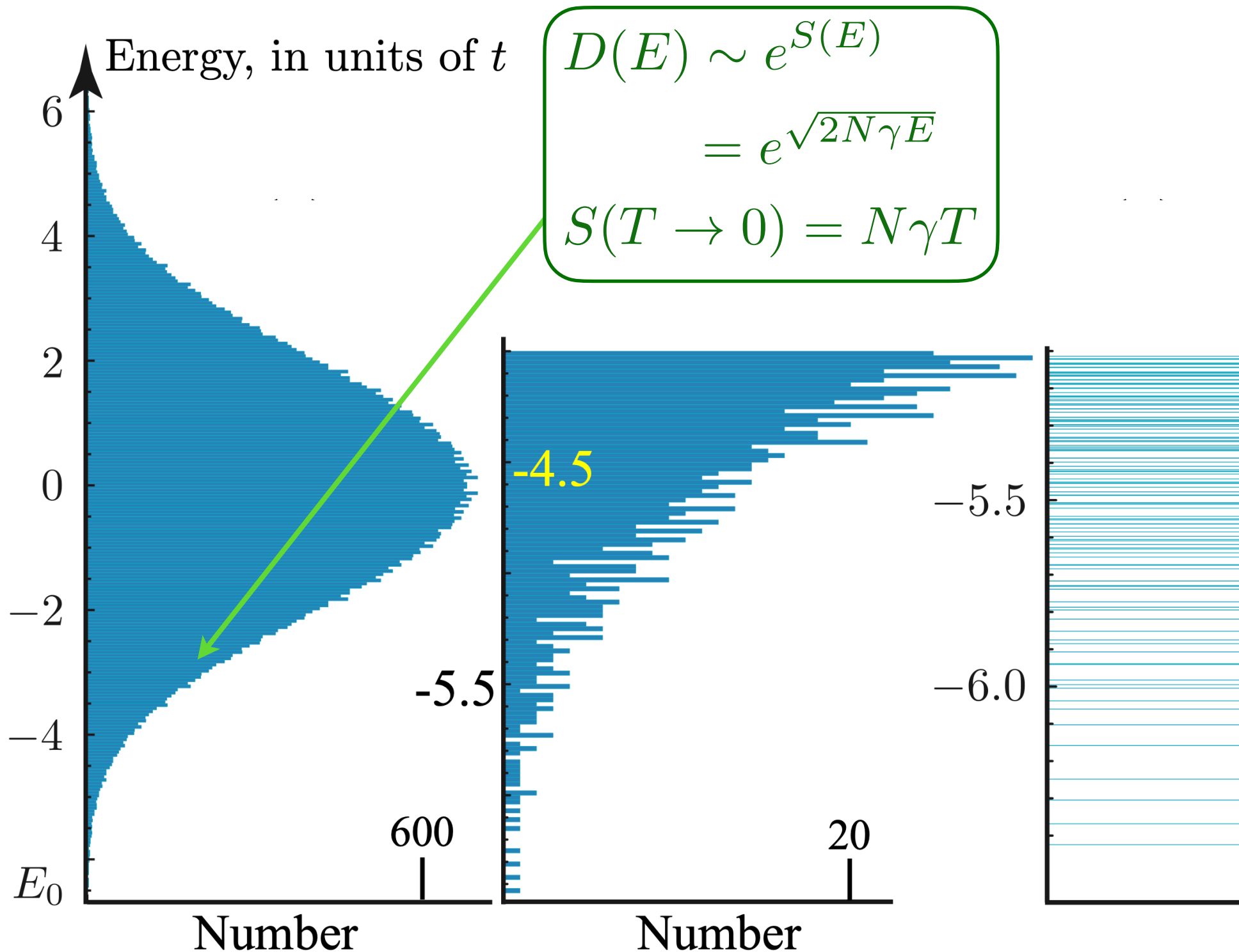
Random matrix model



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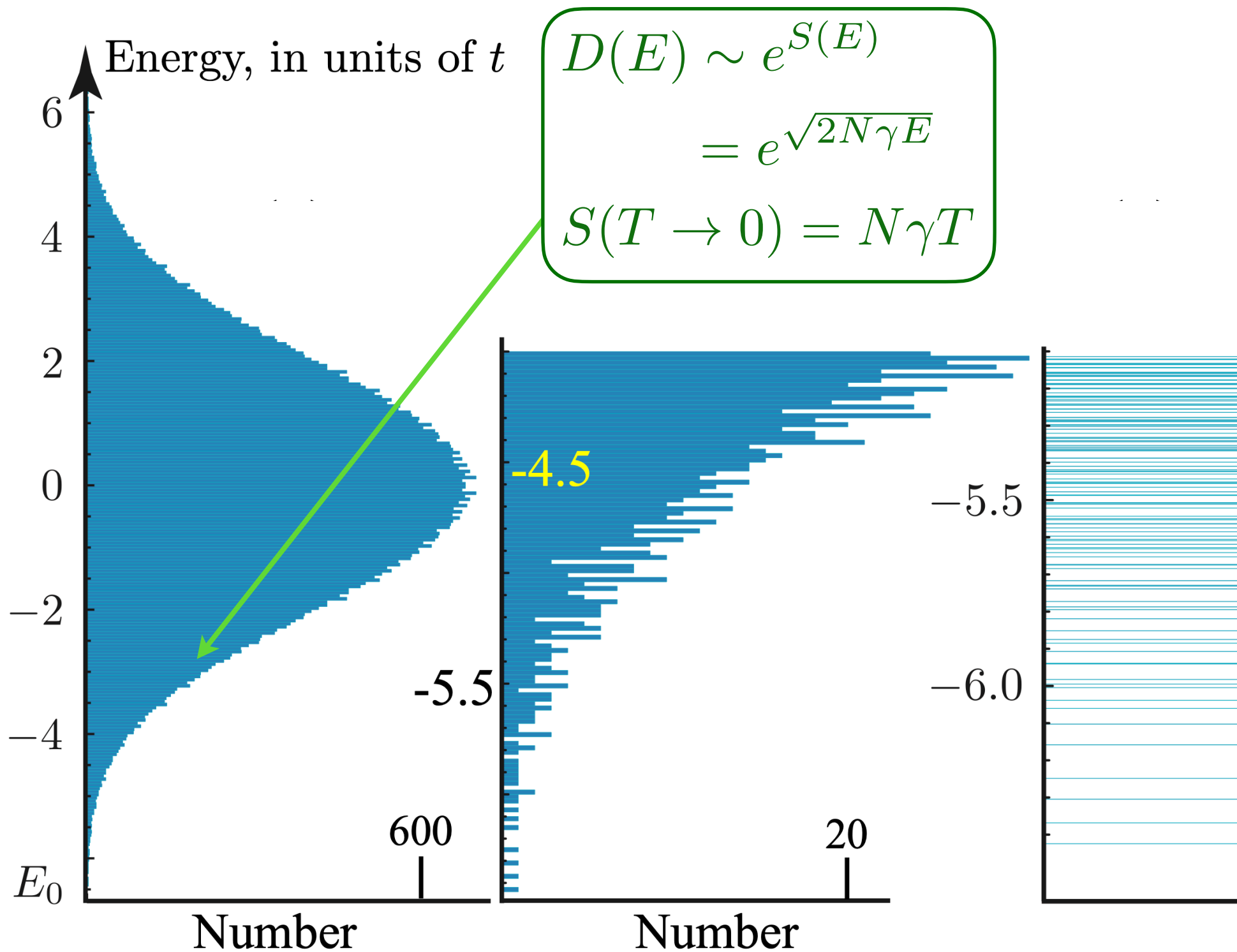


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$$D(E) \sim e^{S(E)}$$

$$= e^{\sqrt{2N\gamma E}}$$

$$S(T \rightarrow 0) = N\gamma T$$

$$D(E) \sim N$$

## Random matrix model

# The Sachdev-Ye-Kitaev (SYK) model

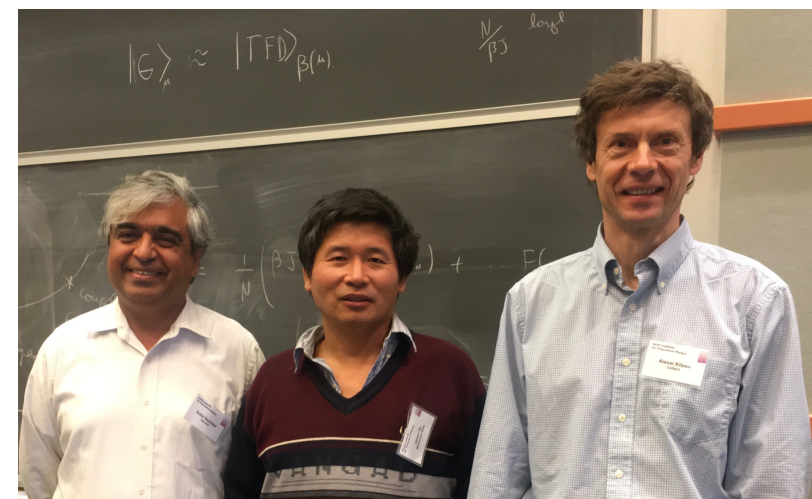
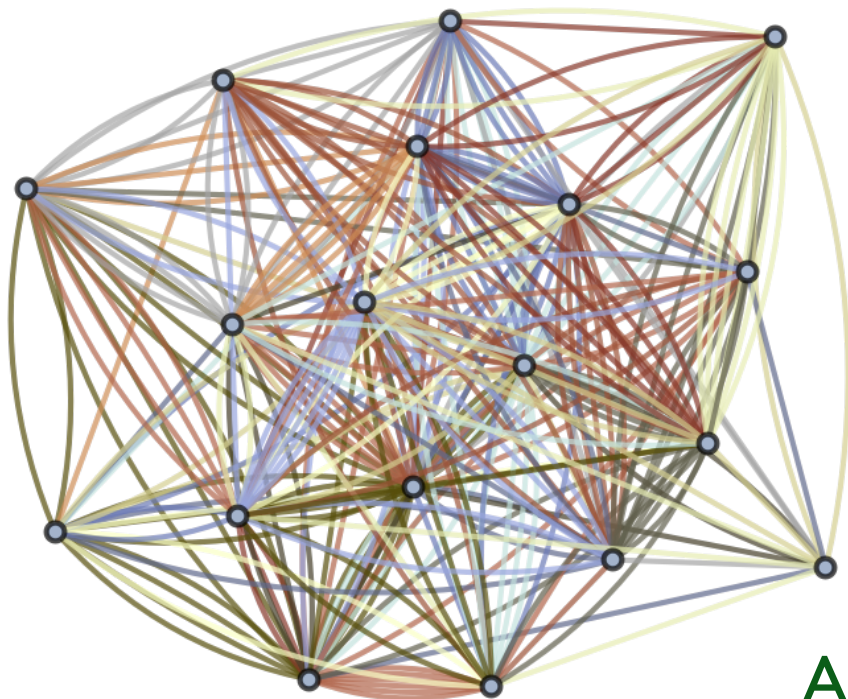
(See also: the “2-Body Random Ensemble” in nuclear physics; did not obtain the large  $N$  limit; T.A. Brody, J. Flores, J.B. French, P.A. Mello, A. Pandey, and S.S.M. Wong, Rev. Mod. Phys. **53**, 385 (1981))

$$H = \frac{1}{(2N)^{3/2}} \sum_{\alpha, \beta, \gamma, \delta=1}^N U_{\alpha\beta;\gamma\delta} c_{\alpha}^{\dagger} c_{\beta}^{\dagger} c_{\gamma} c_{\delta} - \mu \sum_{\alpha} c_{\alpha}^{\dagger} c_{\alpha}$$

$$c_{\alpha} c_{\beta} + c_{\beta} c_{\alpha} = 0 \quad , \quad c_{\alpha} c_{\beta}^{\dagger} + c_{\beta}^{\dagger} c_{\alpha} = \delta_{\alpha\beta}$$

$$Q = \frac{1}{N} \sum_{\alpha} c_{\alpha}^{\dagger} c_{\alpha}$$

$U_{\alpha\beta;\gamma\delta}$  are independent random variables with  $\overline{U_{\alpha\beta;\gamma\delta}} = 0$  and  $\overline{|U_{\alpha\beta;\gamma\delta}|^2} = U^2$   
 $N \rightarrow \infty$  yields critical strange metal.

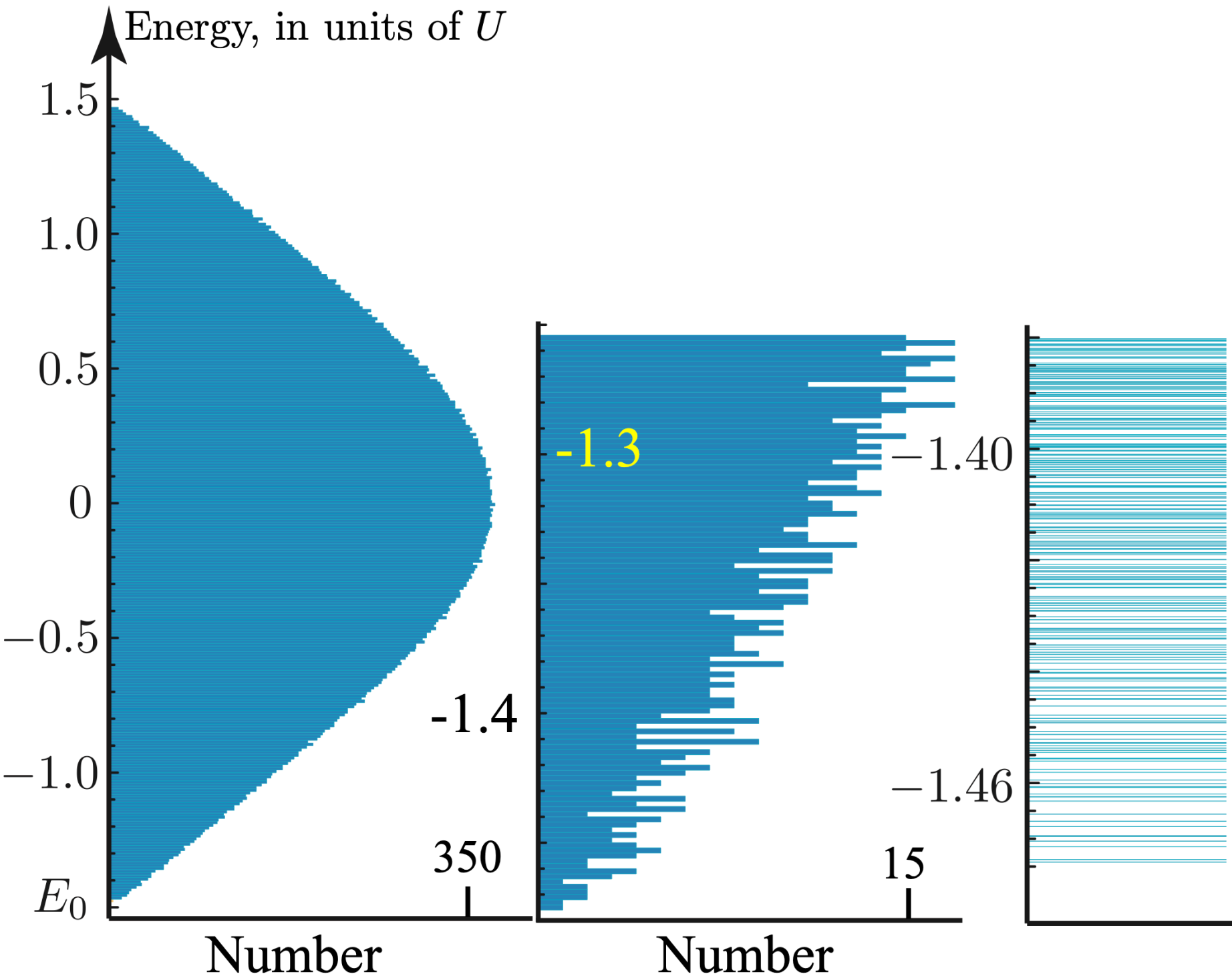


S. Sachdev and J. Ye, PRL **70**, 3339 (1993)

A. Kitaev, unpublished; S. Sachdev, PRX **5**, 041025 (2015)

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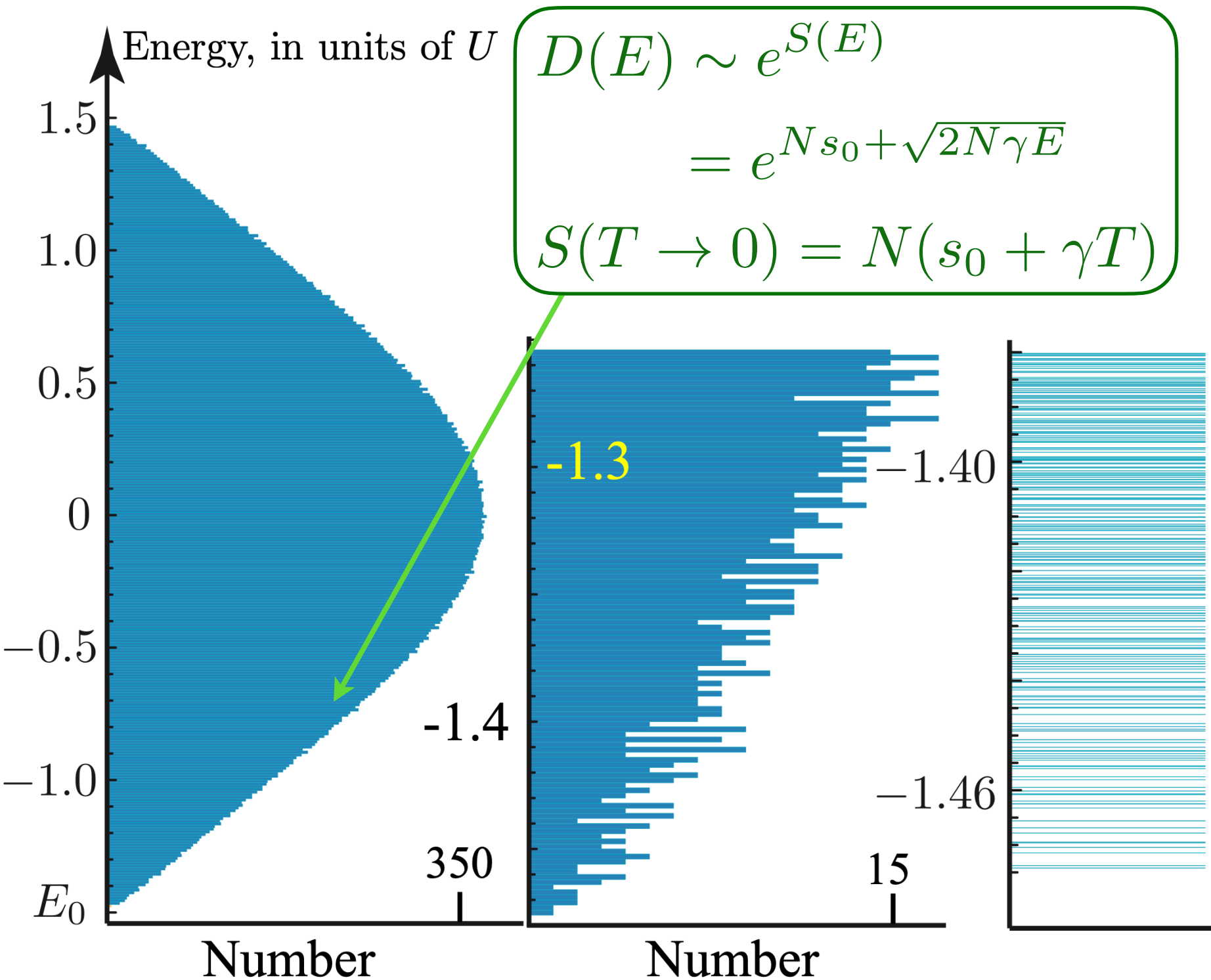
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Complex SYK model

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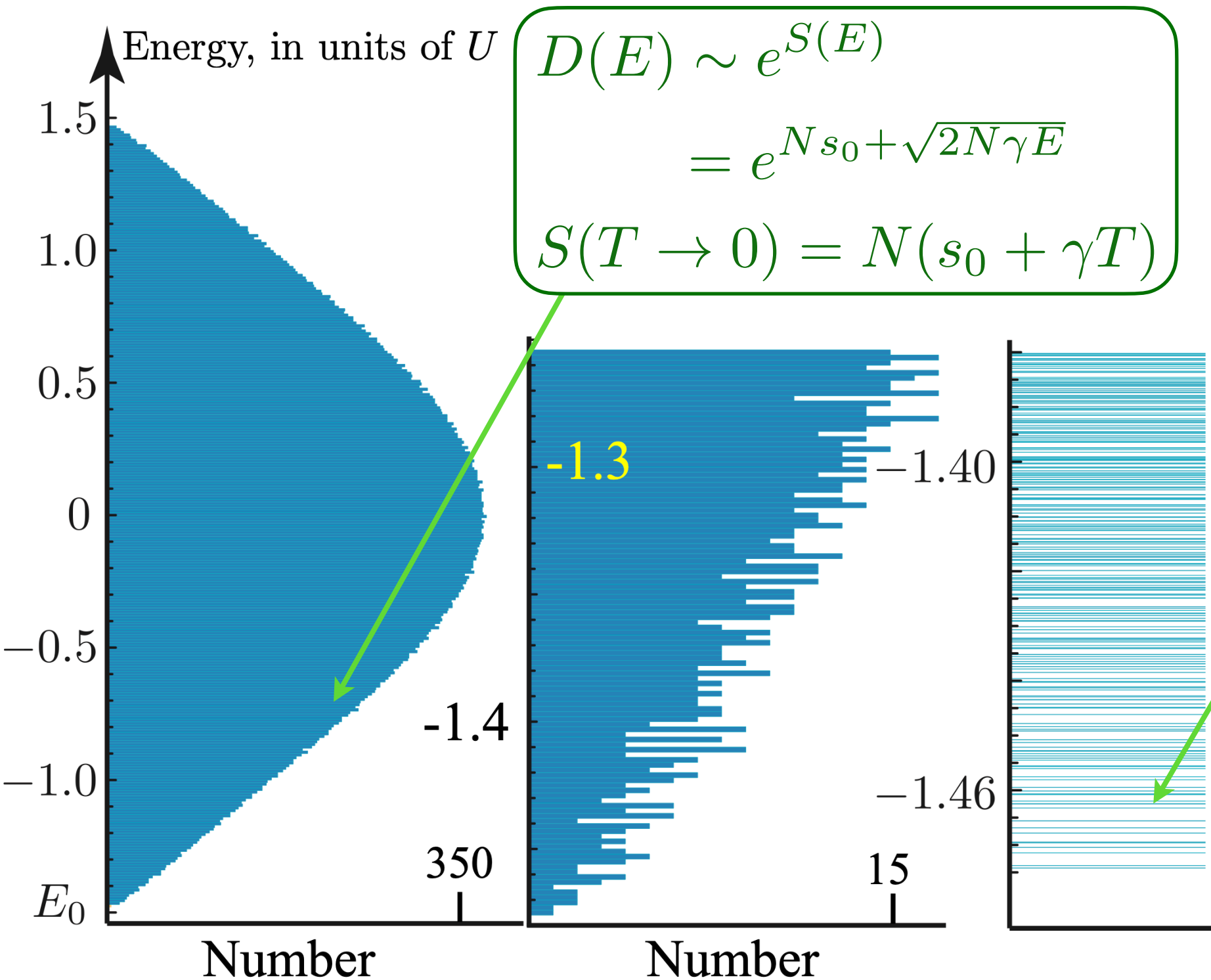
$$s_0 = 0.464848 \dots$$

A. Georges, O. Parcollet, and  
S. Sachdev,  
PRB **63**, 134406 (2001)

## Complex SYK model

# Many-body density of states

$$D(E) = \sum_i \delta(E - E_i); \quad E_0 + E_i \Rightarrow \text{Many body eigenvalue}$$



$$D(E) \sim 2 e^{Ns_0} \sqrt{2N\gamma E}$$

No quasiparticle decomposition of many-body states

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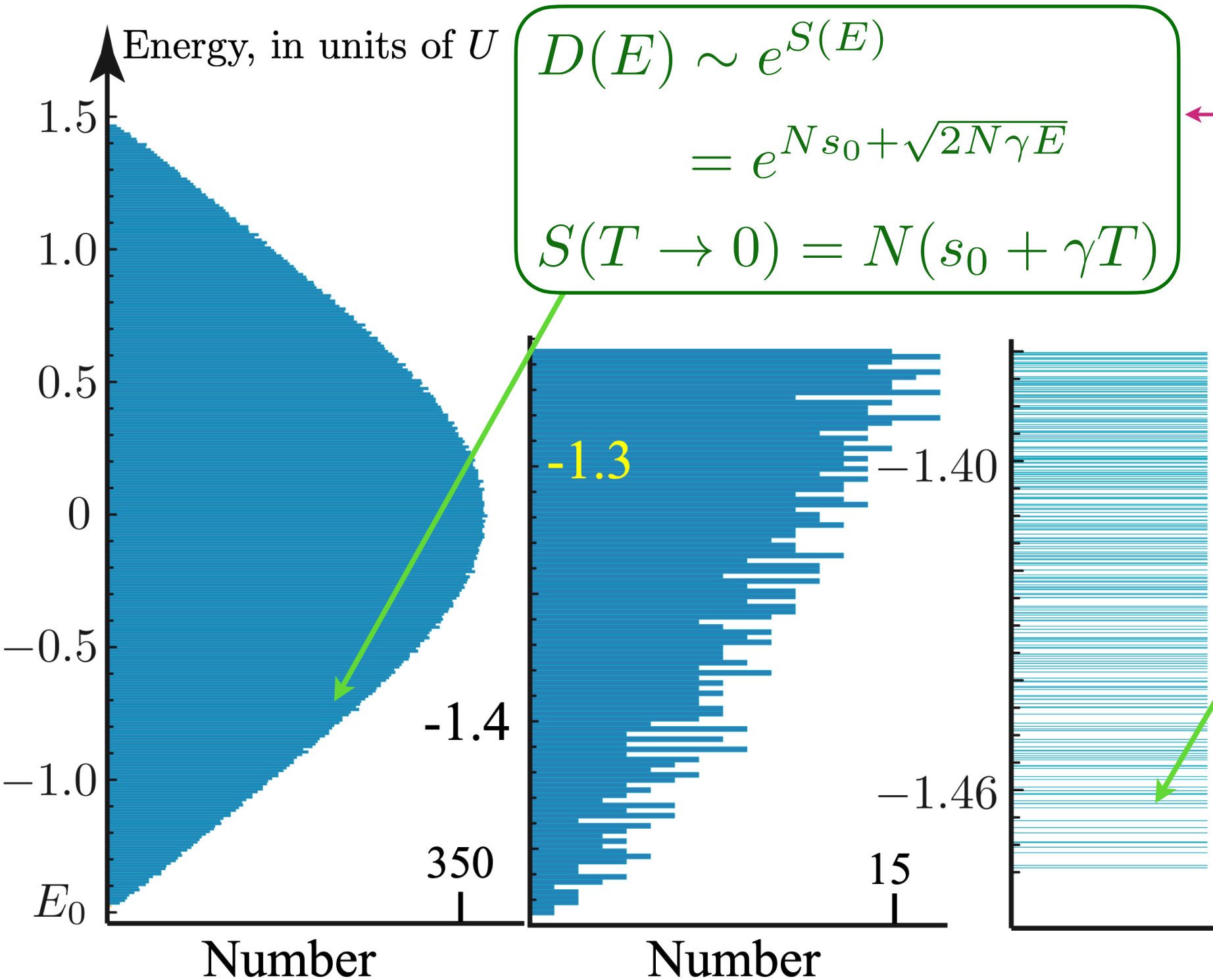
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$$S(T) = N(s_0 + \gamma T) - \frac{3}{2} \ln \left( \frac{U}{T} \right)$$

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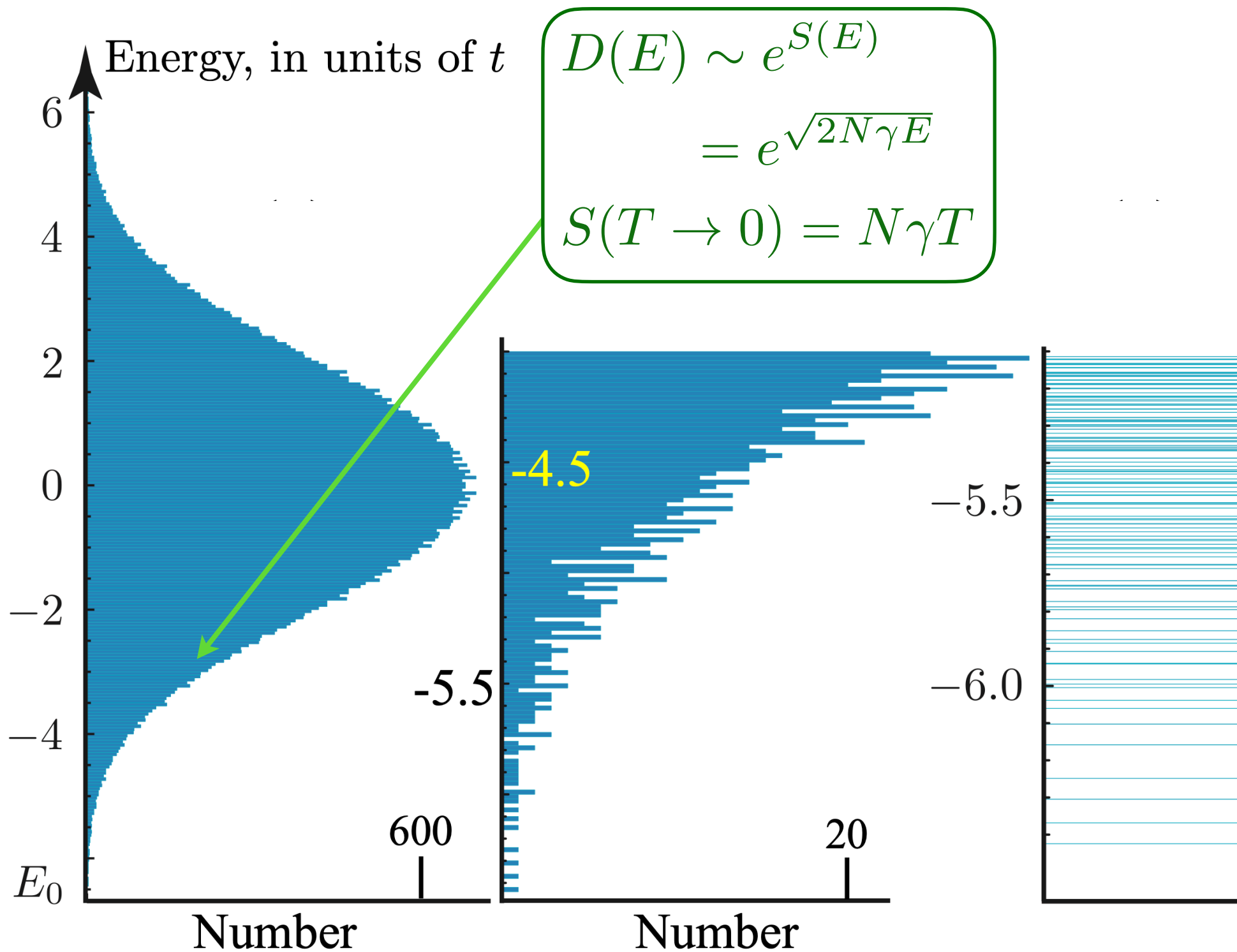
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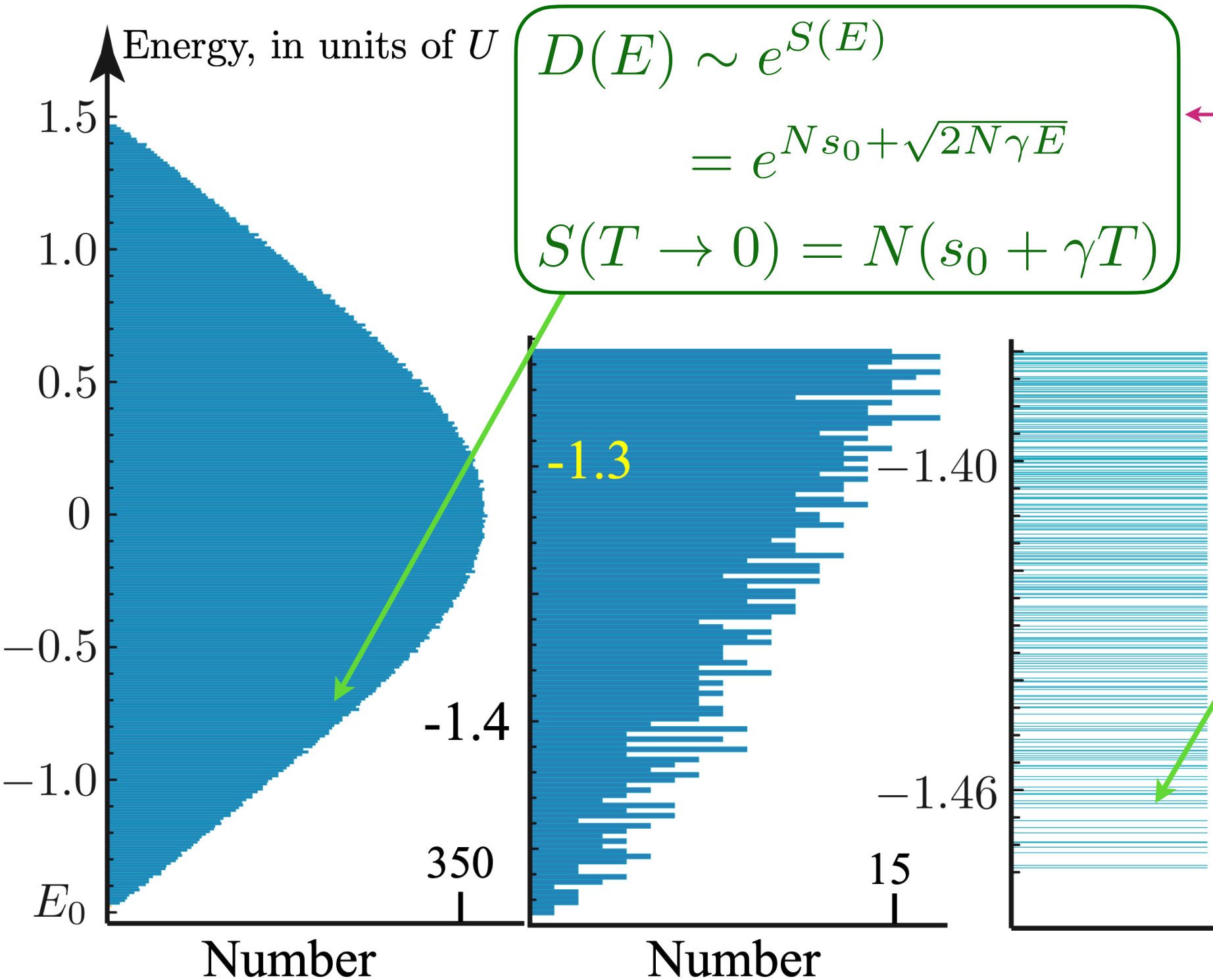
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A. Georges, O. Parcollet, and S. Sachdev, PRB **63**, 134406 (2001)

## Complex SYK model

# Thermodynamics of quantum black holes:

$$\int \mathcal{D}g_{\mu\nu} \exp\left(-\frac{1}{\hbar} \mathcal{S}_{\text{Einstein gravity}}^{(d+1)}[g_{\mu\nu}]\right)$$
$$= \exp(S_{BH}) \times \left( \dots????\dots \right)$$

Metric of  
spacetime

Gibbons, Hawking (1977)

$$S_{BH} = \frac{Ac^3}{4G\hbar}$$

( $\hbar/(k_B T)$  is the length of the Euclidean time circle)

$A$  is the area of the black hole horizon.

Interpretation: Black hole entropy is  
entanglement entropy across the horizon.

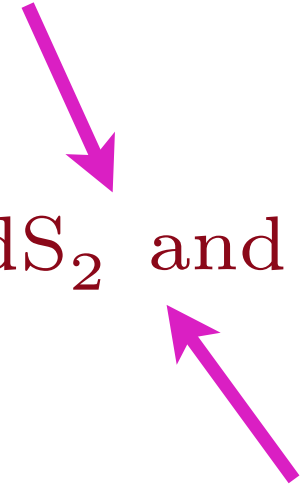
# SYK model and charged black holes

Thermodynamics of charged quantum black holes

$$\int \mathcal{D}g_{\mu\nu} \exp \left( -\frac{1}{\hbar} \mathcal{S}_{\text{Einstein-Maxwell theory}}^{(3+1)}[g_{\mu\nu}] \right) \quad T \rightarrow 0,$$
$$\approx \int \mathcal{D}g_{\mu\nu} \exp \left( -\frac{1}{\hbar} \mathcal{S}_{\text{Gravity of AdS}_2 \text{ and boundary}}^{(1+1)}[g_{\mu\nu}] \right)$$

# SYK model and charged black holes

Thermodynamics of charged quantum black holes

$$\begin{aligned} & \int \mathcal{D}g_{\mu\nu} \exp\left(-\frac{1}{\hbar} \mathcal{S}_{\text{Einstein-Maxwell theory}}^{(3+1)}[g_{\mu\nu}]\right) \quad T \rightarrow 0, \\ & \approx \int \mathcal{D}g_{\mu\nu} \exp\left(-\frac{1}{\hbar} \mathcal{S}_{\text{Gravity of AdS}_2 \text{ and boundary}}^{(1+1)}[g_{\mu\nu}]\right) \\ & = \exp(S_{BH}) \times \exp\left(-\frac{1}{T} \times \text{Free energy of SYK model}\right) \end{aligned}$$


**The hologram of the 1+1 dimensional gravity near the horizon of a charged black hole is the 0+1 dimensional SYK model**

# SYK model and charged black holes

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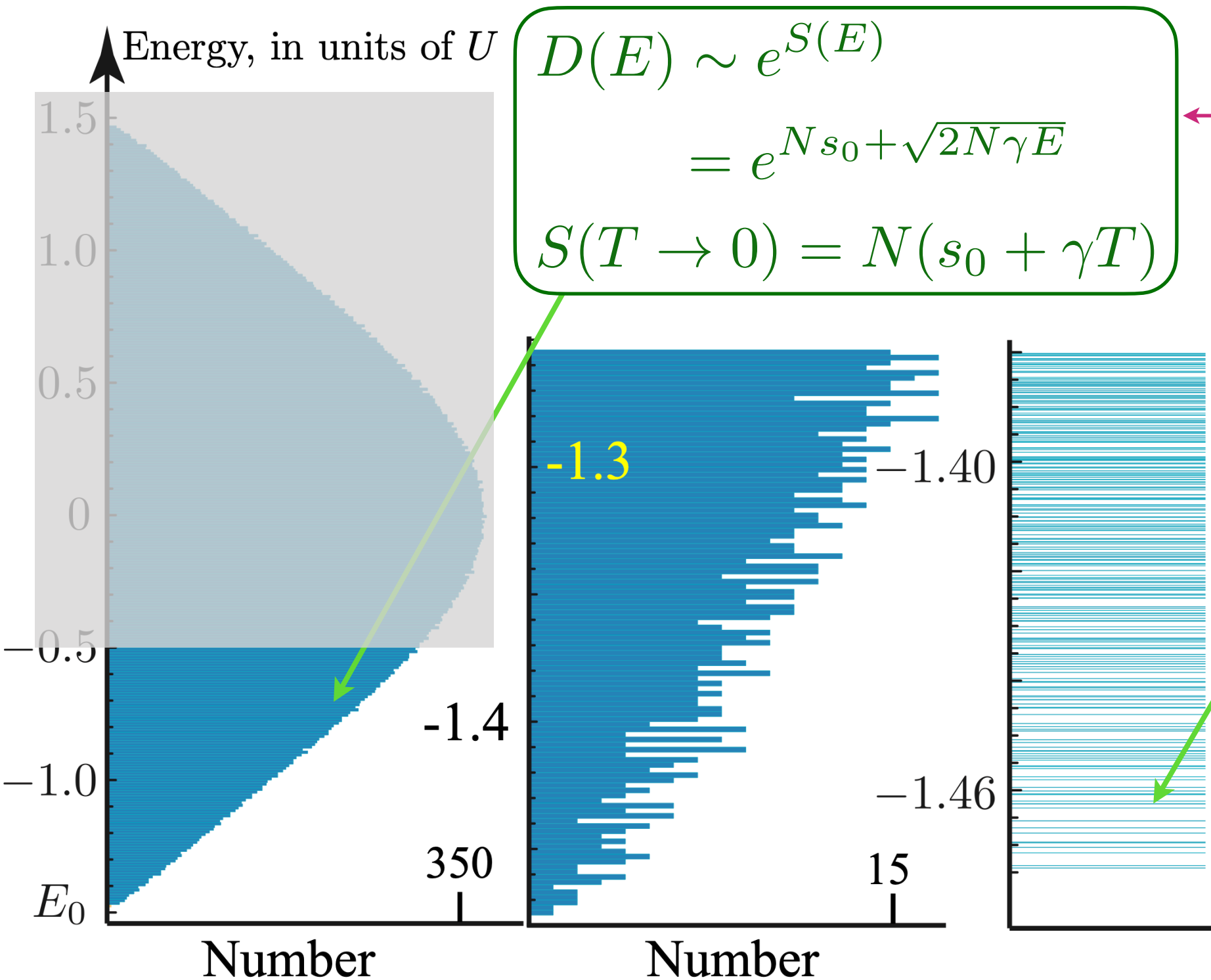
$$S(T \rightarrow 0, Q) = S_{BH} - \frac{3}{4} \ln \left( \frac{\hbar c^5}{GT^2} \right)$$

$$S_{BH} = \frac{Ac^3}{4G\hbar} \left( 1 + \frac{2(\pi A)^{1/2}T}{\hbar c} \right)$$

$A$  is the area of the charged black hole horizon at  $T = 0$ ,  $Q$  is the black hole charge. The  $\ln T$  term is the contribution of the boundary graviton.

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Complex SYK model

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3. Random t-J model

# Time reparameterization symmetry and 2D gravity

After introducing replicas  $a = 1 \dots n$ , and integrating out the disorder, the partition function can be written as

$$Z = \int \mathcal{D}c_{\alpha a}(\tau) \exp \left[ - \sum_{ia} \int_0^\beta d\tau c_{\alpha a}^\dagger \left( \frac{\partial}{\partial \tau} - \mu \right) c_{\alpha a} - \frac{U^2}{4N^3} \sum_{ab} \int_0^\beta d\tau d\tau' \left| \sum_i c_{\alpha a}^\dagger(\tau) c_{\alpha b}(\tau') \right|^4 \right].$$

For simplicity, we neglect the replica indices, and introduce the identity

$$1 = \int \mathcal{D}G(\tau_1, \tau_2) \mathcal{D}\Sigma(\tau_1, \tau_2) \exp \left[ -N \int_0^\beta d\tau_1 d\tau_2 \Sigma(\tau_1, \tau_2) \left( G(\tau_2, \tau_1) + \frac{1}{N} \sum_i c_\alpha(\tau_2) c_\alpha^\dagger(\tau_1) \right) \right].$$



# Time reparameterization symmetry and 2D gravity

Then the partition function can be written as a path integral with an action  $S$  analogous to a Luttinger-Ward functional

$$Z = \int \mathcal{D}G(\tau_1, \tau_2) \mathcal{D}\Sigma(\tau_1, \tau_2) \exp(-NS)$$

$$S = \ln \det [\delta(\tau_1 - \tau_2)(\partial_{\tau_1} + \mu) - \Sigma(\tau_1, \tau_2)] \\ + \int d\tau_1 d\tau_2 [\Sigma(\tau_1, \tau_2)G(\tau_2, \tau_1) + (U^2/2)G^2(\tau_2, \tau_1)G^2(\tau_1, \tau_2)]$$

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At frequencies  $\ll U$ , the time derivative in the determinant is less important, and without it the path integral is invariant under the reparameterization and gauge transformations

$$\tau = f(\sigma)$$

$$G(\tau_1, \tau_2) = [f'(\sigma_1) f'(\sigma_2)]^{-1/4} \frac{g(\sigma_1)}{g(\sigma_2)} \tilde{G}(\sigma_1, \sigma_2)$$

$$\Sigma(\tau_1, \tau_2) = [f'(\sigma_1) f'(\sigma_2)]^{-3/4} \frac{g(\sigma_1)}{g(\sigma_2)} \tilde{\Sigma}(\sigma_1, \sigma_2)$$

where  $f(\sigma)$  and  $g(\sigma)$  are arbitrary functions.

A. Georges and O. Parcollet  
PRB **59**, 5341 (1999)

A. Kitaev, 2015

S. Sachdev, PRX **5**, 041025 (2015)

# Time reparameterization symmetry and 2D gravity

## Reparametrization and phase zero modes

We can write the path integral for the SYK model as

$$\mathcal{Z} = \int \mathcal{D}G(\tau_1, \tau_2) \mathcal{D}\Sigma(\tau_1, \tau_2) e^{-NS[G, \Sigma]}$$

for a known action  $S[G, \Sigma]$ . We find the saddle point,  $G_s, \Sigma_s$ , and only focus on the “Nambu-Goldstone” modes associated with breaking reparameterization and U(1) gauge symmetries by writing

$$G(\tau_1, \tau_2) = [f'(\tau_1)f'(\tau_2)]^{1/4} G_s(f(\tau_1) - f(\tau_2)) e^{i\phi(\tau_1) - i\phi(\tau_2)}$$

(and similarly for  $\Sigma$ ). Then the path integral is approximated by

$$\mathcal{Z} = \int \mathcal{D}f(\tau) \mathcal{D}\phi(\tau) e^{-E_0/T + NS(E_0) - NS_{\text{eff}}[f, \phi]},$$

where  $E_0 \propto N$  is the ground state energy.

J. Maldacena and D. Stanford, arXiv:1604.07818;  
R. Davison, Wenbo Fu, A. Georges, Yingfei Gu, K. Jensen, S. Sachdev, arXiv:1612.00849;  
S. Sachdev, PRX **5**, 041025 (2015); J. Maldacena, D. Stanford, and Zhenbin Yang, arXiv:1606.01857;  
K. Jensen, arXiv:1605.06098; J. Engelsoy, T.G. Mertens, and H. Verlinde, arXiv:1606.03438

# Time reparameterization symmetry and 2D gravity

Symmetry arguments, and explicit computations, show that the effective action is

$$S_{\text{eff}}[f, \phi] = \frac{NK}{2} \int_0^{1/T} d\tau (\partial_\tau \phi + i(2\pi\mathcal{E}T)\partial_\tau f)^2 - \frac{N\gamma}{4\pi^2} \int_0^{1/T} d\tau \{ \tan(\pi T f(\tau)), \tau \},$$

where  $f(\tau)$  is a monotonic map from  $[0, 1/T]$  to  $[0, 1/T]$ , the couplings  $K$ ,  $\gamma$ , and  $\mathcal{E}$  can be related to thermodynamic derivatives and we have used the Schwarzian:

$$\{g, \tau\} \equiv \frac{g'''}{g'} - \frac{3}{2} \left( \frac{g''}{g'} \right)^2.$$

Specifically, an argument constraining the effective at  $T = 0$  is

$$S_{\text{eff}} \left[ f(\tau) = \frac{a\tau + b}{c\tau + d}, \phi(\tau) = 0 \right] = 0,$$

and this is origin of the Schwarzian.

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The same effective action is obtained for the boundary graviton of 2D gravity on  $\text{AdS}_2$ .

- Exact evaluation of the path integral over  $f(\tau)$  and  $\phi(\tau)$  leads to the many-body density of states

$$D(E) \sim 2e^{S_0} \sinh(\sqrt{2N\gamma E})$$

- Saddle-point shift leads to a correction to the Green's function:

$$G(\tau) \sim \frac{\text{sgn}(\tau)}{\sqrt{|\tau|}} \left( 1 + \frac{\alpha_G}{|\tau|} + \dots \right)$$

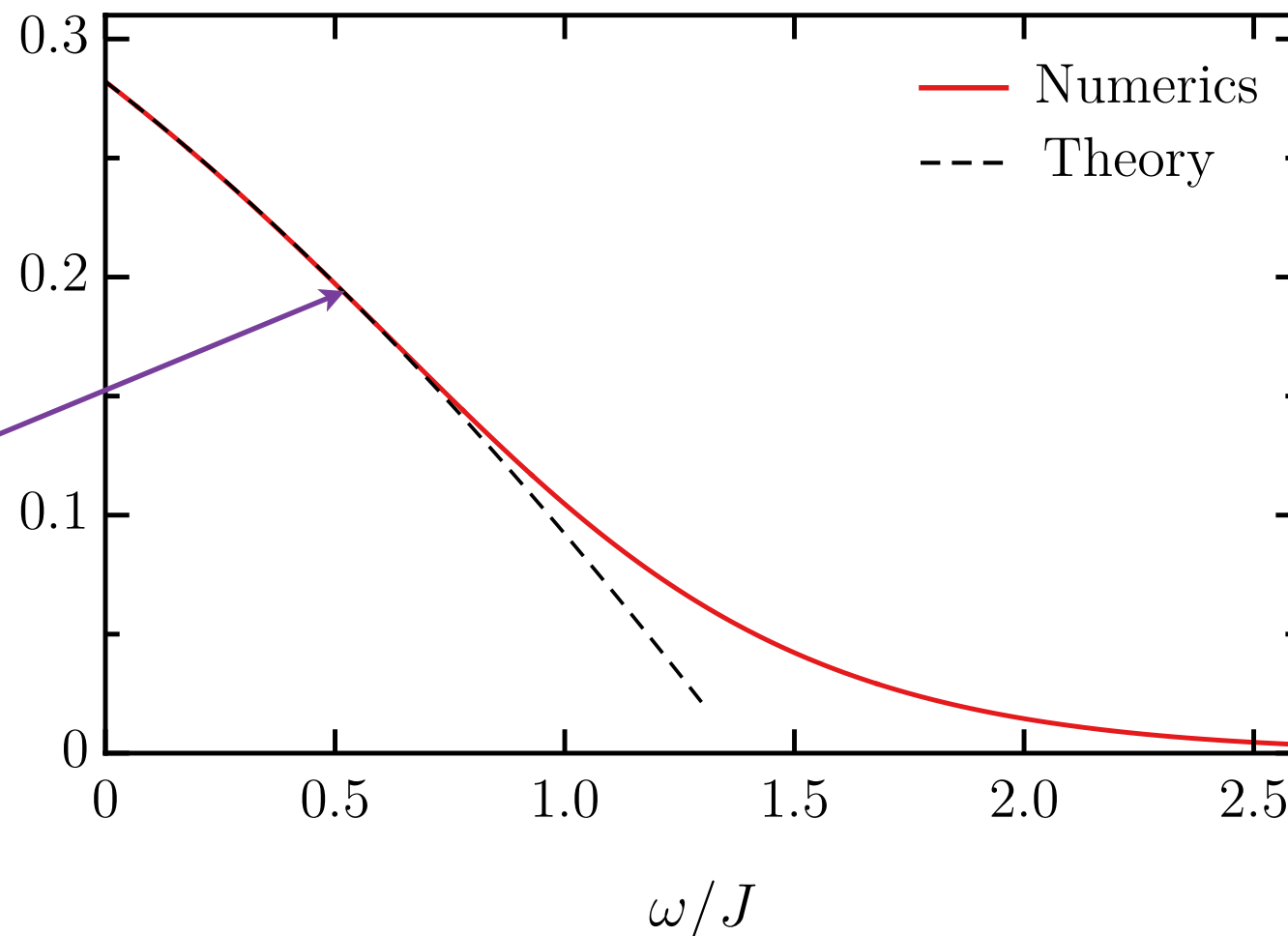
From this, we can compute the susceptibility  $\chi(\tau) \sim G(\tau)G(-\tau)$

# Consequences of 2D-gravity for the dynamic spin susceptibility of SYK model

$$\chi_L(\omega) = \sum_n |\langle 0 | S_{+i} | n \rangle|^2 \delta(\hbar\omega - E_n + E_0), \text{ (at } T = 0)$$

$$\text{Im}\chi_L(\omega) \sim \text{sgn}(\omega) \left[ 1 - \mathcal{C}\gamma|\omega| - \frac{7}{16}(\mathcal{C}\gamma)^2|\omega|^2 - \mathcal{C}'|\omega|^{2.77354\dots} + \frac{37}{48}(\mathcal{C}\gamma)^3|\omega|^3 - \dots \right]$$

Numerical solution of SYK equations (SY, PRL 1993), compared with conformal perturbation theory.  
 $\mathcal{C}$  is the co-efficient of the action for the ‘boundary graviton’ in holographic dual.



Correction  
from the  
boundary  
graviton

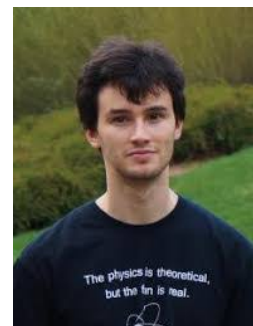
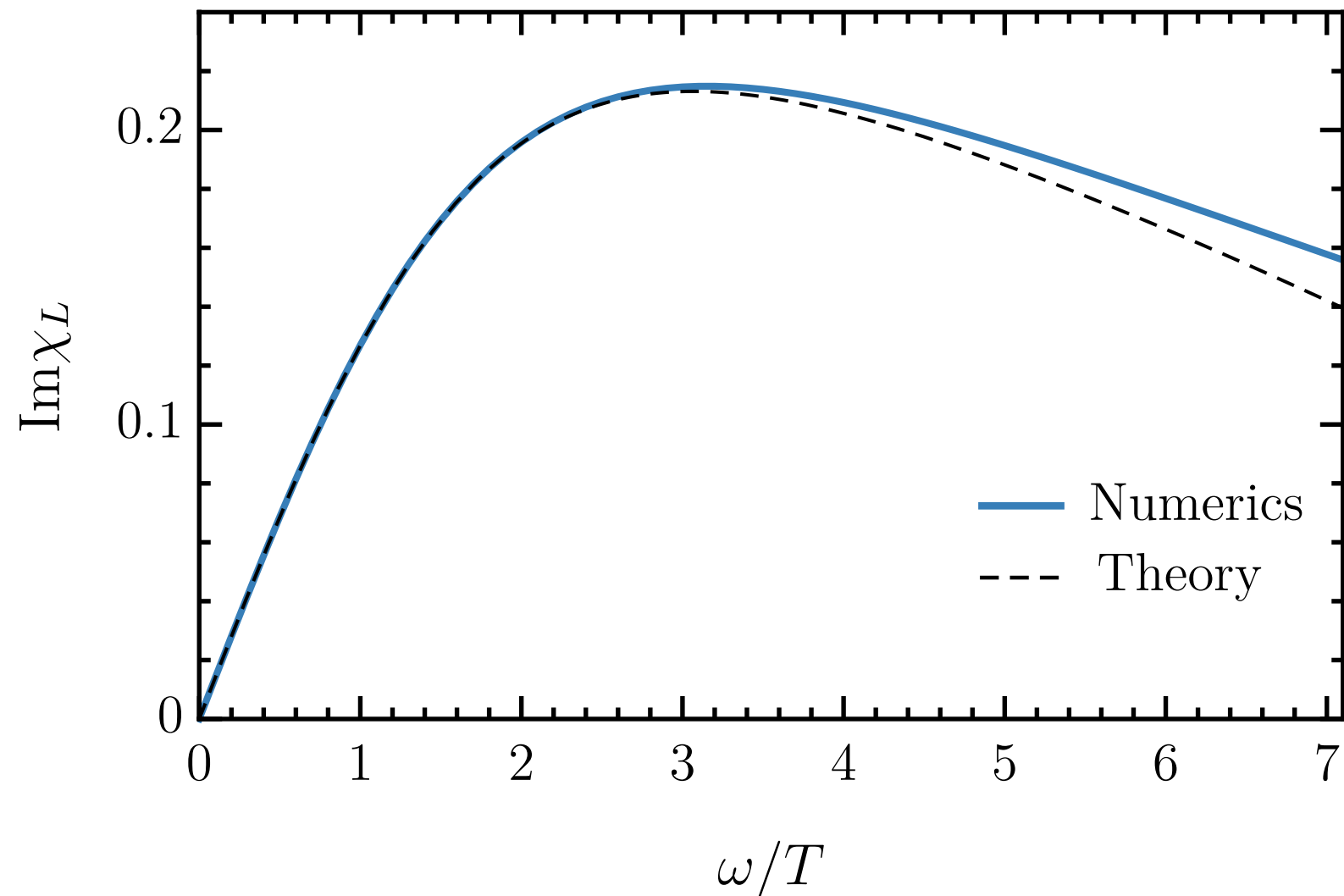




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$$\chi_L(\omega) \sim \tanh\left(\frac{\hbar\omega}{2k_B T}\right) \left[ 1 - C\gamma\omega \tanh\left(\frac{\hbar\omega}{2k_B T}\right) - \dots \right]$$





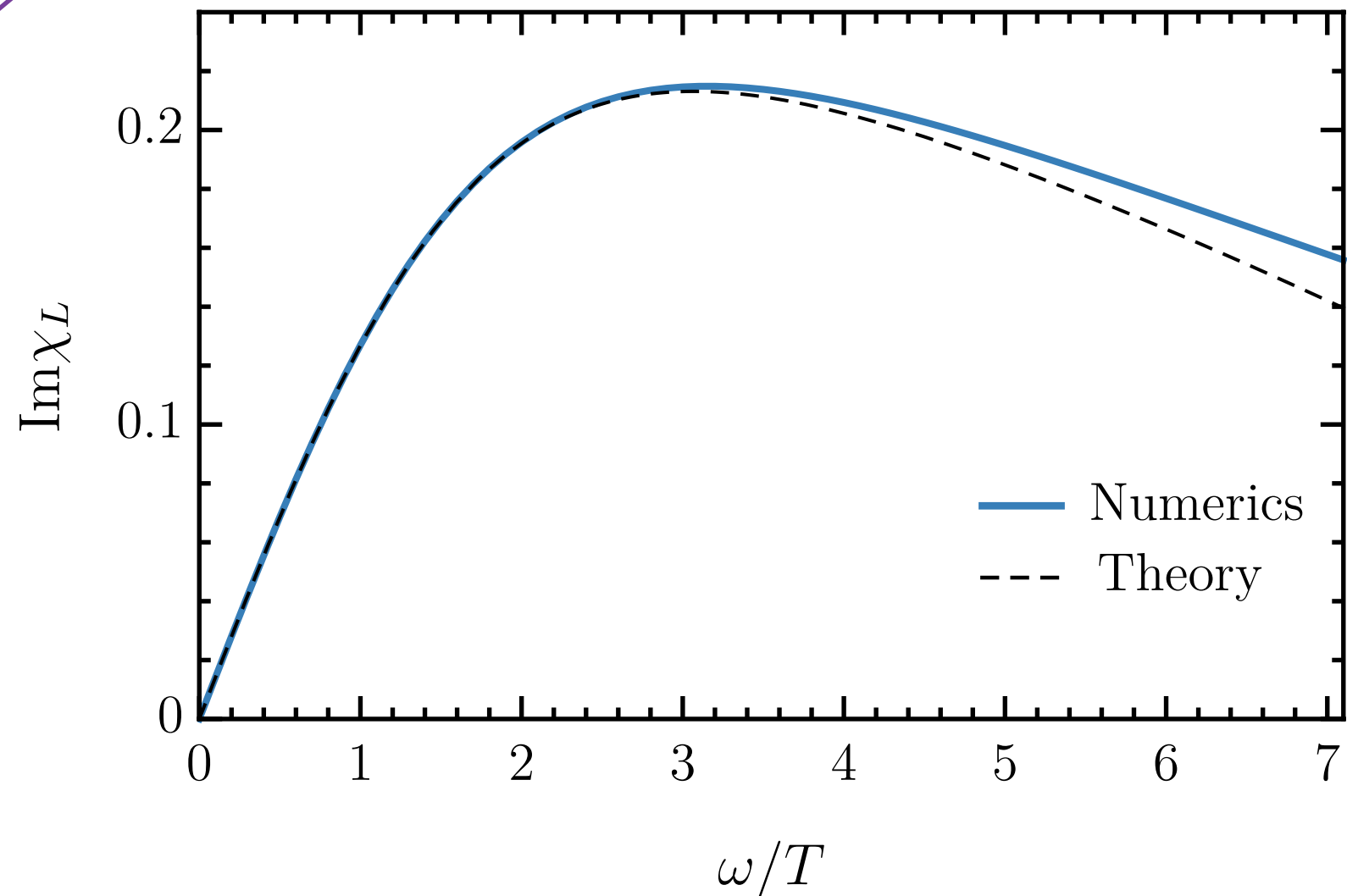
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Conformally (SL(2,R))  
invariant result with  
characteristic dissipative  
time  $\sim \hbar/(k_B T)$

A. Georges and O. Parcollet  
PRB **59**, 5341 (1999)

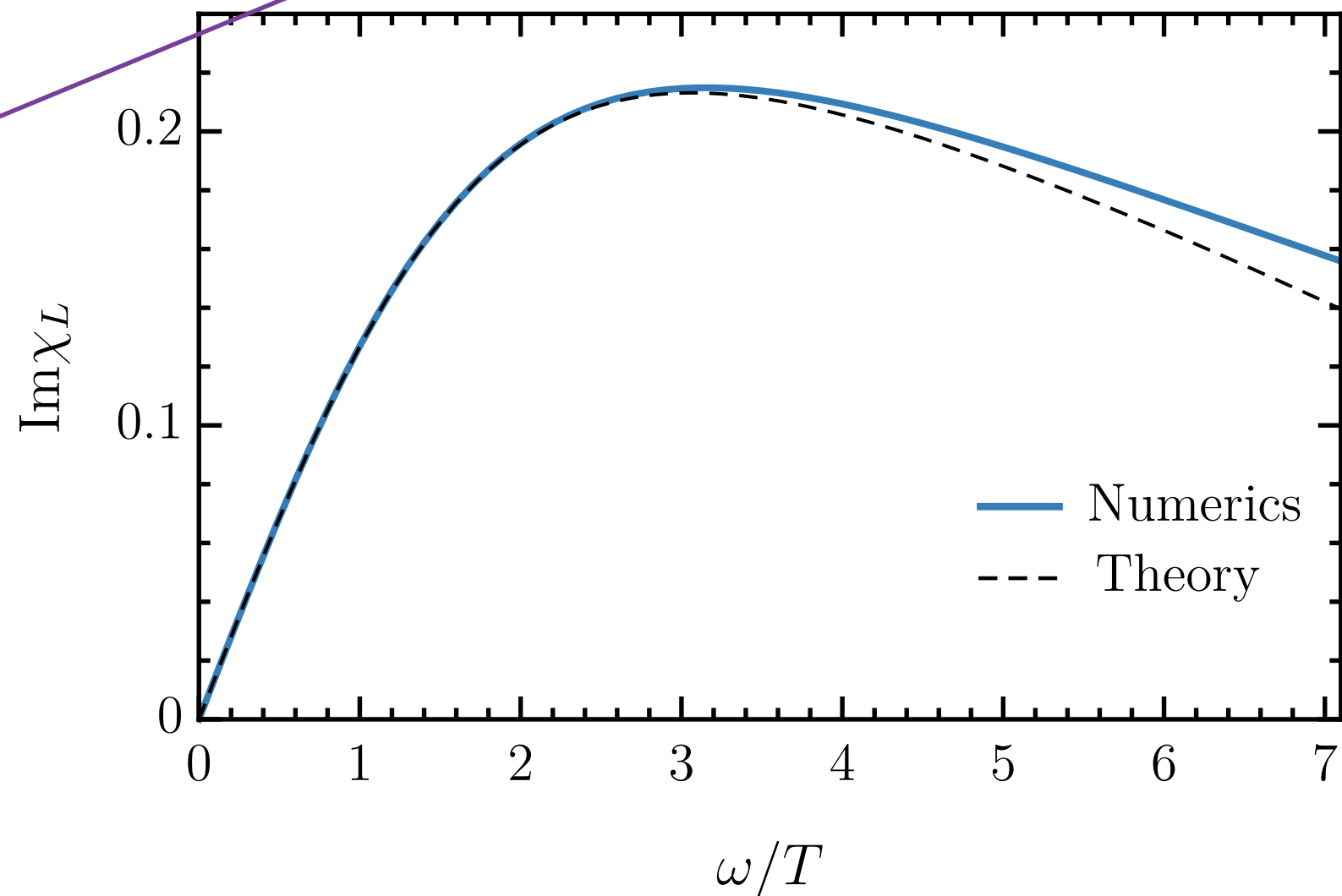


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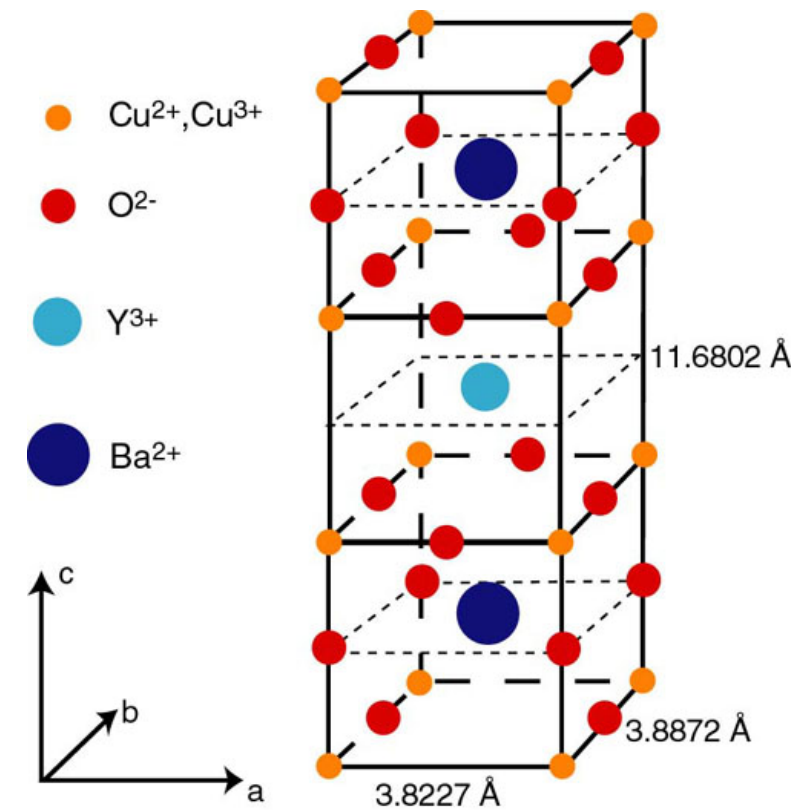
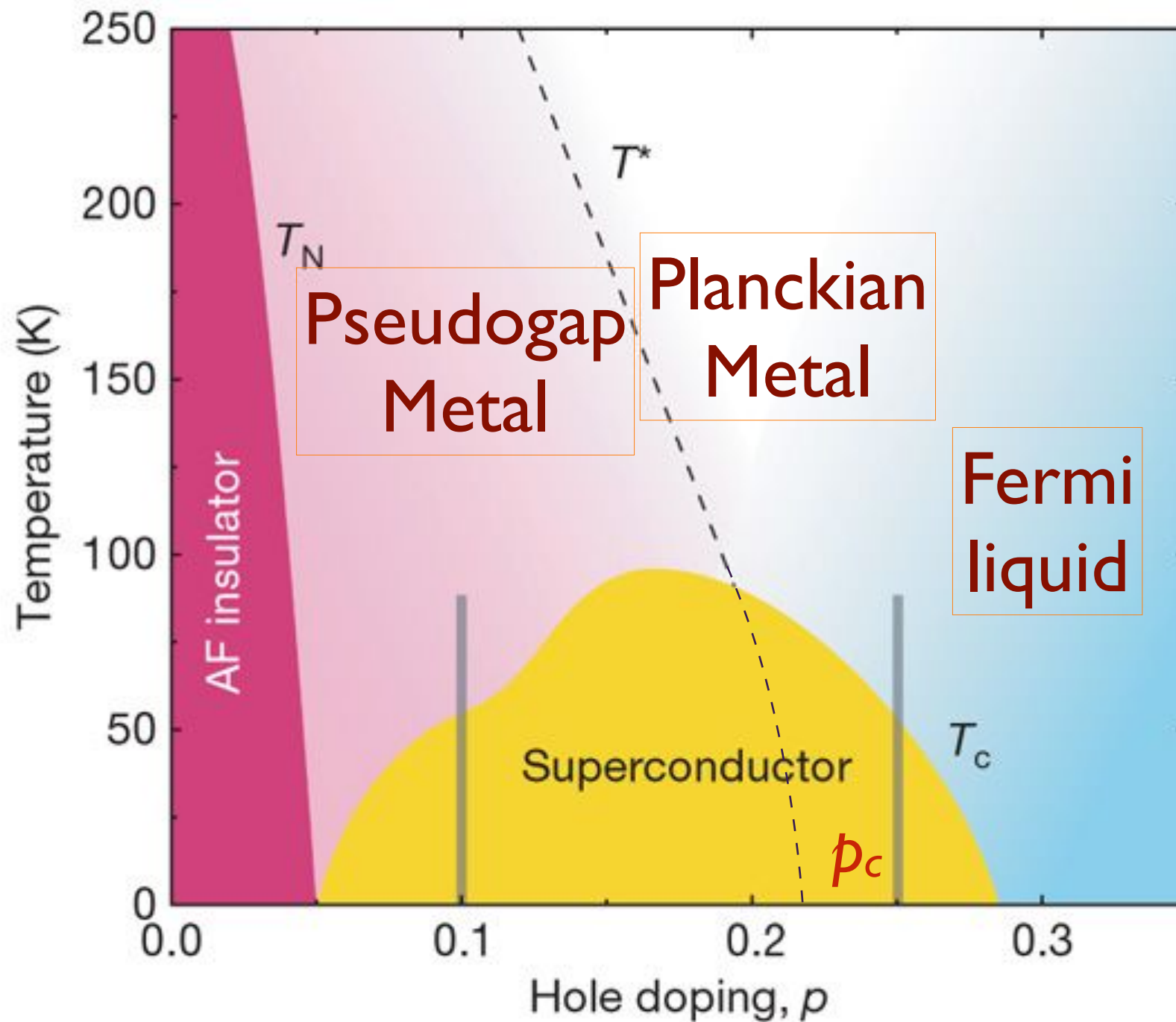
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Correction  
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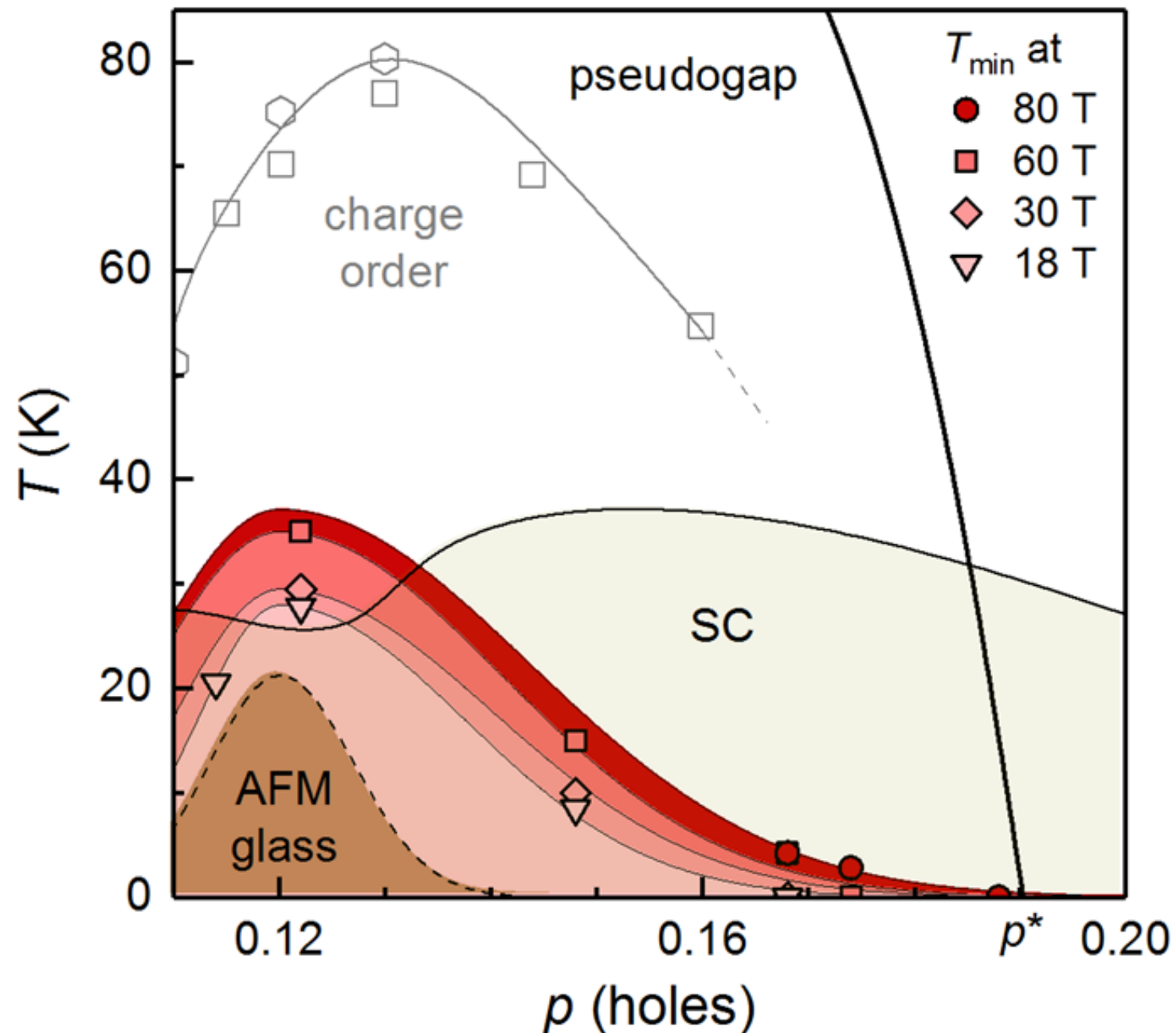


(Extended) SYK models have “Planckian” behavior, but cannot obtain pseudogap metal or insulator at any  $U$ : missing local “Mott” repulsion

# Hidden magnetism at the pseudogap critical point of a high temperature superconductor

Nature Physics **16**, 1064 (2020)

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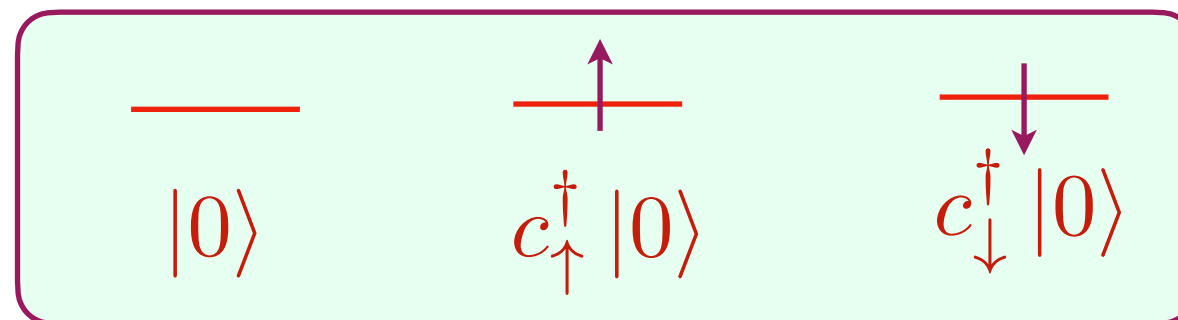
# t-J model

$$H = -\frac{1}{\sqrt{N}} \sum_{i,j=1}^N t_{ij} c_{i\alpha}^\dagger c_{j\alpha} + \frac{1}{\sqrt{N}} \sum_{i<j=1}^N J_{ij} \vec{S}_i \cdot \vec{S}_j$$

We consider the hole-doped case, with no double occupancy.

$$\alpha = \uparrow, \downarrow, \quad \{c_{i\alpha}, c_{j\beta}^\dagger\} = \delta_{ij} \delta_{\alpha\beta}, \quad \{c_{i\alpha}, c_{j\beta}\} = 0$$

$$\vec{S}_i = \frac{1}{2} c_{i\alpha}^\dagger \vec{\sigma}_{\alpha\beta} c_{i\beta}, \quad \sum_{\alpha} c_{i\alpha}^\dagger c_{i\alpha} \leq 1, \quad \frac{1}{N} \sum_{i\alpha} c_{i\alpha}^\dagger c_{i\alpha} = 1 - p$$



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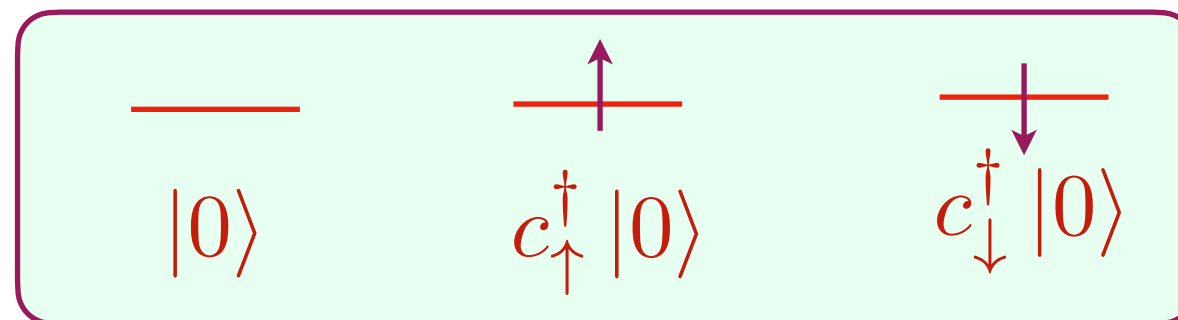
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$$J_{ij} \text{ random, } \overline{J_{ij}} = 0, \quad \overline{J_{ij}^2} = J^2$$

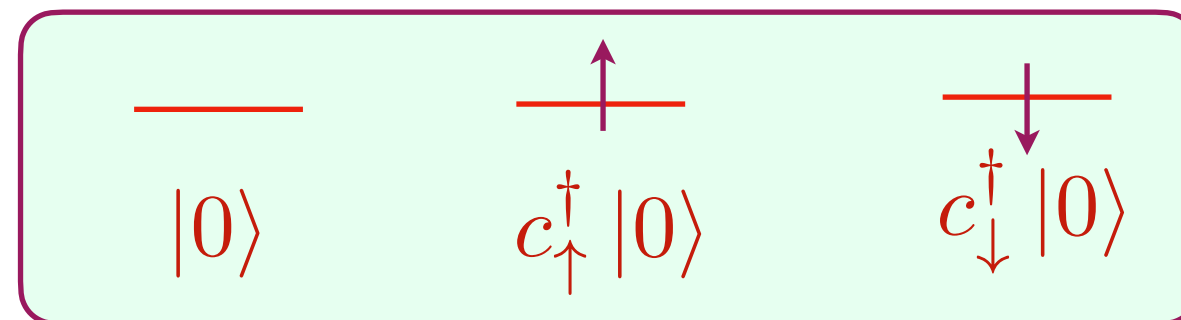
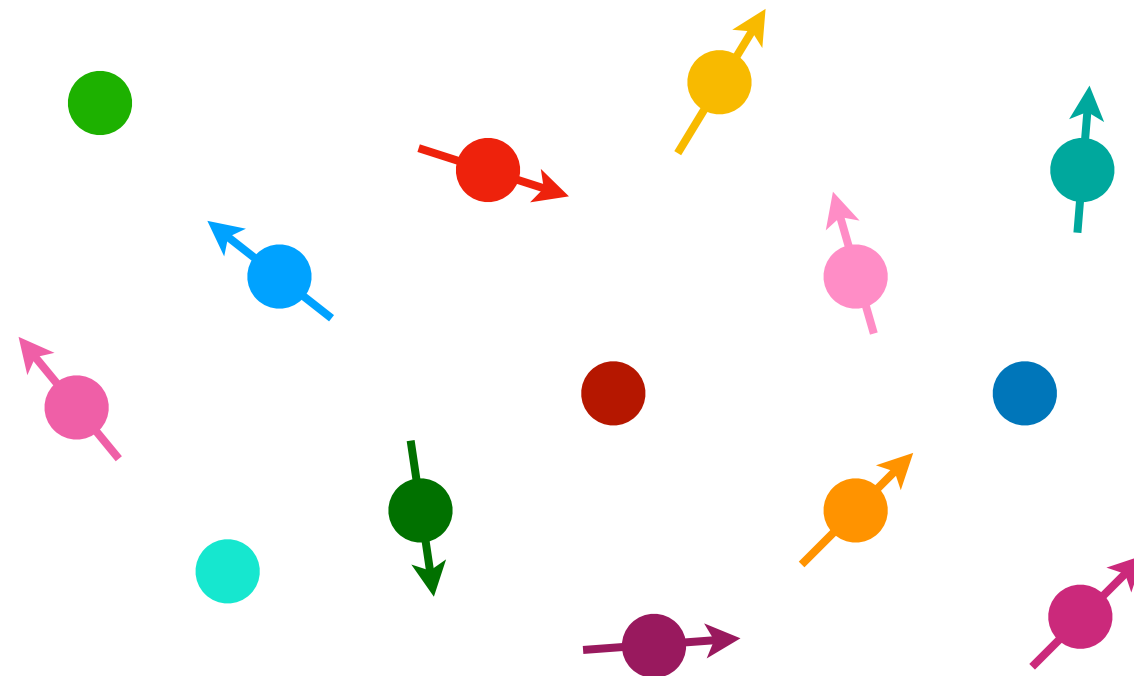
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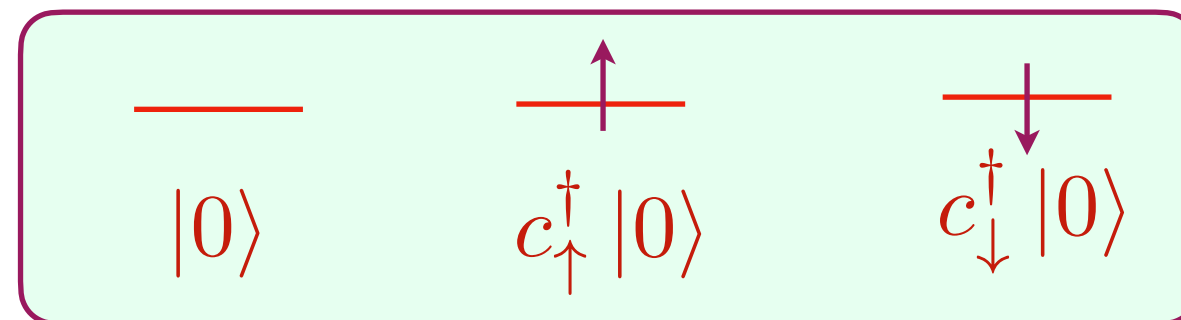
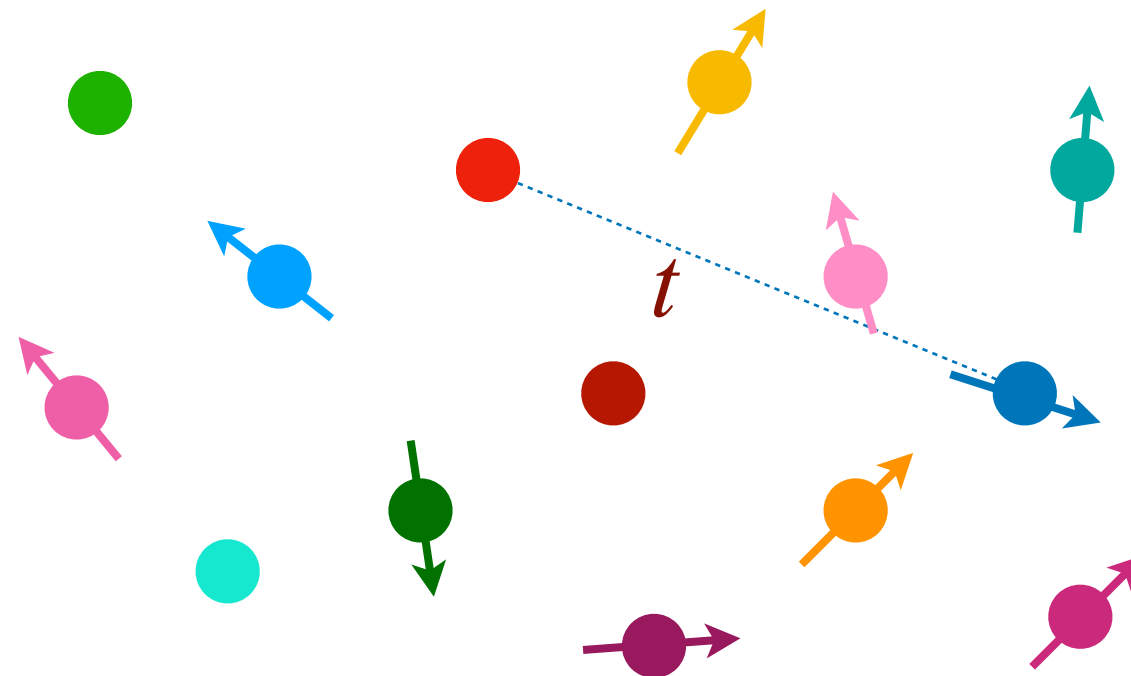




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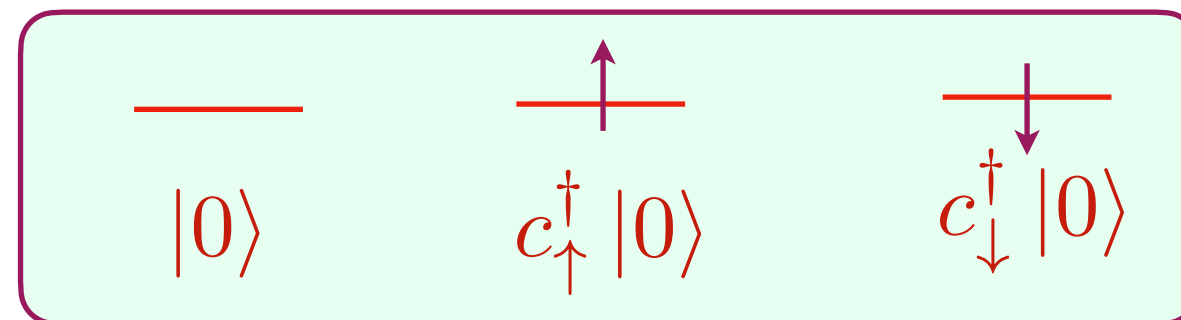
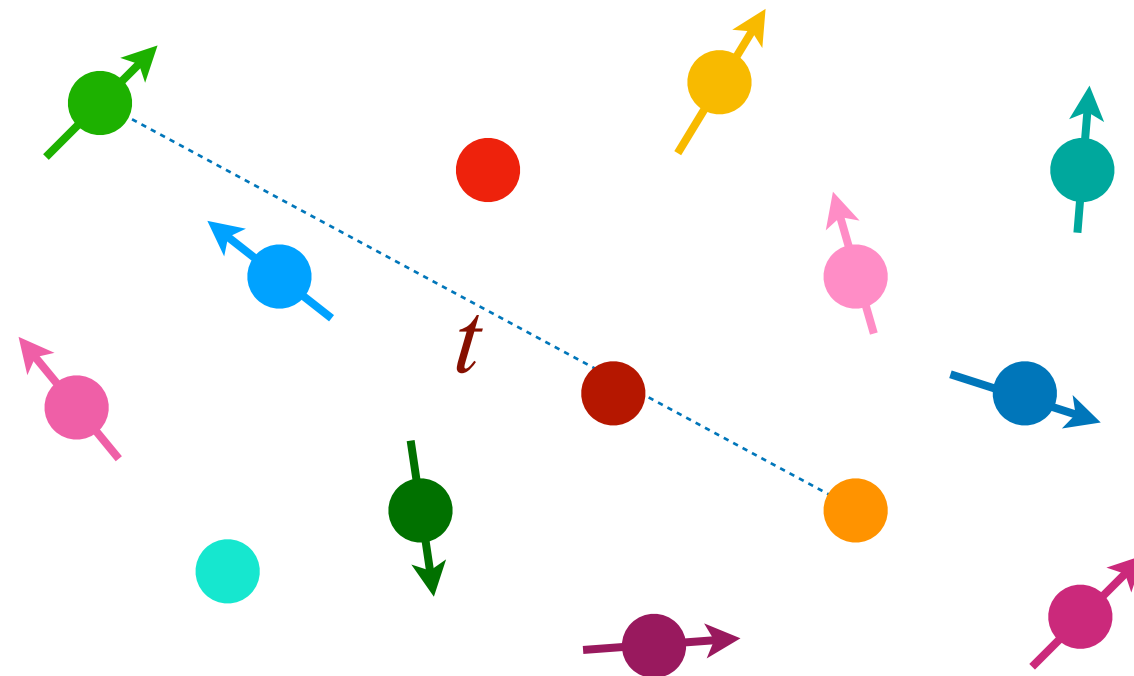
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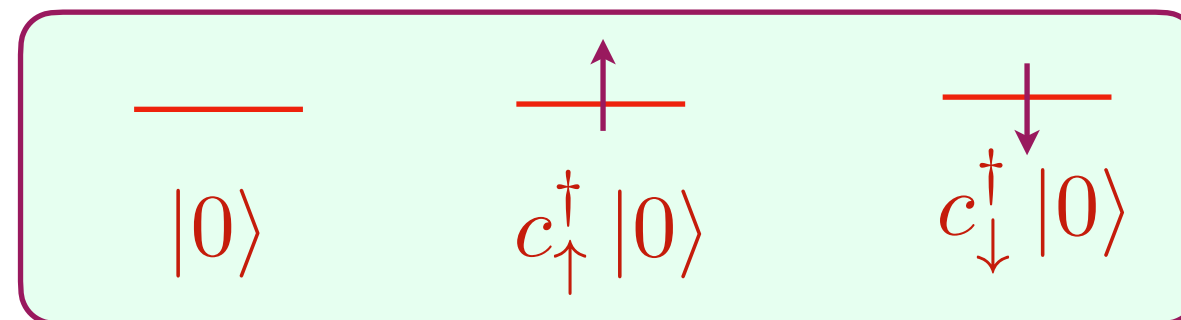
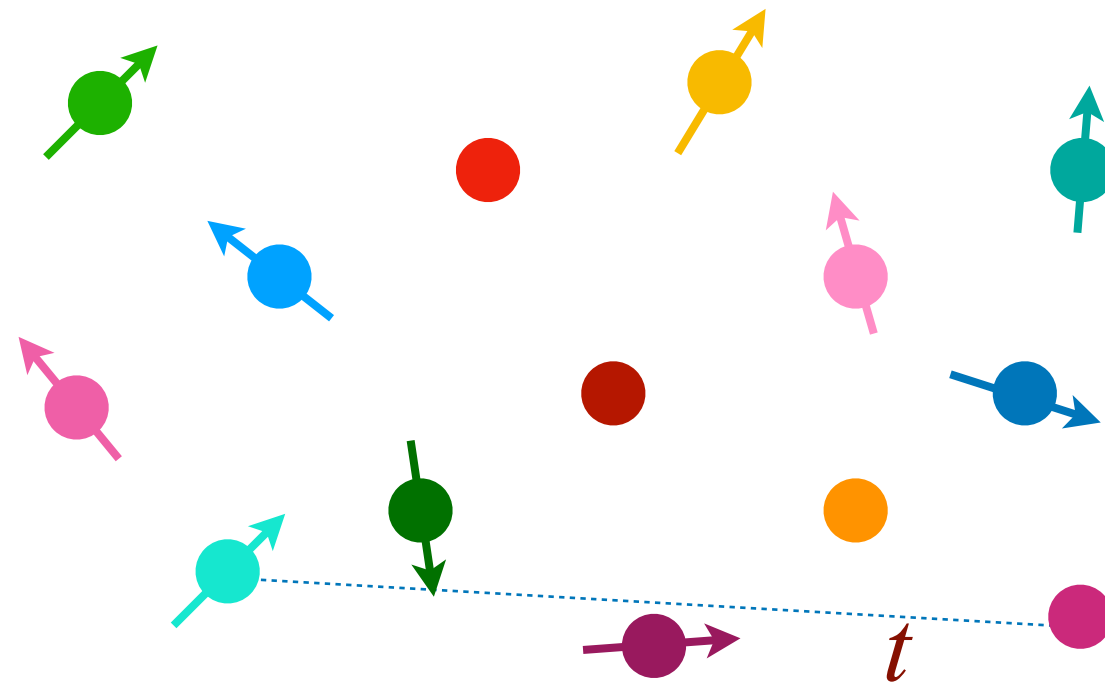
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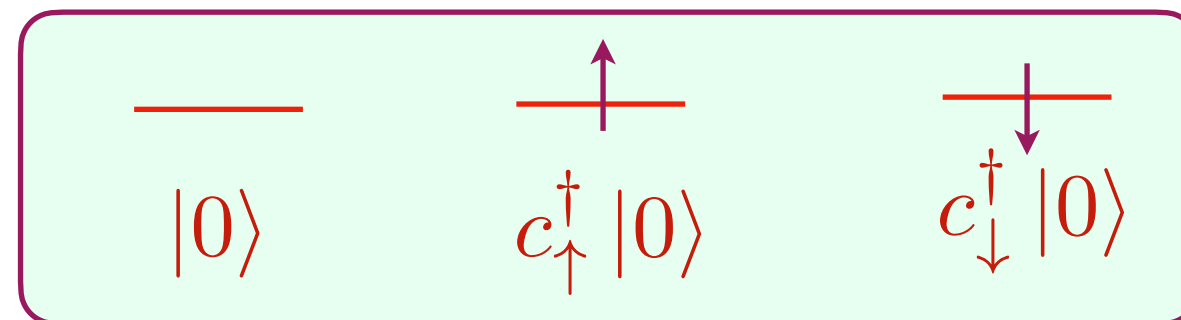
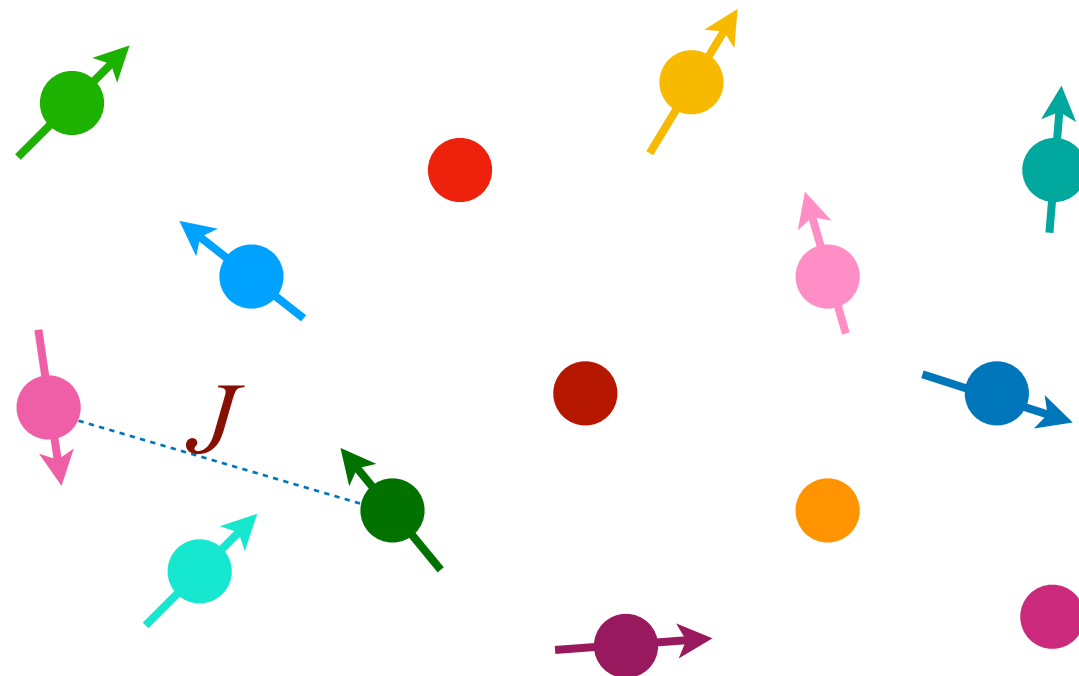
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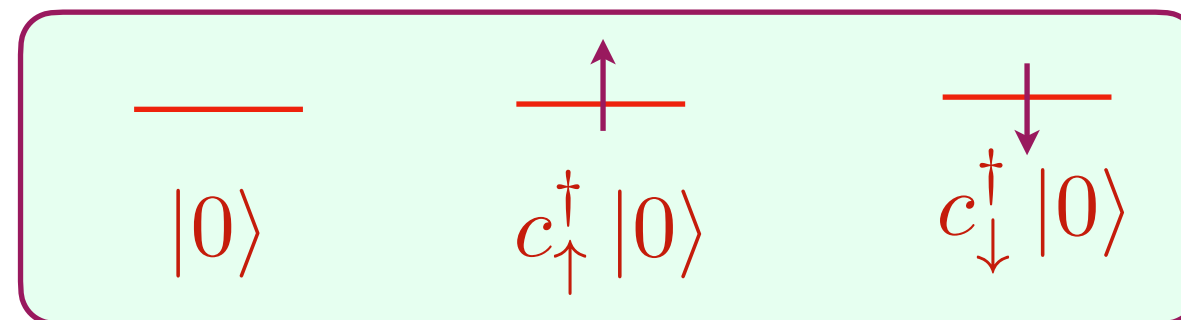
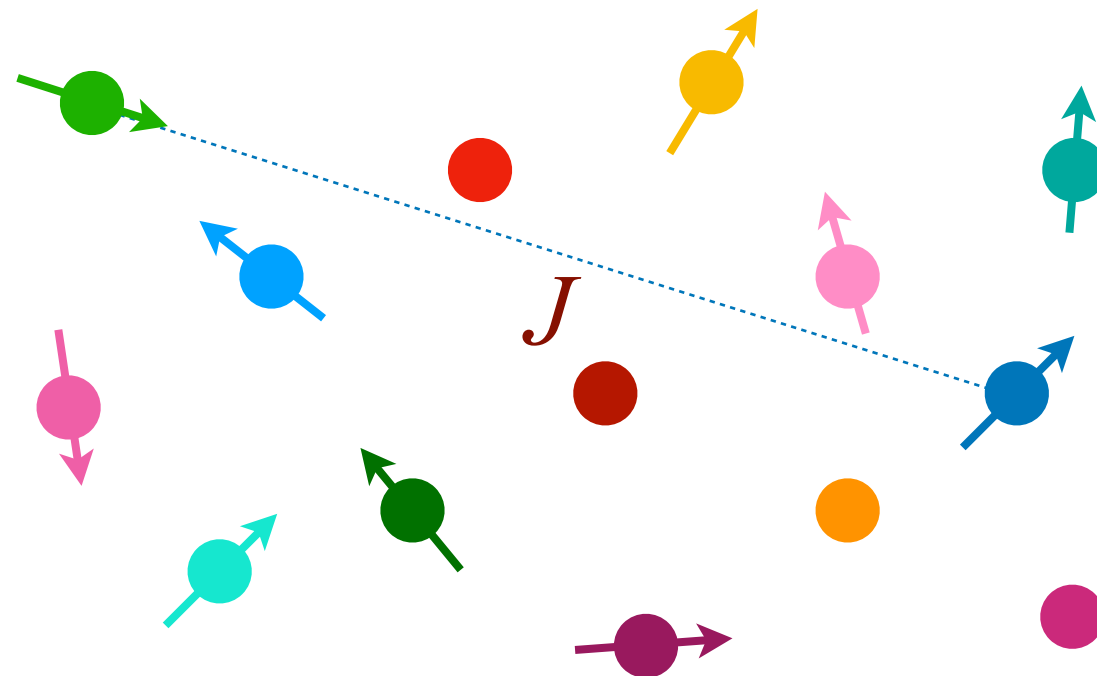
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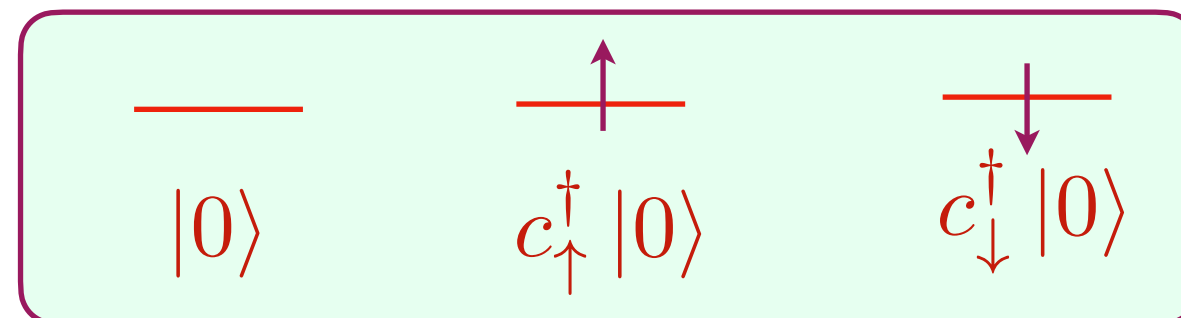
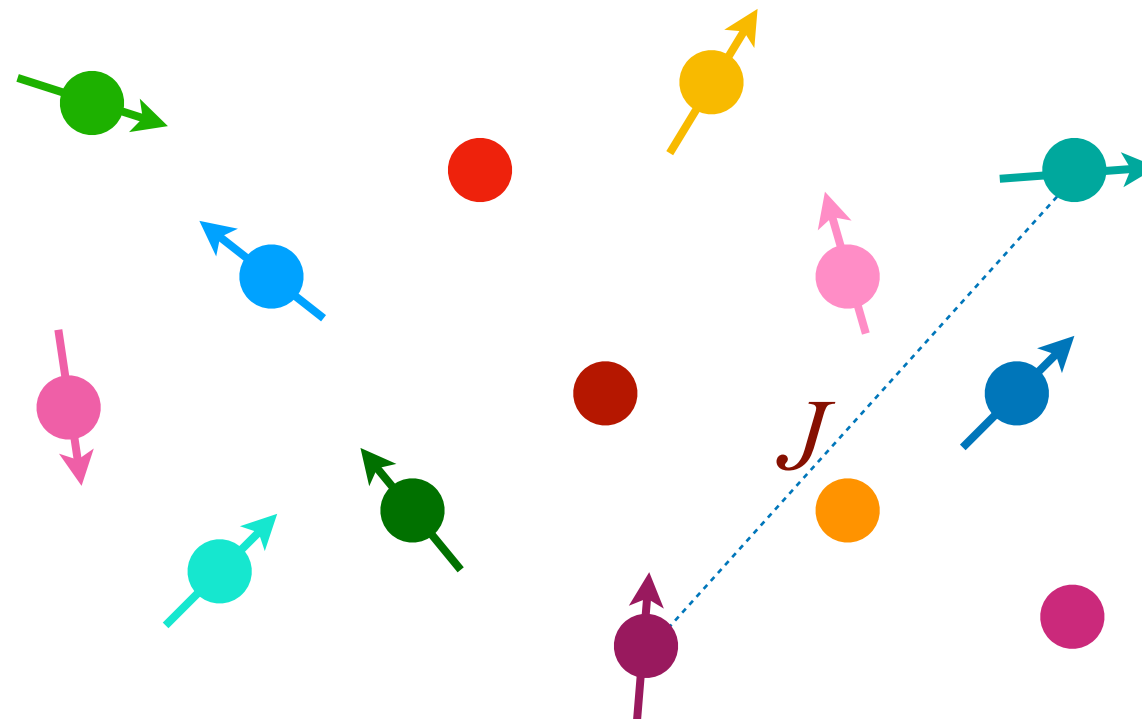
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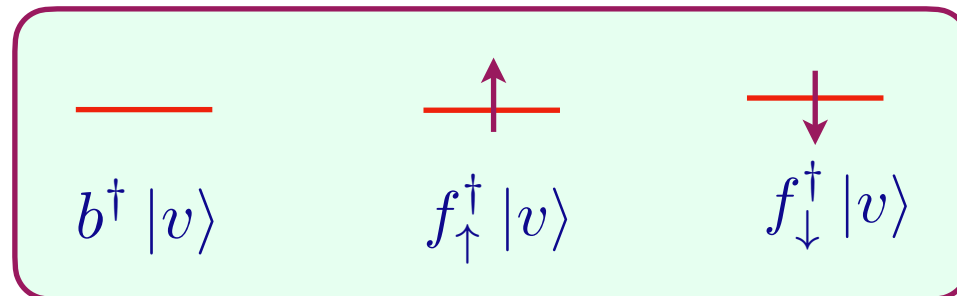
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Each site has 3 states which we map to the ‘*superspin*’ space of a boson  $b$  (the holon) and a fermion  $f_\alpha$  (the spinon):



$$c_\alpha = f_\alpha b^\dagger$$

$$\vec{S} = \frac{1}{2} f_\alpha^\dagger \sigma_{\alpha\beta} f_\beta$$

$$f_\alpha^\dagger f_\alpha + b^\dagger b = 1$$

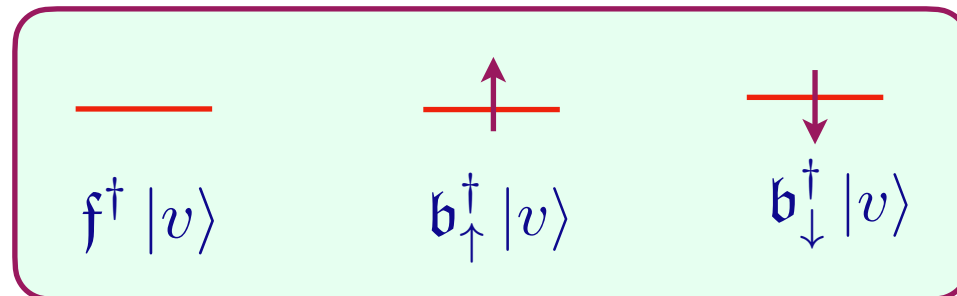
U(1) gauge invariance,  $b \rightarrow be^{i\phi}$ ,  $f_\alpha \rightarrow f_\alpha e^{i\phi}$

The physical electron ( $c_\alpha$ ) and spin ( $\vec{S}$ ) operators are rotations in this SU(1|2) superspin space.

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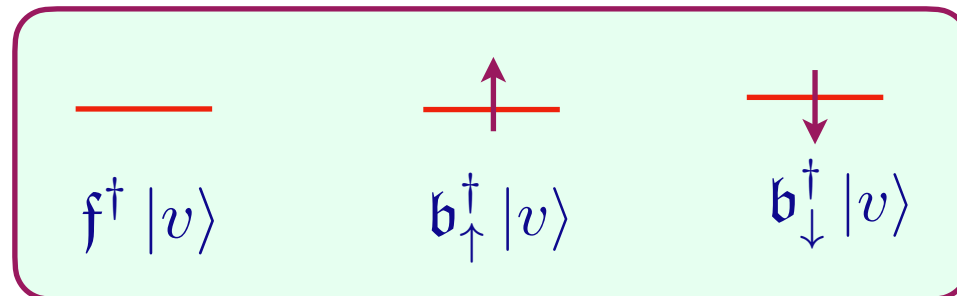
The physical electron ( $c_\alpha$ ) and spin ( $\vec{S}$ ) operators are rotations in this SU(2|1) superspin space.



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Each site has 3 states which we map to the ‘*superspin*’ space of a fermion  $f$  (the holon) and a boson  $b_\alpha$  (the spinon):



$$\text{SU}(1|2) \equiv \text{SU}(2|1)$$

$$c_\alpha = b_\alpha f^\dagger$$

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# Random $t$ - $j$ model and cuprate phase diagram

Metallic  
spin glass.

Disordered  
Fermi liquid.

$$\langle \vec{S}_i(\tau) \cdot \vec{S}_i(0) \rangle \sim \text{constant}$$

$$\langle c_{i\alpha}(\tau) c_{i\alpha}^\dagger(0) \rangle \sim \frac{1}{\tau}$$

$$\langle \vec{S}_i(\tau) \cdot \vec{S}_i(0) \rangle \sim \frac{1}{\tau^2}$$

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$p_c$

$p$

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SU(1|2) theory

Disordered  
Fermi liquid.  
Condense holon  $b$ ,  
 $f_\alpha$  carrier density  $1 + p$

$$\overline{b^\dagger |v\rangle}$$

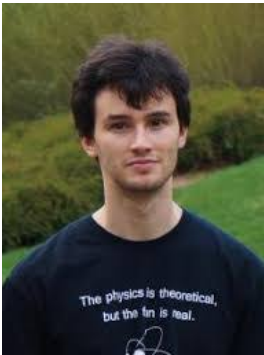
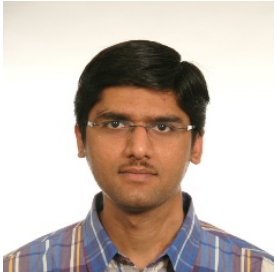
$$f_\uparrow^\dagger |v\rangle \quad f_\downarrow^\dagger |v\rangle$$

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$p_c$

$p$



# Random $t$ - $J$ model and cuprate phase diagram

SU(2|1) theory

Metallic  
spin glass.

Condense spinon  $\mathbf{b}_\alpha$ ,  
f carrier density  $p$

$f^\dagger |v\rangle$

$\mathbf{b}_\uparrow^\dagger |v\rangle$   $\mathbf{b}_\downarrow^\dagger |v\rangle$

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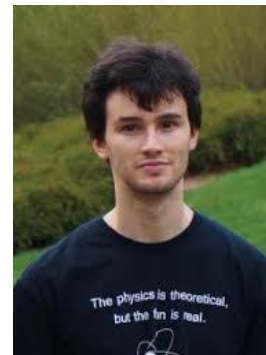
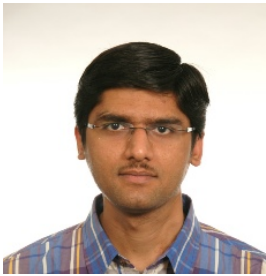
$b^\dagger |v\rangle$

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$p_c$

$p$



# Random $t$ - $J$ model

SU(2|1) theory

Metallic spin glass.

Condense spinon  $\mathbf{b}_\alpha$ ,  
 $f$  carrier density  $p$

$f^\dagger |v\rangle$

$\begin{array}{cc} \uparrow & \downarrow \\ \text{---} & \text{---} \\ \mathbf{b}_\uparrow^\dagger |v\rangle & \mathbf{b}_\downarrow^\dagger |v\rangle \end{array}$

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Disordered Fermi liquid.

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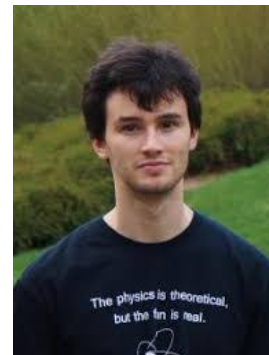
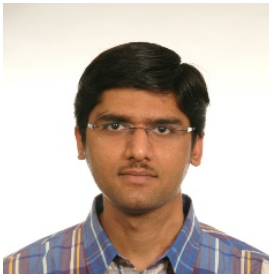
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SYK  
 criticality of  
 fractionalized  
 excitations

$p_c$

$p$

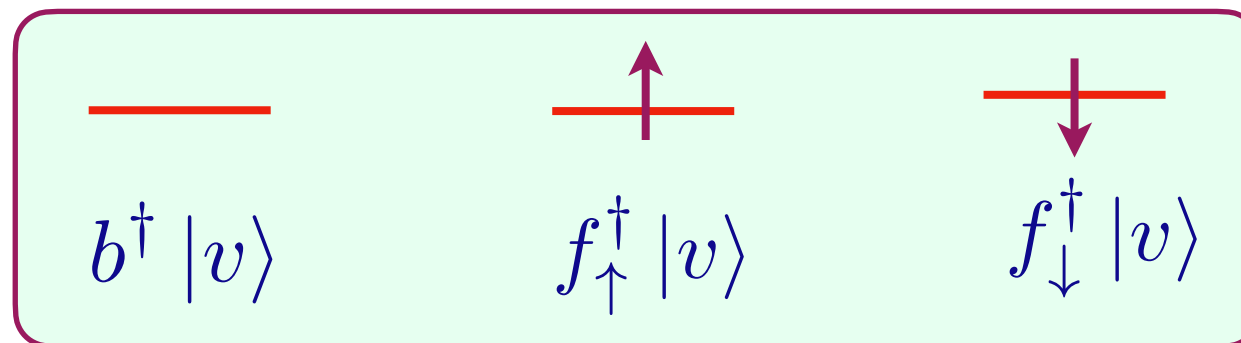
SU( $M \rightarrow \infty$ ) theory of SYK liquids  
 of fractionalized holons and spinons



# Fractionalization in the $t$ - $J$ model

$$H = -\frac{t}{\sqrt{z}} \sum_{\langle ij \rangle} f_{i\alpha}^\dagger f_{j\alpha} b_i b_j^\dagger + \frac{1}{\sqrt{z}} \sum_{\langle ij \rangle} \frac{J_{ij}}{4} f_{i\alpha}^\dagger \vec{\sigma}_{\alpha\beta} f_{i\beta} \cdot f_{j\gamma}^\dagger \vec{\sigma}_{\gamma\delta} f_{j\delta}$$

Each site has 3 states which we map to the ‘*superspin*’ space of a boson  $b$  (the holon) and a fermion  $f_\alpha$  (the spinon):



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U(1) gauge invariance,  $b \rightarrow b e^{i\phi}$ ,  $f_\alpha \rightarrow f_\alpha e^{i\phi}$

The physical electron ( $c_\alpha$ ) and spin ( $\vec{S}$ ) operators are rotations in this SU(1|2) superspin space; both  $t$  and  $J$  terms in  $H$  are *quartic* in terms of fractionalized particles.

# Random $t$ - $J$ model

SU(2|1) theory

Metallic spin glass.

Condense spinon  $\mathbf{b}_\alpha$ ,  
 $f$  carrier density  $p$

$f^\dagger |v\rangle$

$\begin{array}{cc} \uparrow & \downarrow \\ \text{---} & \text{---} \\ \mathbf{b}_\uparrow^\dagger |v\rangle & \mathbf{b}_\downarrow^\dagger |v\rangle \end{array}$

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SYK  
 criticality of  
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$\begin{array}{ccc} & \uparrow & \downarrow \\ \text{---} & \text{---} & \text{---} \end{array}$

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SU(1|2) theory

Disordered Fermi liquid.

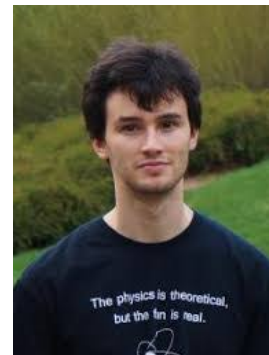
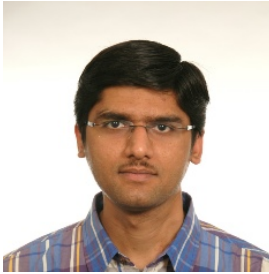
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$p_c$

$p$

Zeroth order,  $p_c = 1/3$



# Time reparameterization soft mode and linear- $T$ resistivity

The time reparameterization soft-mode now leads to corrections to the Green's functions of the partons

$$G_{f,b}(\tau) \sim \frac{\pm 1}{\sqrt{|\tau|}} \left( 1 + \frac{\alpha_{f,b}}{|\tau|} + \dots \right)$$

We can compute the resistivity from this in a large- $d$  model, and find

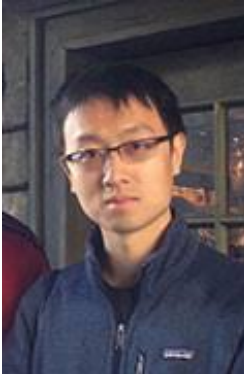
$$\rho(T) = \rho(0) \left( 1 + 8\alpha_G \frac{T}{J} + \dots \right).$$

The  $\alpha_G$  term arises from the contribution of the boundary graviton!

D. Joshi, Chenyuan Li, G. Tarnopolsky, A. Georges, S. Sachdev, PRX **10**, 021033 (2020)

Haoyu Guo, Yingfei Guo, S. Sachdev, Annals of Physics **418**, 168202 (2020)

Maria Tikhonovskaya, Haoyu Guo, S. Sachdev, G. Tarnopolsky, arXiv: 2010.09742, 2012.14449



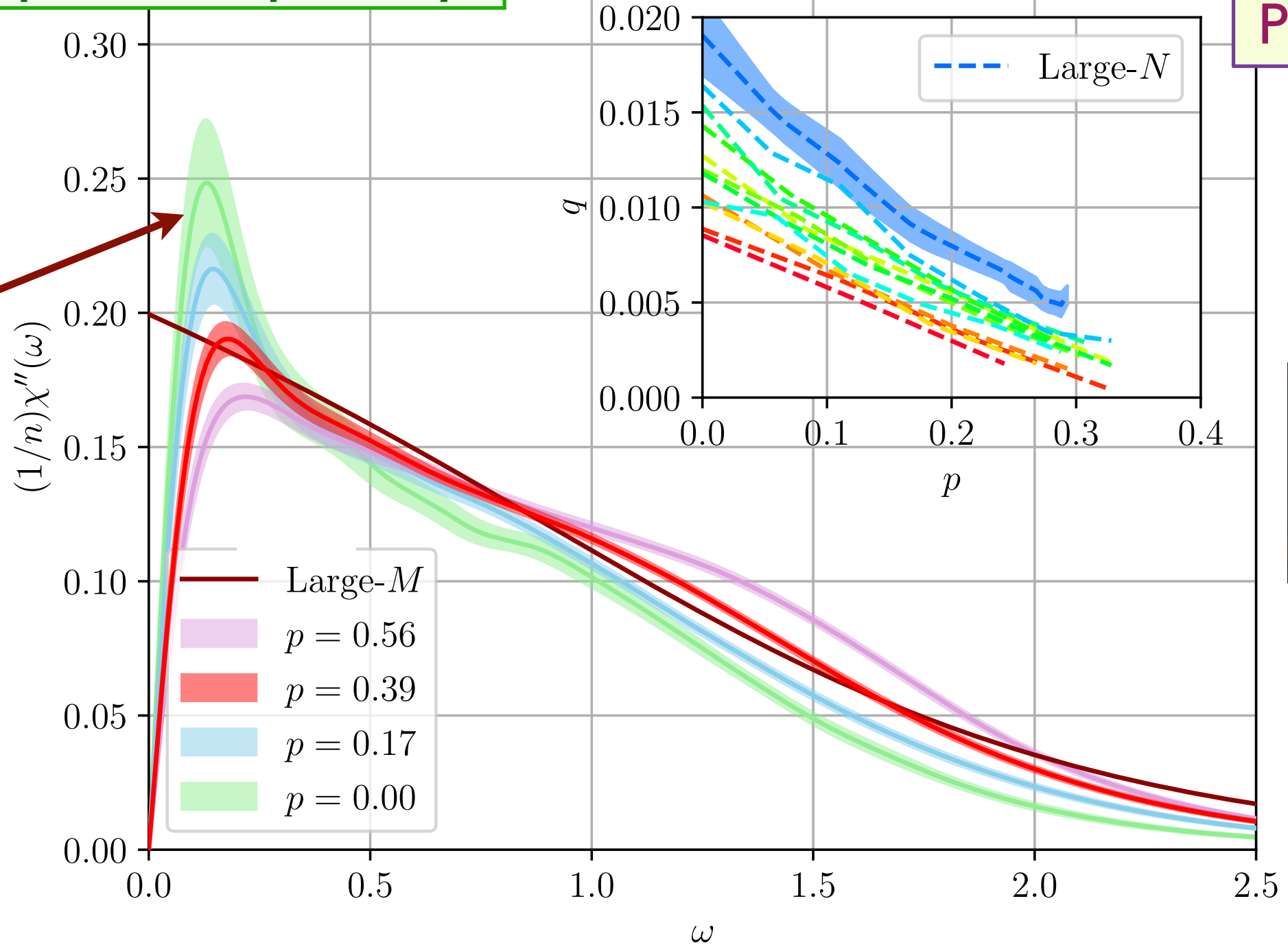


# Numerics on the SU(2) random t-J model

See next talk by  
Olivier  
Parcollet

## Dynamic spin susceptibility

Spin glass order



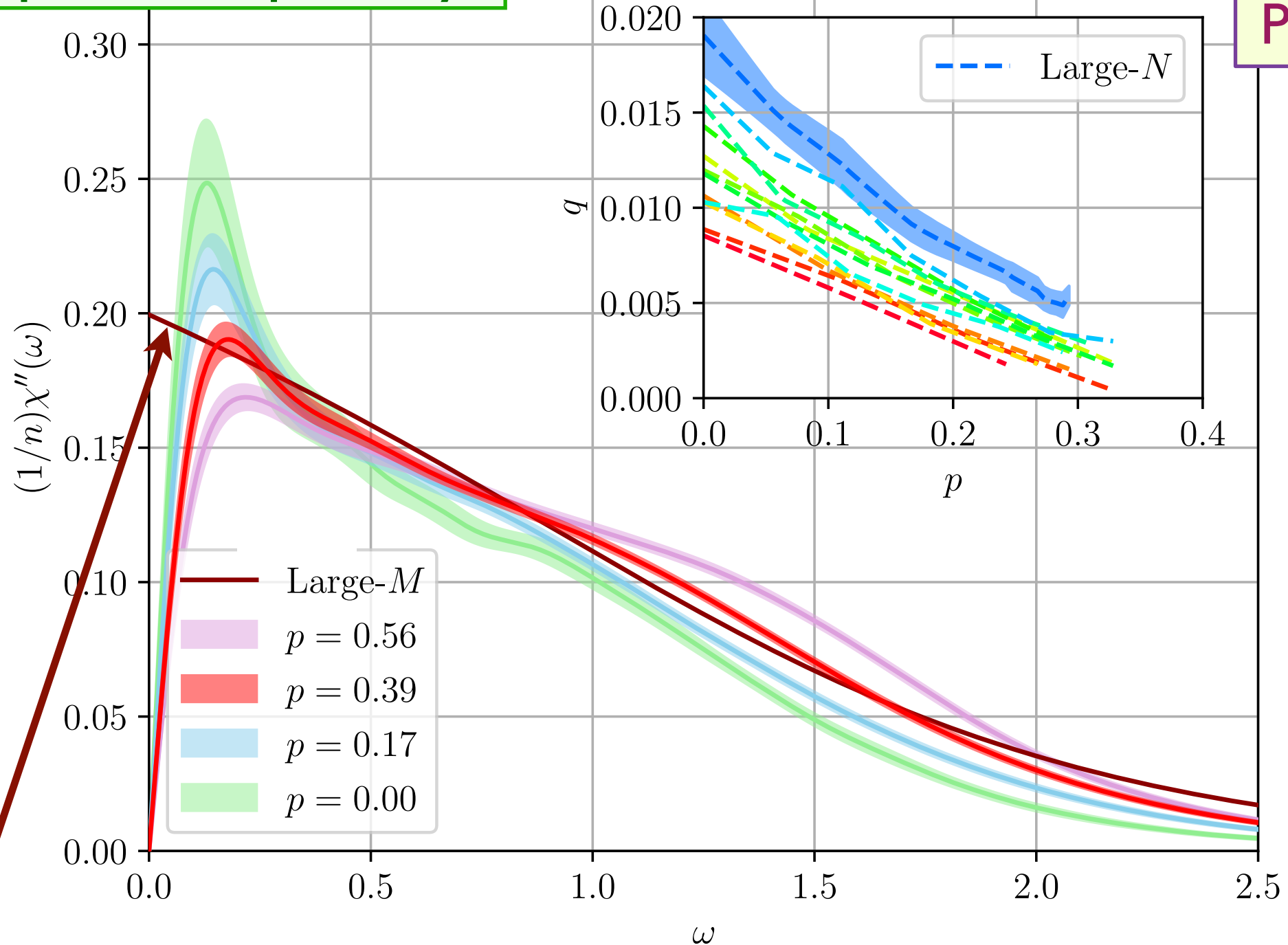
Evidence for a quantum critical point at  $p = p_c \approx 0.3$ .  
Spin glass order  $q$  non-zero for  $p < p_c$

H. Shackleton,  
A. Wietek,  
A. Georges, and  
S. Sachdev,  
PRL **126**,  
136602 (2021)

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## Dynamic spin susceptibility



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Critical spin susceptibility matches the large  $M$  SU( $M$ ) SYK model.

$\chi''(\omega) \sim \text{sgn}(\omega) [1 - \mathcal{C}\gamma|\omega| + \dots]$ : the  $|\omega|$  is the boundary graviton.

Shown is the numerical solution of SYK equations (SY, PRL 1993), after rescaling  $J$ .

# Summary

- SYK: a solvable model without quasiparticle excitations, exhibiting thermalization and many-body chaos in a time of order  $\hbar/(k_B T)$ , independent of microscopic energy scales.

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  - A pseudogap phase at small doping with spin glass order.
  - A Fermi liquid at large doping.

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