

Emergent gauge fields and the high temperature superconductors

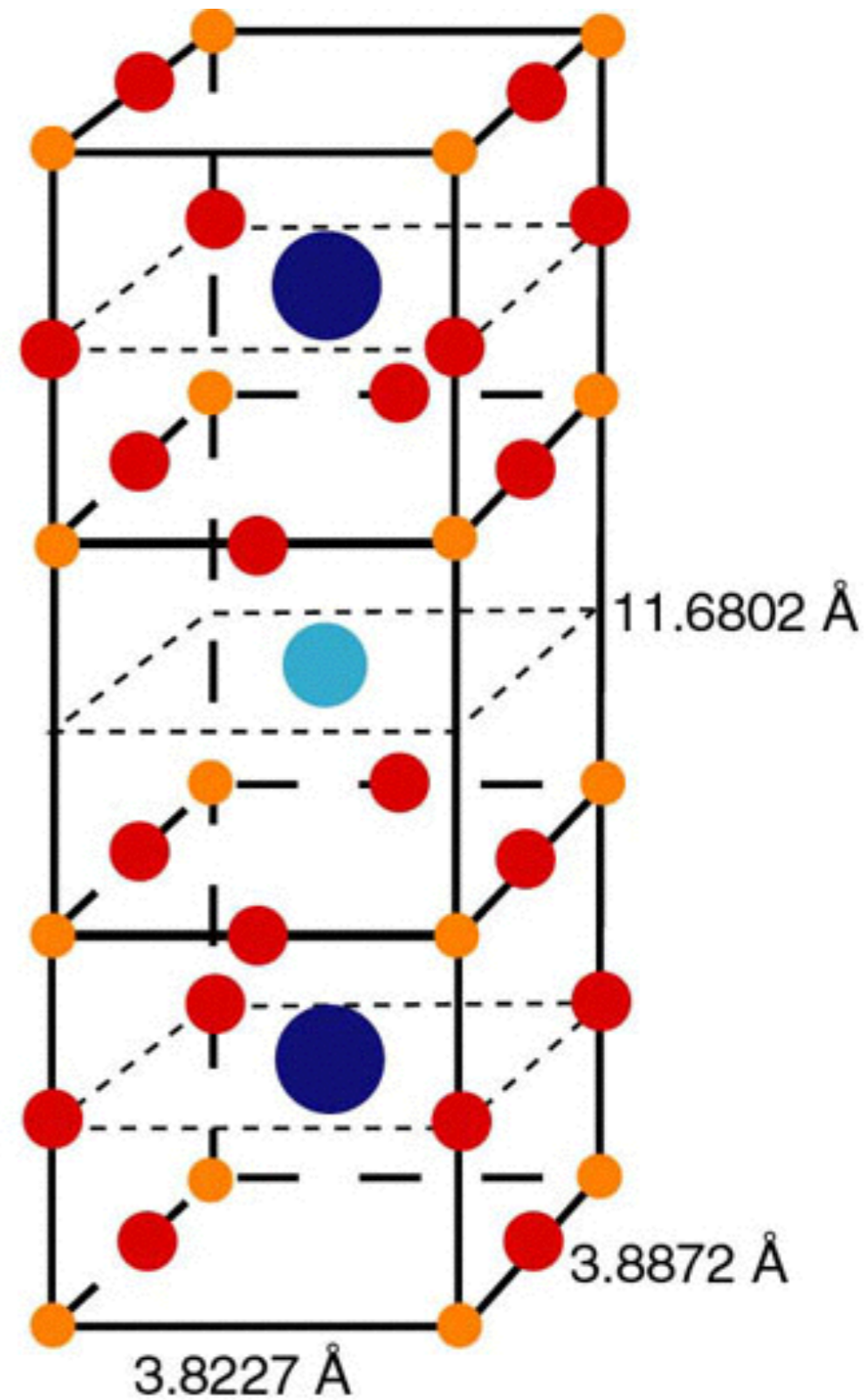
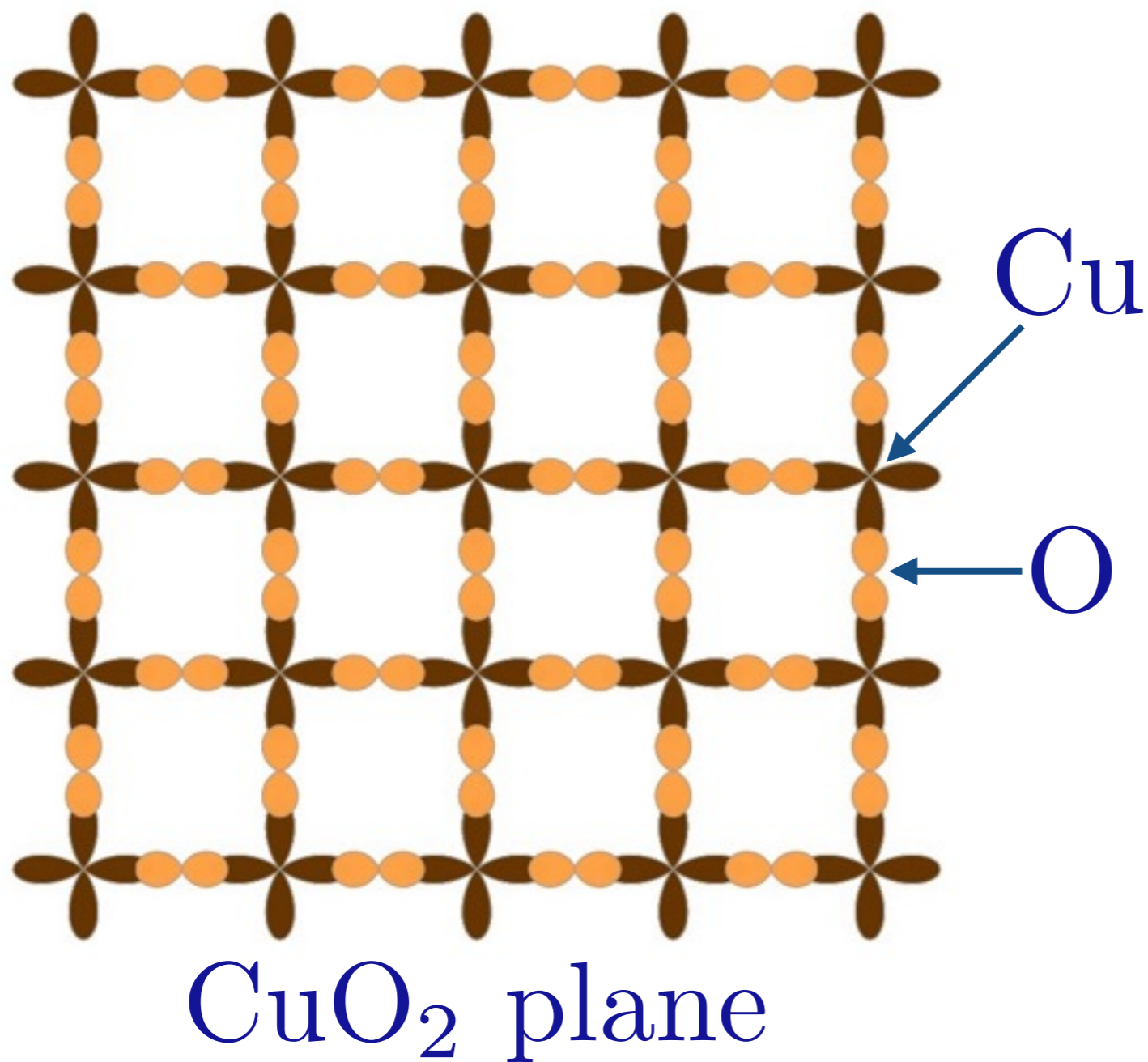
Unifying physics and technology in light of Maxwell's equations
The Royal Society, London
November 16, 2015

Subir Sachdev

Talk online: sachdev.physics.harvard.edu



High temperature superconductors



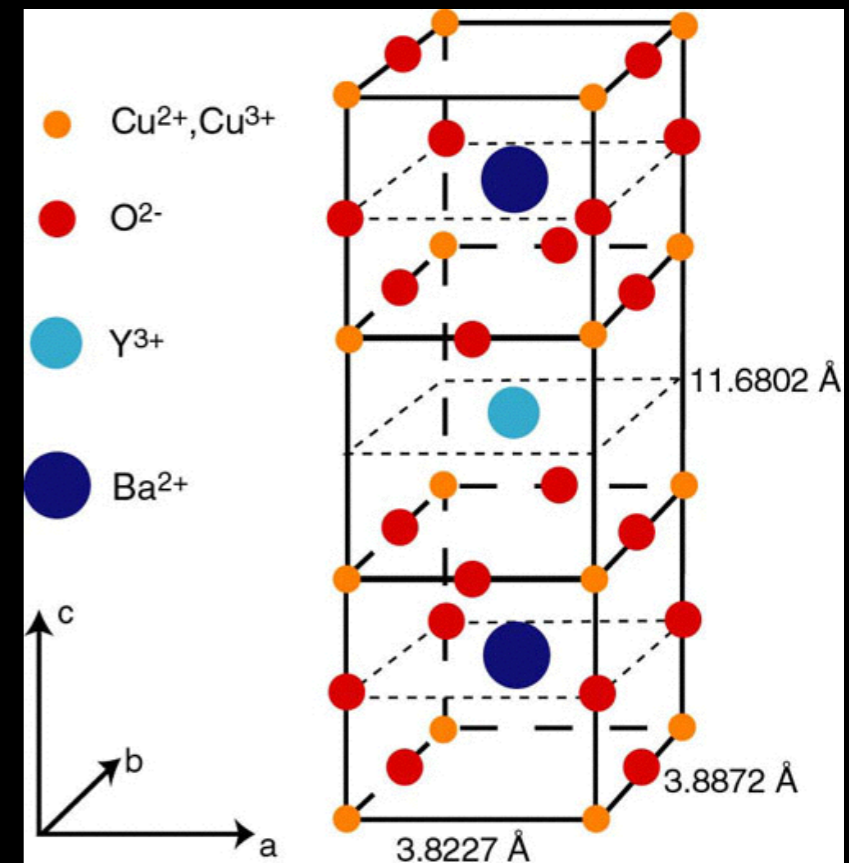
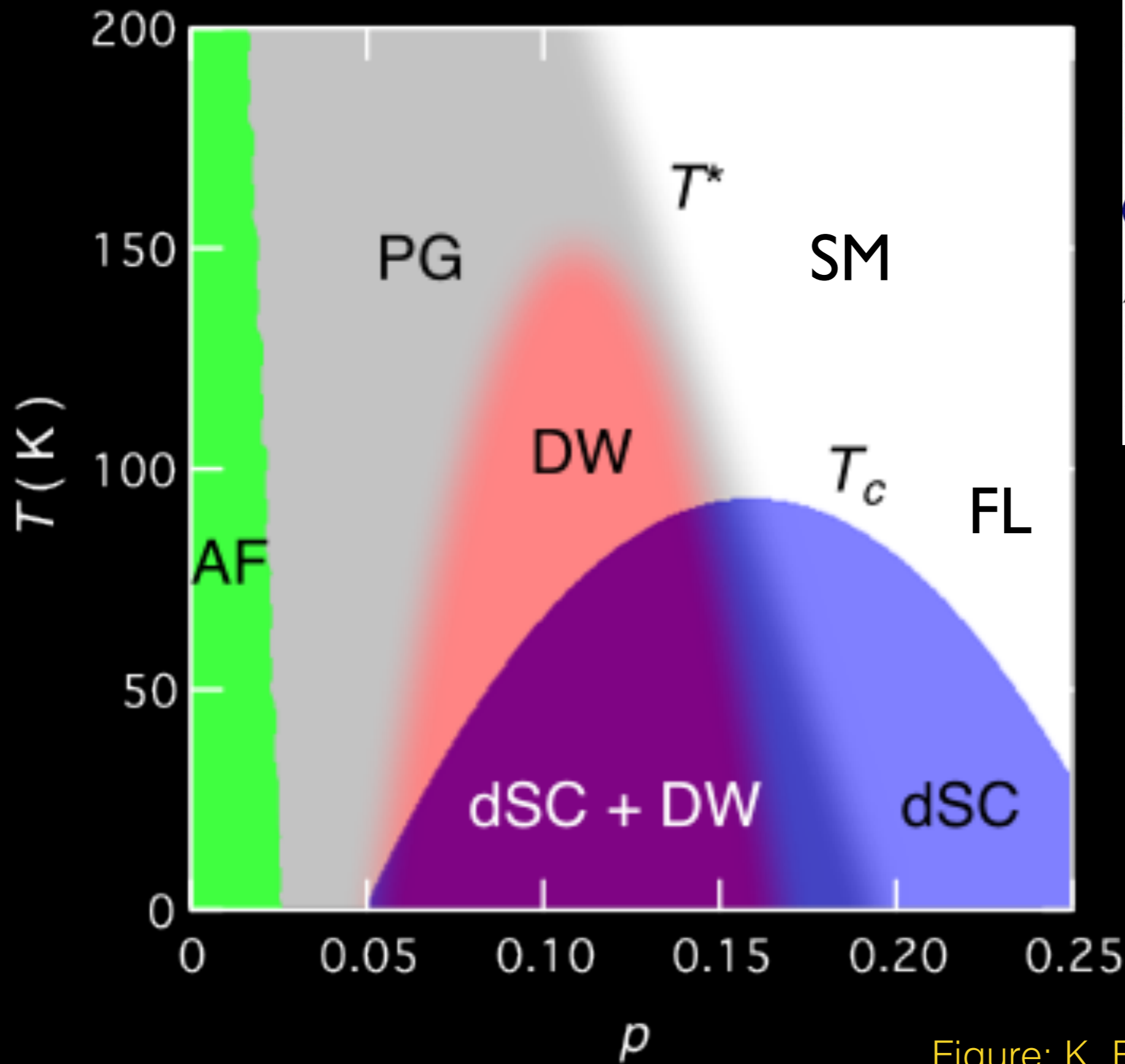
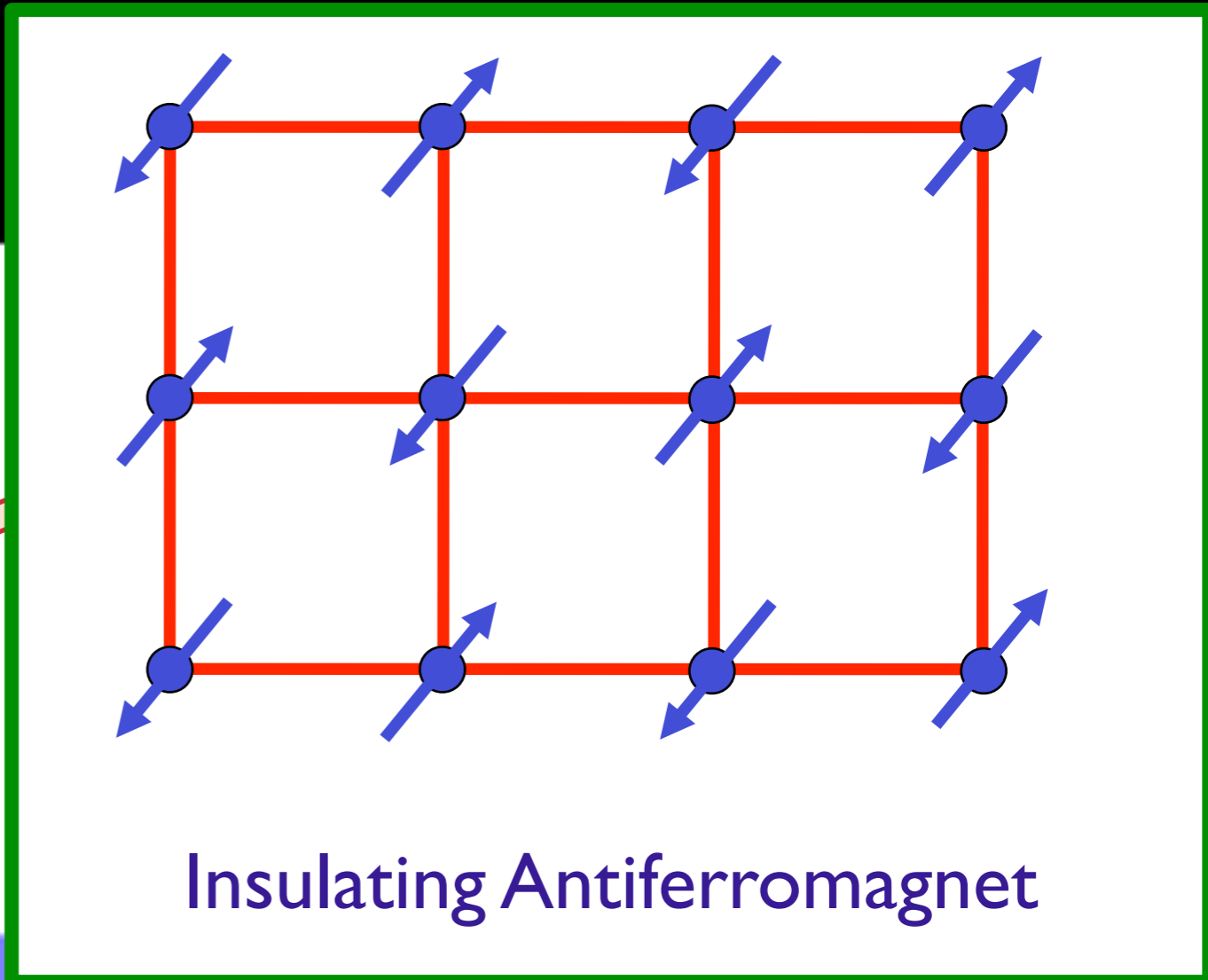
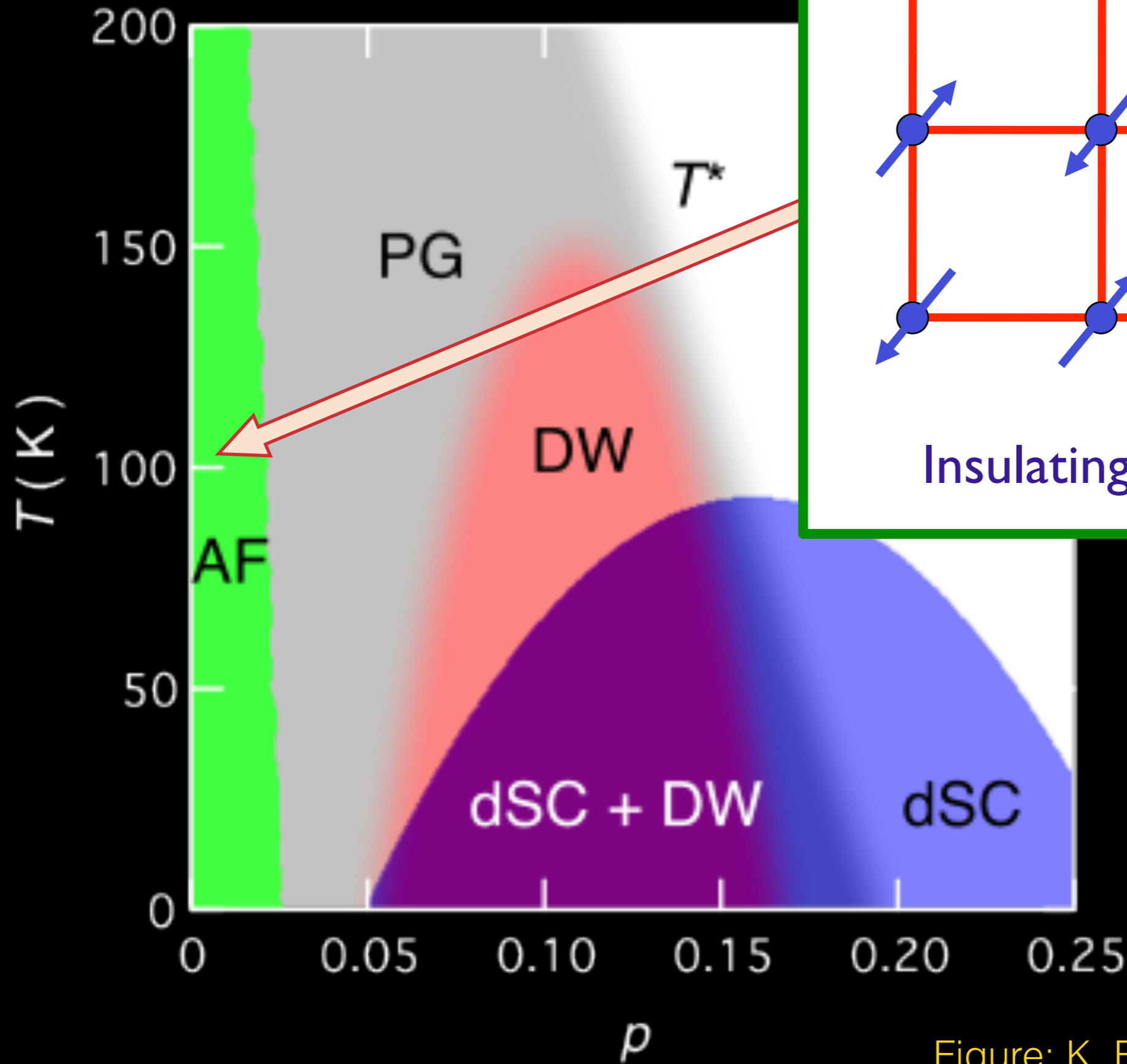


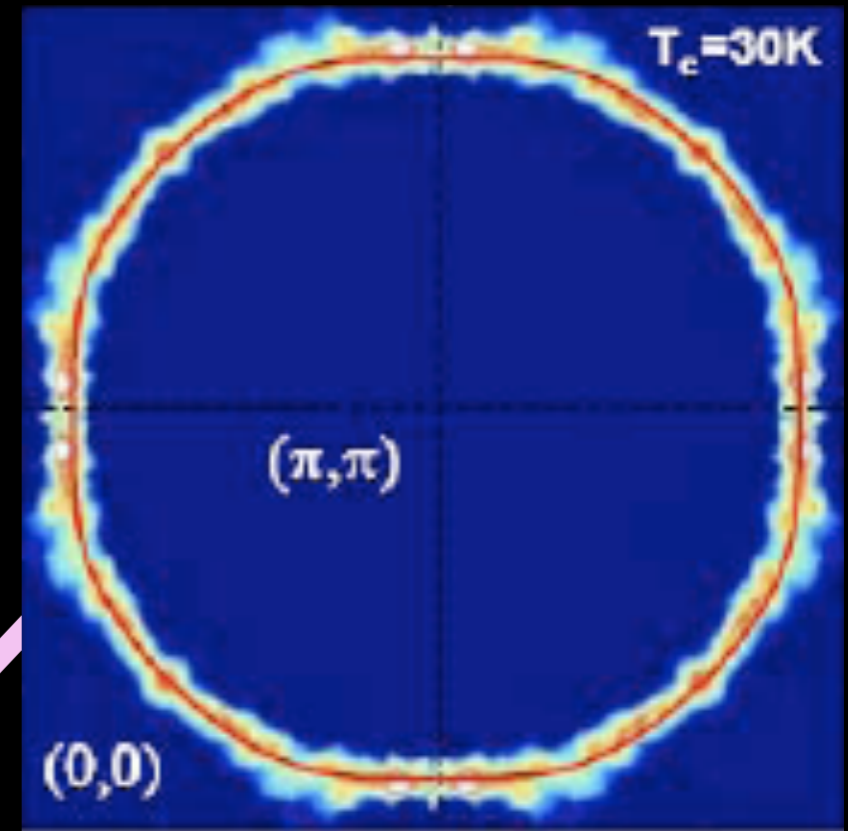
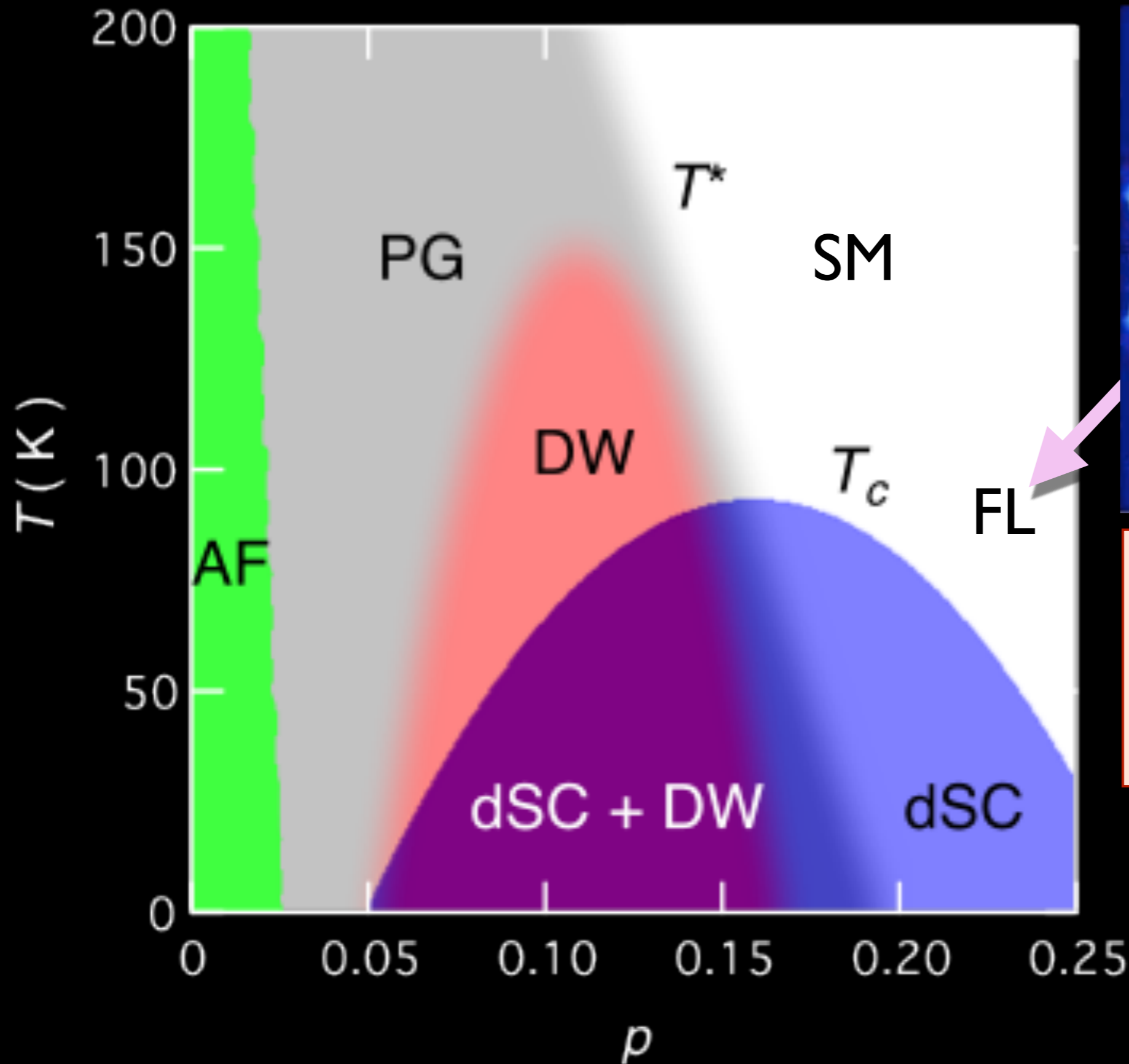
Figure: K. Fujita and J. C. Seamus Davis



$$T = Da^2 \cup a_3 \cup 6 + x$$

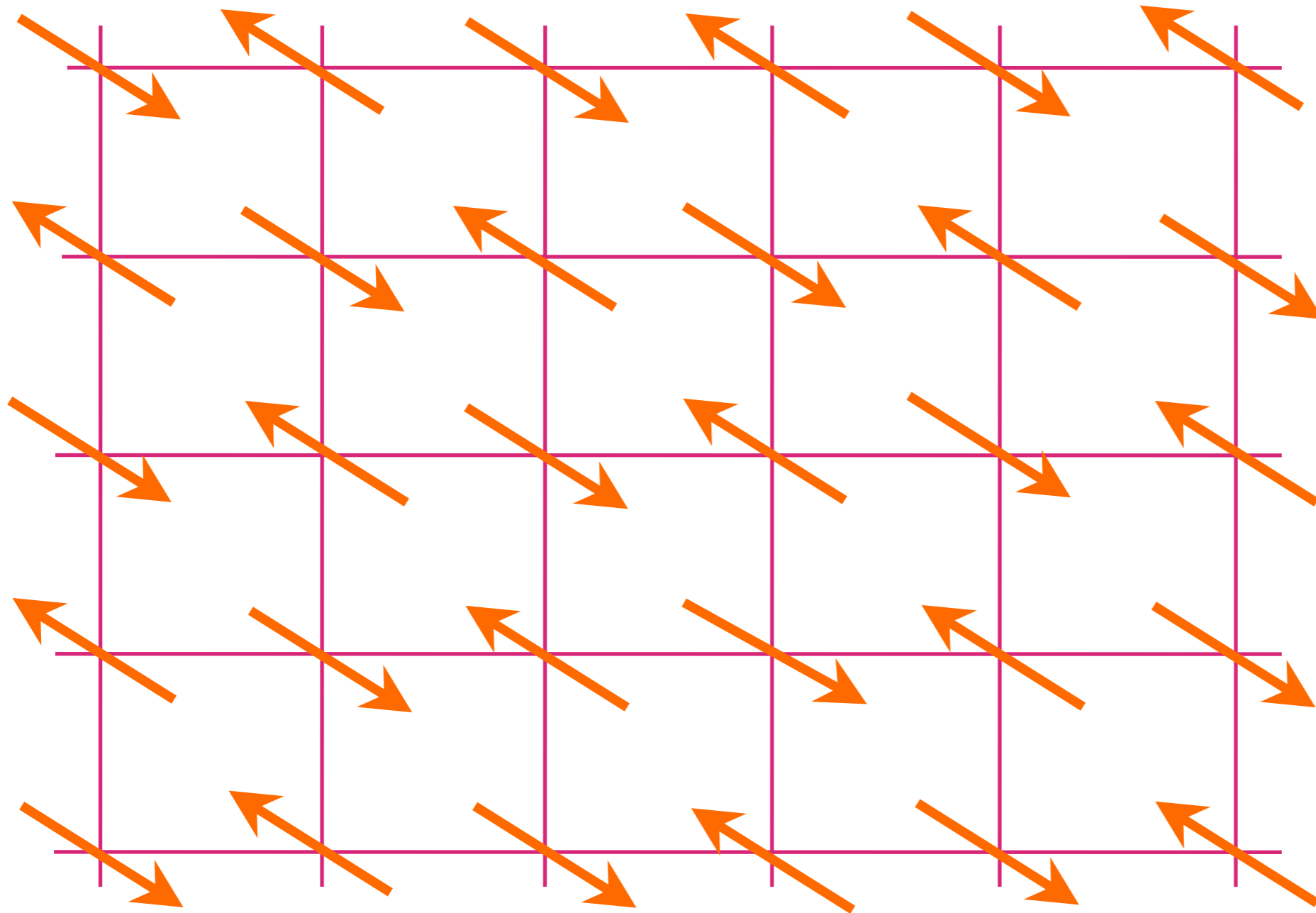
Figure: K. Fujita and J. C. Seamus Davis

M. Platié, J. D. F. Mottershead, I. S. Elfimov, D. C. Peets, Ruixing Liang, D. A. Bonn, W. N. Hardy, S. Chiuzbaian, M. Falub, M. Shi, L. Patthey, and A. Damascelli, Phys. Rev. Lett. **95**, 077001 (2005)




A conventional metal:
the Fermi liquid

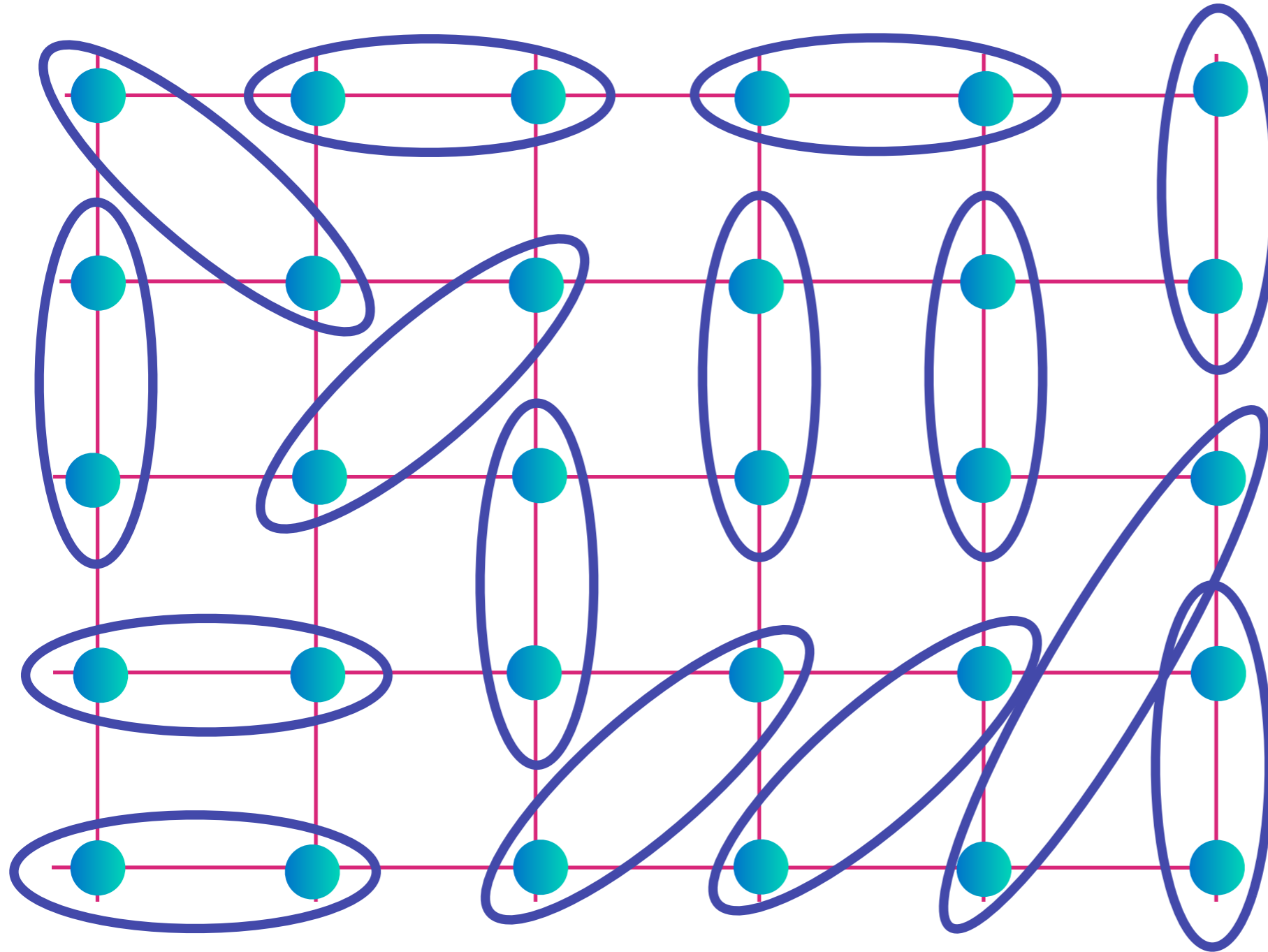
I. Emergent gauge fields and long-range entanglement in insulators



“Undoped”
Anti-
ferromagnet

Insulating spin liquid


$$= (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$$




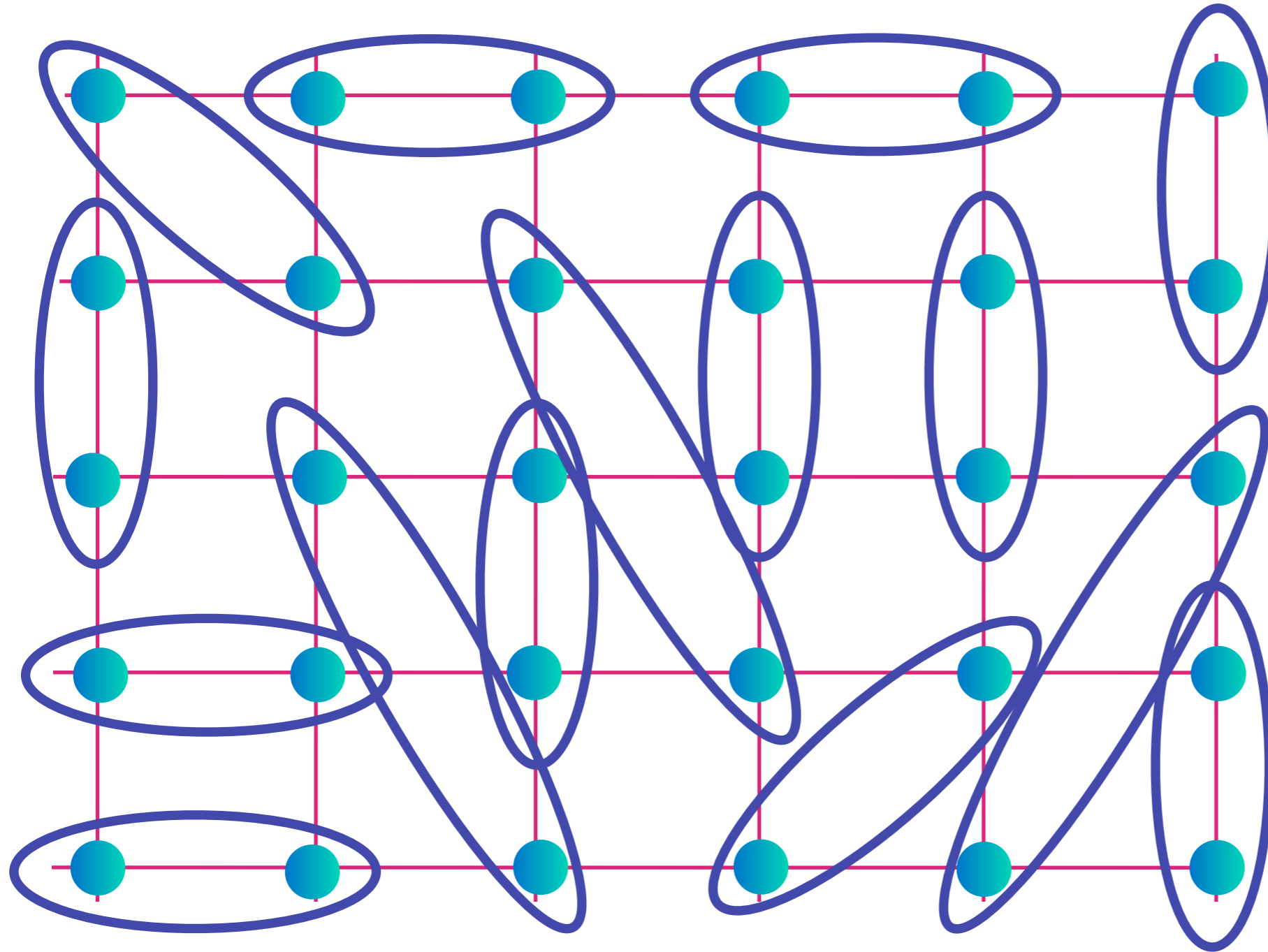
An insulator
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L. Pauling, Proceedings of the Royal Society London A **196**, 343 (1949)

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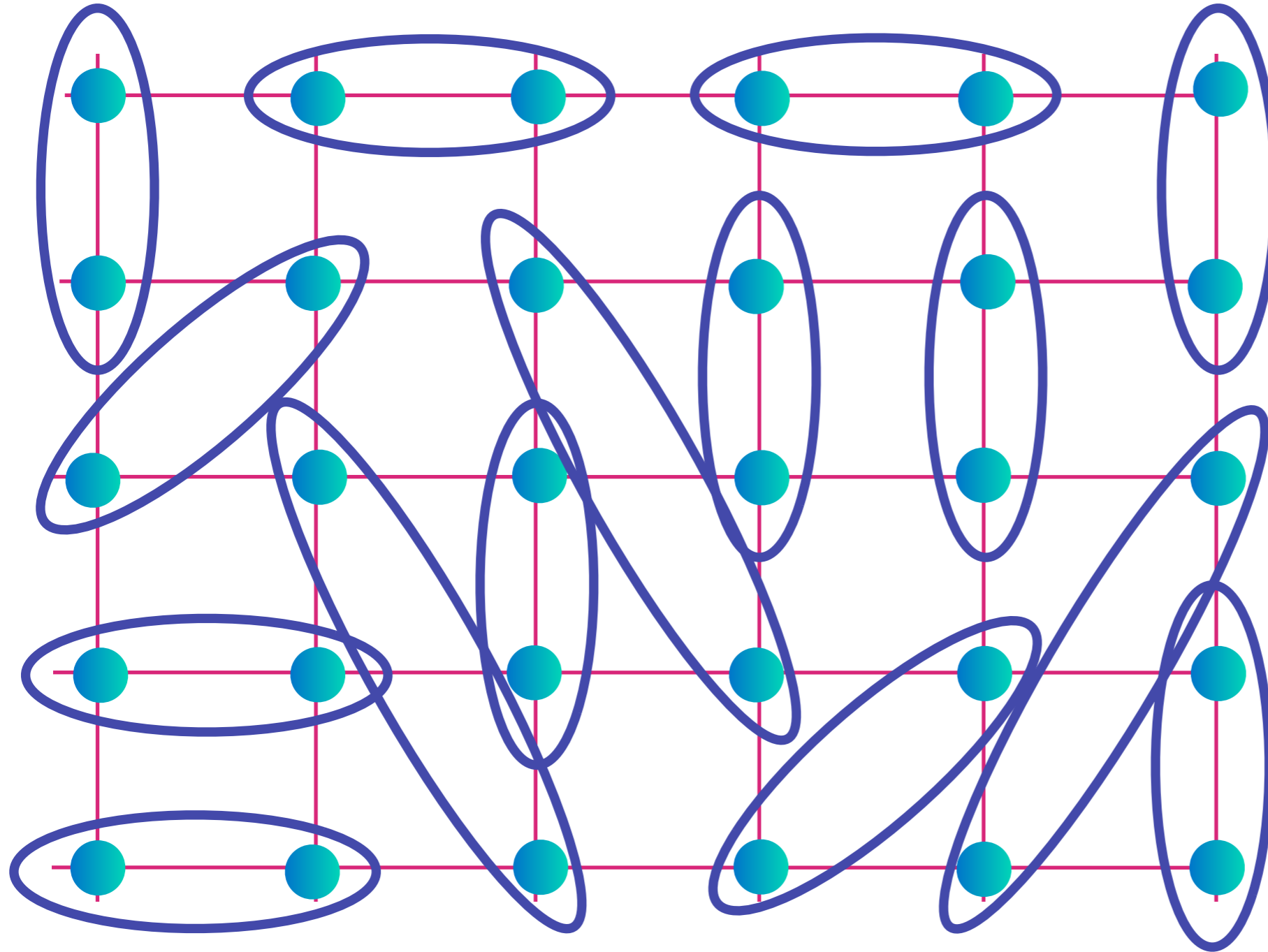
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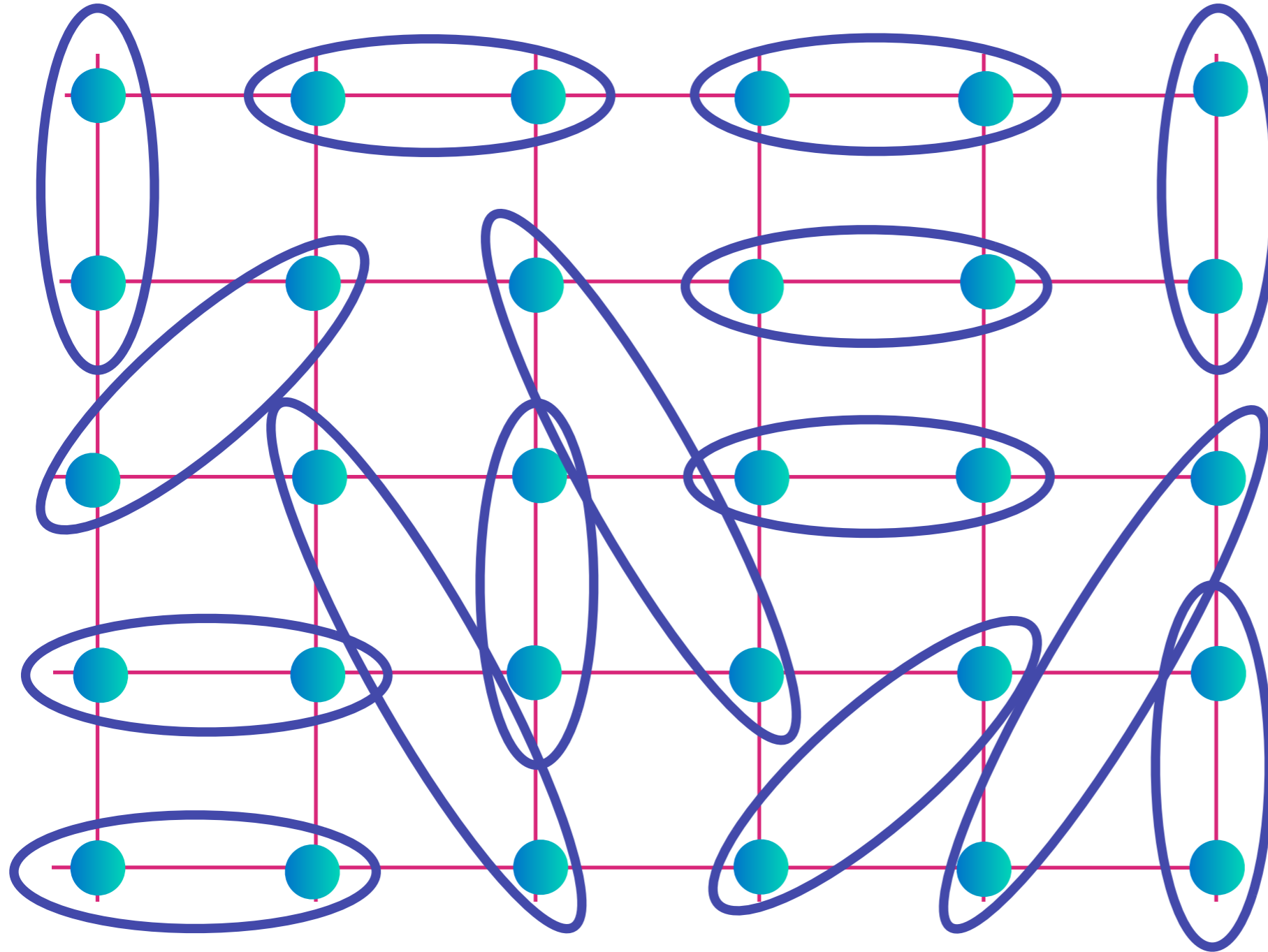
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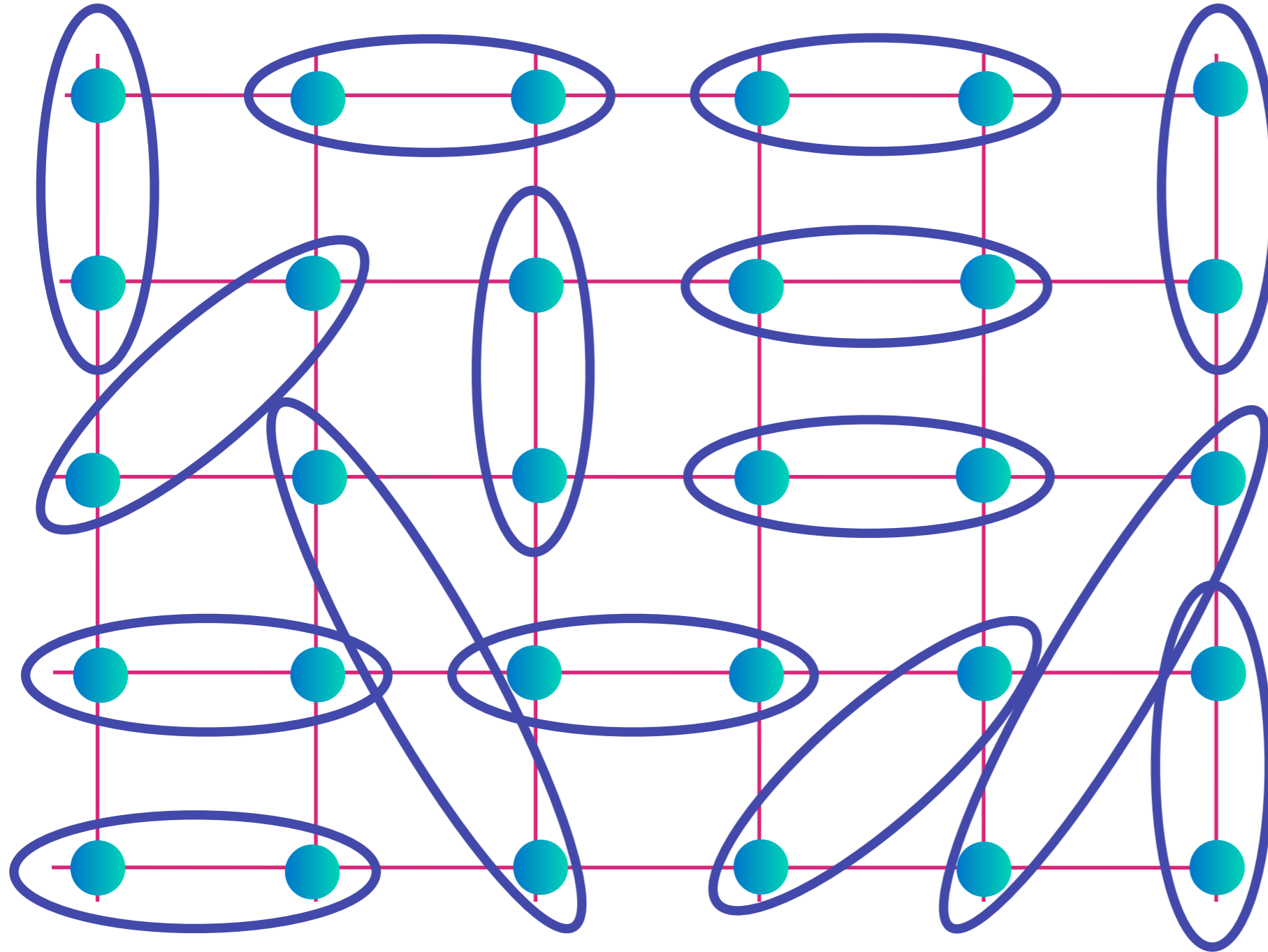
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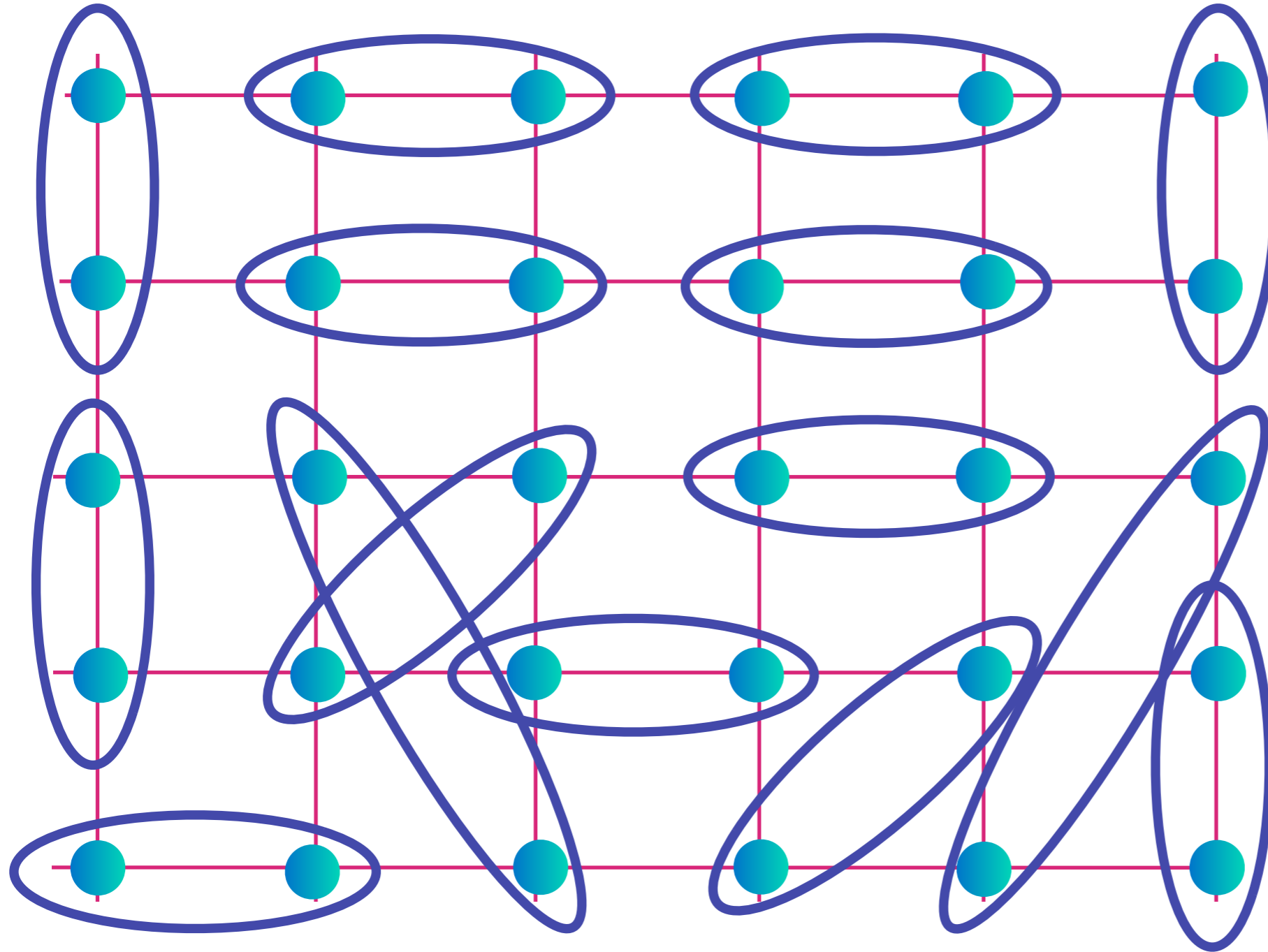
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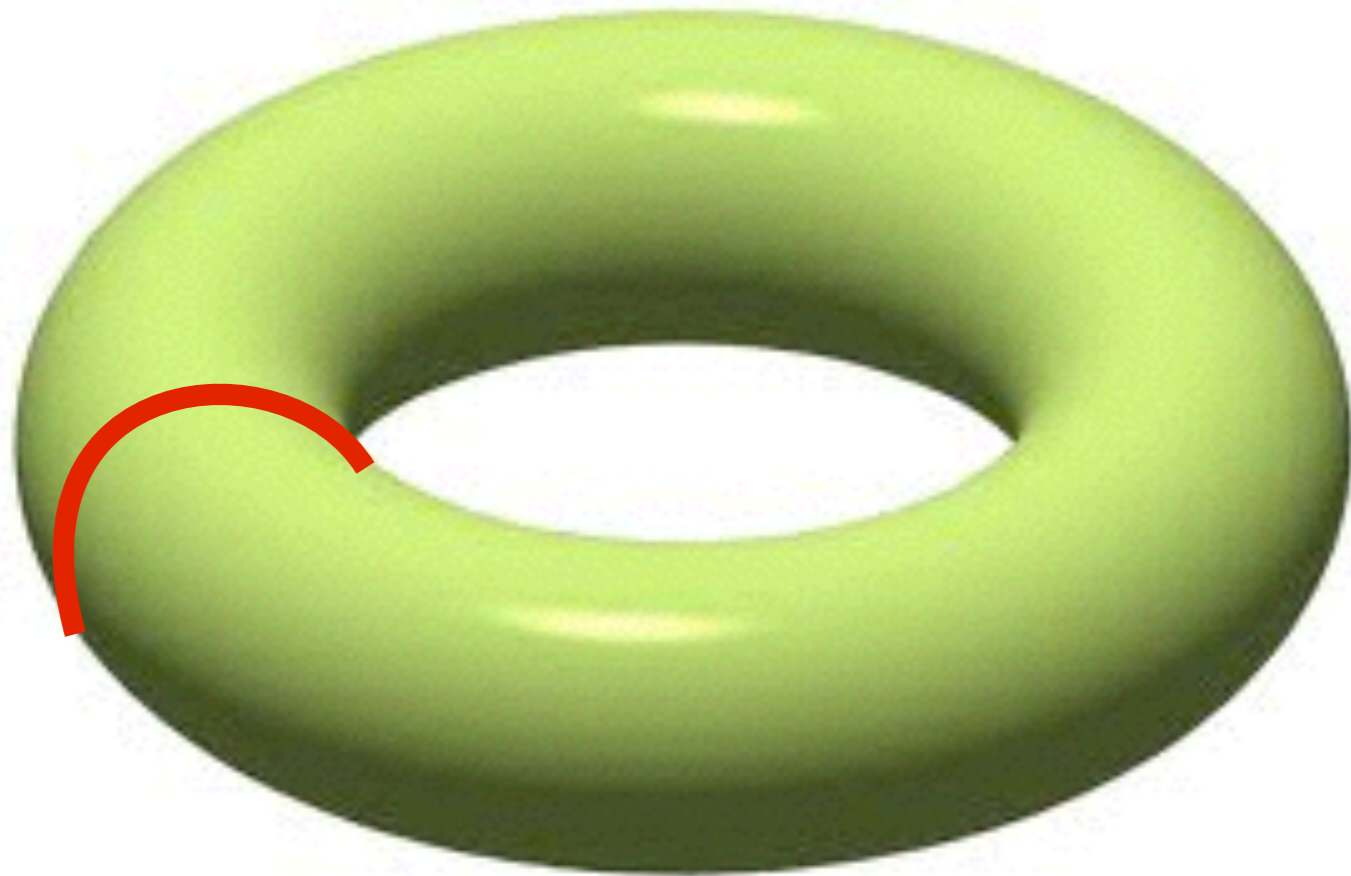
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Ground state degeneracy

Place
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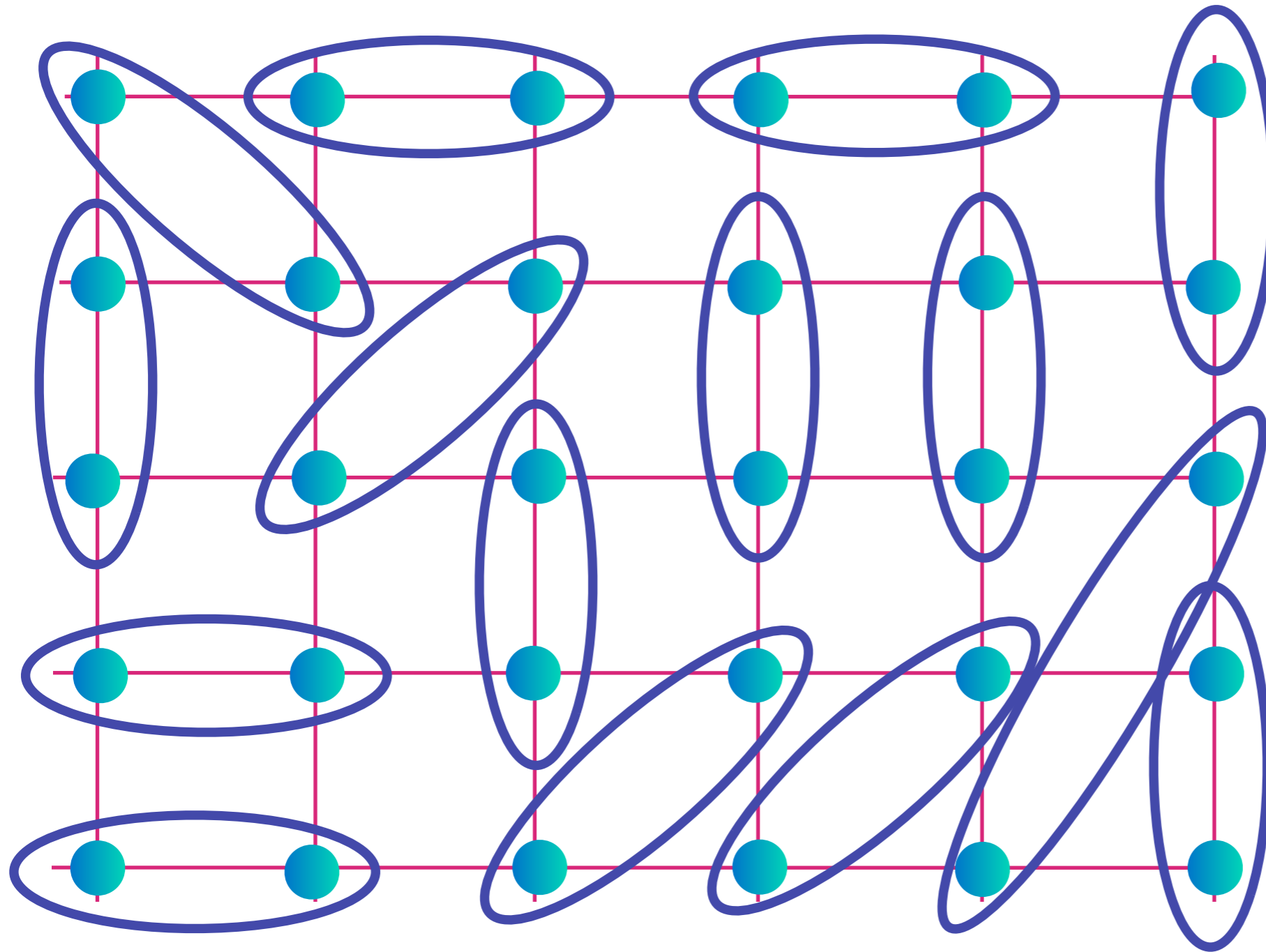
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D.J. Thouless, PRB 36, 7187 (1987)

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, Europhys. Lett. 6, 353 (1988)

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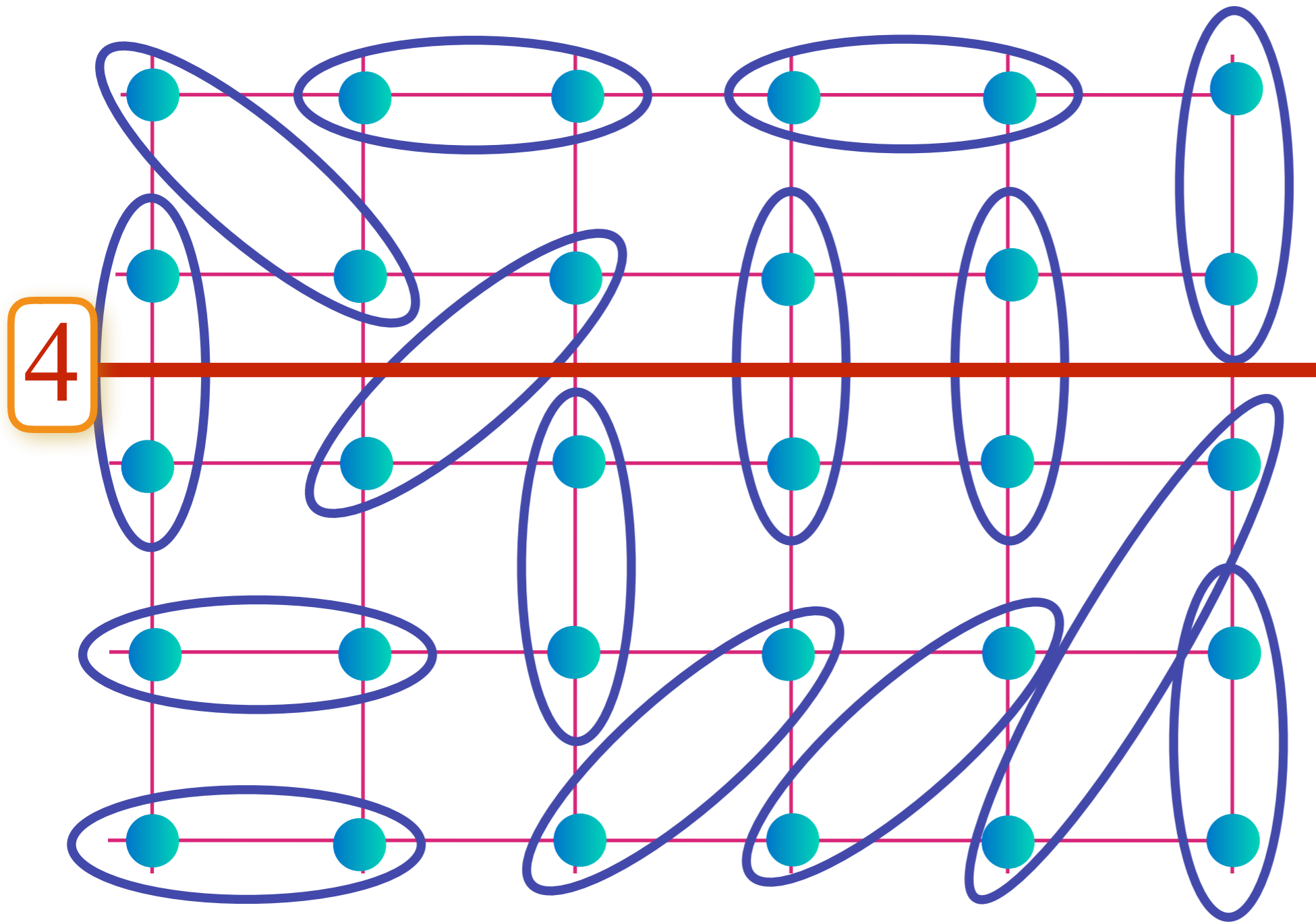
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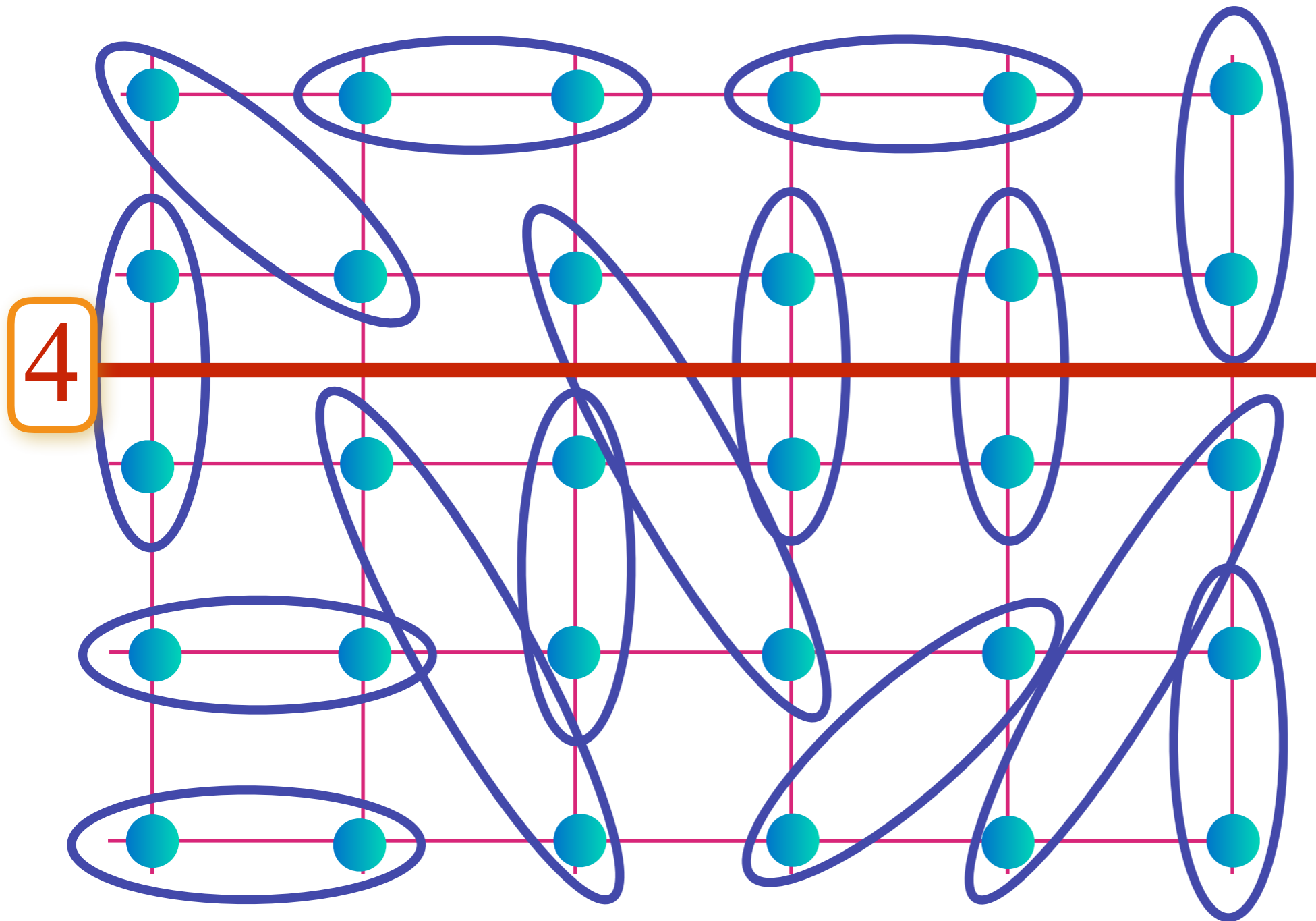
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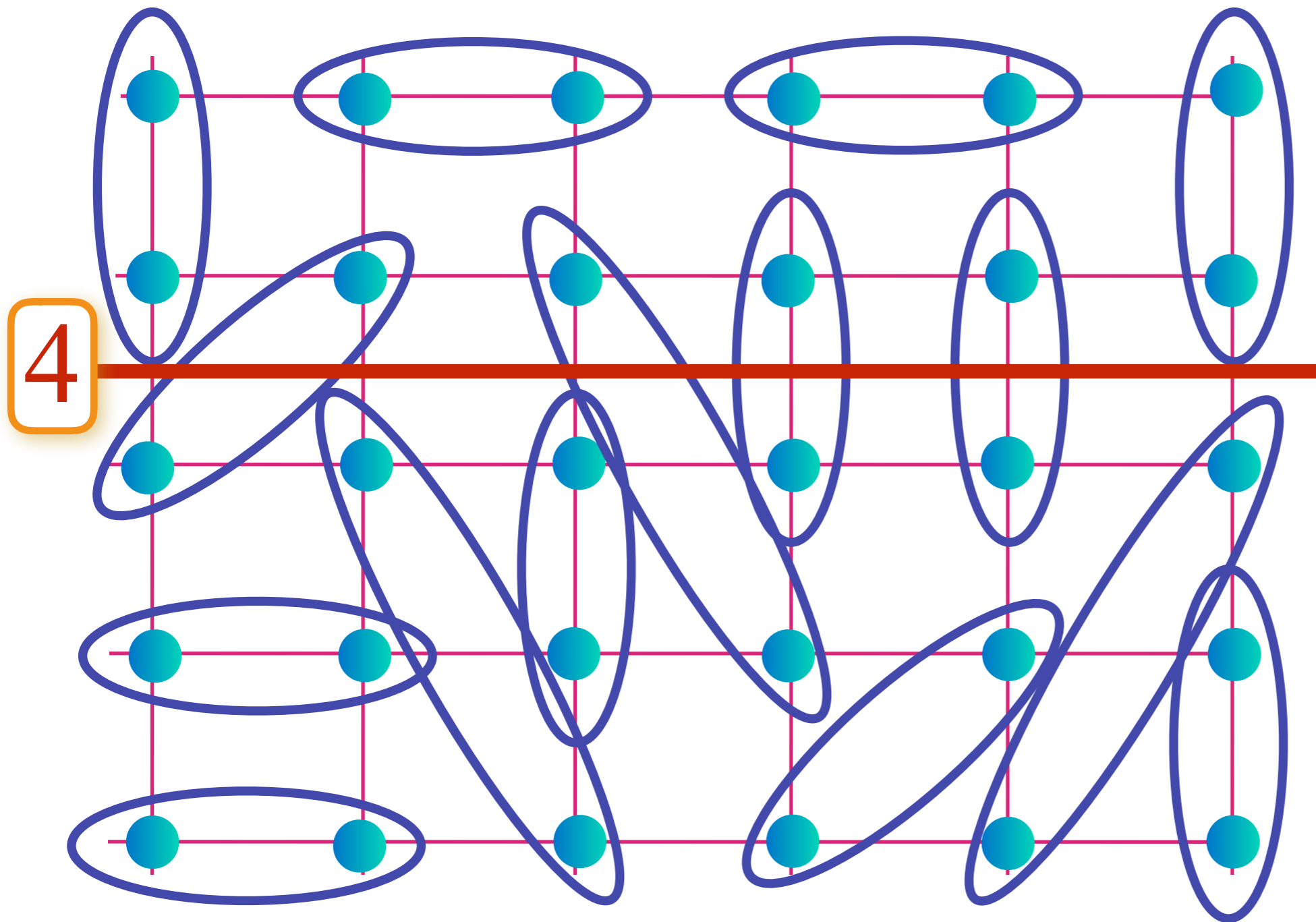
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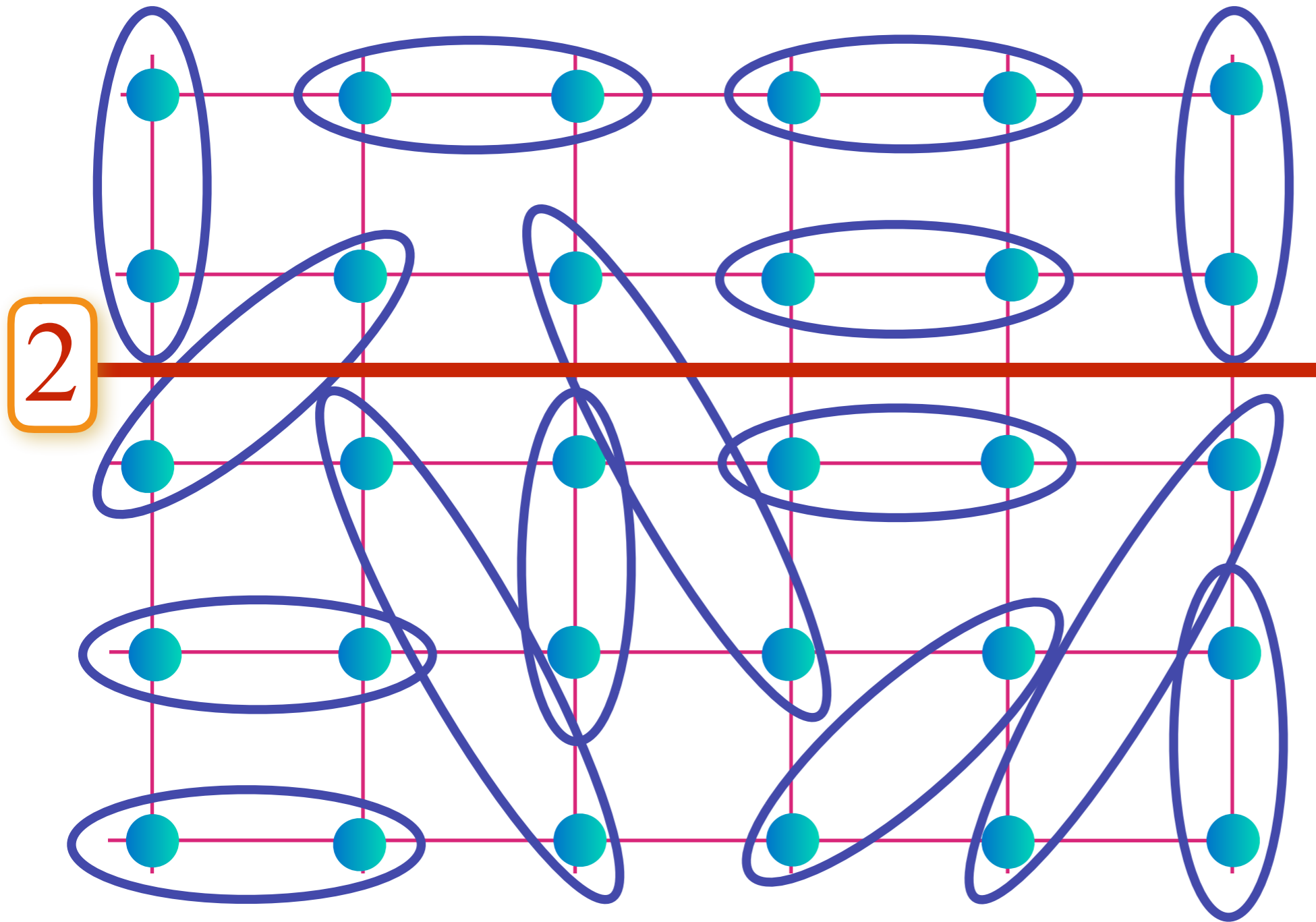
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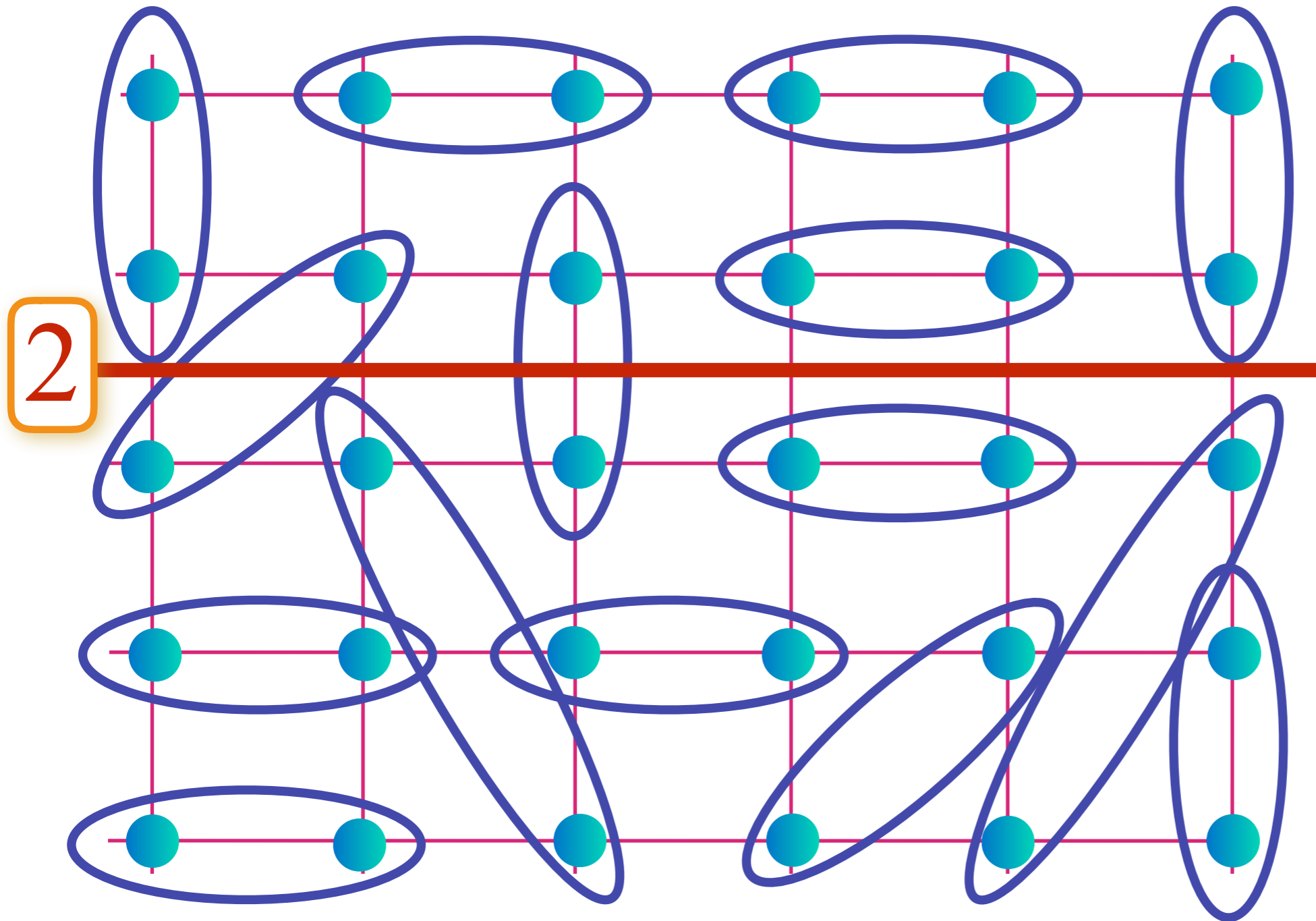
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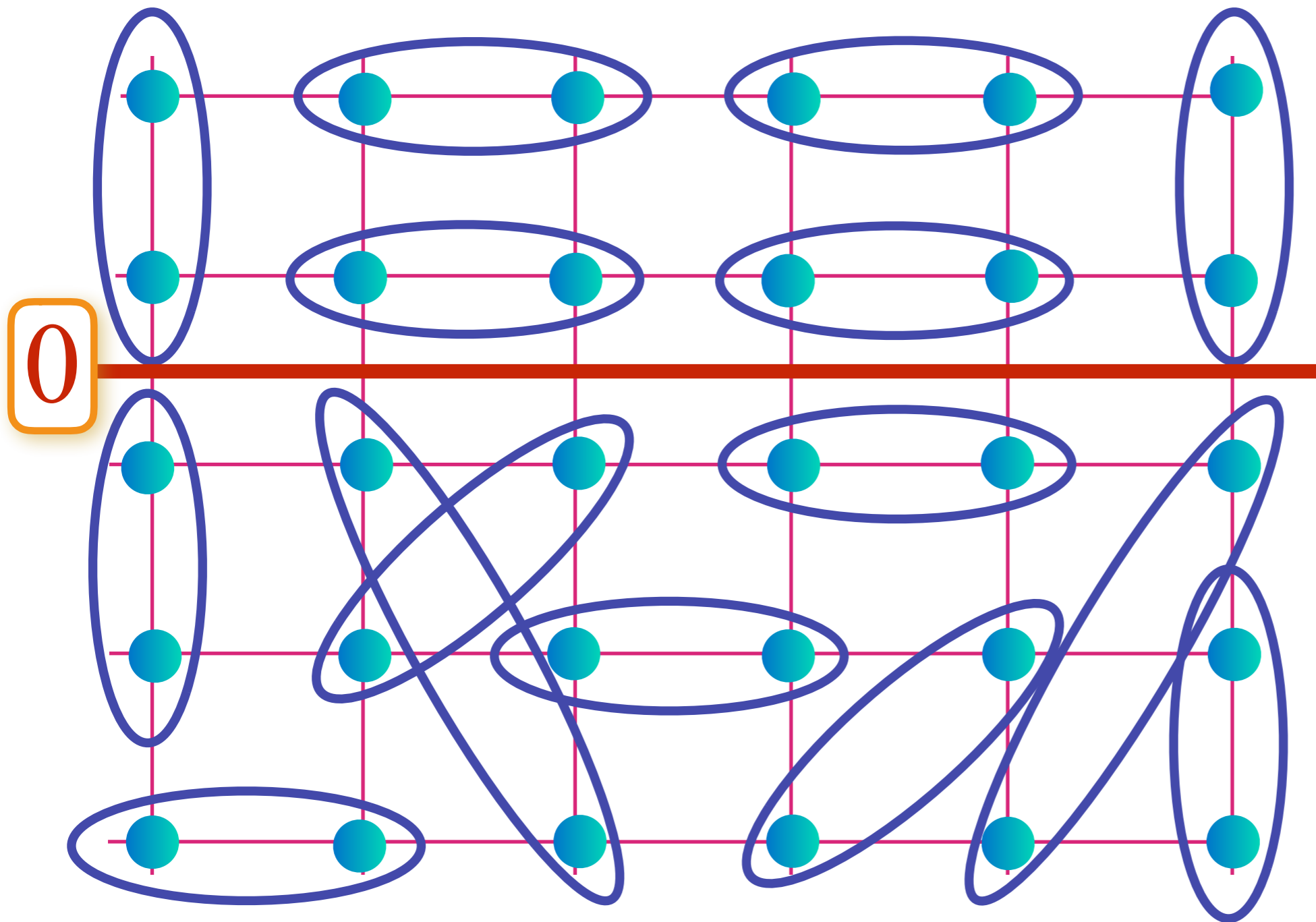
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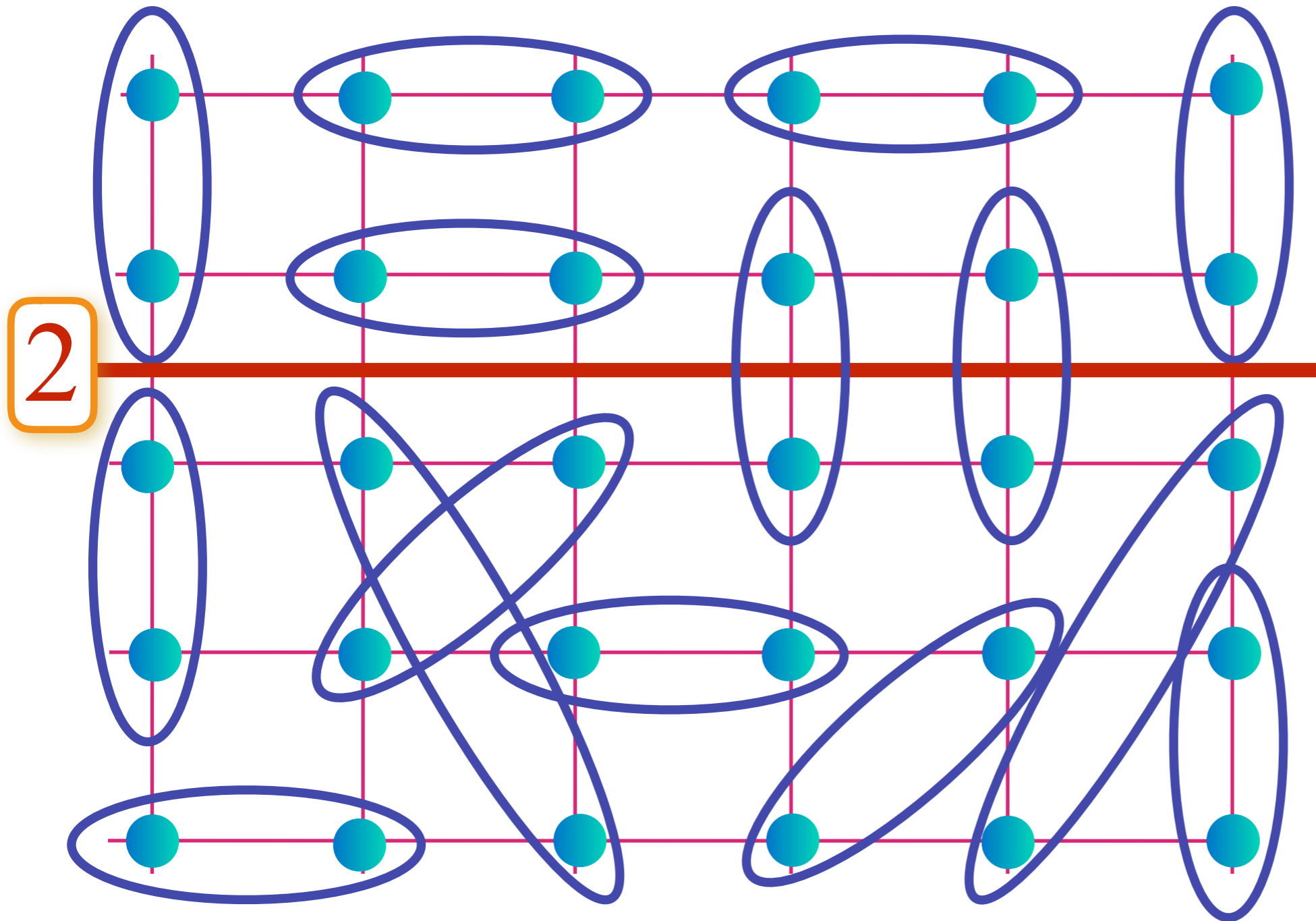
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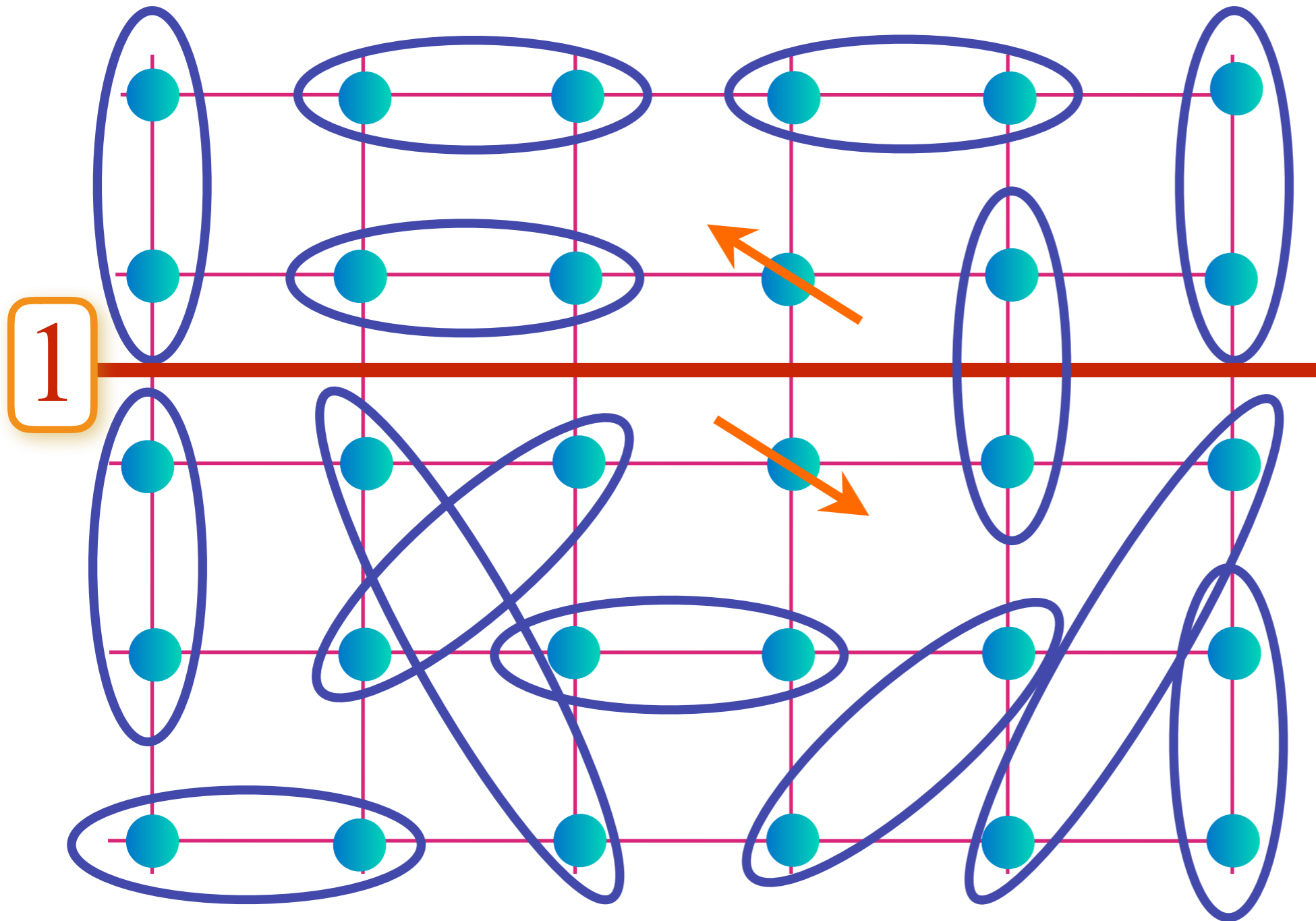
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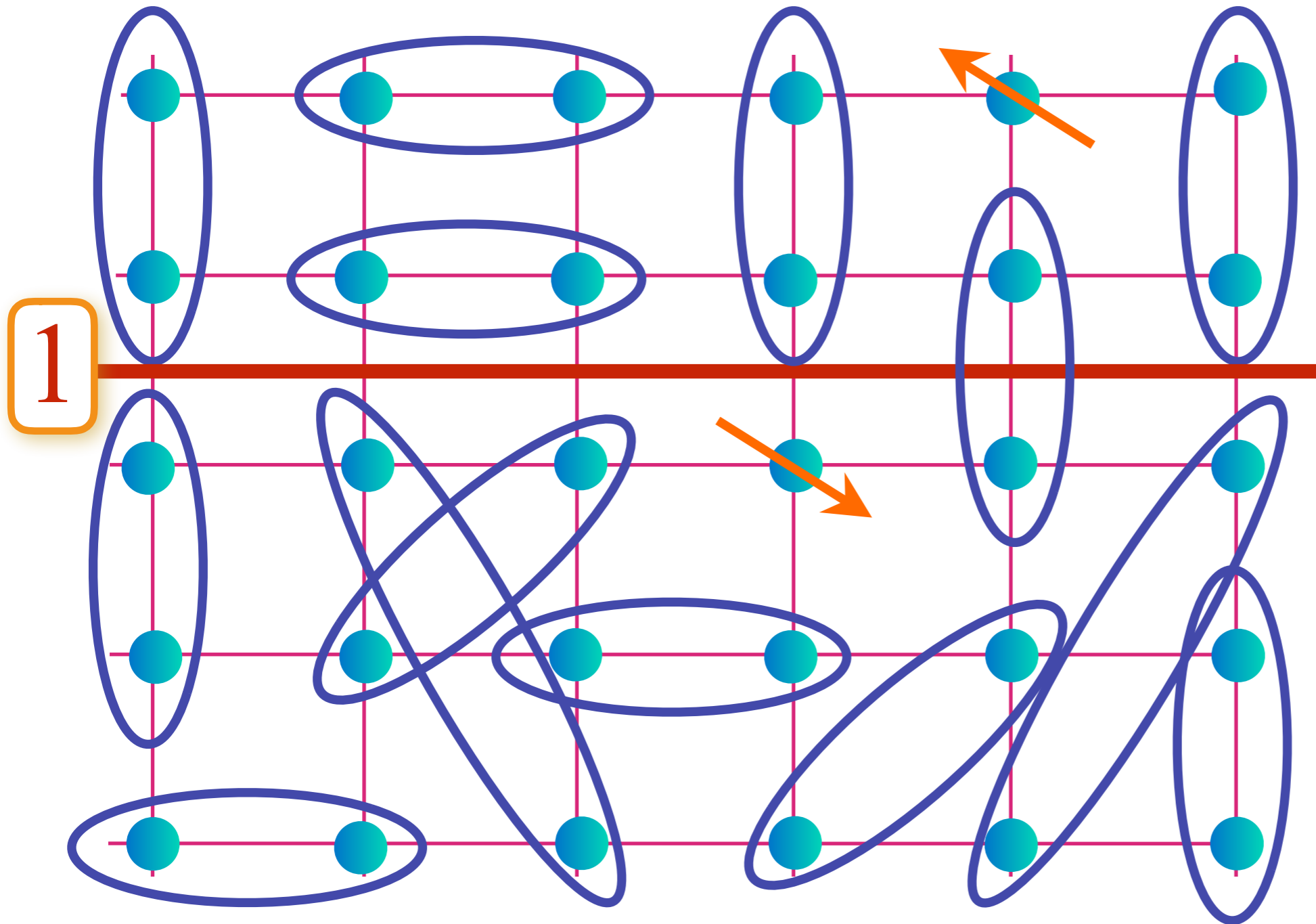
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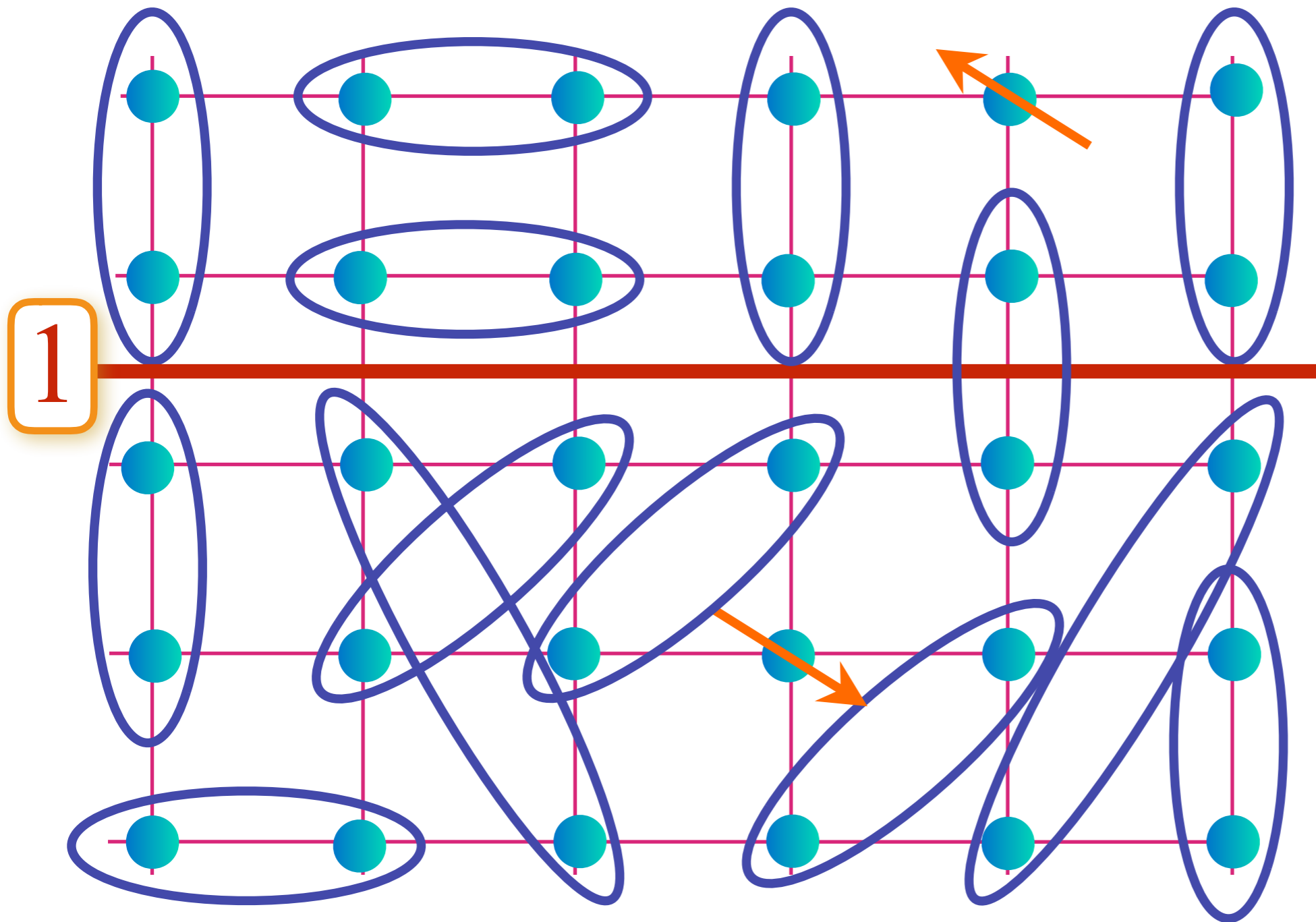
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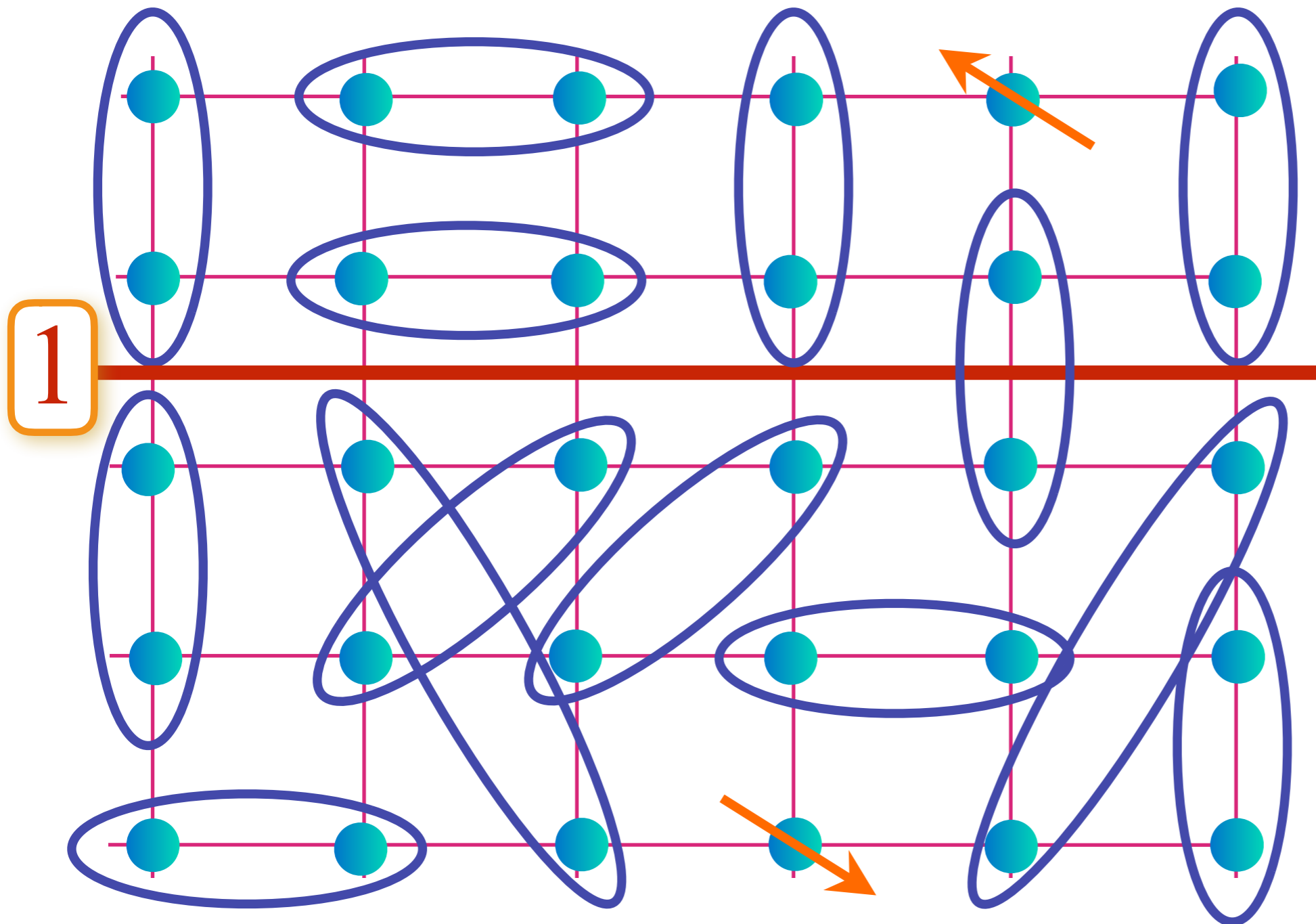
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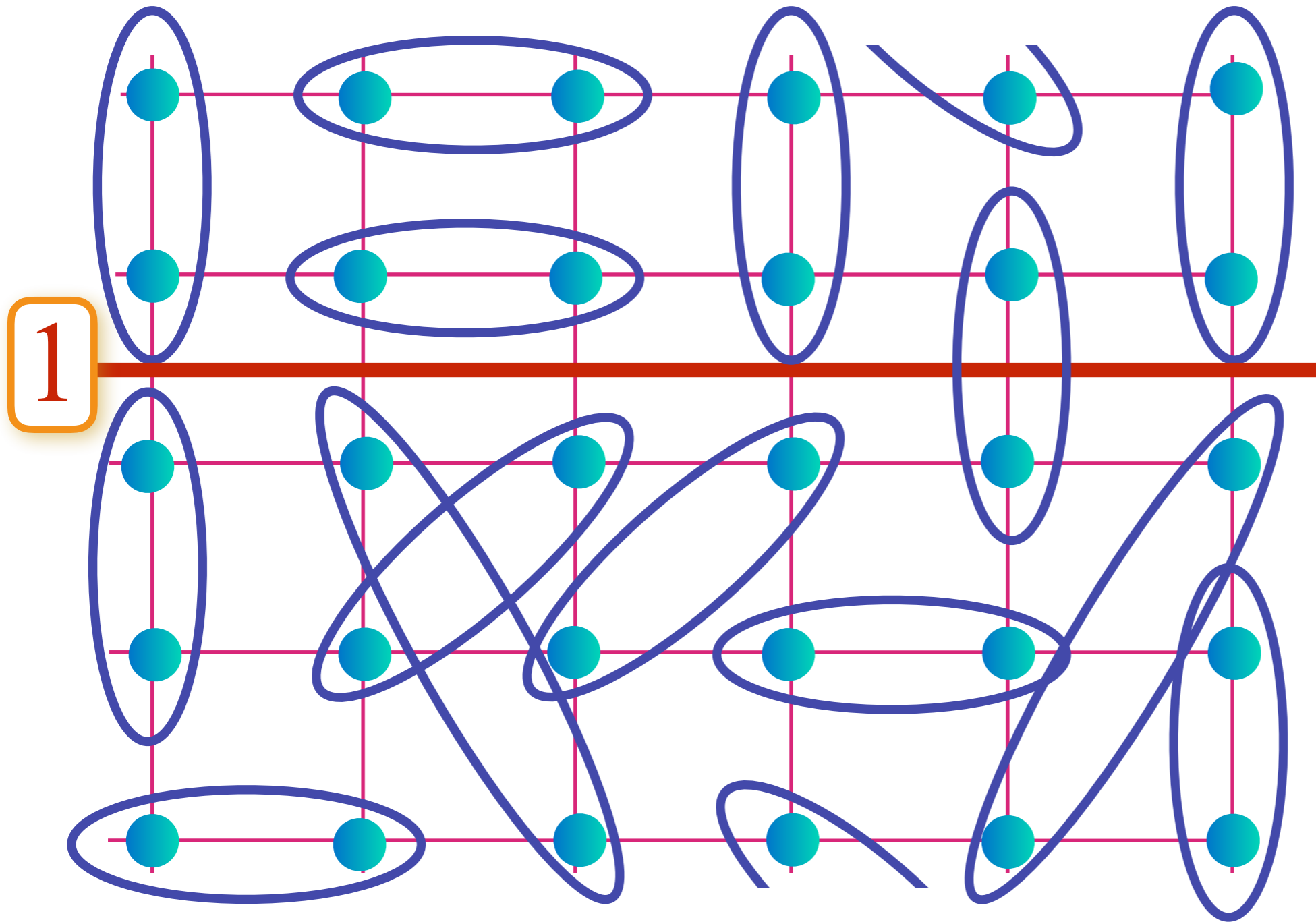
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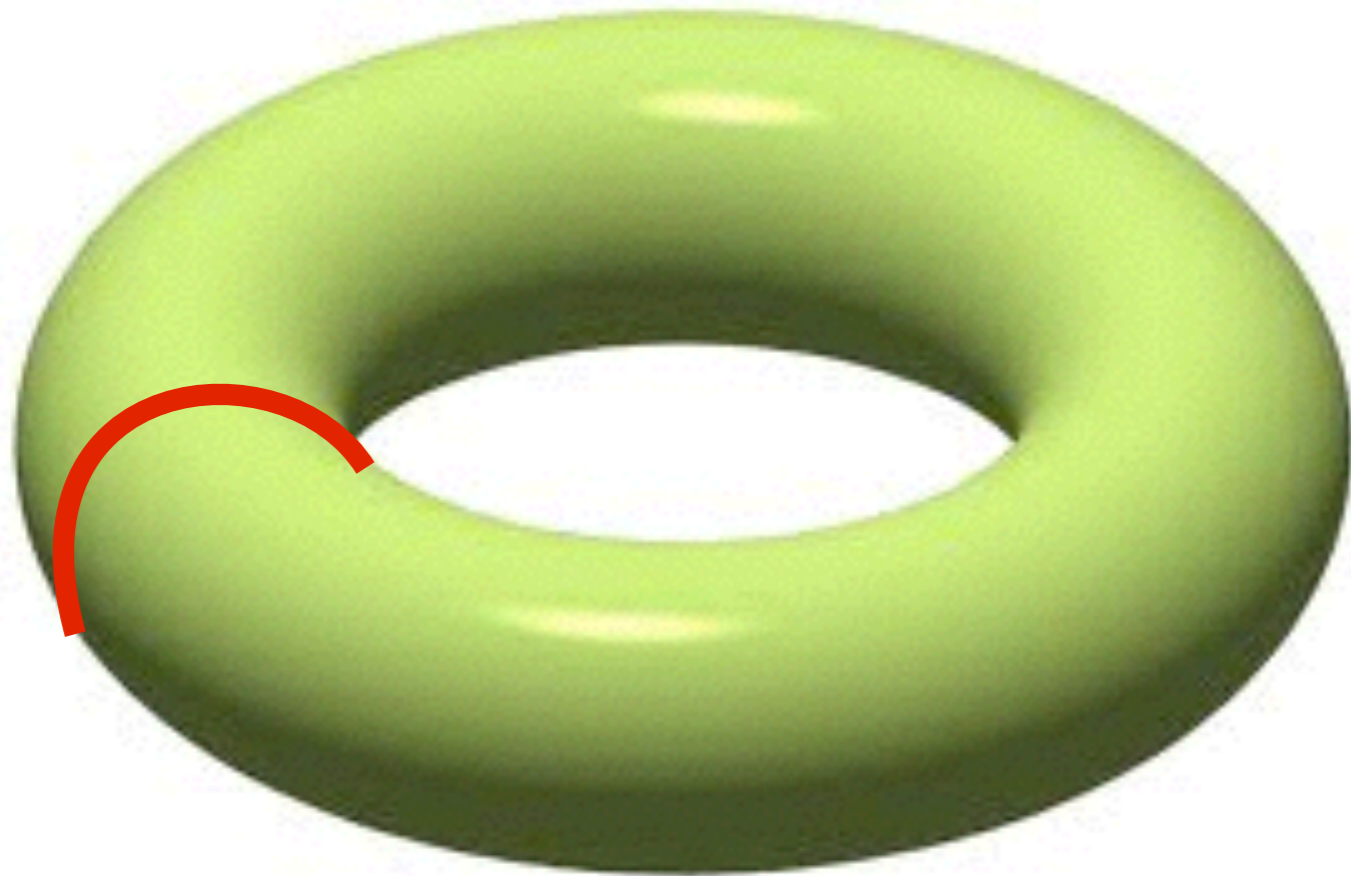
**Place
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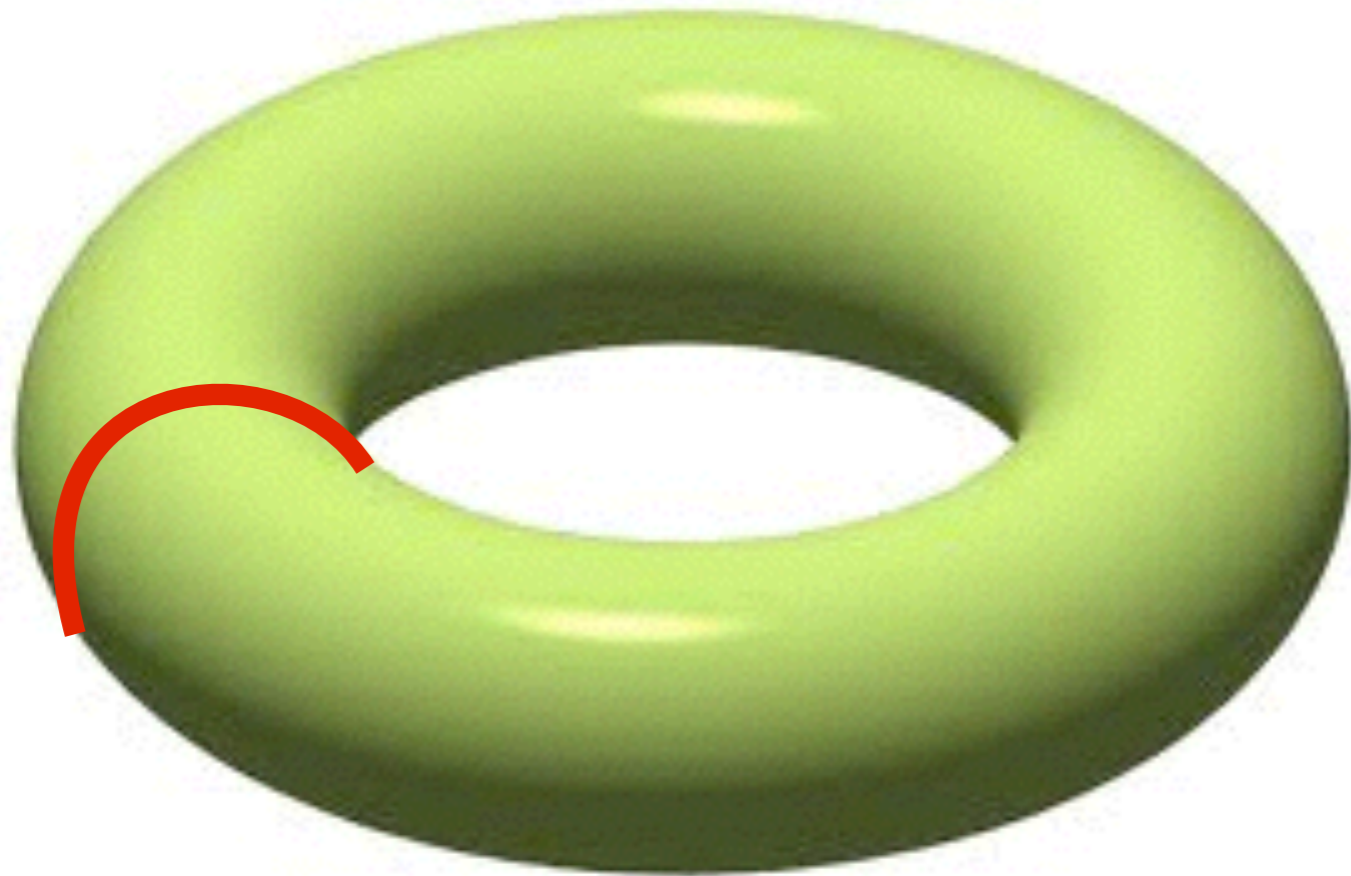
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The sensitivity
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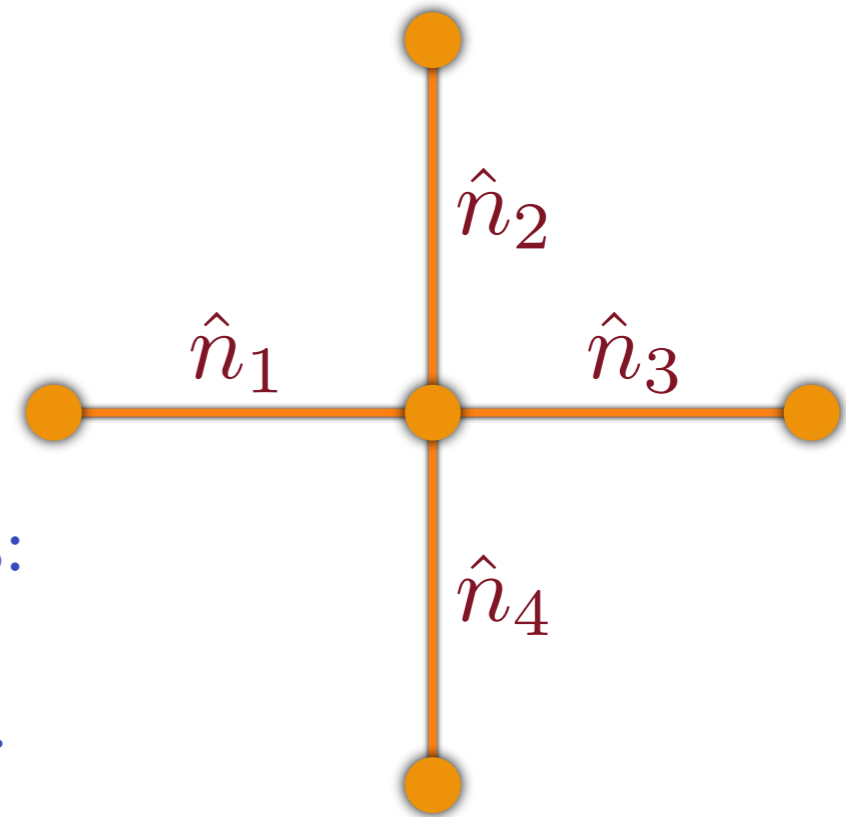


Place insulator on a torus;
The degenerate states are conjugate to the flux of an emergent gauge field piercing the cycles of the torus

D.J. Thouless, PRB 36, 7187 (1987)

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Emergent gauge fields



Local constraint on dimer number operators:

$$\hat{n}_1 + \hat{n}_2 + \hat{n}_3 + \hat{n}_4 = 1.$$

Identify dimer number with an ‘electric’ field, $\hat{E}_{i\alpha} = (-1)^{i_x+i_y} \hat{n}_{i\alpha}$, ($\alpha = x, y$); the constraint becomes ‘Gauss’s Law’:

$$\Delta_\alpha \hat{E}_{i\alpha} = (-1)^{i_x+i_y}.$$

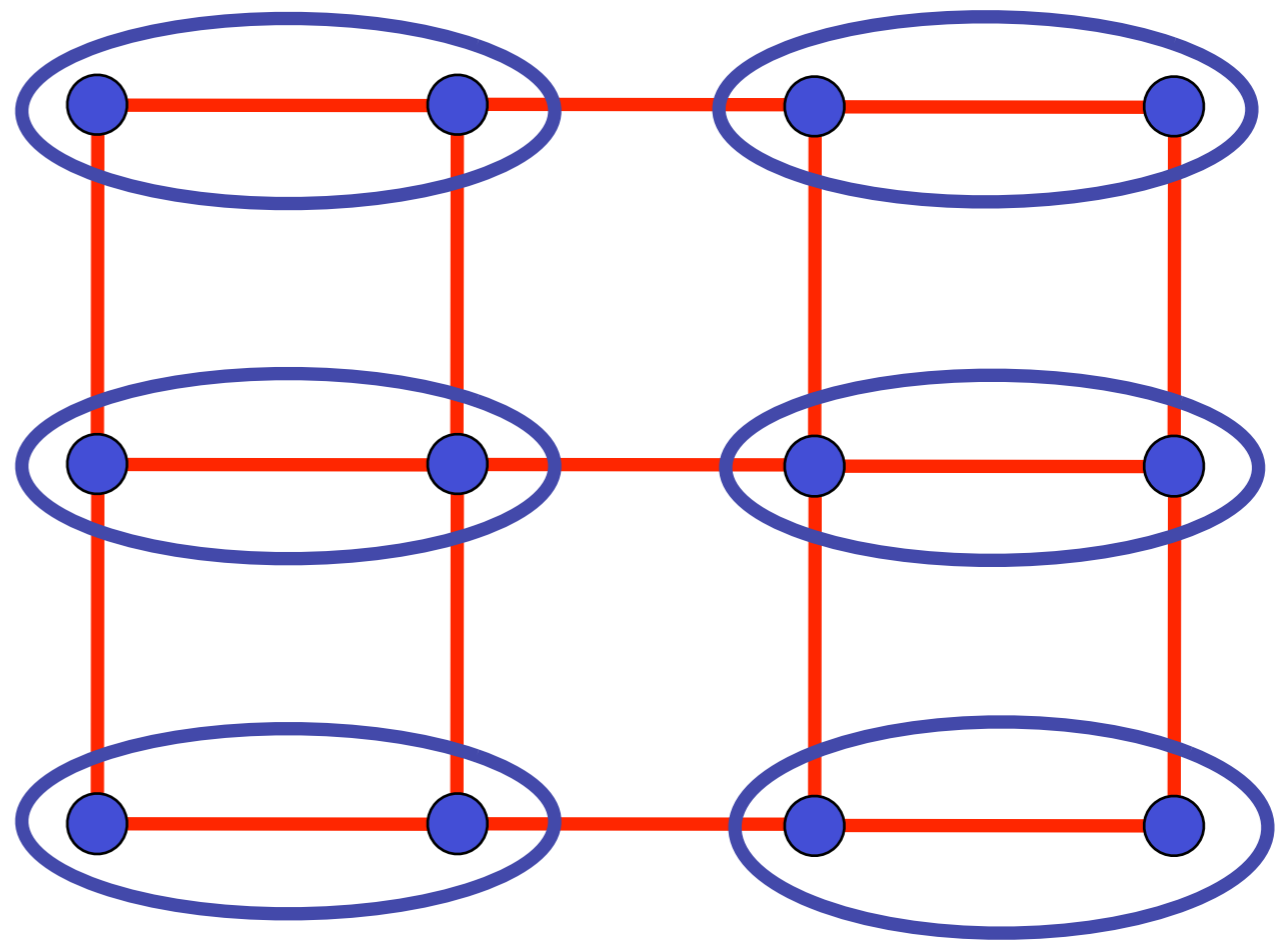
The theory of the dimers is *compact* U(1) quantum electrodynamics in the presence of static background charges. The *compact* theory allows the analog of Dirac’s magnetic monopoles as tunneling events/excitations.

G. Baskaran and P. W. Anderson, Phys. Rev. B **37**, 580(R) (1988)

E. Fradkin and S. A. Kivelson, Mod. Phys. Lett. B **4**, 225 (1990)

Emergent gauge fields

Valence
Bond
Solid



Monopoles proliferate in compact $U(1)$ QED in $2+1$ dimensions, and the spin liquid ultimately confines into a valence bond solid, except at deconfined quantum critical points. Consequently, emergent Maxwell electromagnetism is ultimately stable only at such critical points (or phases).

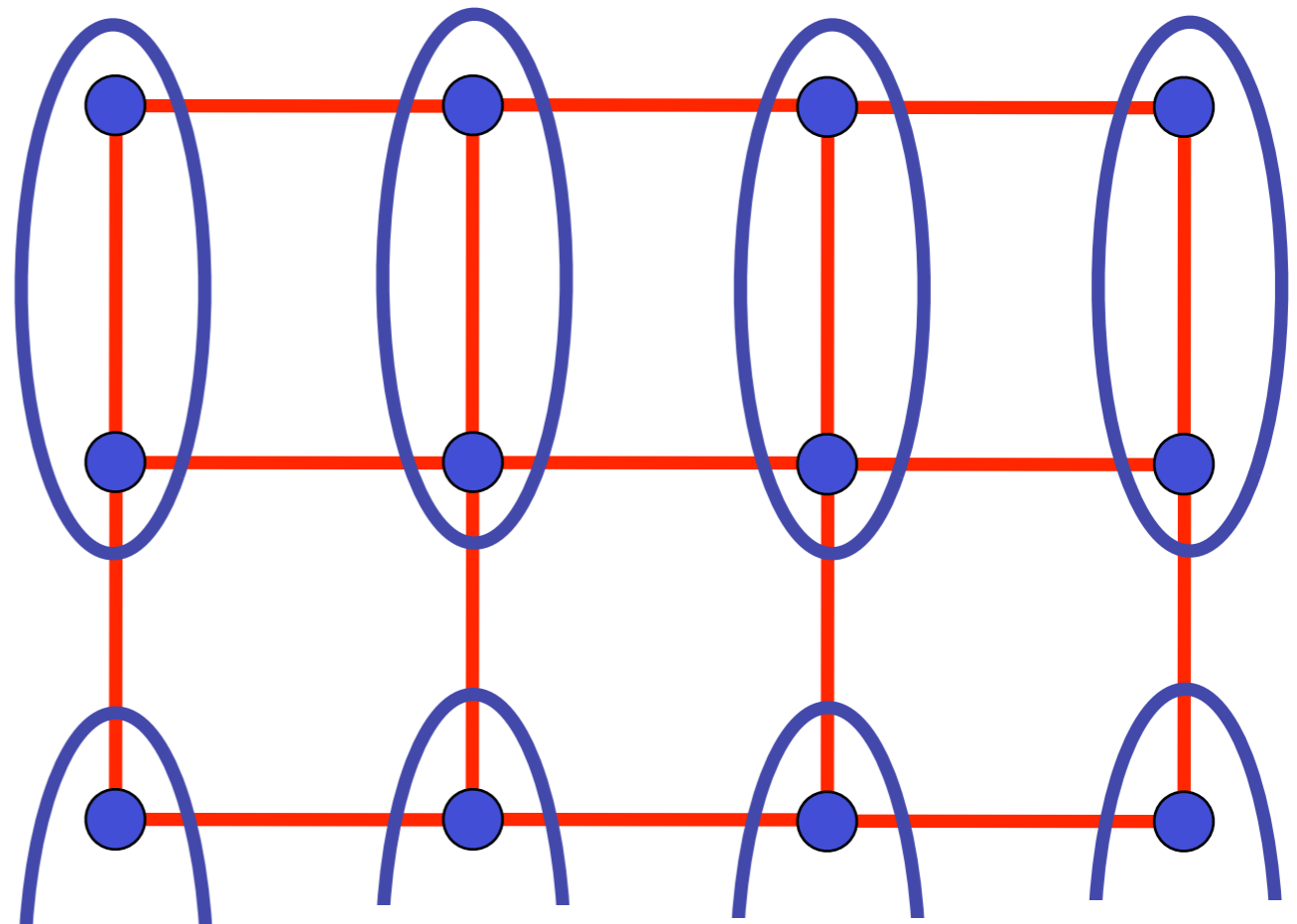
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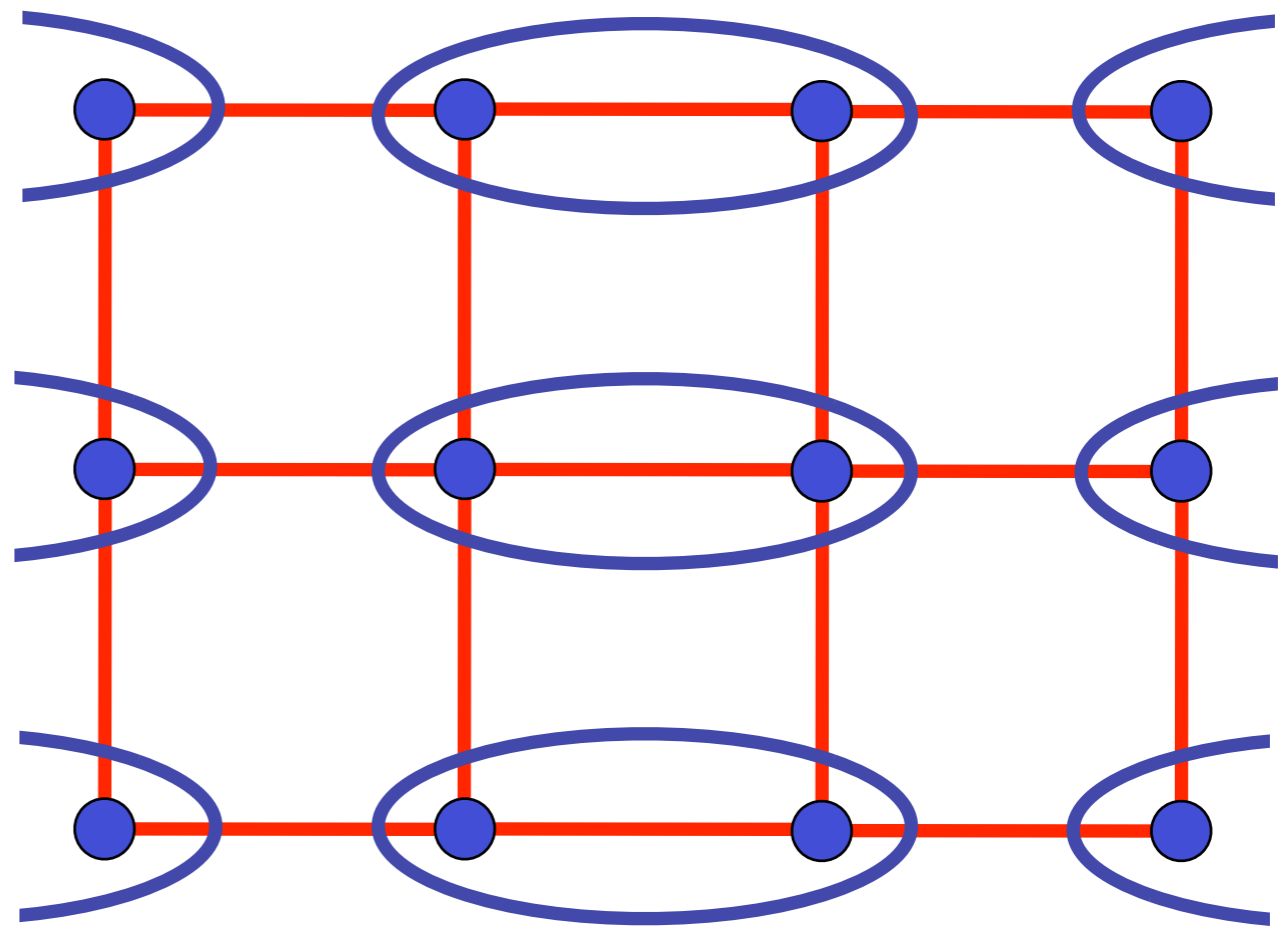
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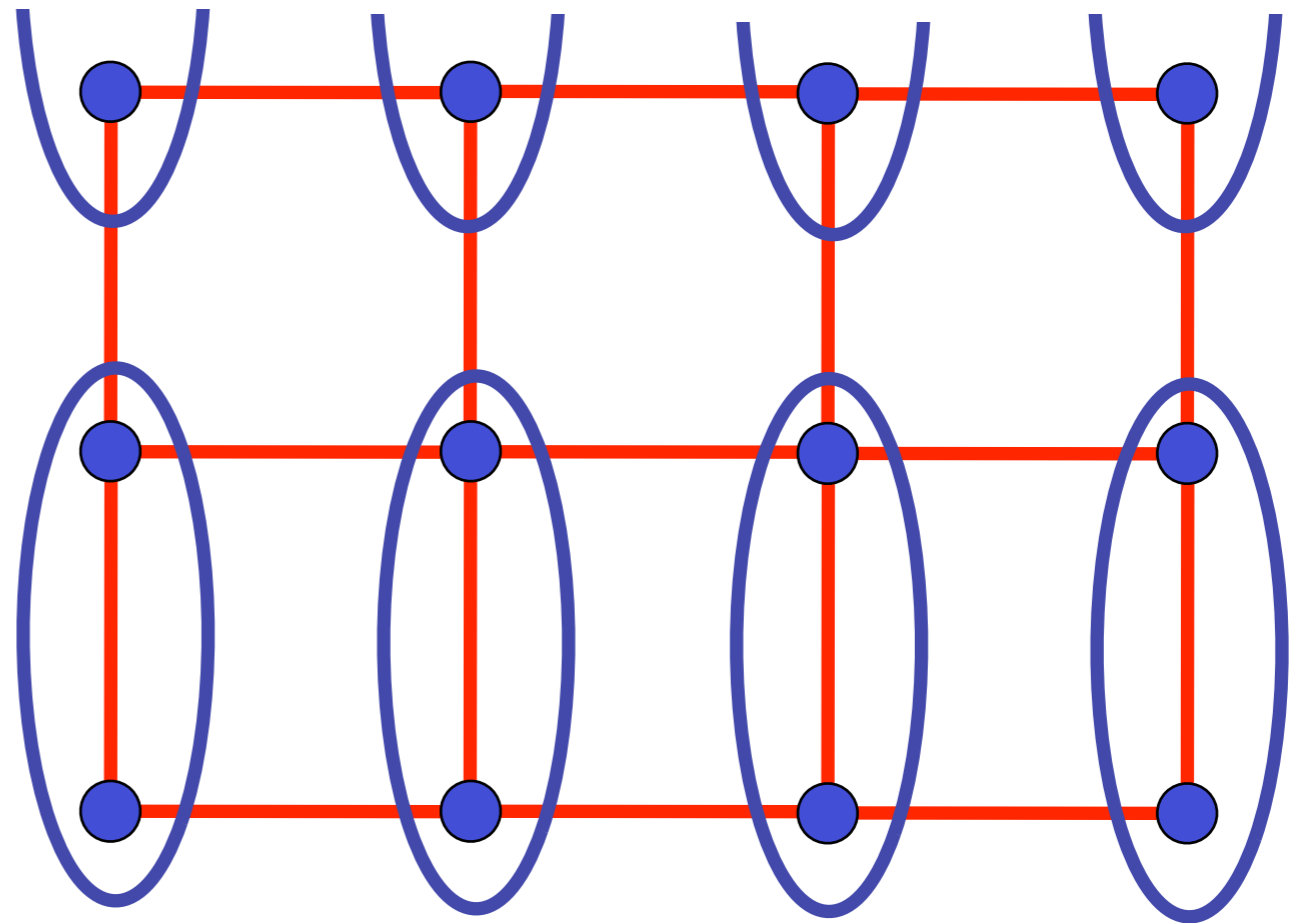
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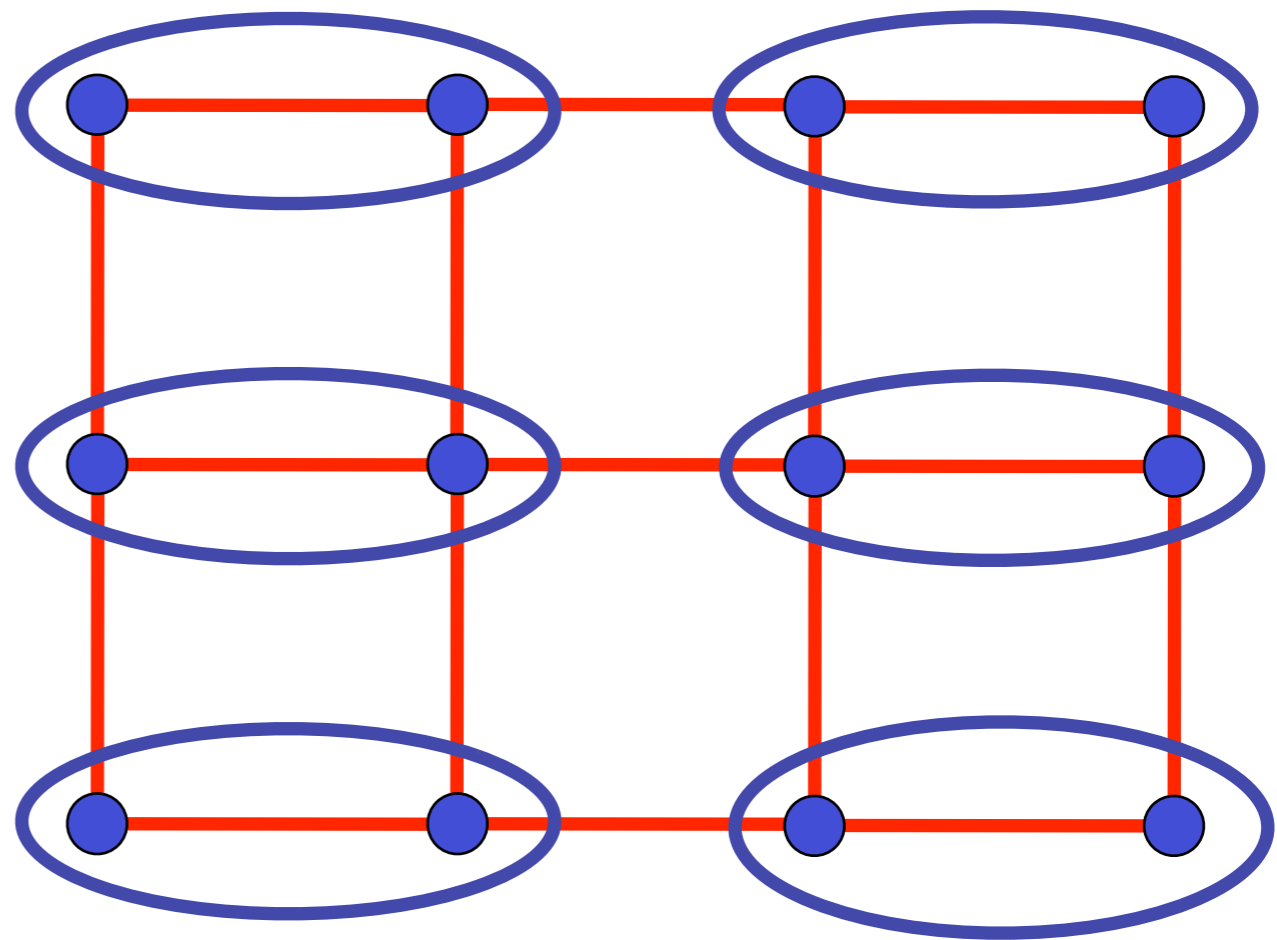
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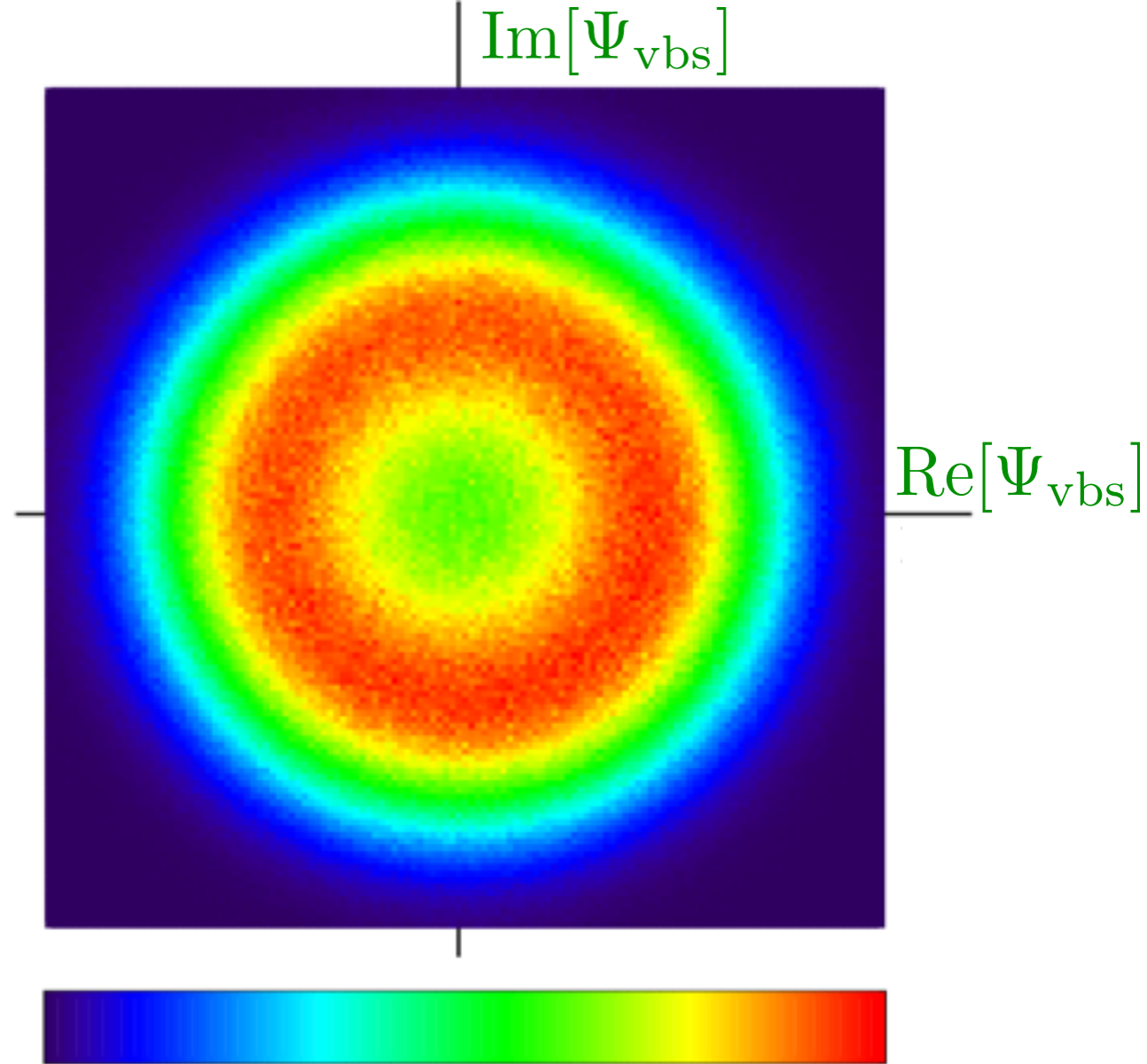
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Emergent gauge fields

Emergent U(1) symmetry of distribution function of valence bond solid order parameter Ψ_{vbs} at the critical point of a spin model is evidence for the emergence of a gapless photon.

A.W. Sandvik, *Phys. Rev. Lett.* **98**, 227202 (2007).



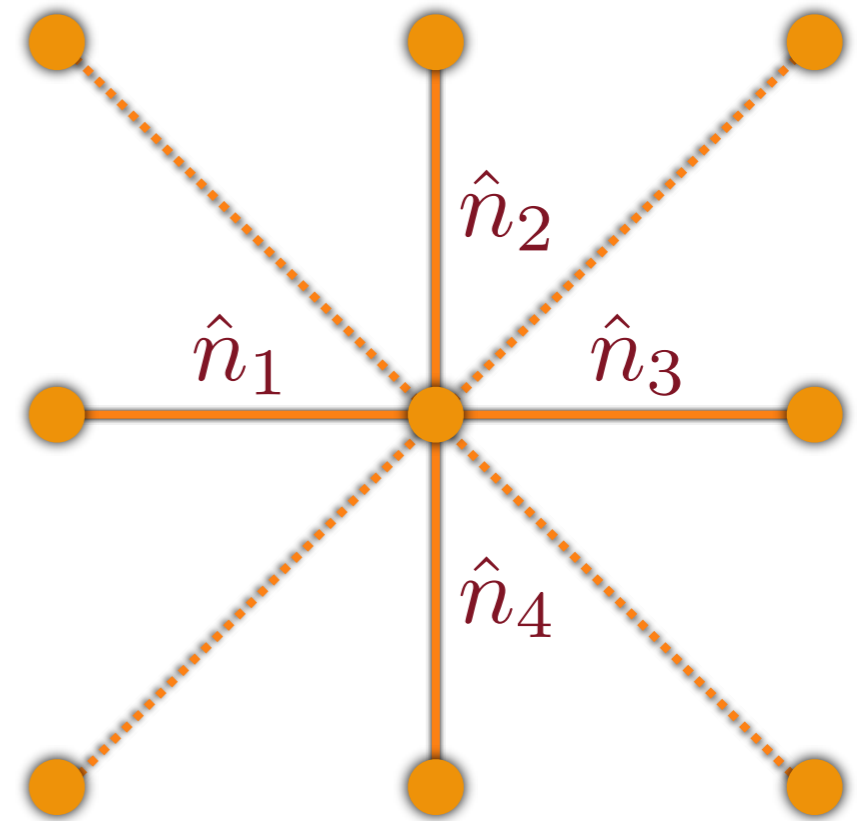
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Emergent gauge fields



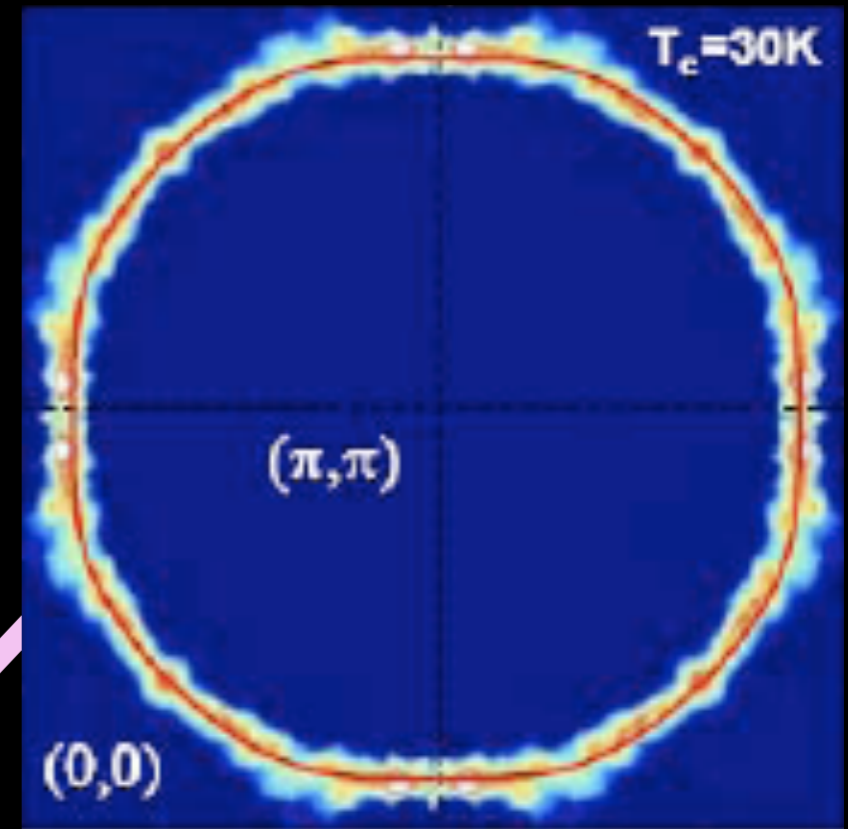
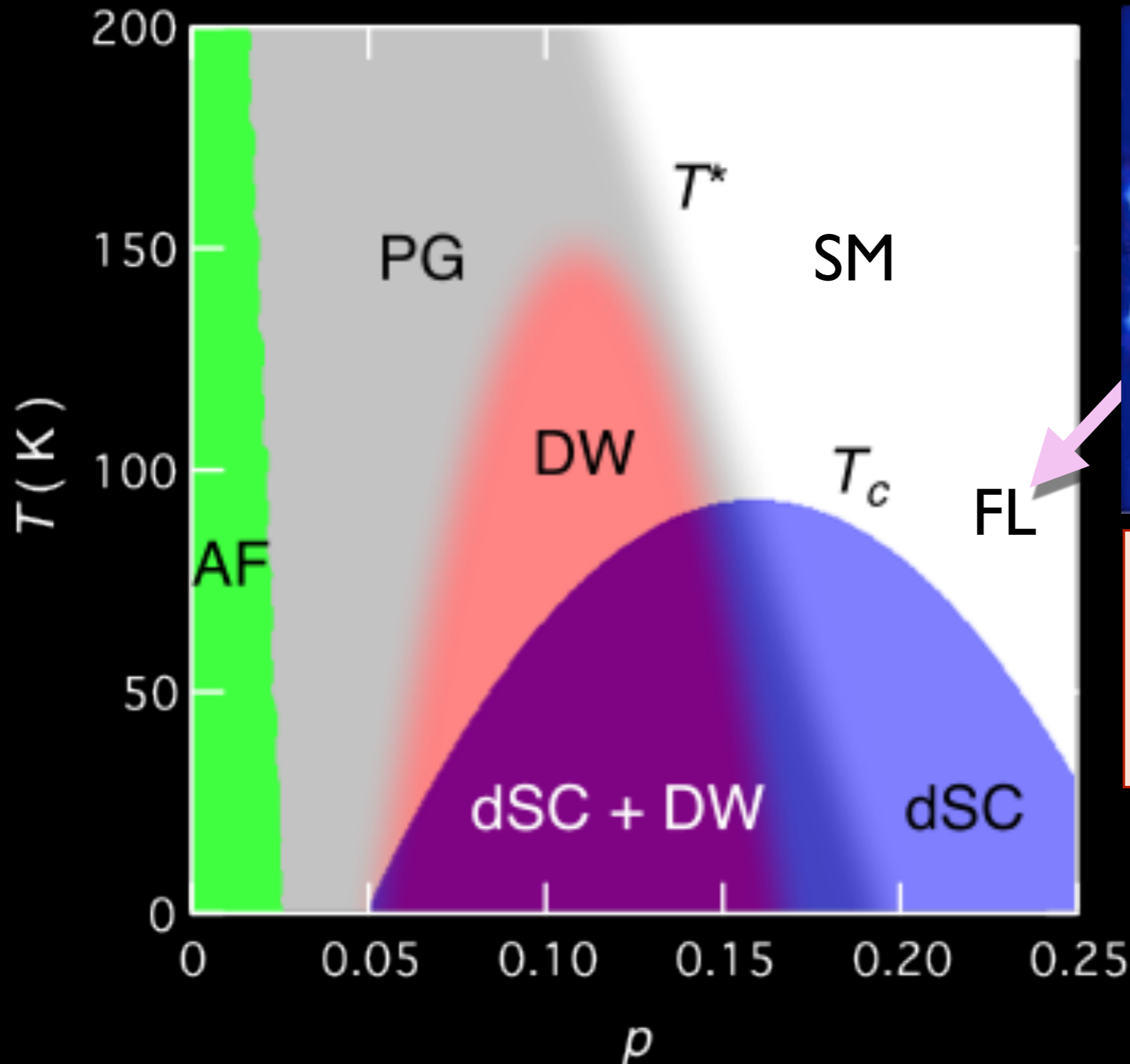
Including dimers connecting the same sublattice leads to a \mathbb{Z}_2 gauge theory in the presence of Berry phases of static background charges. This has a stable deconfined phase in $2+1$ dimensions. By varying parameters it can undergoes a confinement transition to a valence bond solid, described by a frustrated Ising model.

R.A. Jalabert and S. Sachdev, Phys. Rev. B **44**, 686 (1991)

S. Sachdev and M.Vojta, J. Phys. Soc. Jpn **69**, Supp. B, 1 (1999)

I. Emergent gauge fields and long-range entanglement in insulators

M. Platié, J. D. F. Mottershead, I. S. Elfimov, D. C. Peets, Ruixing Liang, D. A. Bonn, W. N. Hardy, S. Chiuzbaian, M. Falub, M. Shi, L. Patthey, and A. Damascelli, Phys. Rev. Lett. **95**, 077001 (2005)



A conventional metal:
the Fermi liquid

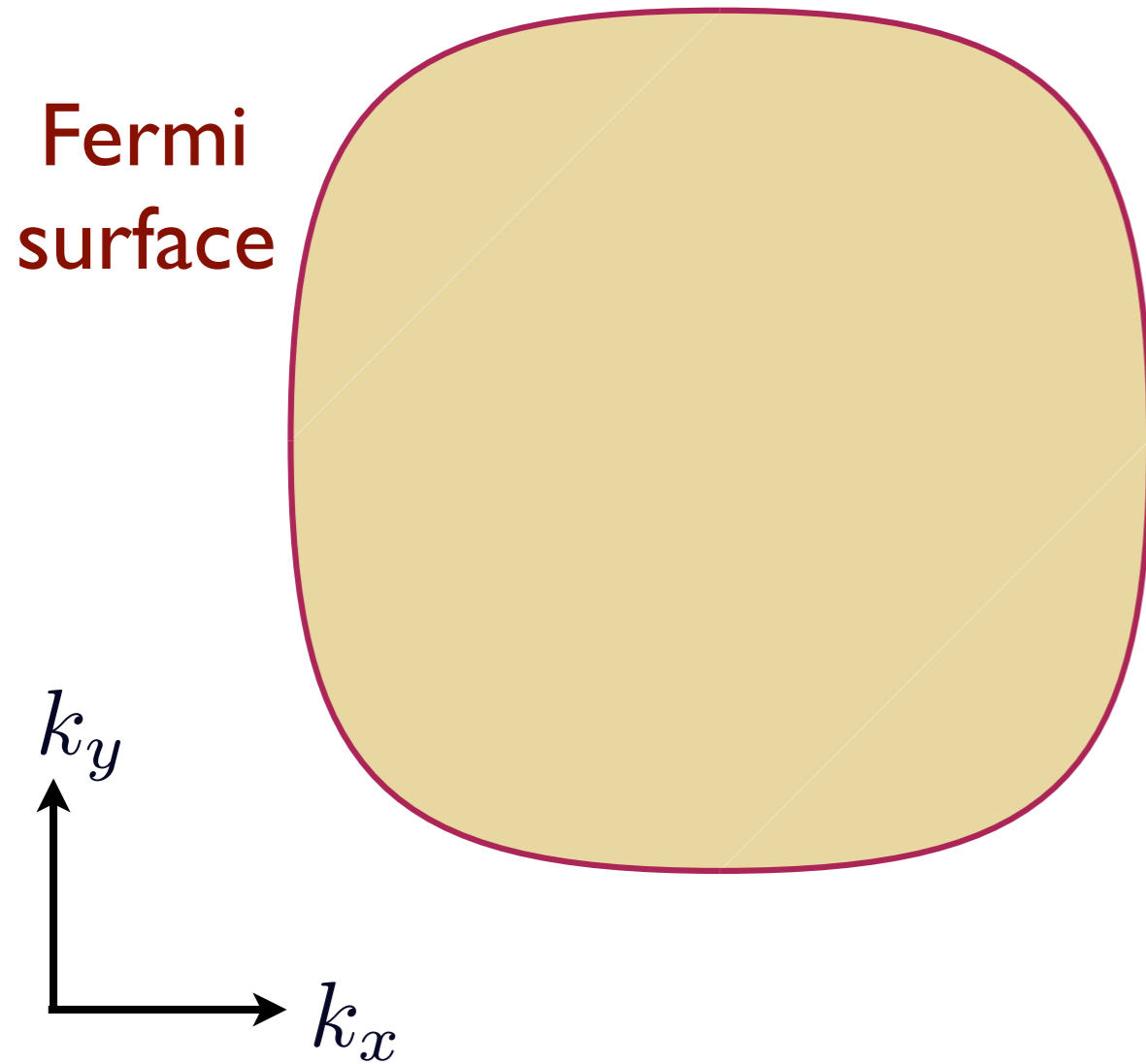
1. Emergent gauge fields and long-range entanglement in insulators

2. Theory of ordinary metals: Fermi liquids (FL)

(a) Quasiparticles

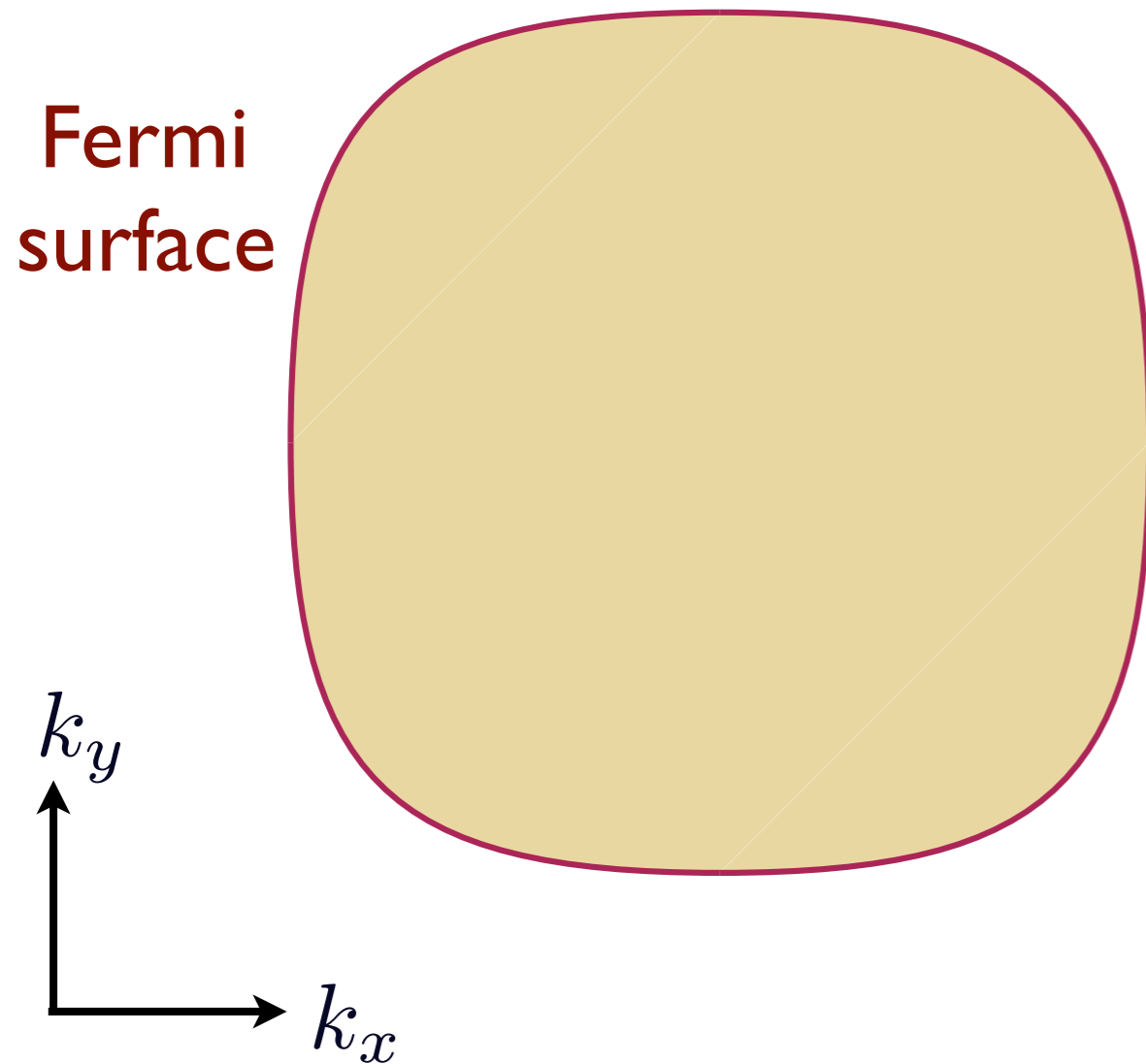
(b) Luttinger theorem for volume enclosed by Fermi surface

Ordinary metals: the Fermi liquid



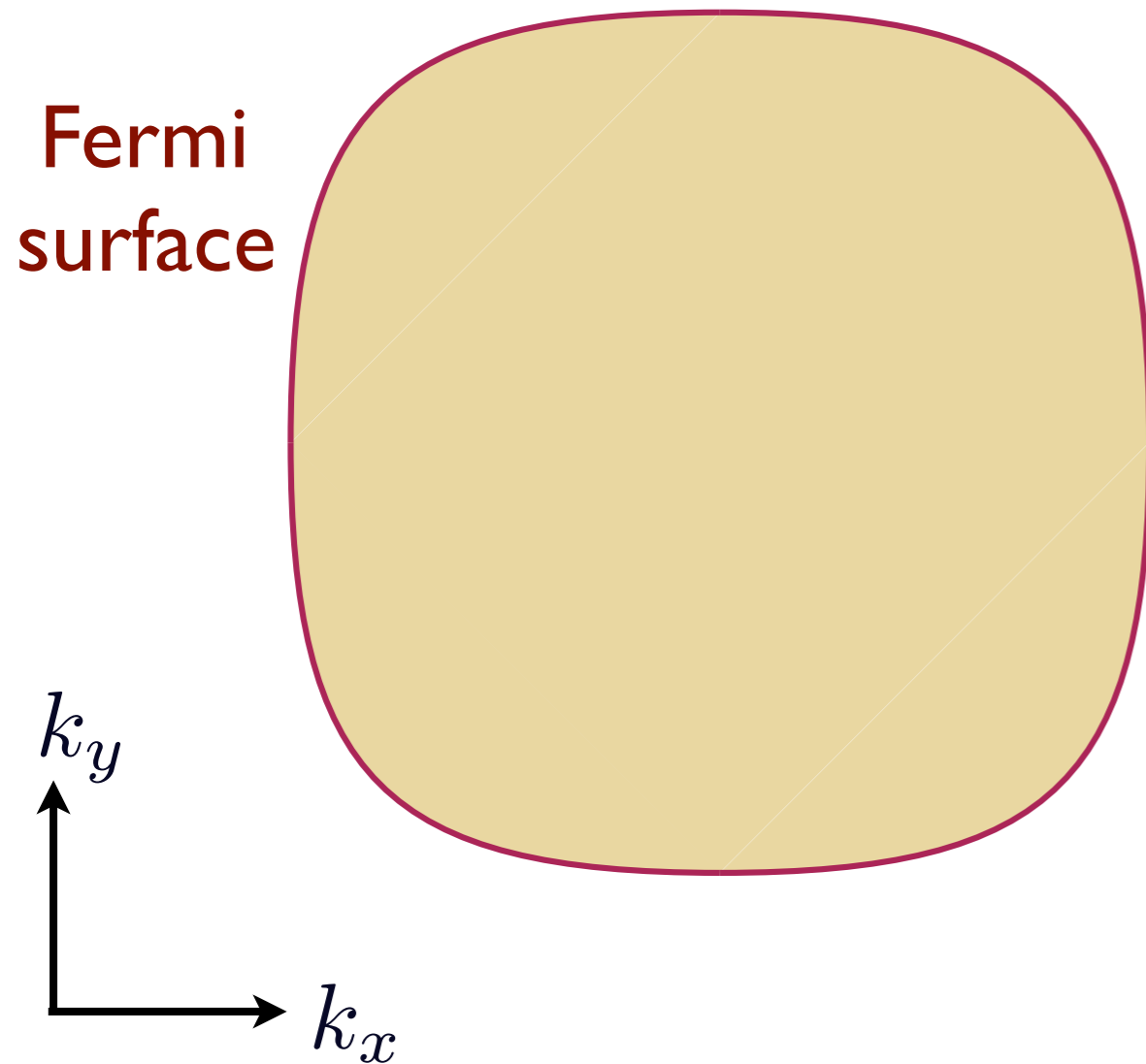
- Fermi surface separates empty and occupied states in momentum space.

Ordinary metals: the Fermi liquid

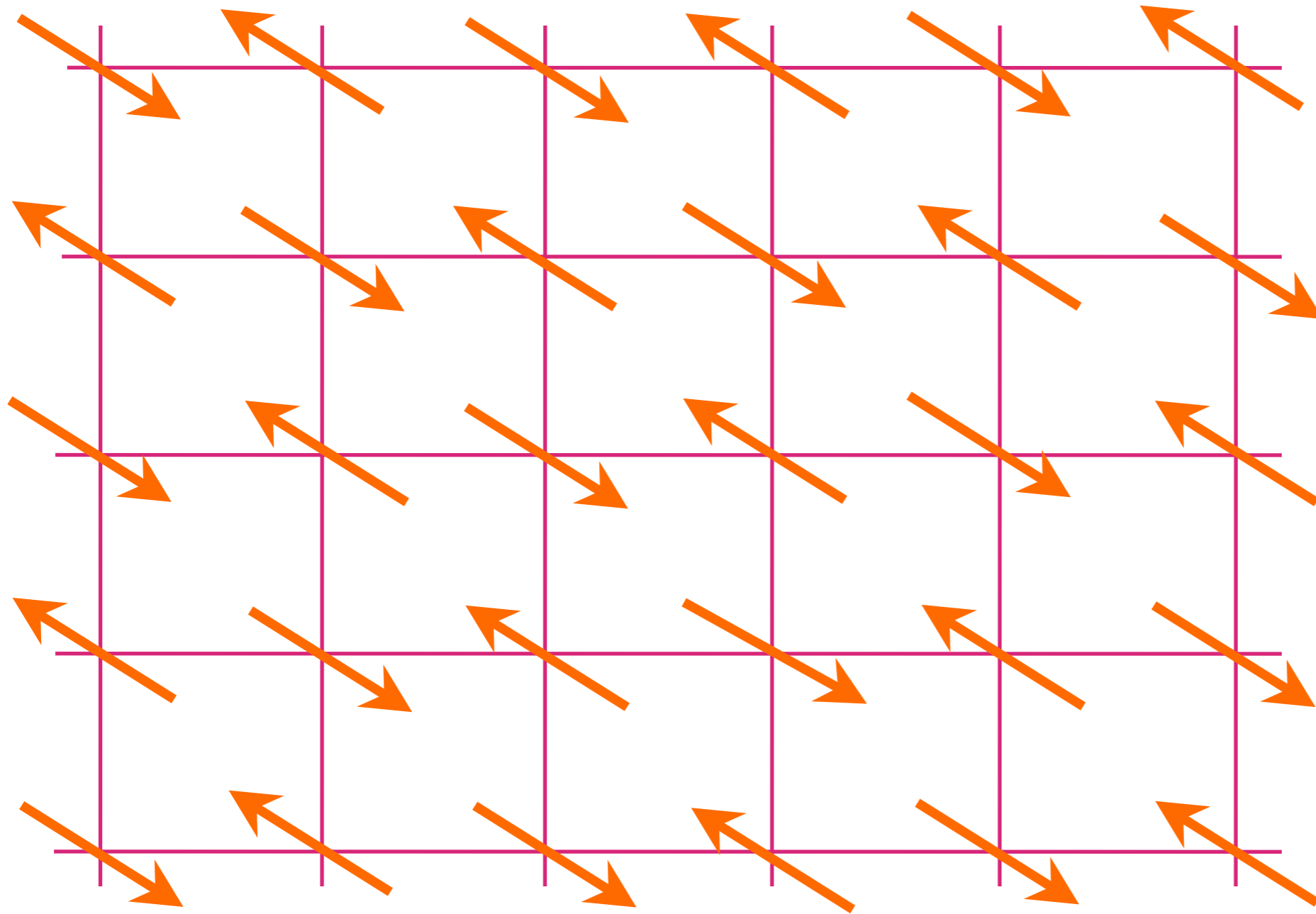


- Fermi surface separates empty and occupied states in momentum space.
- *Luttinger Theorem*: volume (area) enclosed by Fermi surface = the electron density.

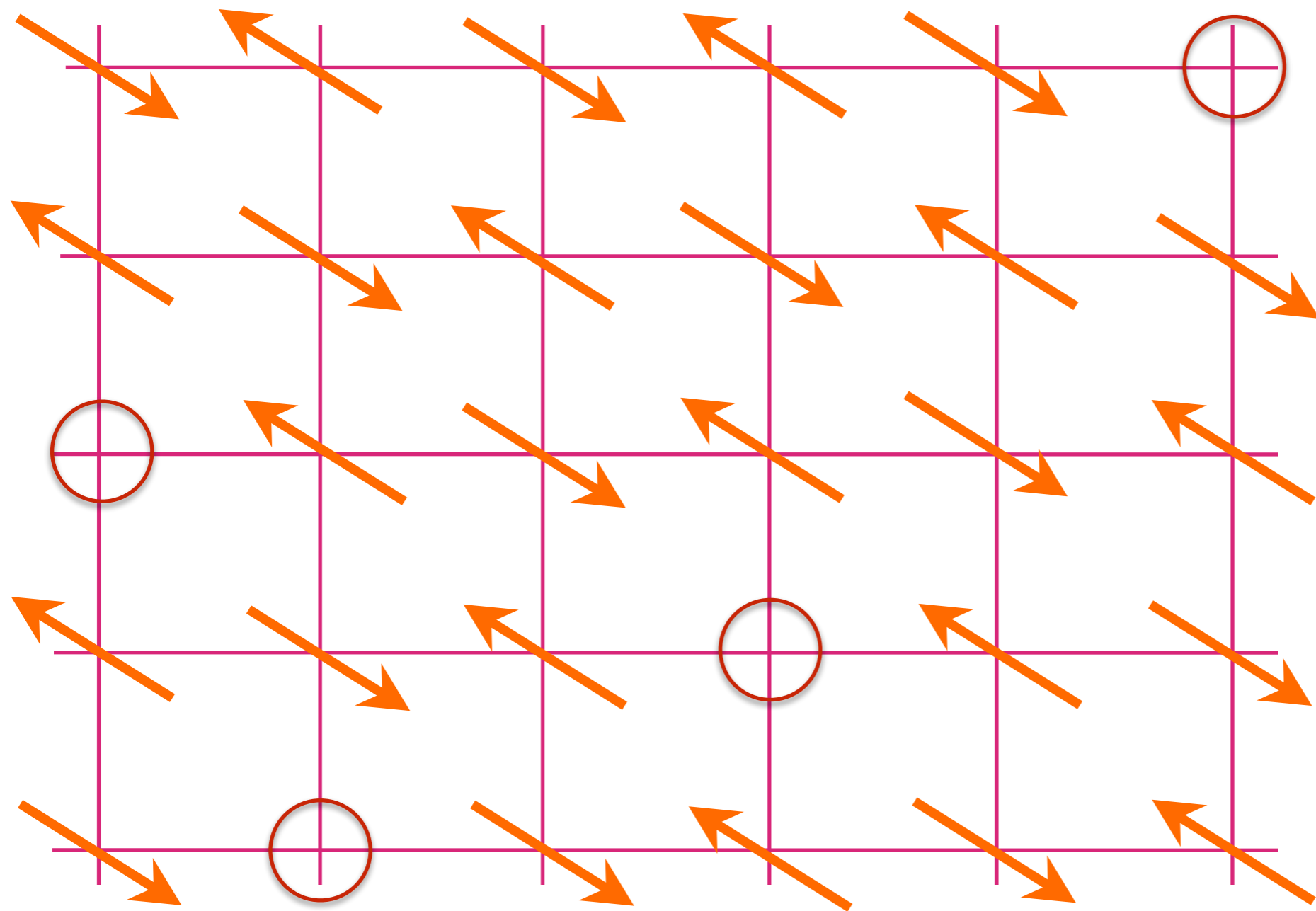
Ordinary metals: the Fermi liquid



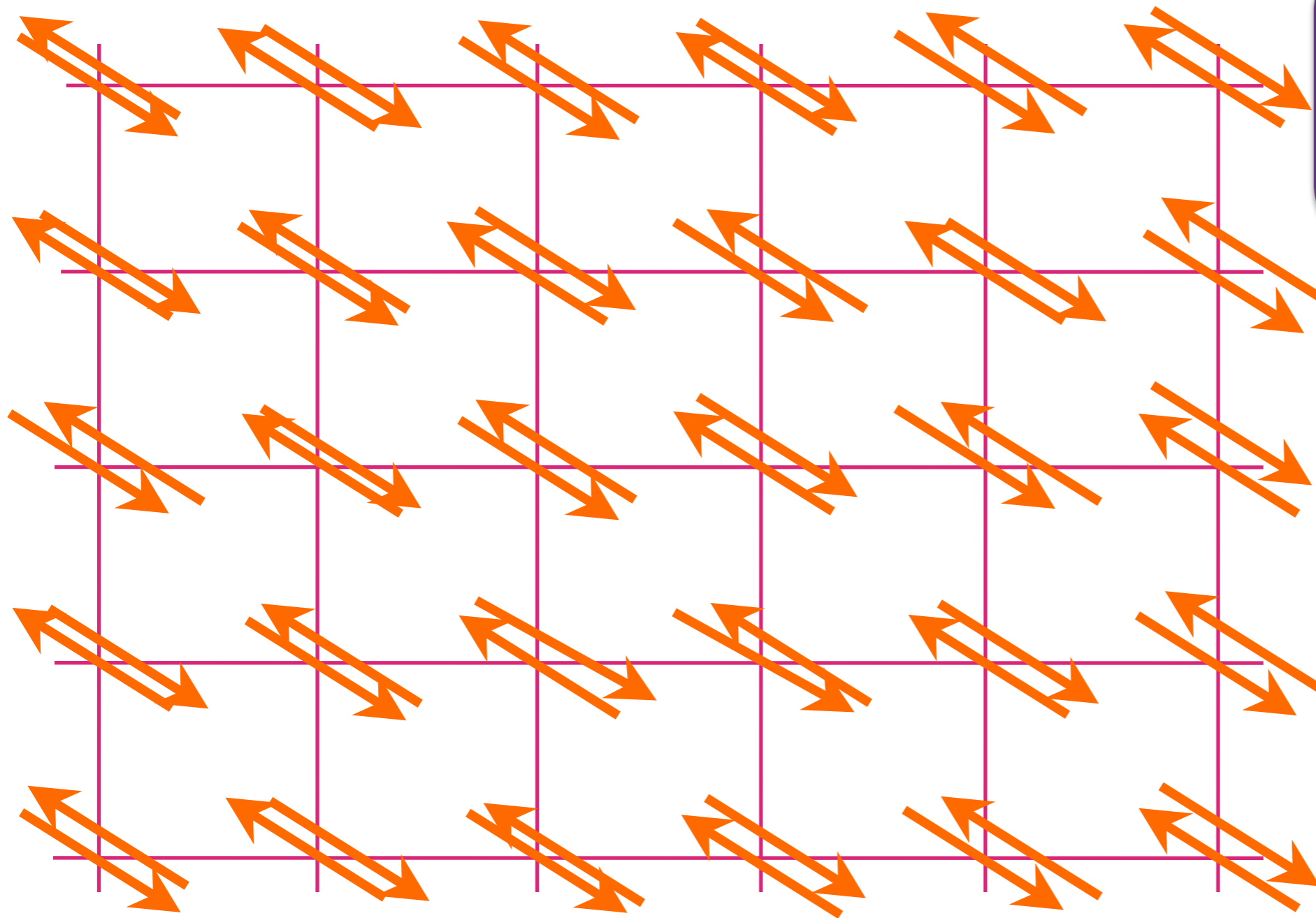
- Fermi surface separates empty and occupied states in momentum space.
- *Luttinger Theorem*: volume (area) enclosed by Fermi surface = the electron density.
- Hall co-efficient
 $R_H = -1/((\text{Fermi volume}) \times e)$.



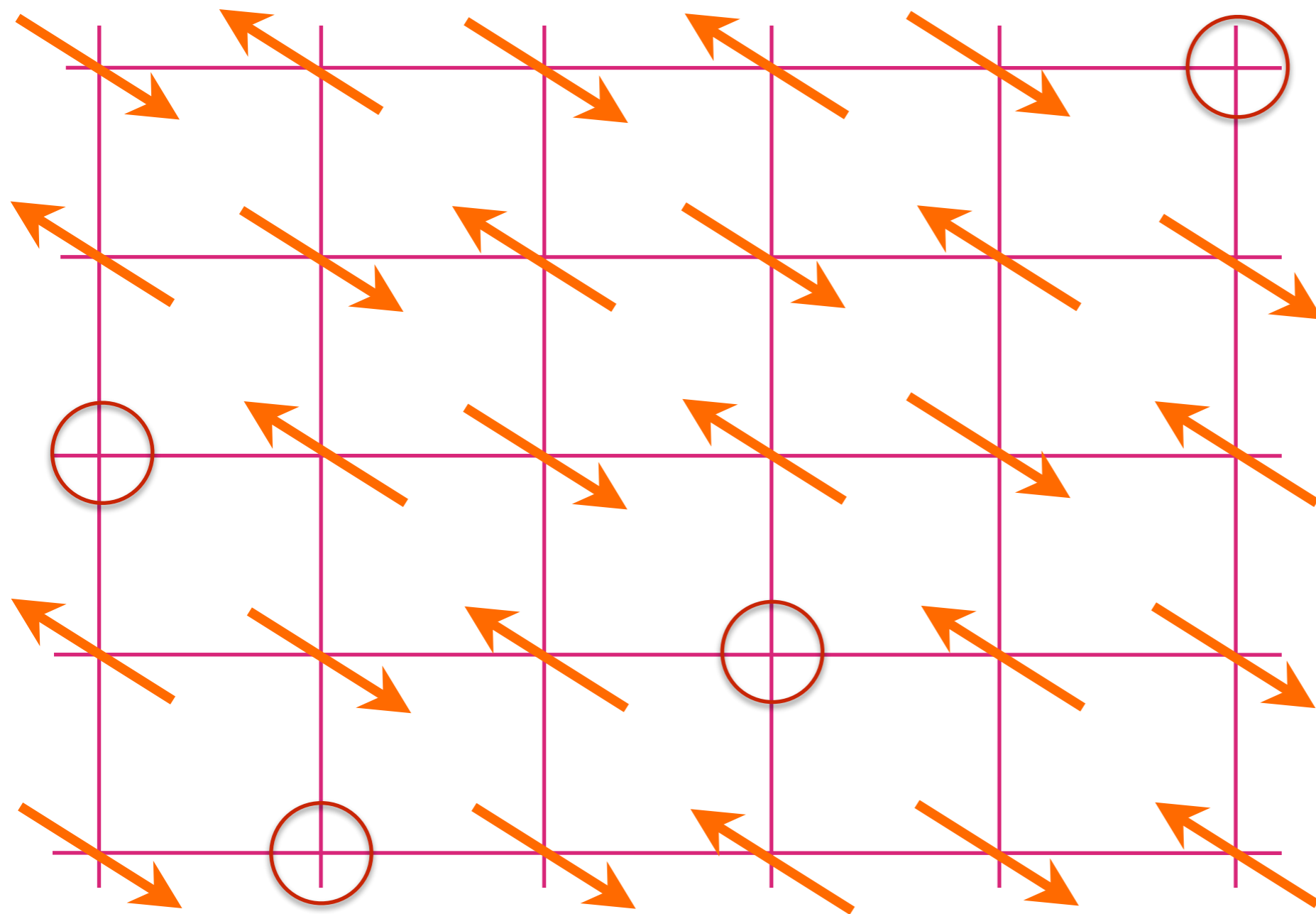
“Undoped”
Anti-
ferromagnet



Anti-ferromagnet
with p holes
per square



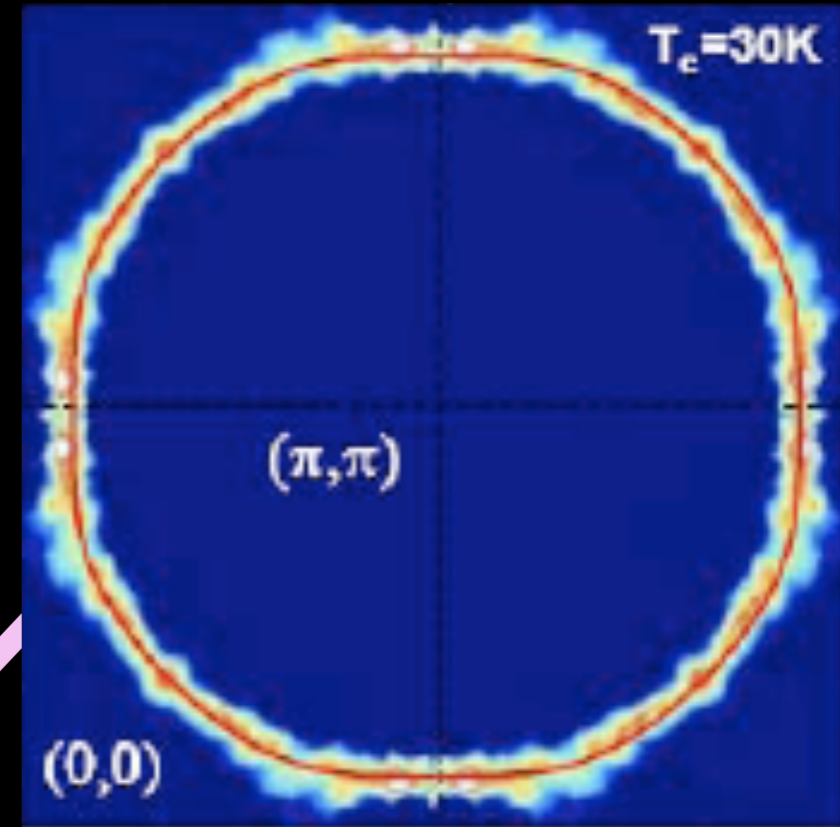
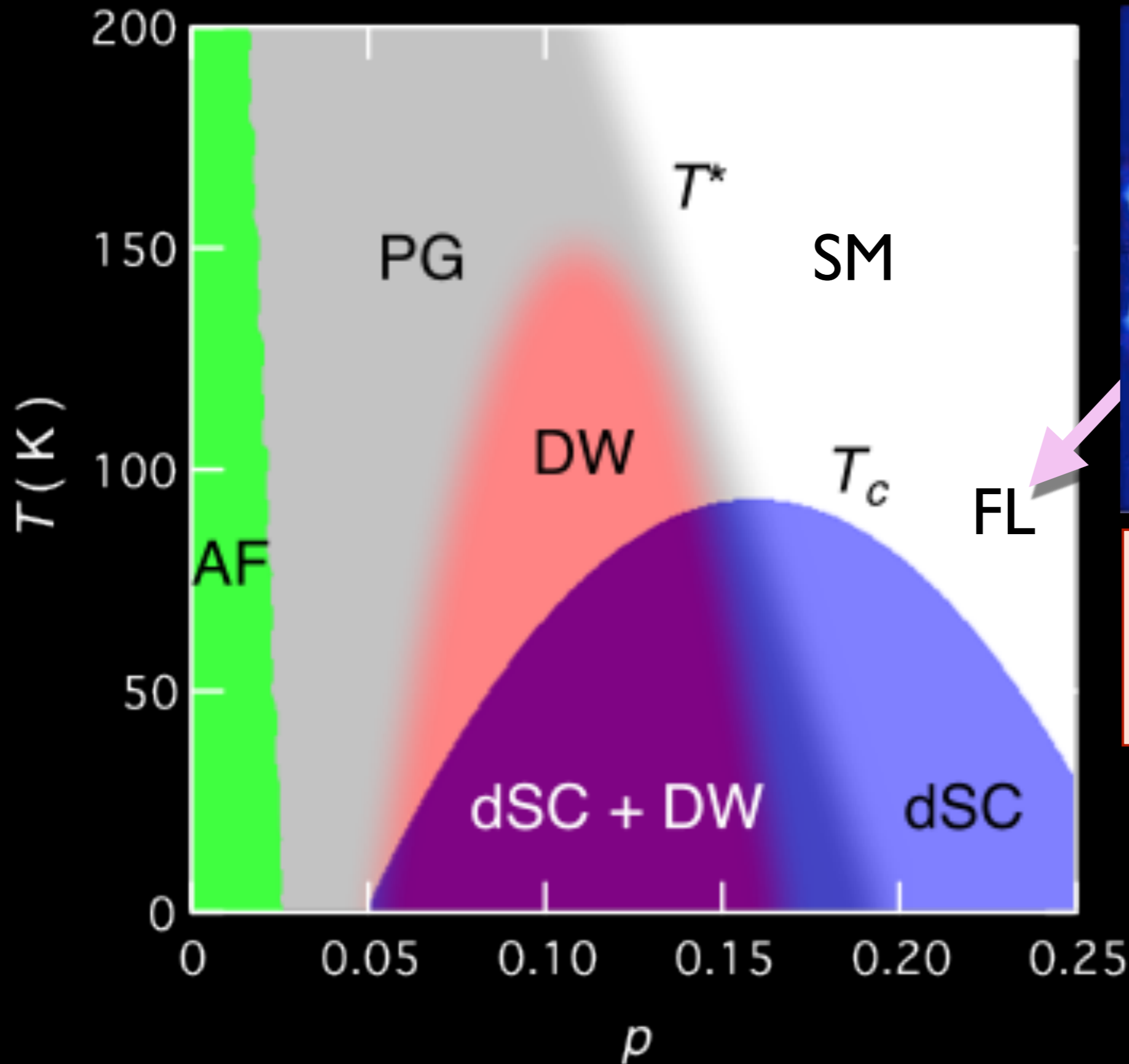
Filled
Band



Anti-ferromagnet with p holes per square

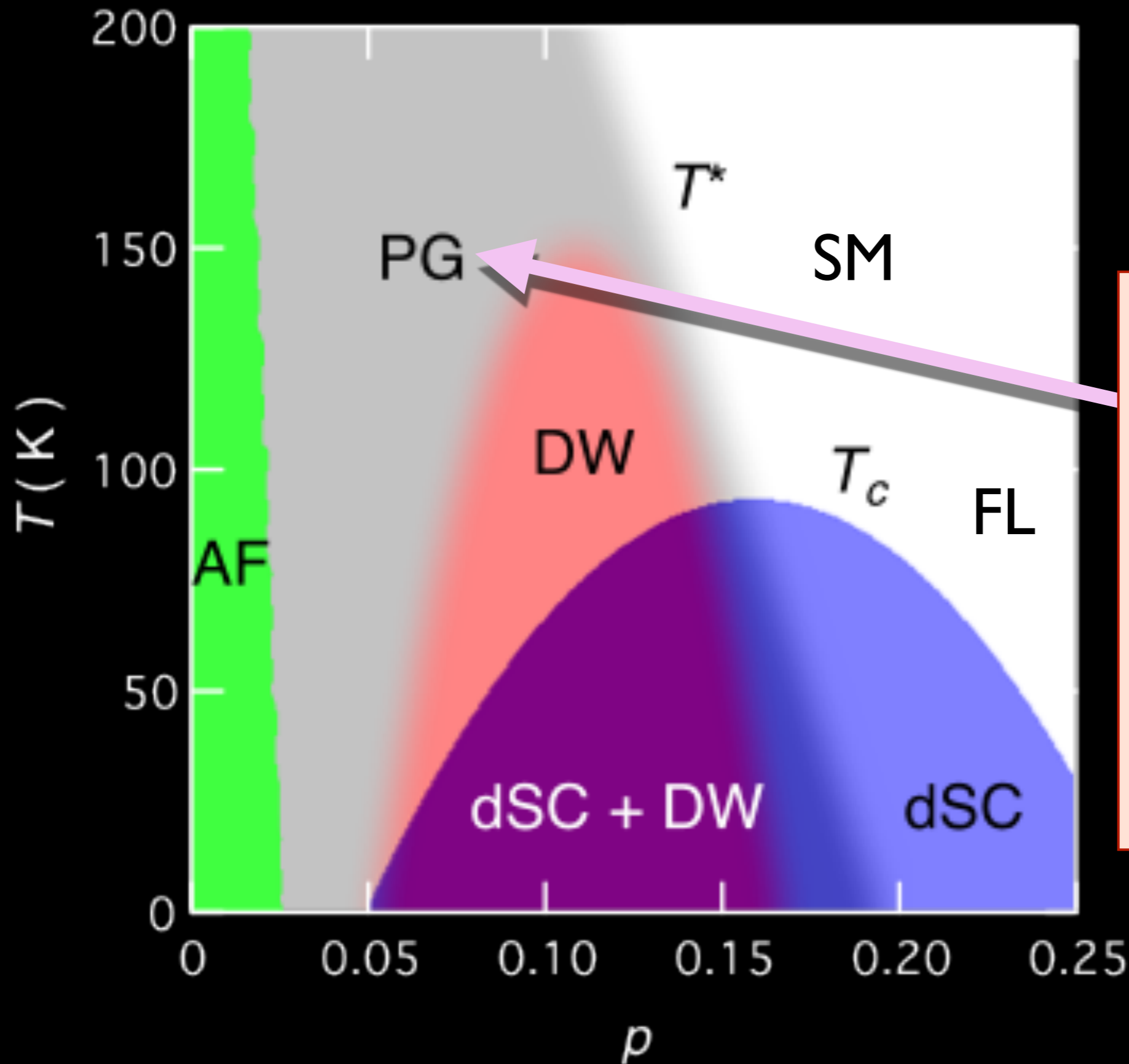
But relative to the band insulator, there are $1 + p$ holes per square, and so a Fermi liquid has a Fermi surface of size $1 + p$

M. Platié, J. D. F. Mottershead, I. S. Elfimov, D. C. Peets, Ruixing Liang, D. A. Bonn, W. N. Hardy, S. Chiuzbaian, M. Falub, M. Shi, L. Patthey, and A. Damascelli, Phys. Rev. Lett. **95**, 077001 (2005)



Fermi liquid
Area enclosed by
Fermi surface = $1+p$

M. Platé, J. D. F. Mottershead, I. S. Elfimov, D. C. Peets, Ruixing Liang, D. A. Bonn, W. N. Hardy, S. Chiuzbaian, M. Falub, M. Shi, L. Patthey, and A. Damascelli, Phys. Rev. Lett. **95**, 077001 (2005)

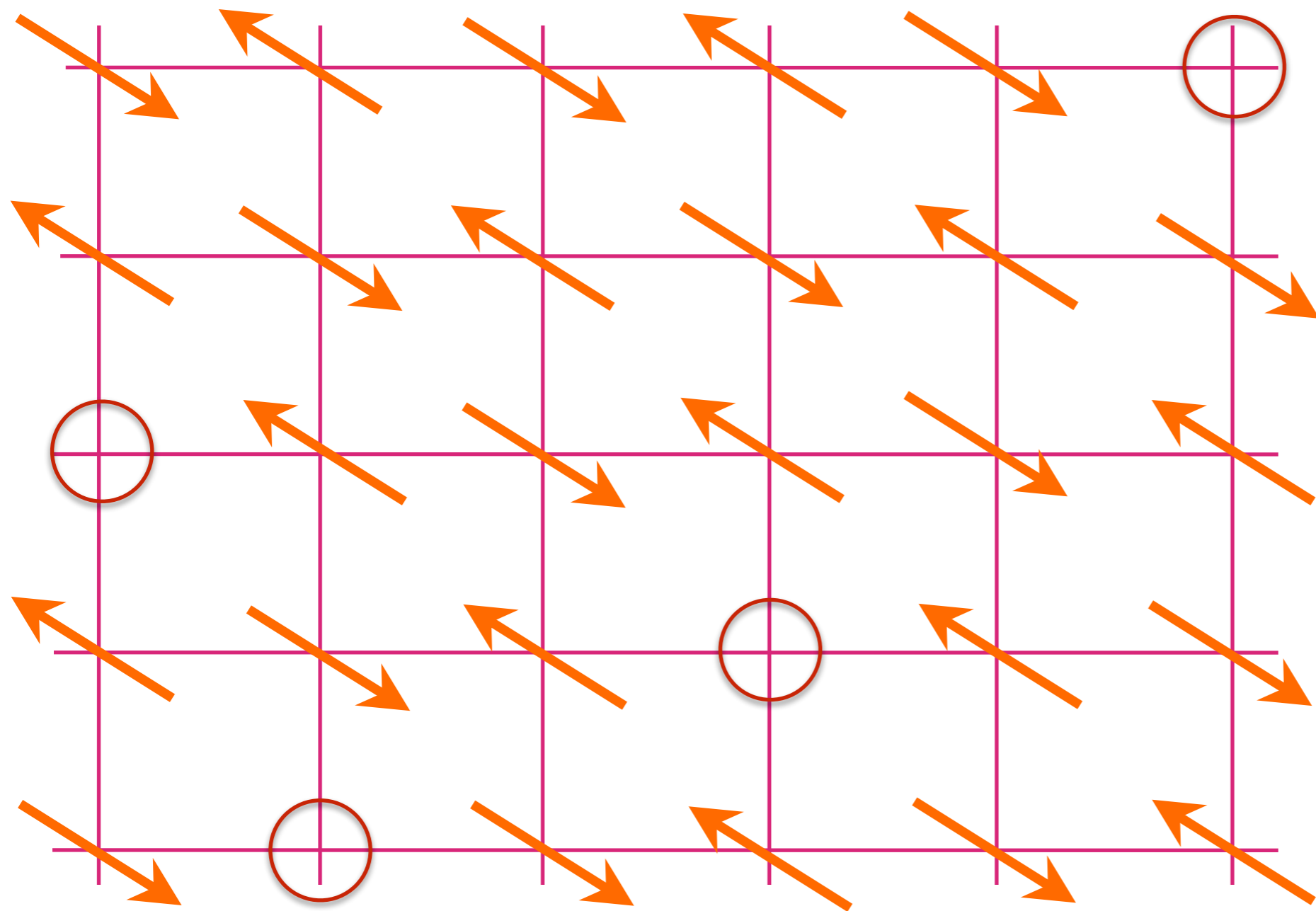


The PG regime behaves in many respects like a Fermi liquid, but with a Fermi surface size of p and not $1+p$

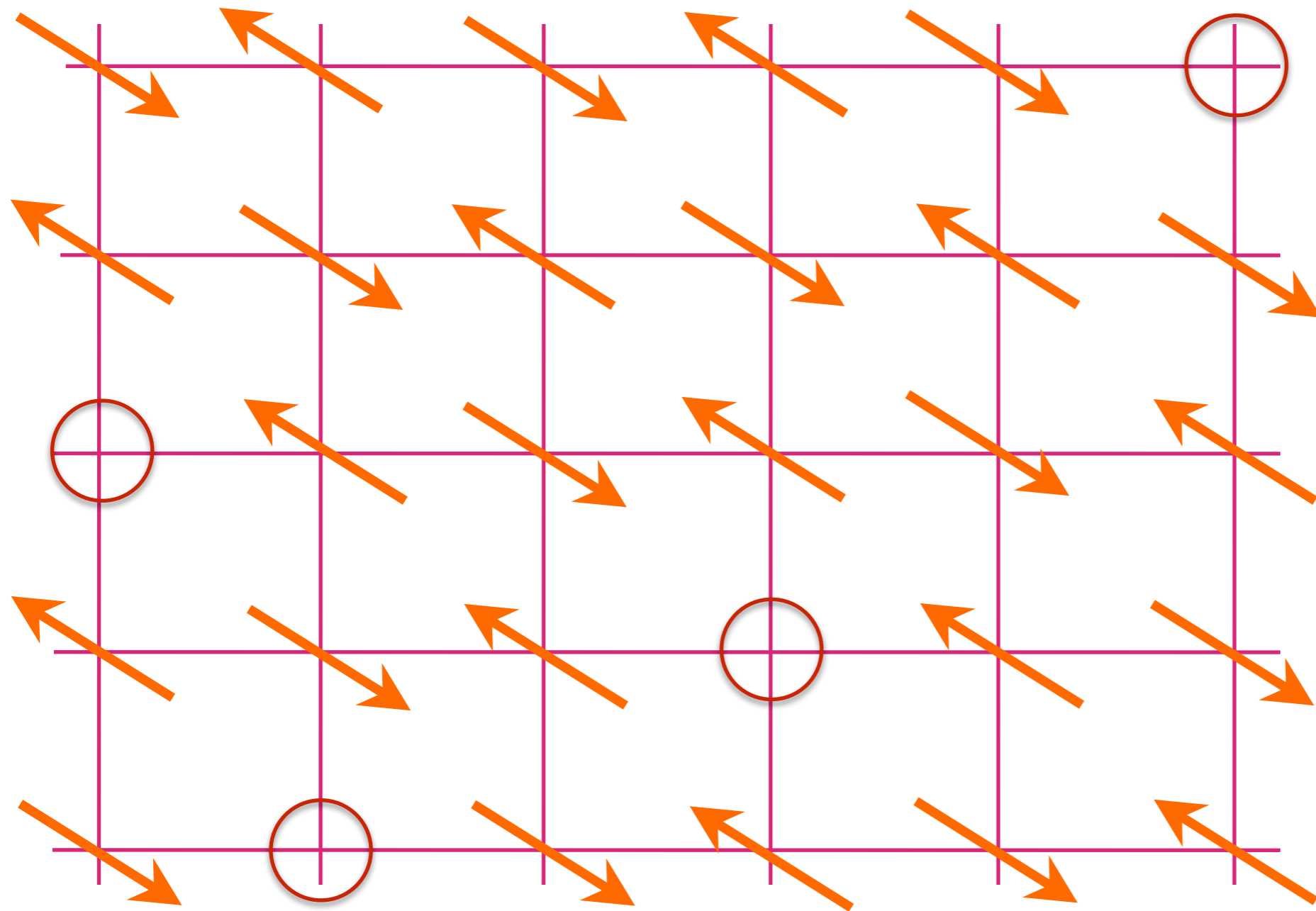
1. Emergent gauge fields and long-range entanglement in insulators

2. Theory of ordinary metals: Fermi liquids (FL)
 - (a) *Quasiparticles*
 - (b) *Luttinger theorem for volume enclosed by Fermi surface*

3. Fractionalized Fermi liquids (FL*)
*Quasiparticles with a non-Luttinger volume,
and emergent gauge fields*



Anti-ferromagnet
with p holes
per square

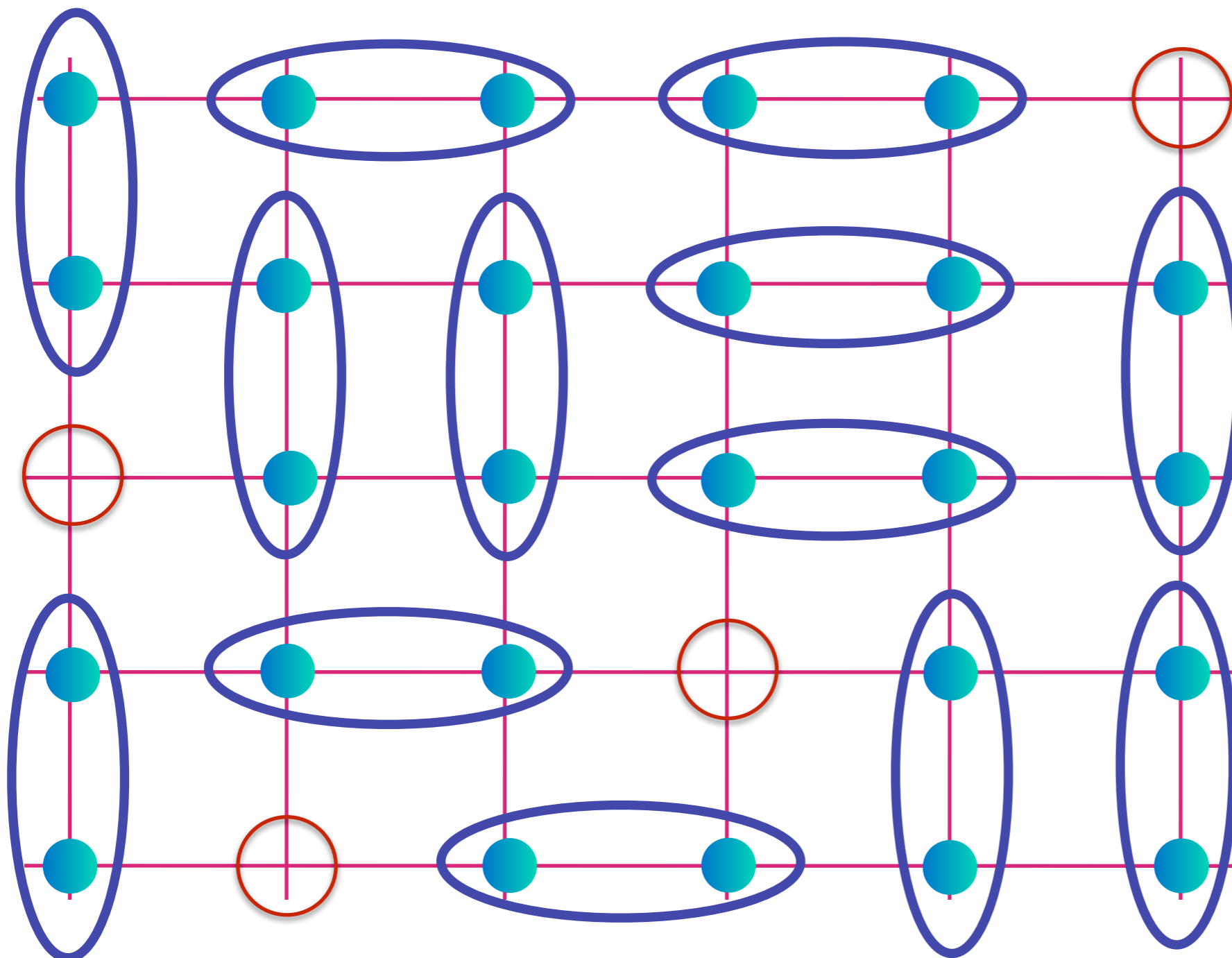


Anti-ferromagnet with p holes per square

Can we get a Fermi surface of size p ?
(and full square lattice symmetry)

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB 35, 8865 (1987)

N. Read and B. Chakraborty, PRB 40, 7133 (1989)

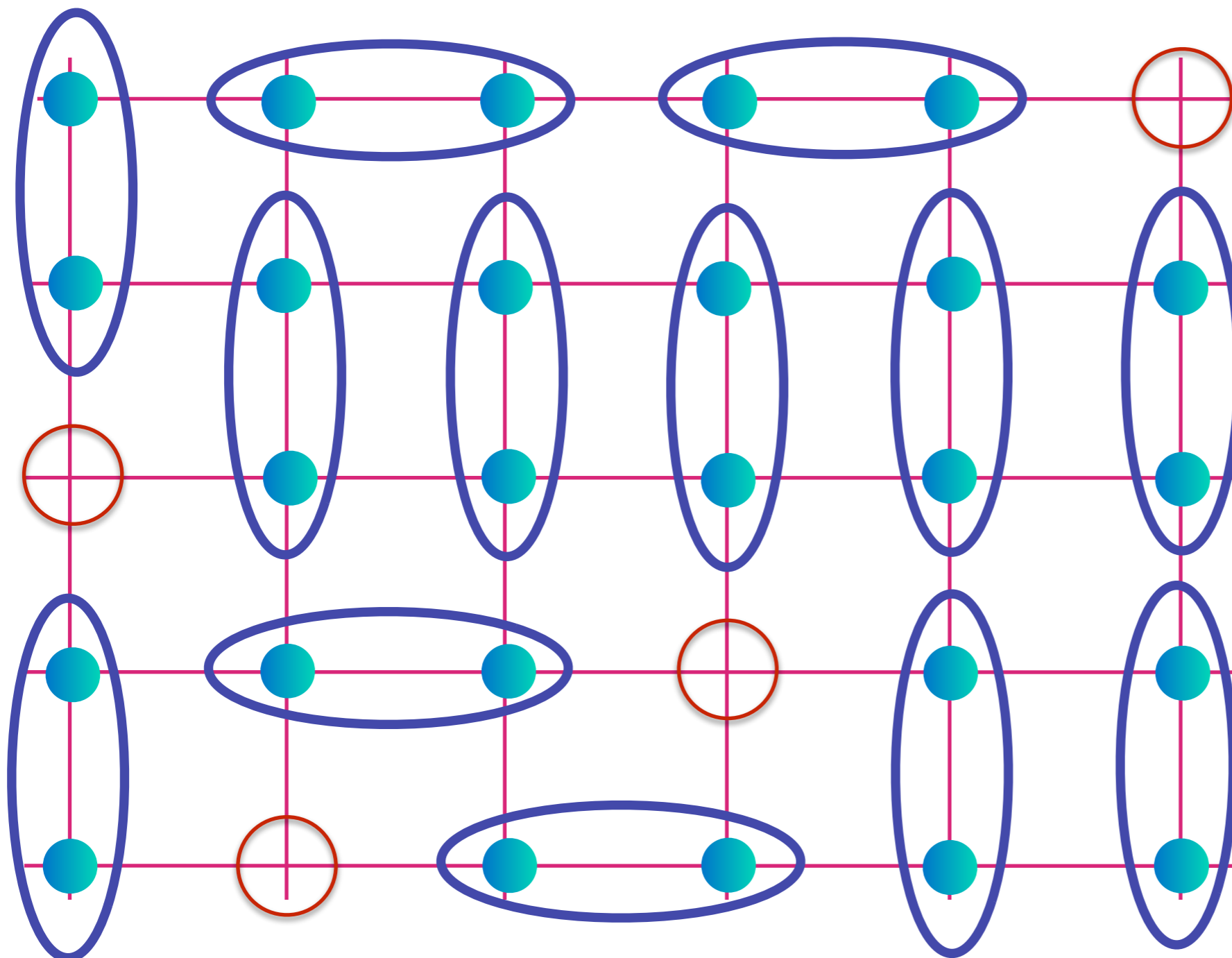


Spin liquid with density ρ of spinless, charge $+e$ "holons". These can form a Fermi surface of size ρ , but this is not visible in electron photo-emission

$$\text{[Diagram of two sites in a blue oval]} = \frac{(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)}{\sqrt{2}}$$

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB 35, 8865 (1987)

N. Read and B. Chakraborty, PRB 40, 7133 (1989)

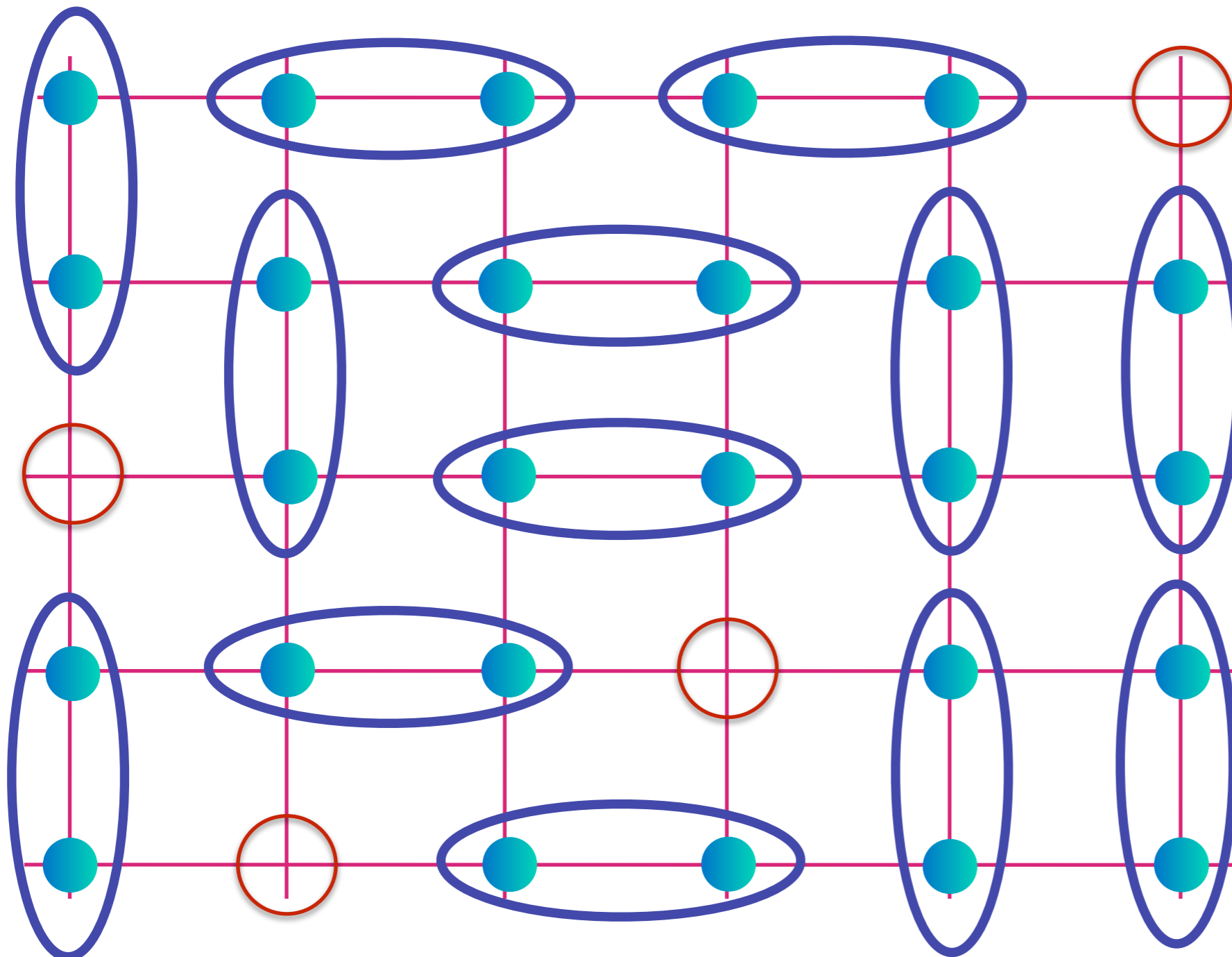


Spin liquid with density ρ of spinless, charge $+e$ "holons". These can form a Fermi surface of size ρ , but this is not visible in electron photo-emission

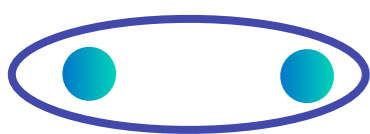
$$\text{[Diagram of two particles in a blue oval]} = \frac{(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)}{\sqrt{2}}$$

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB 35, 8865 (1987)

N. Read and B. Chakraborty, PRB 40, 7133 (1989)

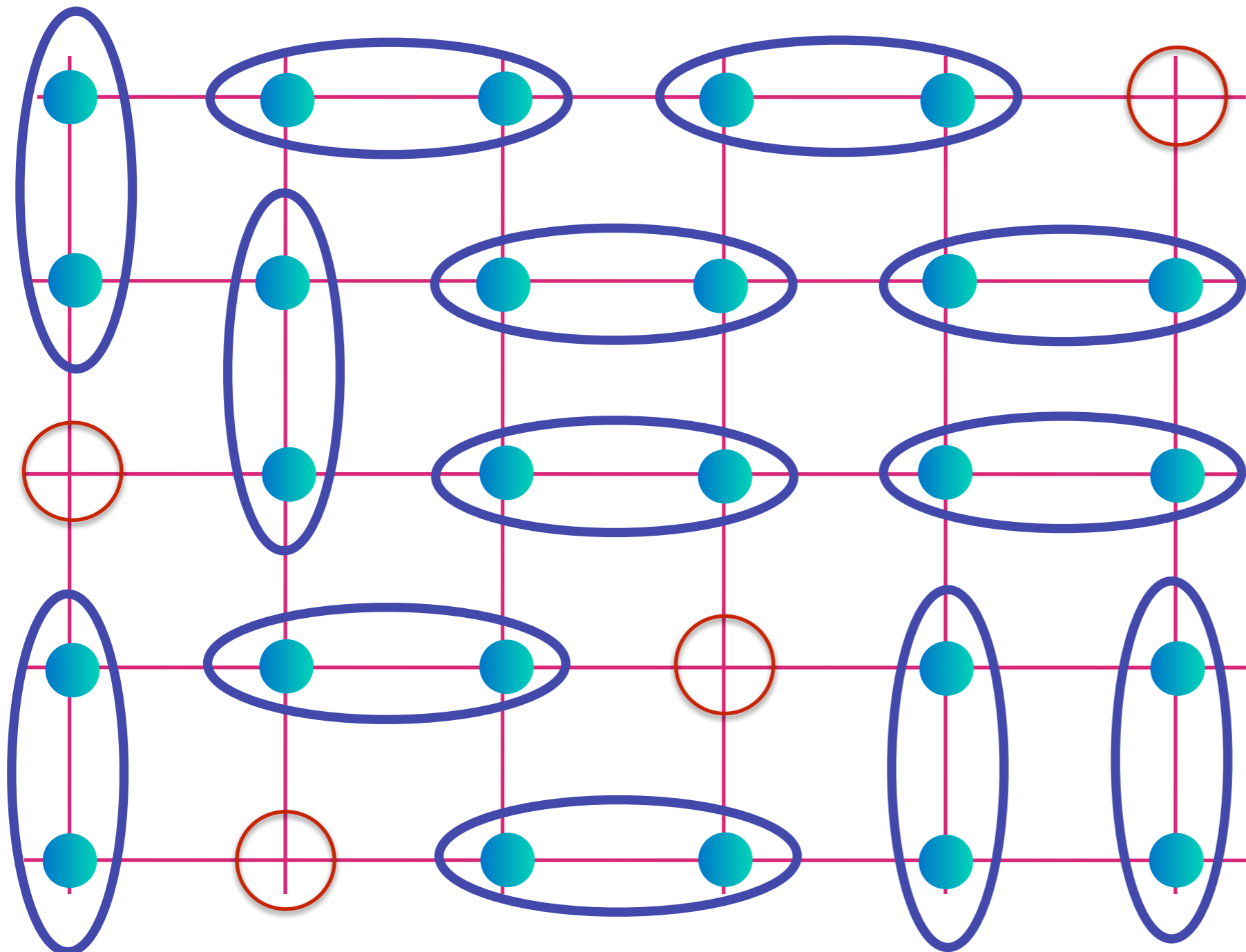


Spin liquid with density ρ of spinless, charge $+e$ "holons". These can form a Fermi surface of size ρ , but this is not visible in electron photo-emission

 = $(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB 35, 8865 (1987)

N. Read and B. Chakraborty, PRB 40, 7133 (1989)

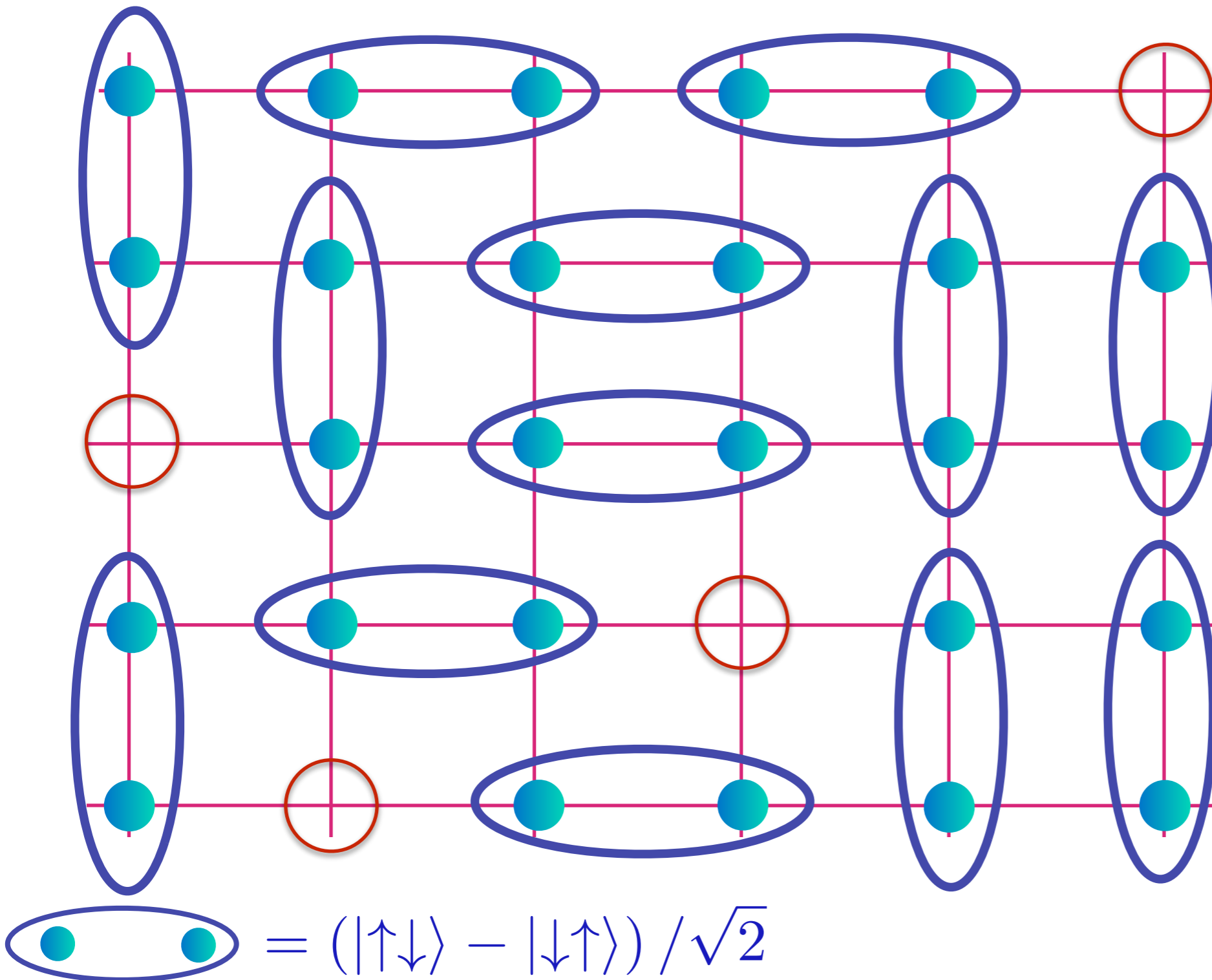


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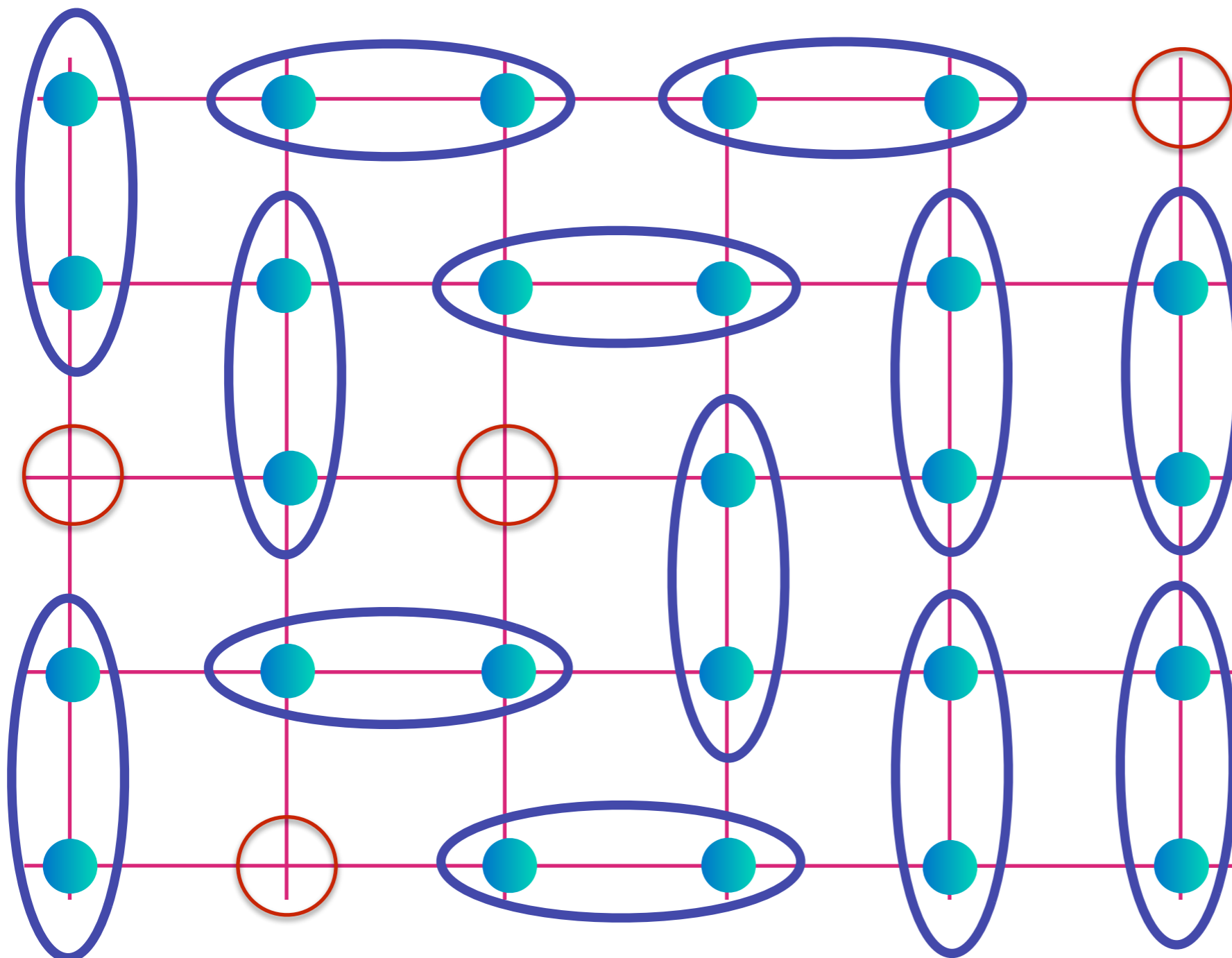
N. Read and B. Chakraborty, PRB 40, 7133 (1989)



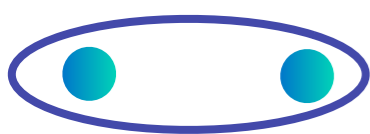
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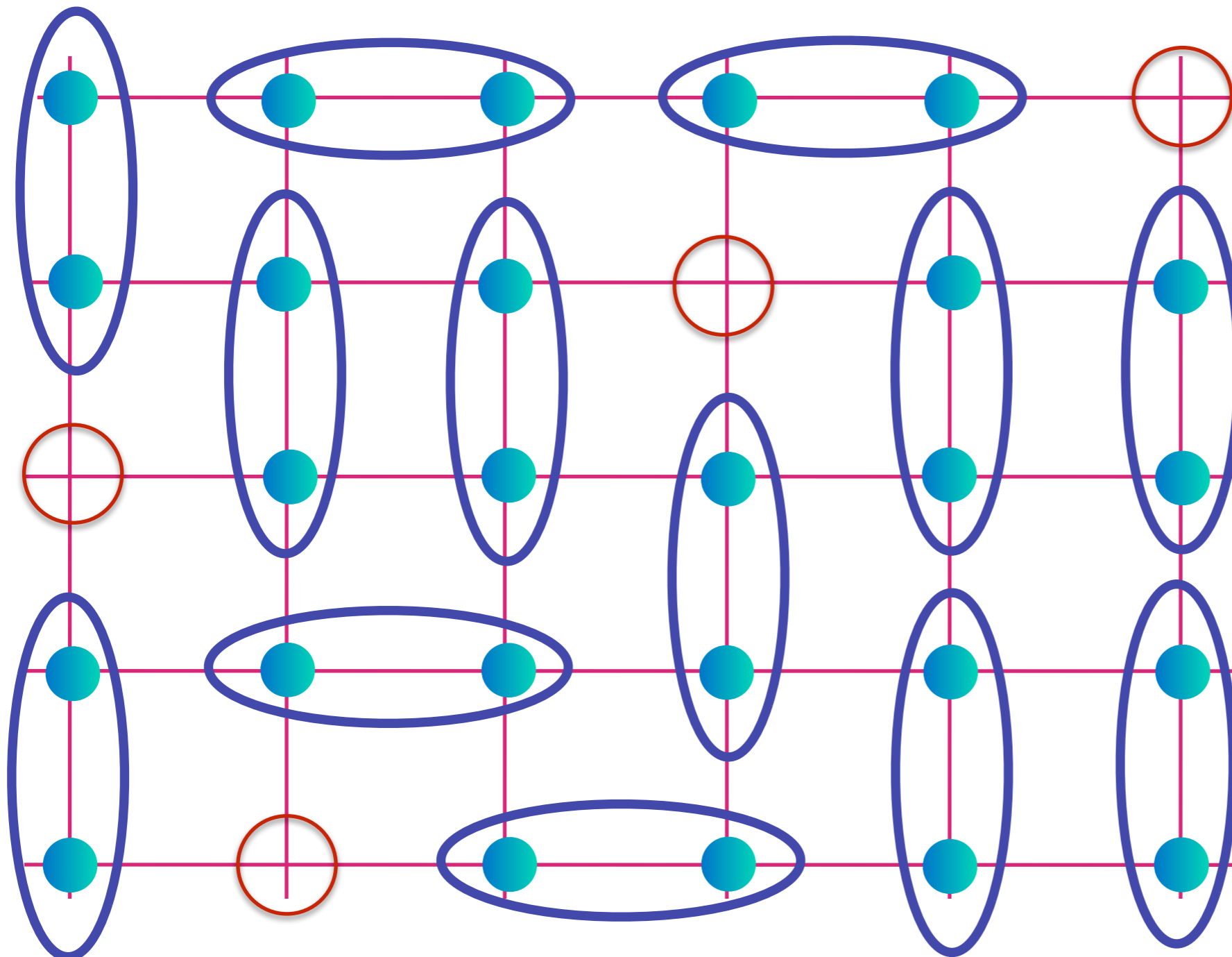


Spin liquid with density ρ of spinless, charge $+e$ "holons". These can form a Fermi surface of size ρ , but this is not visible in electron photo-emission

 = $(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB 35, 8865 (1987)

N. Read and B. Chakraborty, PRB 40, 7133 (1989)

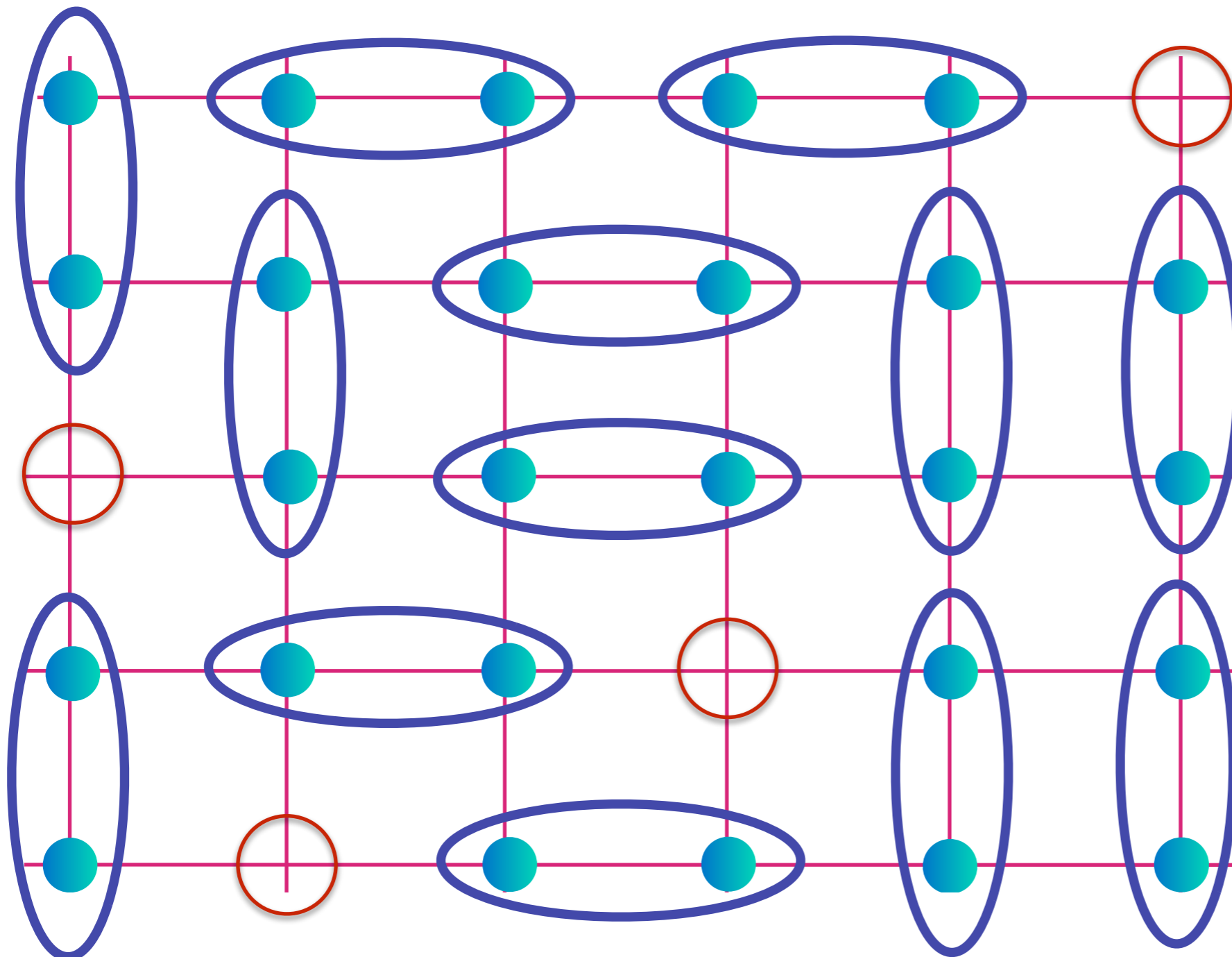


Spin liquid with density ρ of spinless, charge $+e$ "holons". These can form a Fermi surface of size ρ , but this is not visible in electron photo-emission

$$\text{[Two teal particles in a blue oval]} = \frac{(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)}{\sqrt{2}}$$

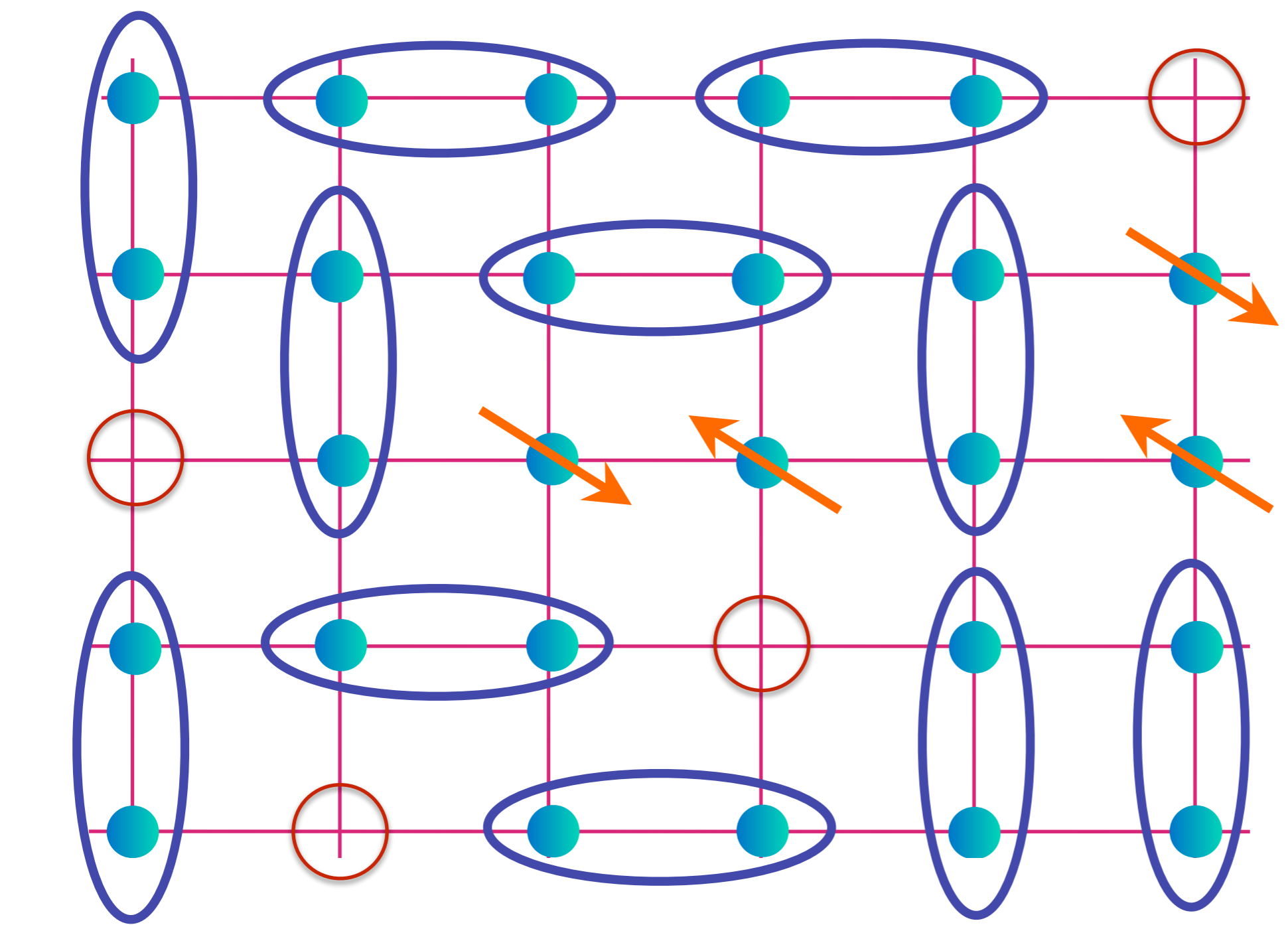
S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB 35, 8865 (1987)

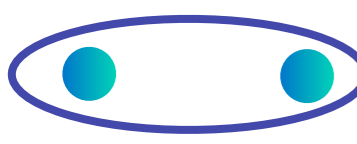
N. Read and B. Chakraborty, PRB 40, 7133 (1989)

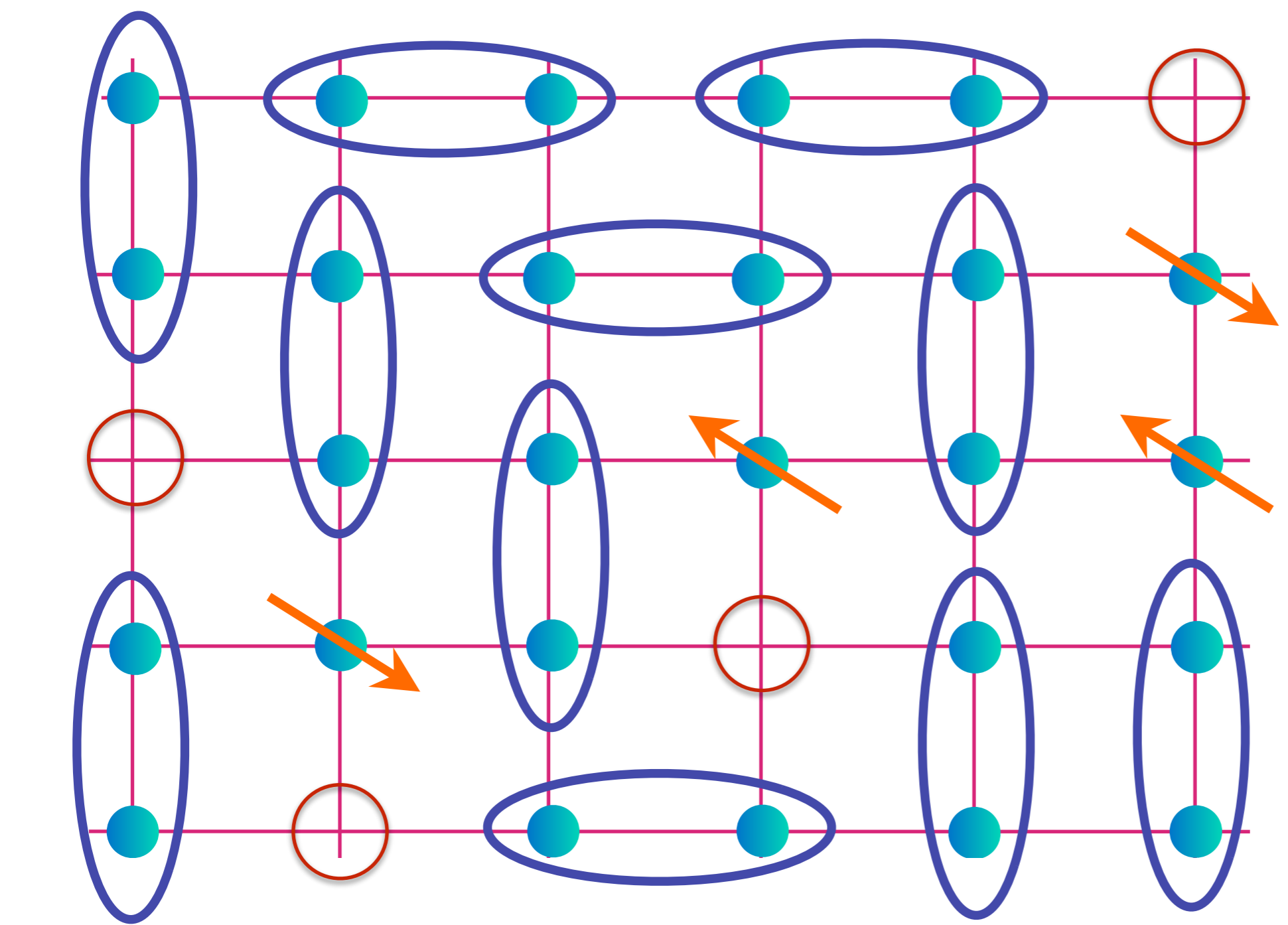


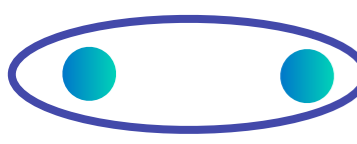
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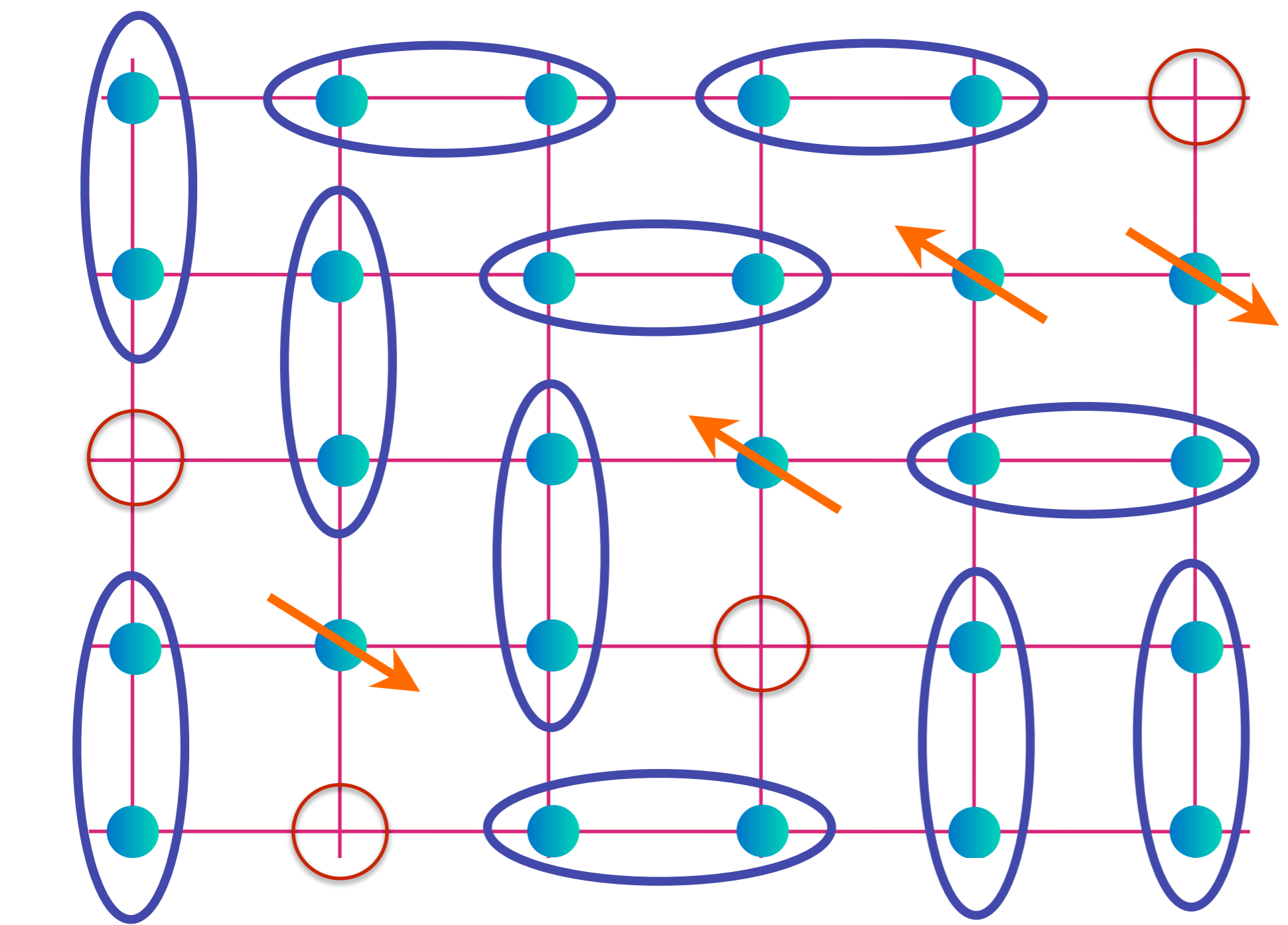
 = $(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$

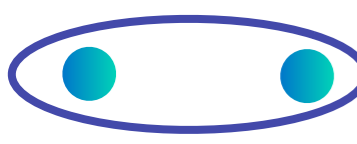


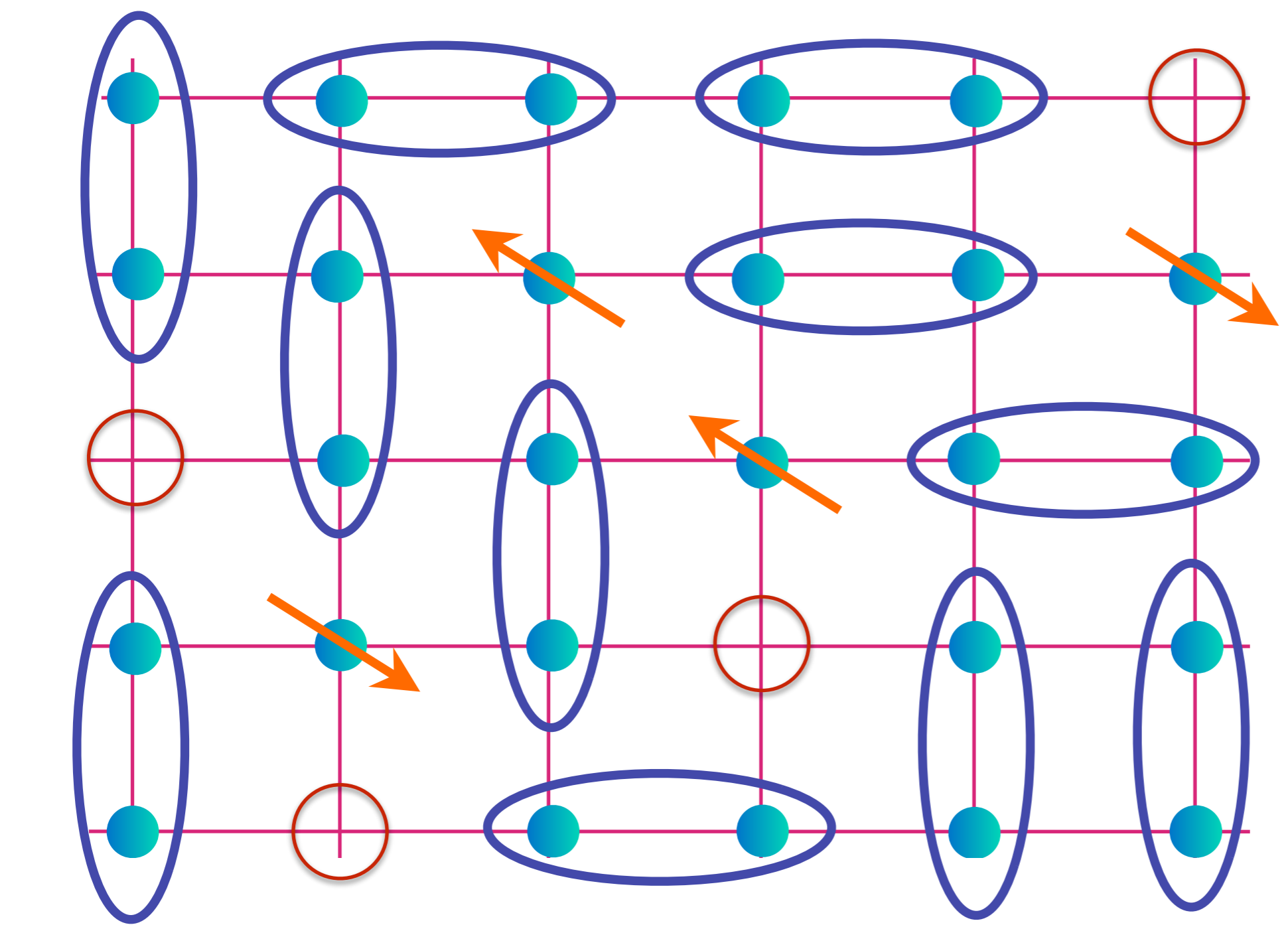

 $= (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$

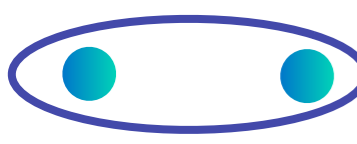


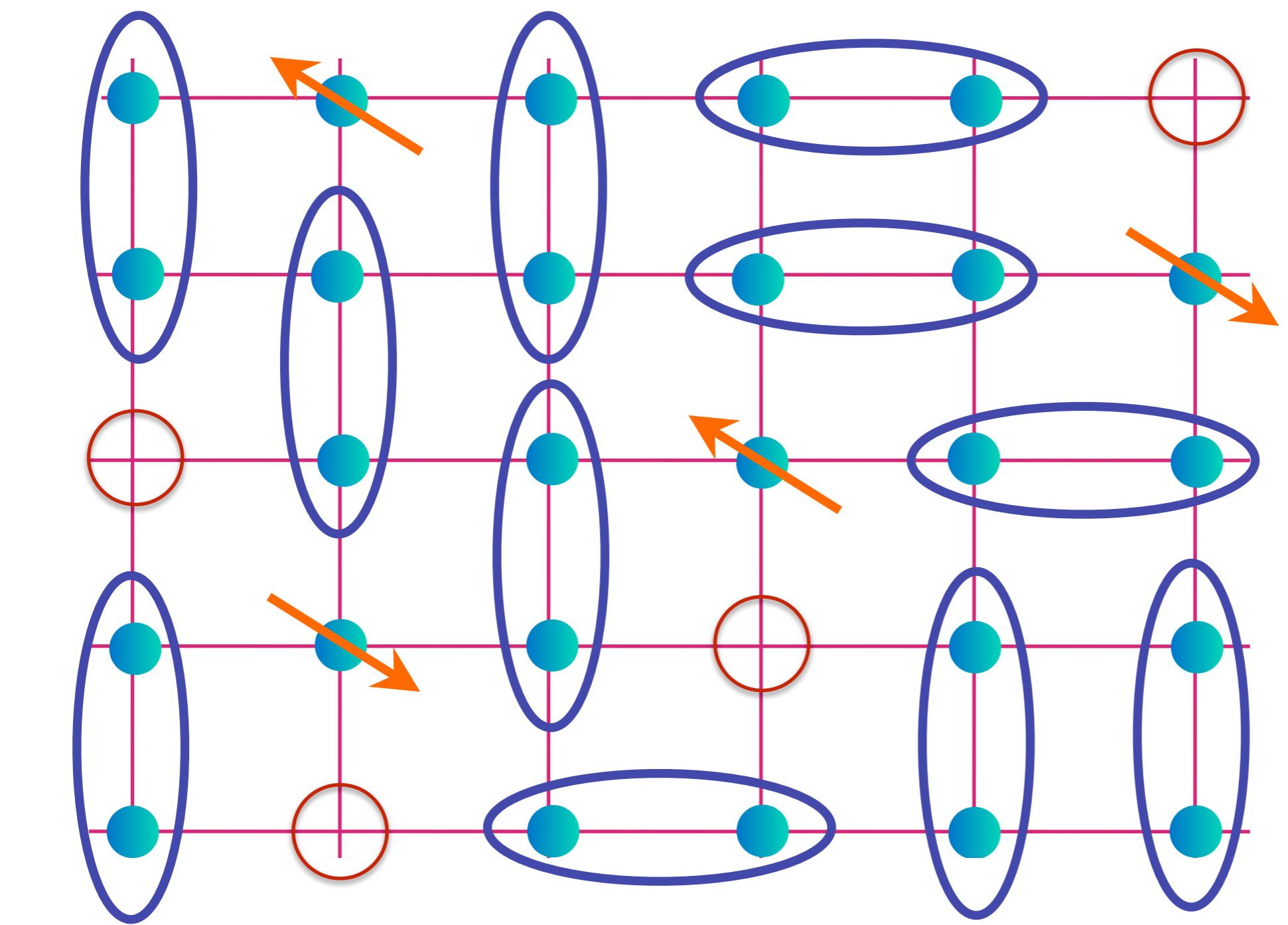

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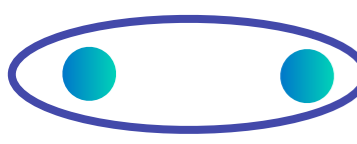


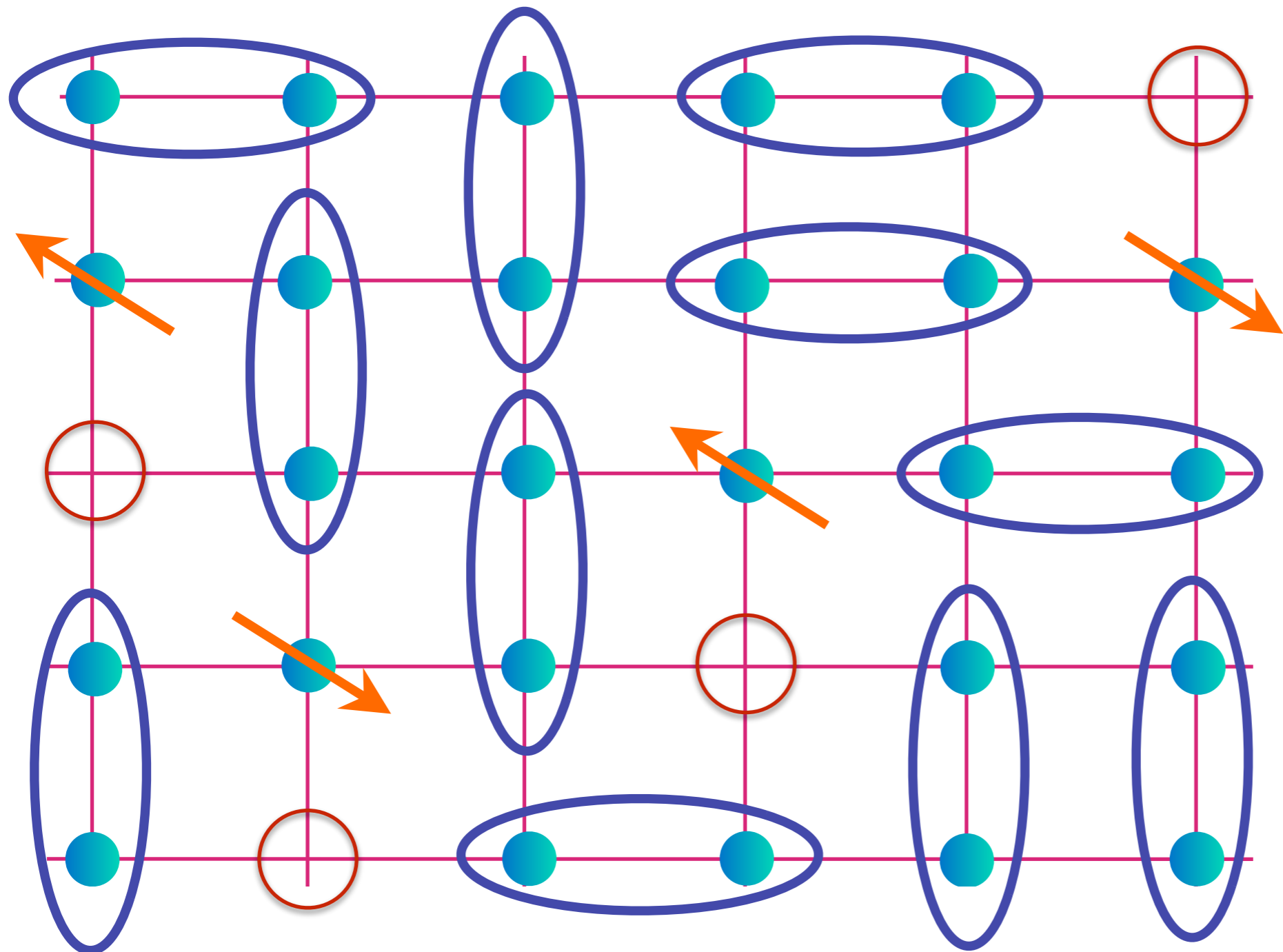

 $= (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$



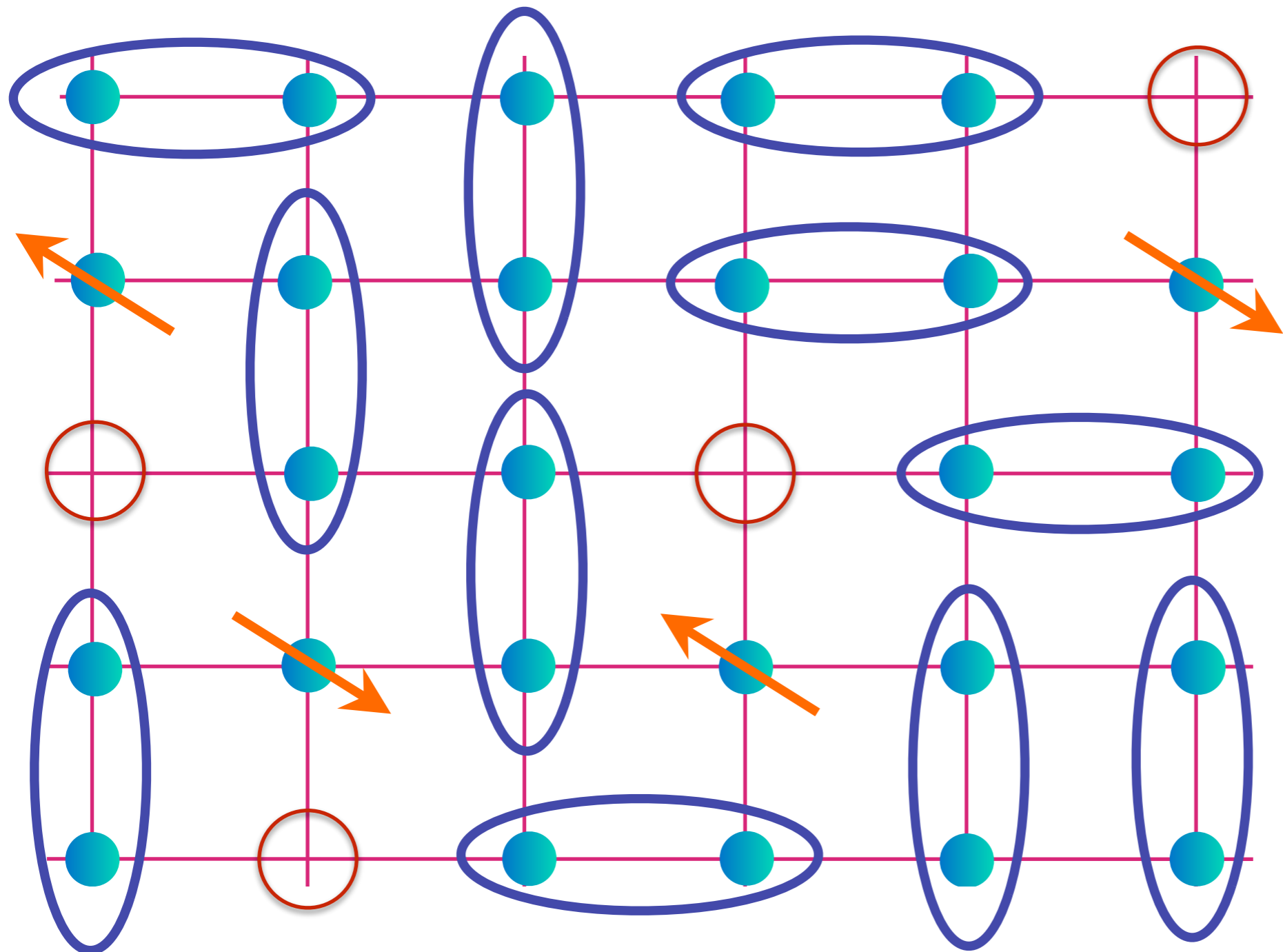

 $= (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$

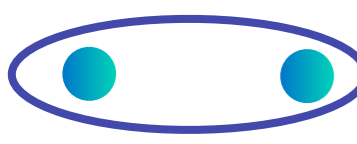


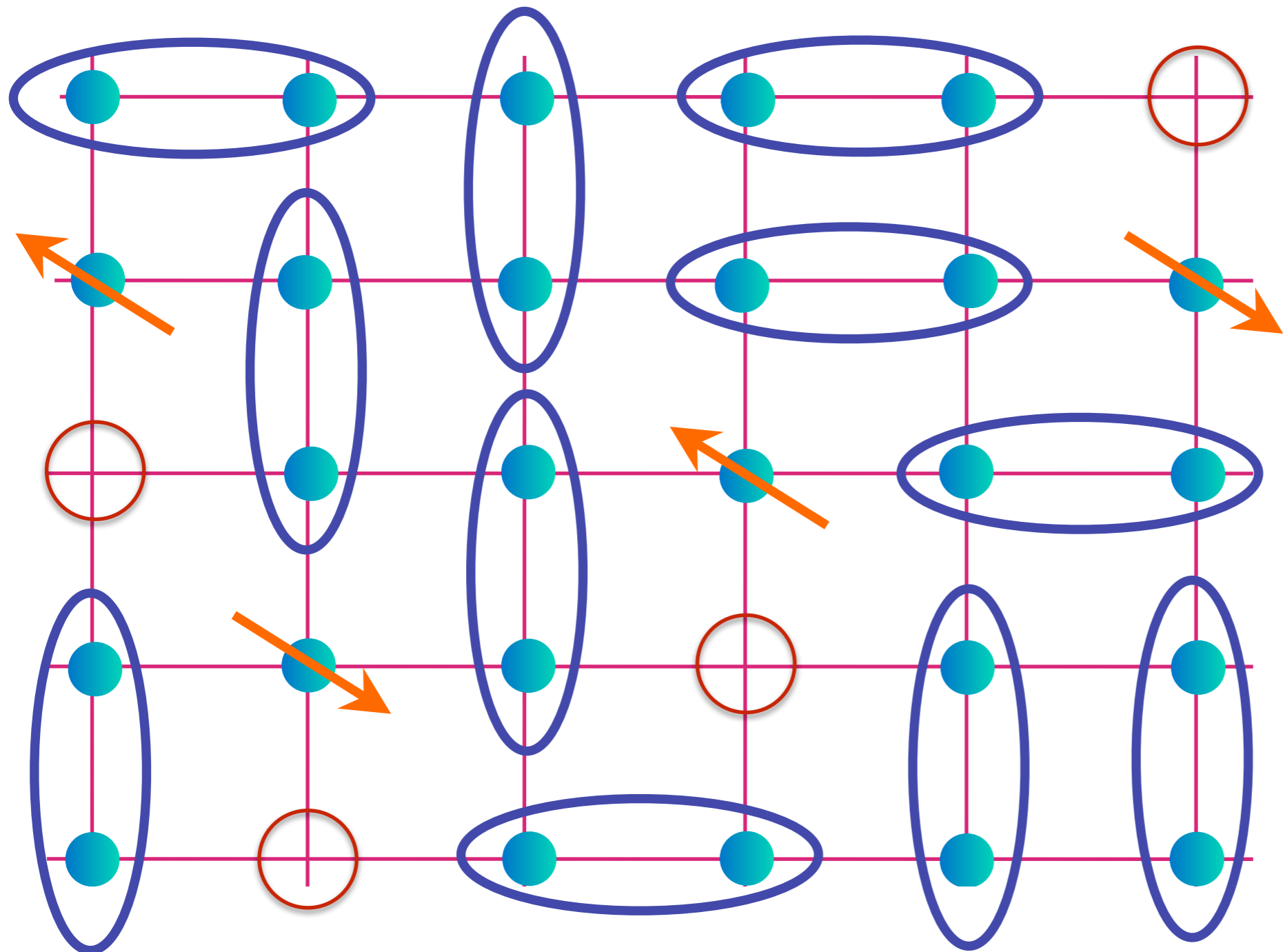

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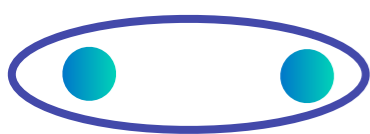



 $= (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$




 $= (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$

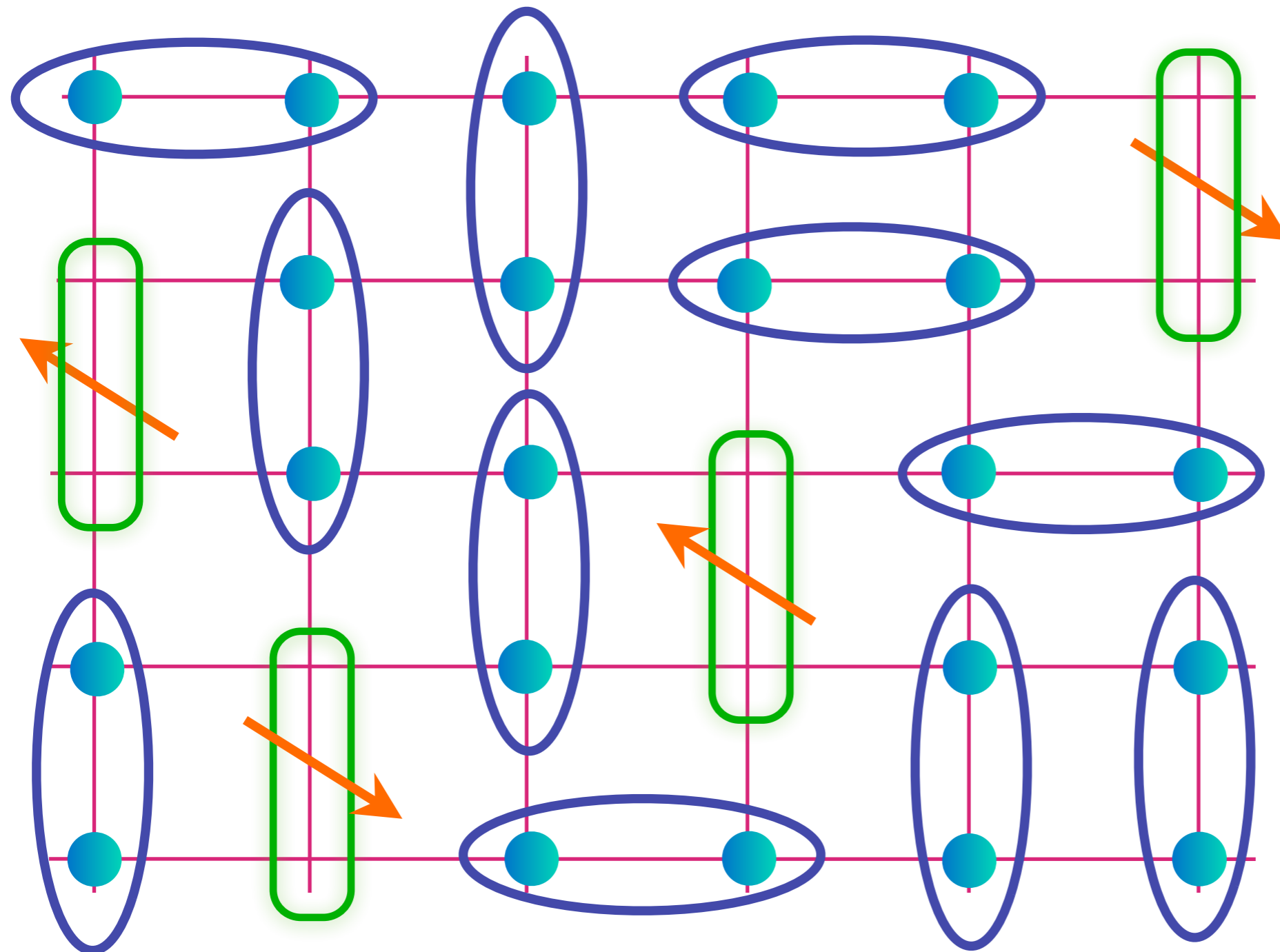



 $= (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$

Fractionalized Fermi liquid (FL*)

S. Sachdev PRB 49, 6770 (1994); X.-G. Wen and P.A. Lee PRL 76, 503 (1996)

R. K. Kaul, A. Kolezhuk, M. Levin, S. Sachdev, and T. Senthil, PRB 75, 235122 (2007)



Mobile
 $S=1/2$, charge
 $+e$ fermionic
 dimers: form
 a Fermi
 surface of
 size p visible
 in electron
 photo-
 emission

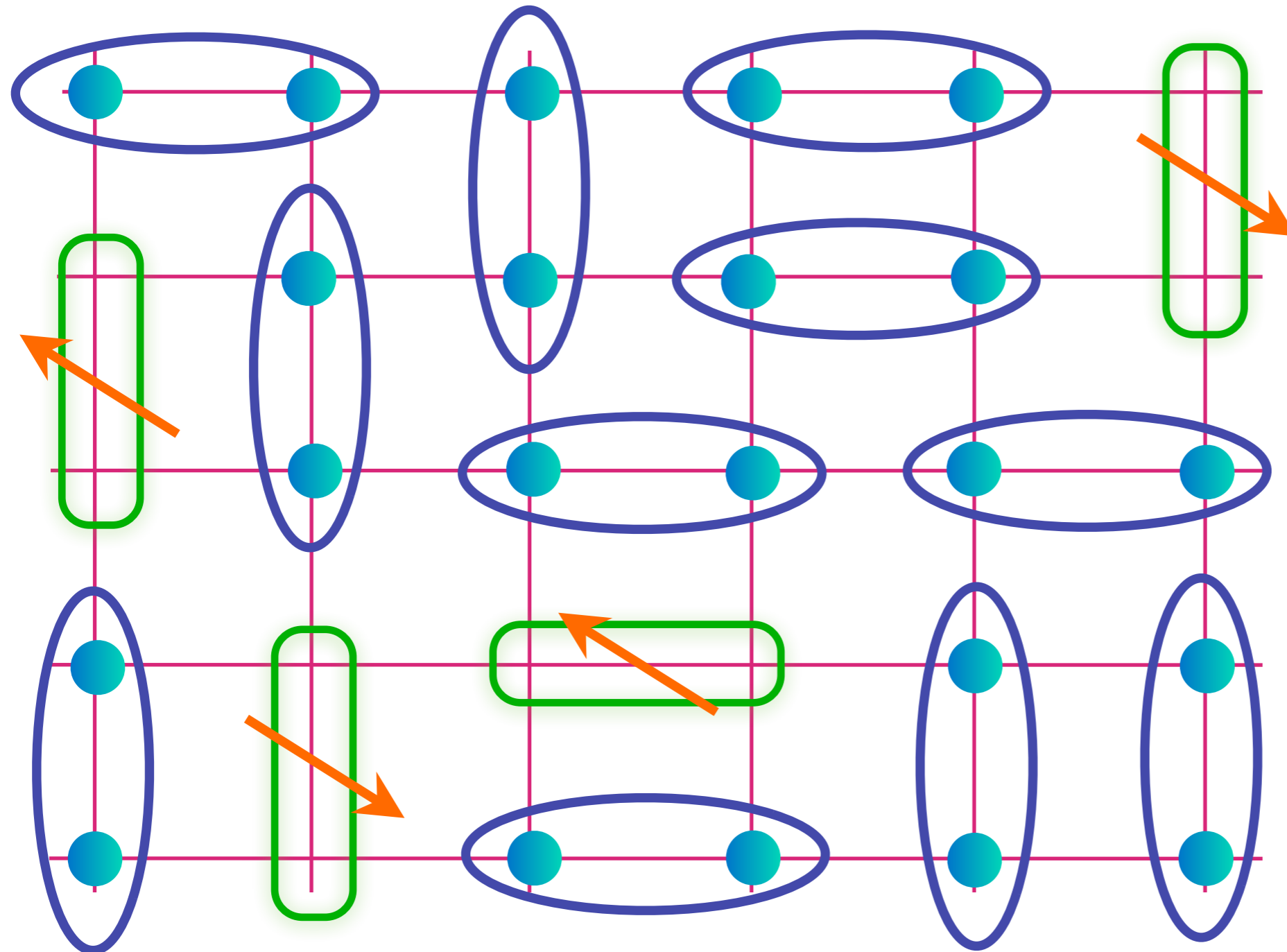
$$\text{Blue oval} = (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$$

$$\text{Green oval} = (|\uparrow\circ\rangle + |\circ\uparrow\rangle) / \sqrt{2}$$

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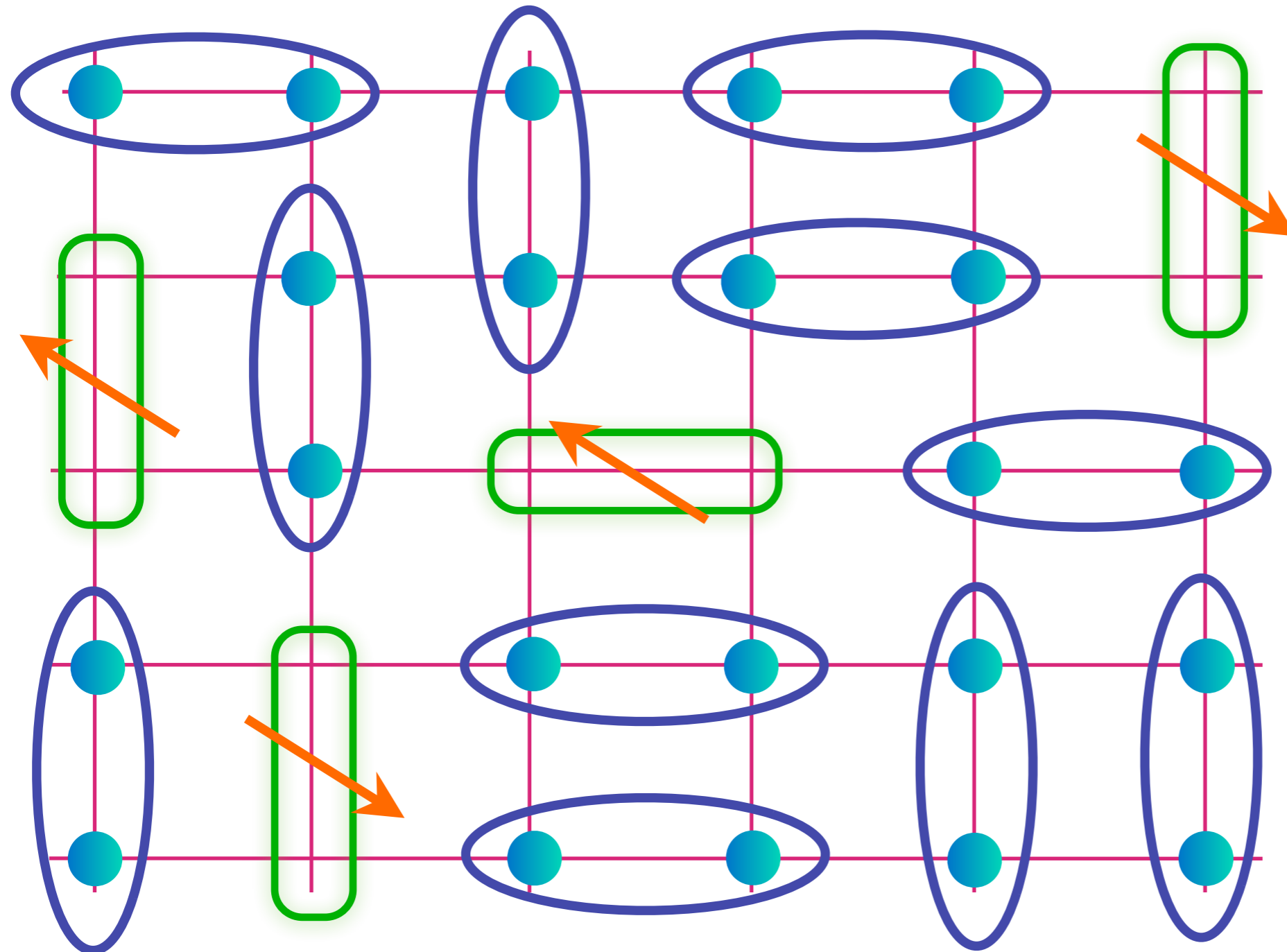
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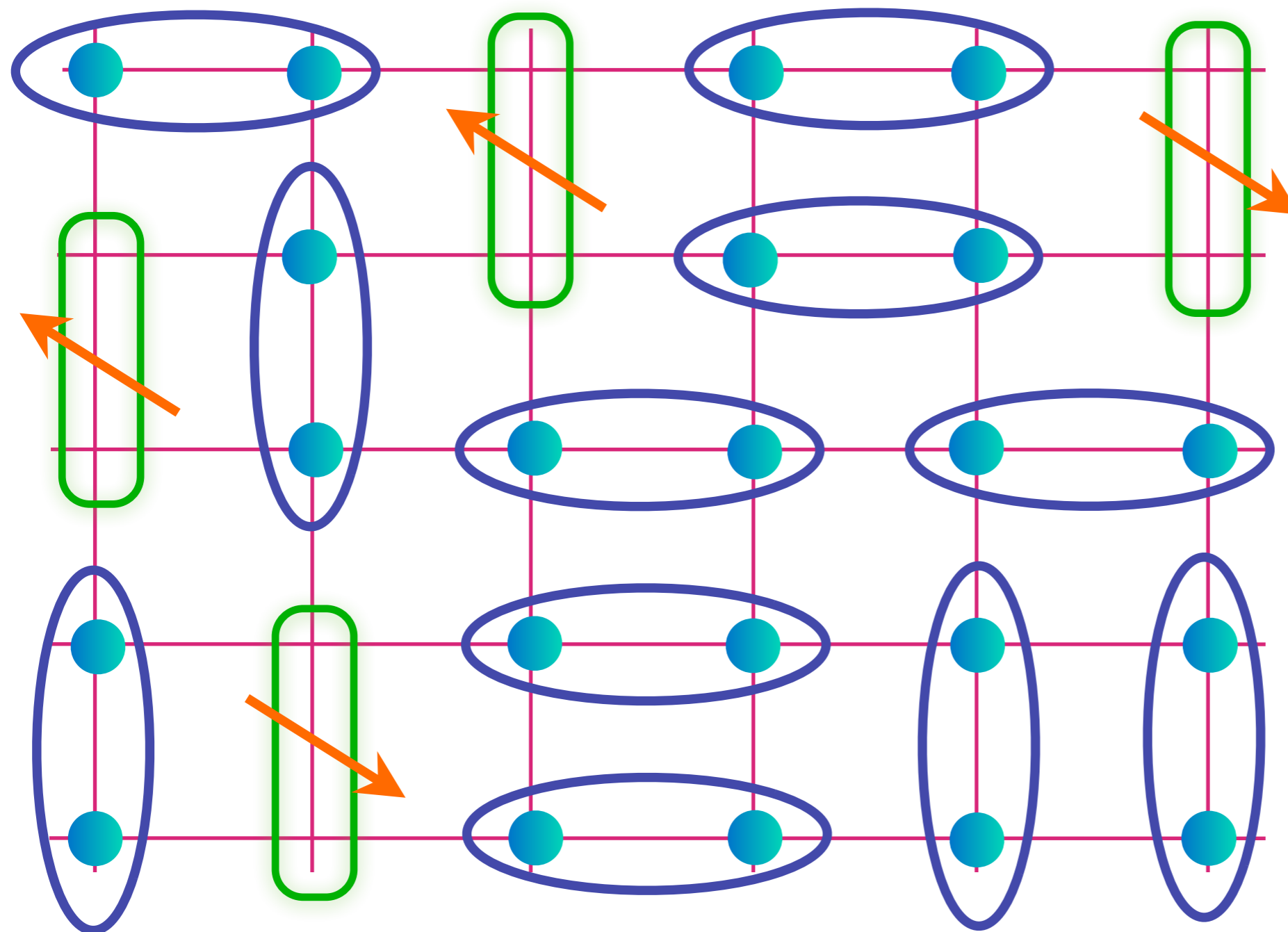
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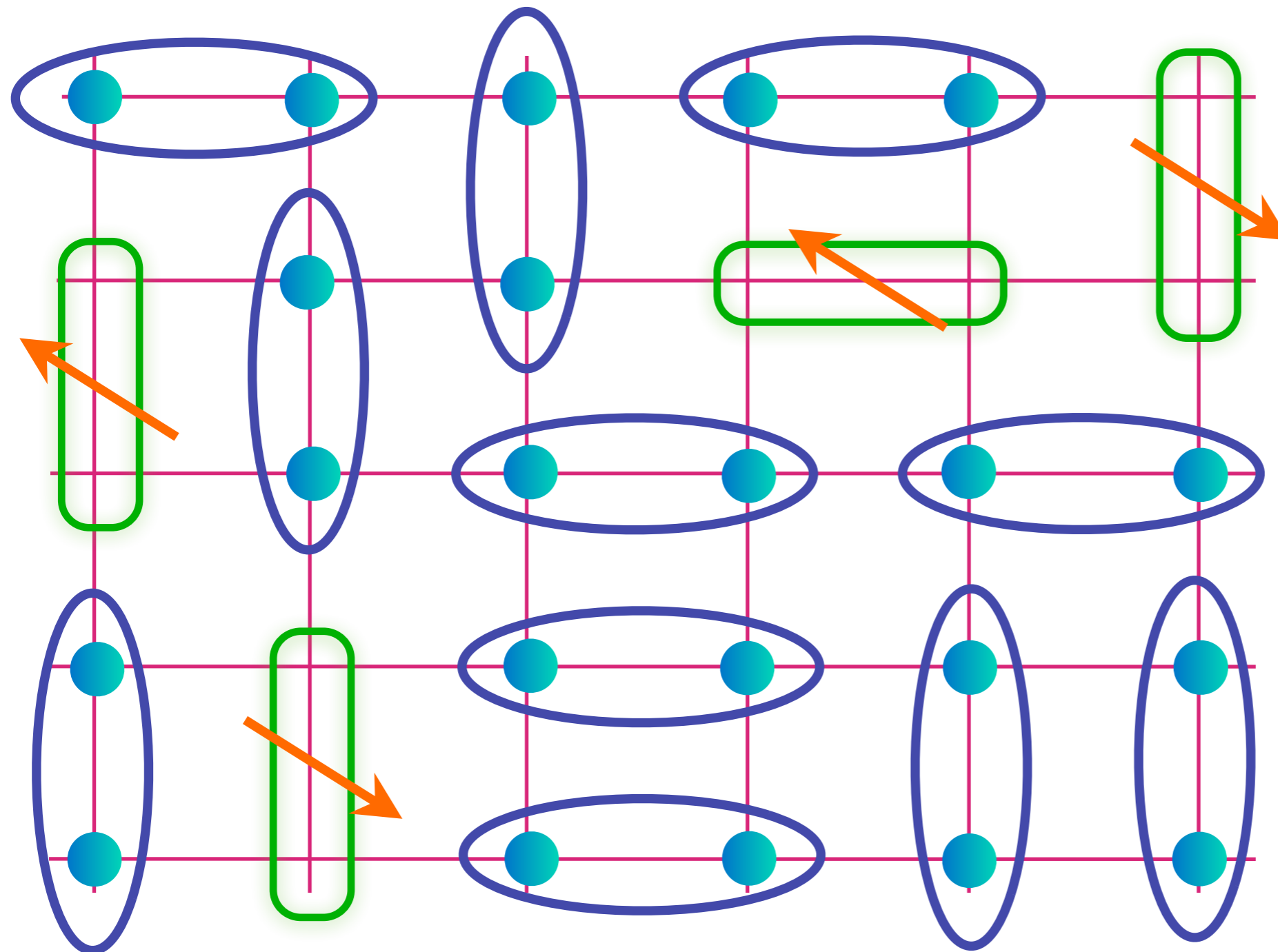
$$\text{Particle} = (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$$

$$\text{Dimer} = (|\uparrow\circ\rangle + |\circ\uparrow\rangle) / \sqrt{2}$$

Fractionalized Fermi liquid (FL*)

S. Sachdev PRB 49, 6770 (1994); X.-G. Wen and P.A. Lee PRL 76, 503 (1996)

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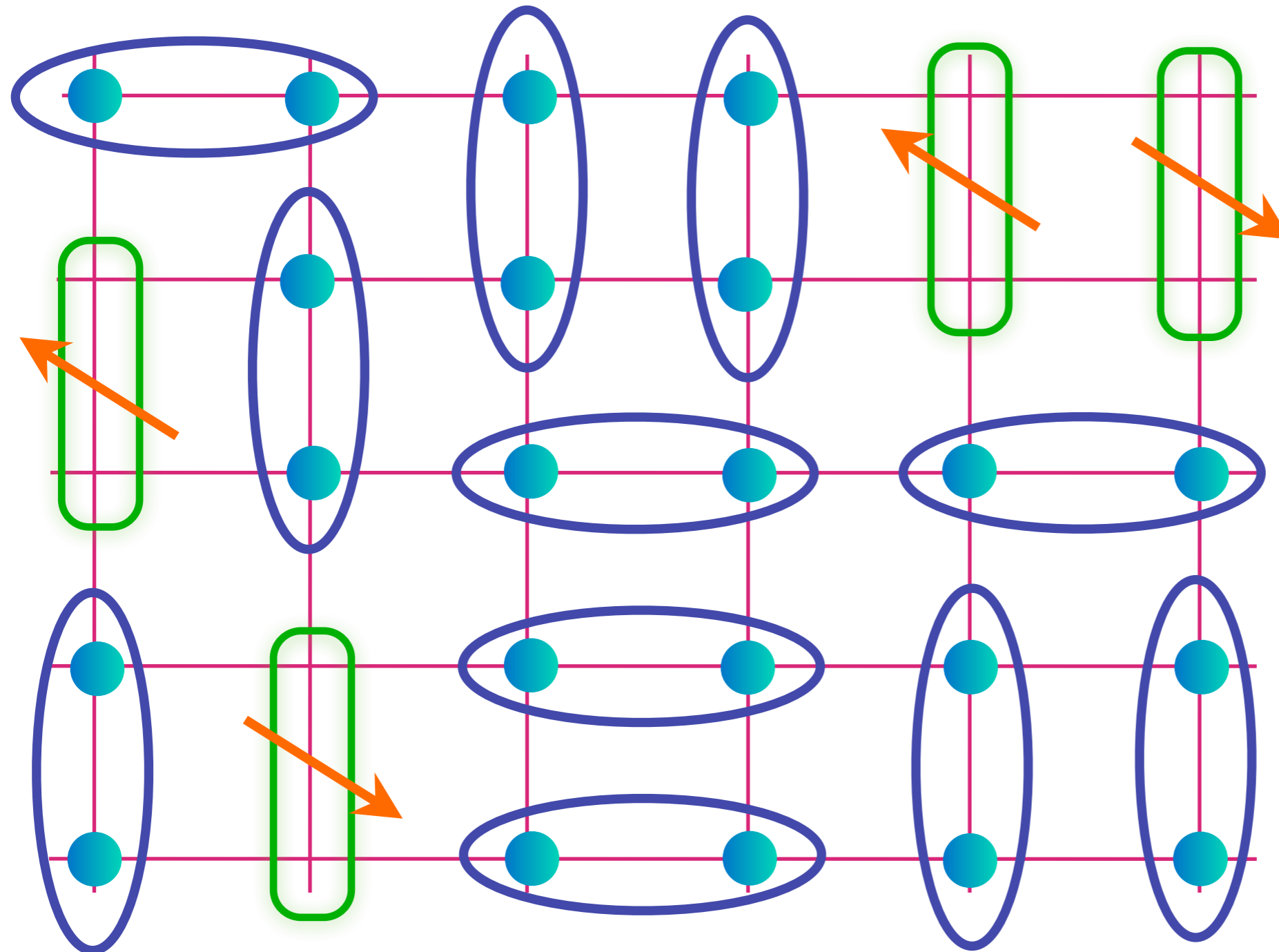
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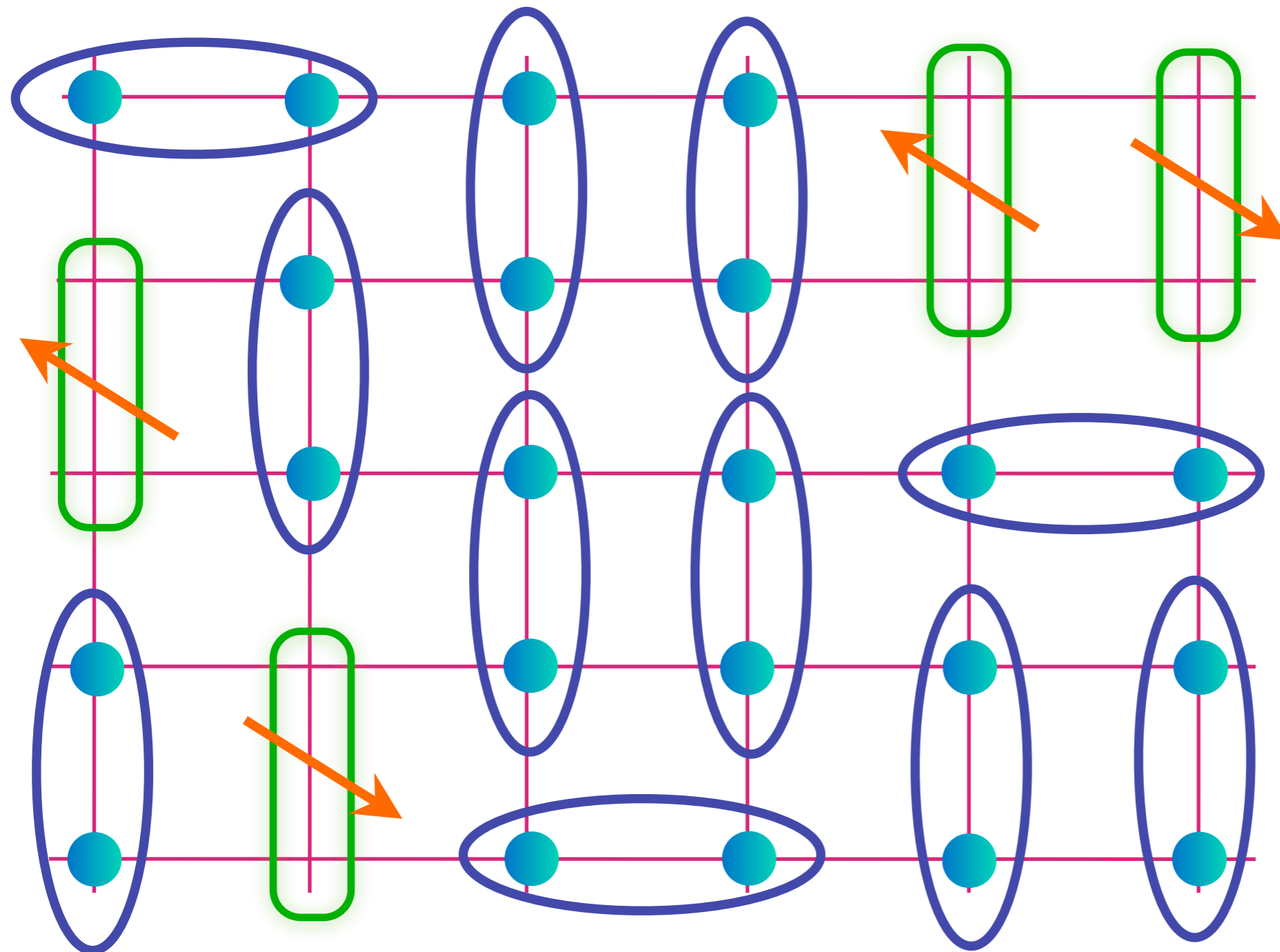
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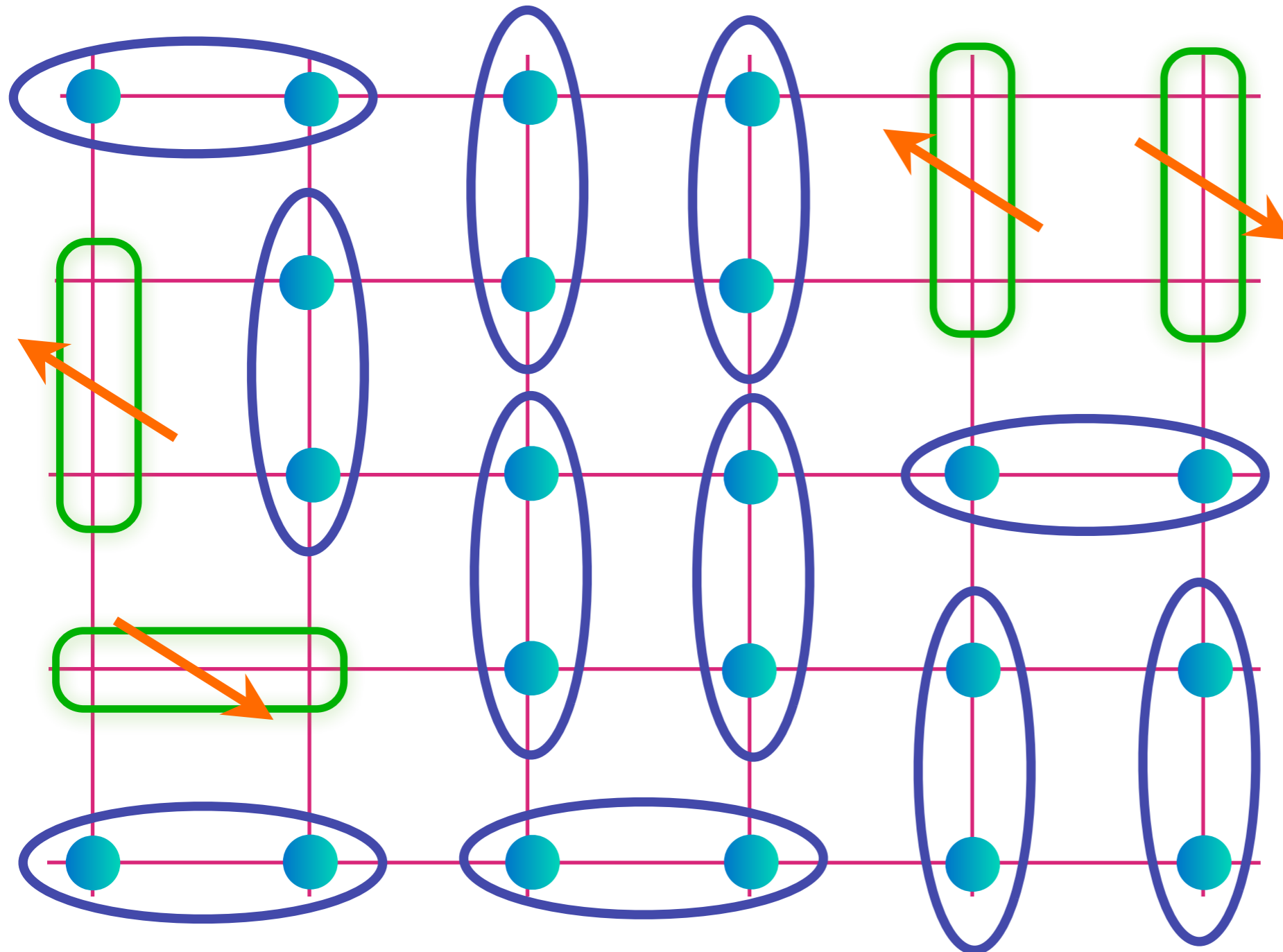
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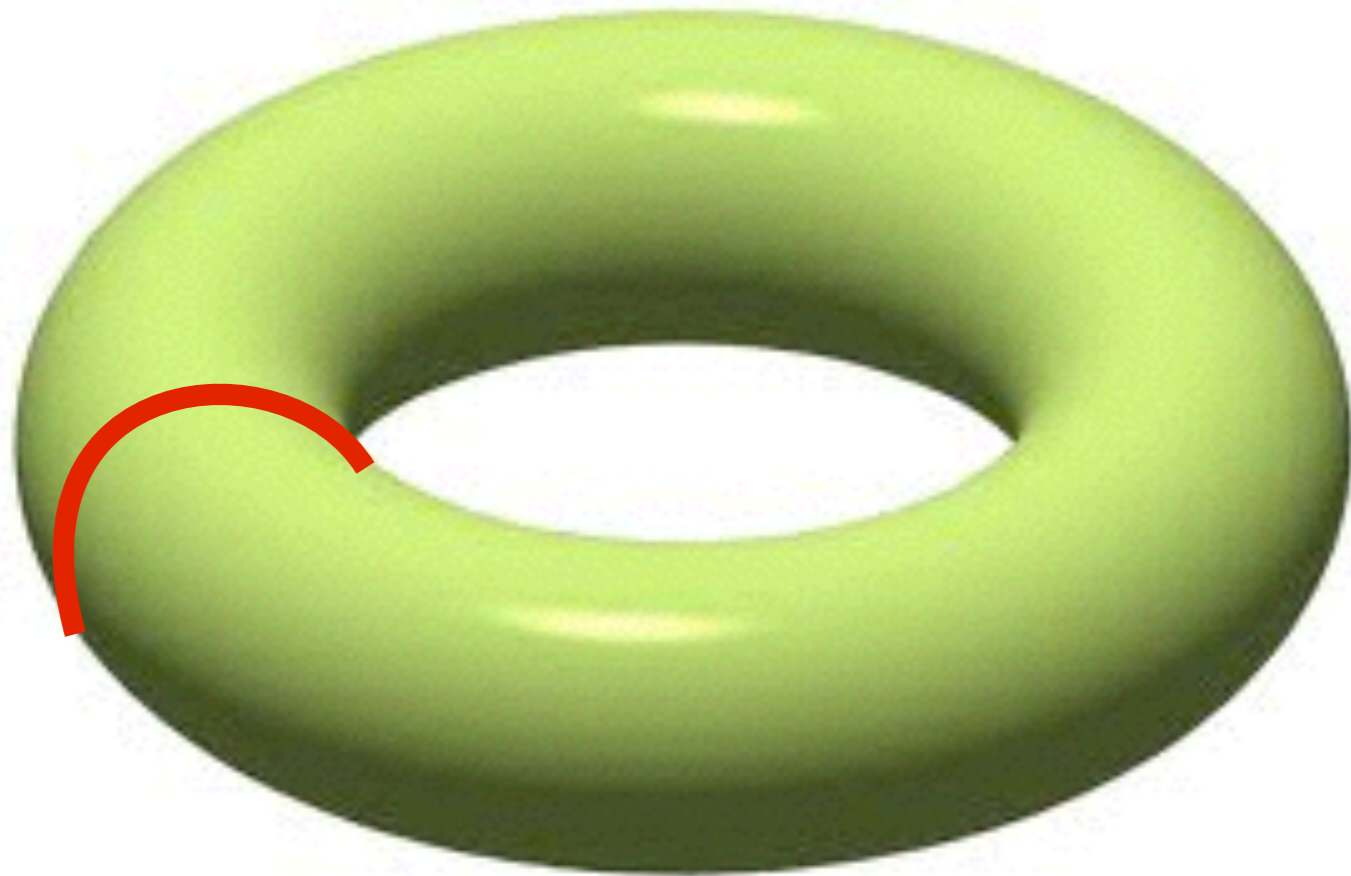
$$\text{Green oval} = (|\uparrow\circ\rangle + |\circ\uparrow\rangle) / \sqrt{2}$$

Ground state degeneracy

Place FL^*
on a torus:

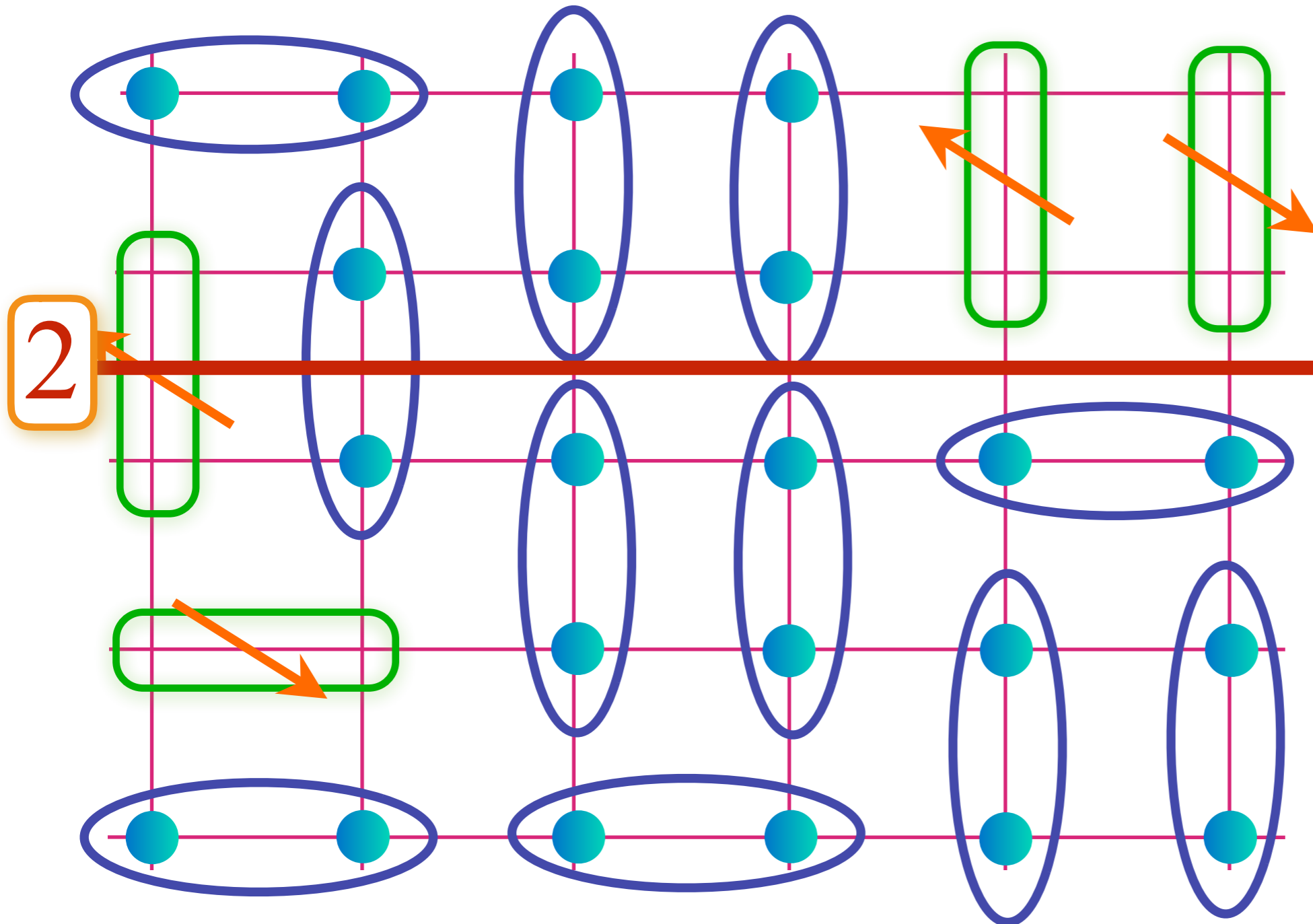


Ground state degeneracy



Place FL*
on a torus:
obtain
“topological”
states nearly
degenerate with
quasiparticle
states: number
of dimers
crossing red line
is conserved
modulo 2

Fractionalized Fermi liquid (FL*)

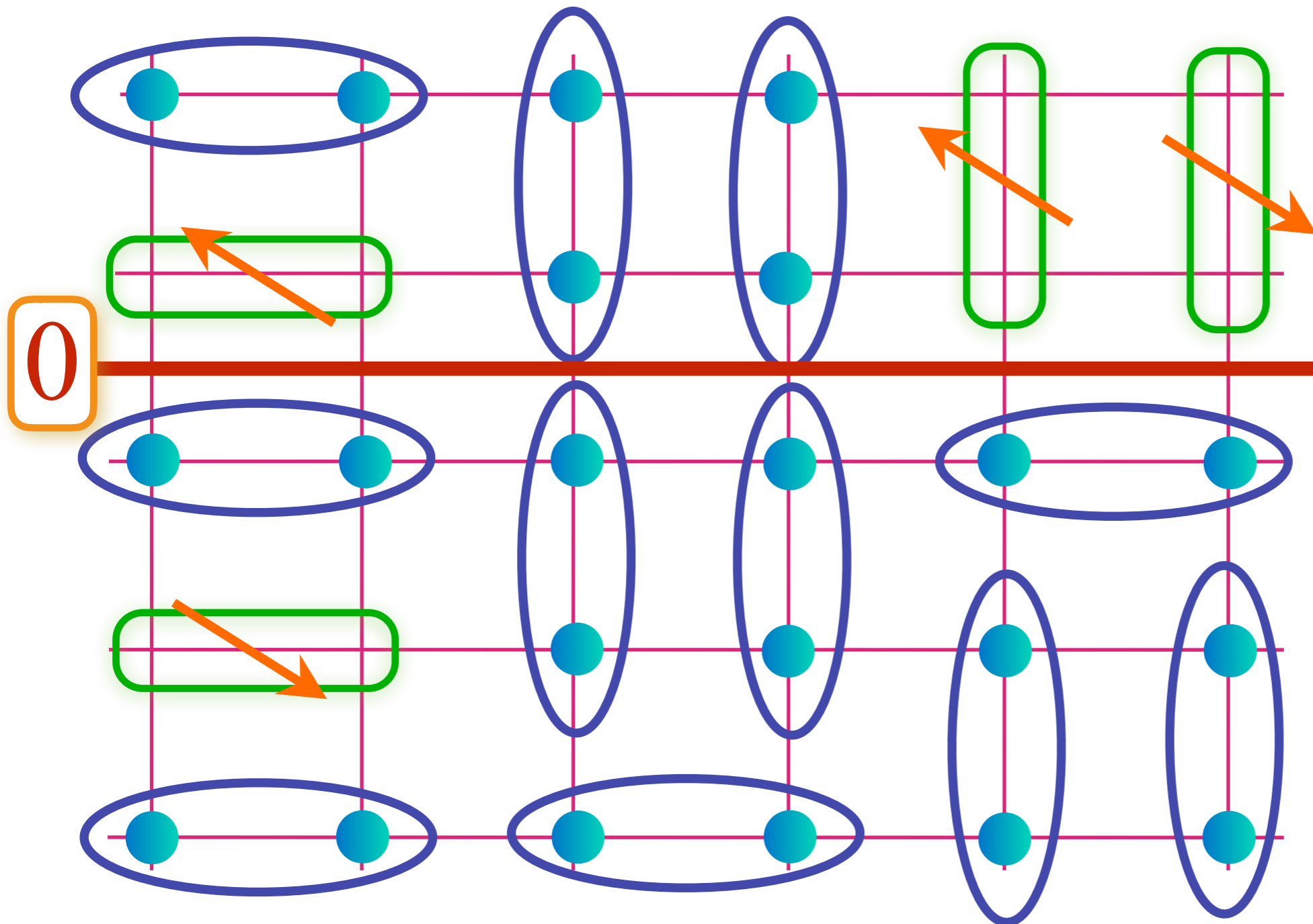


Place FL*
on a torus:
obtain
“topological”
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degenerate with
quasiparticle
states: number
of dimers
crossing red line
is conserved
modulo 2

$$\text{Blue oval} = (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$$

$$\text{Green oval} = (|\uparrow\circ\rangle + |\circ\uparrow\rangle) / \sqrt{2}$$

Fractionalized Fermi liquid (FL*)

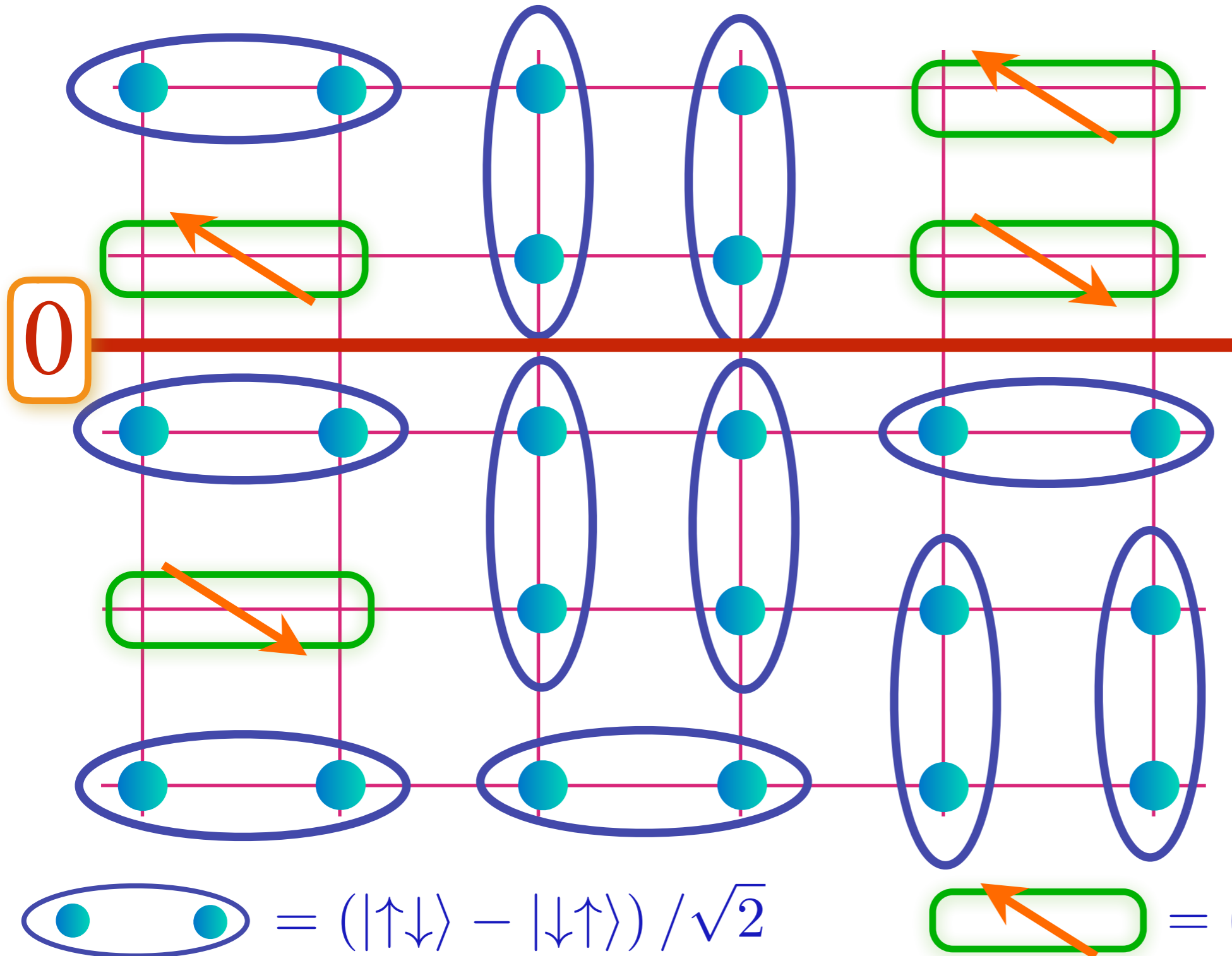


Place FL*
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obtain
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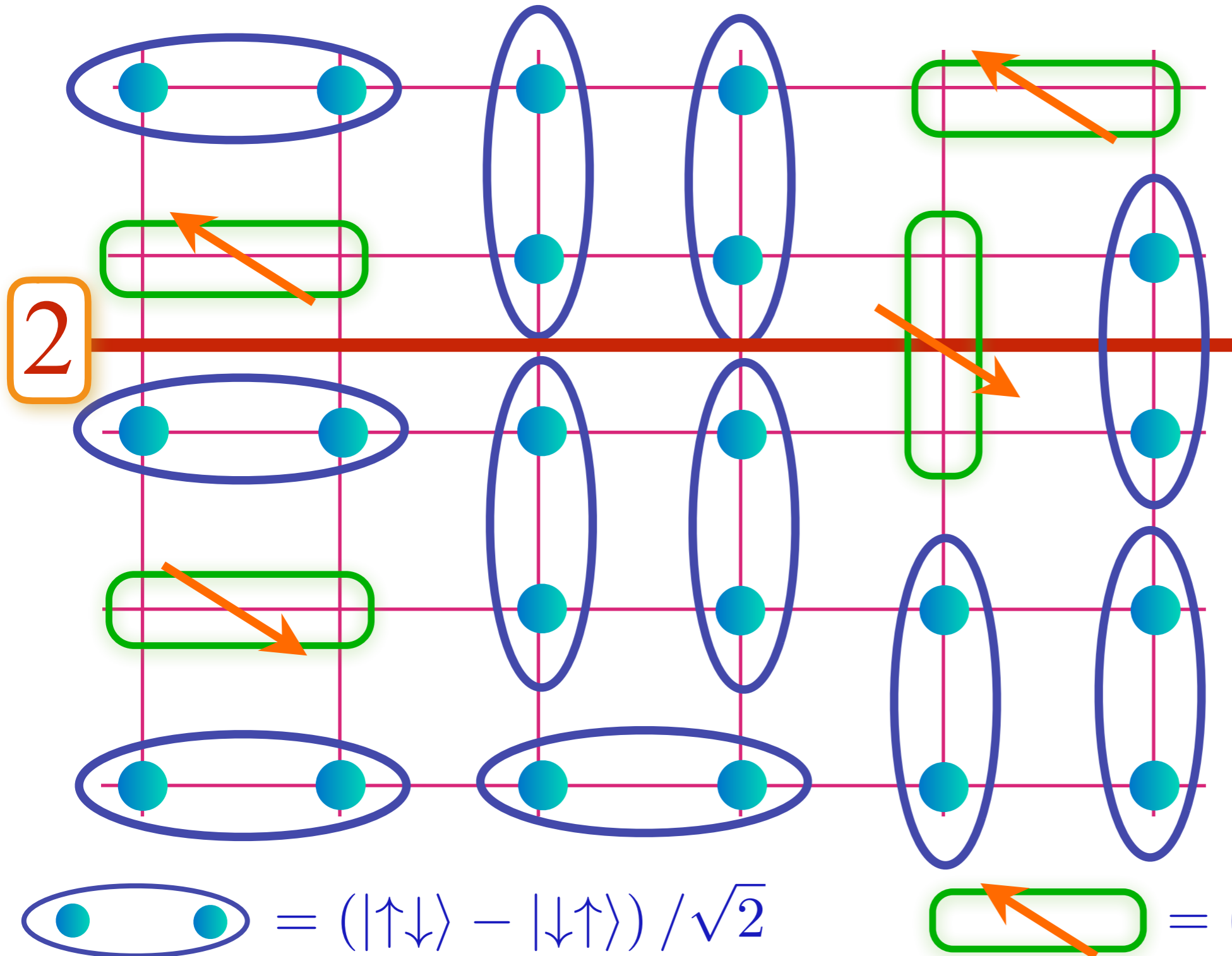
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We have described a metal with:

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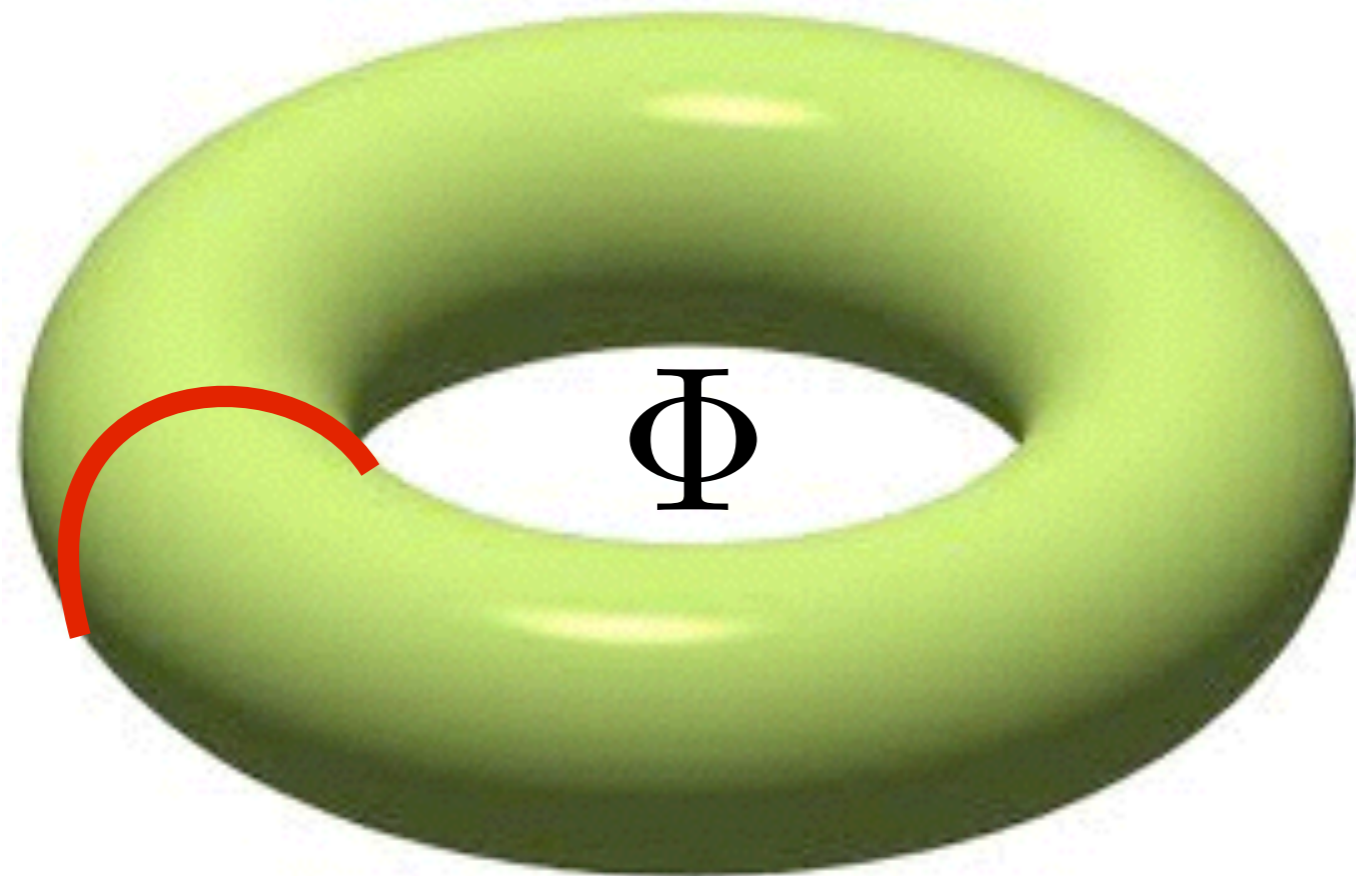
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There is a general and fundamental relationship between these two characteristics.

M. Oshikawa, *Phys. Rev. Lett.* **84**, 3370 (2000)

T. Senthil, M. Vojta, and S. Sachdev, *Phys. Rev. B* **69**, 035111 (2004)

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Following the evolution of the quantum state under adiabatic insertion of a flux quantum leads to a non-perturbative argument for the volume enclosed by the Fermi surface

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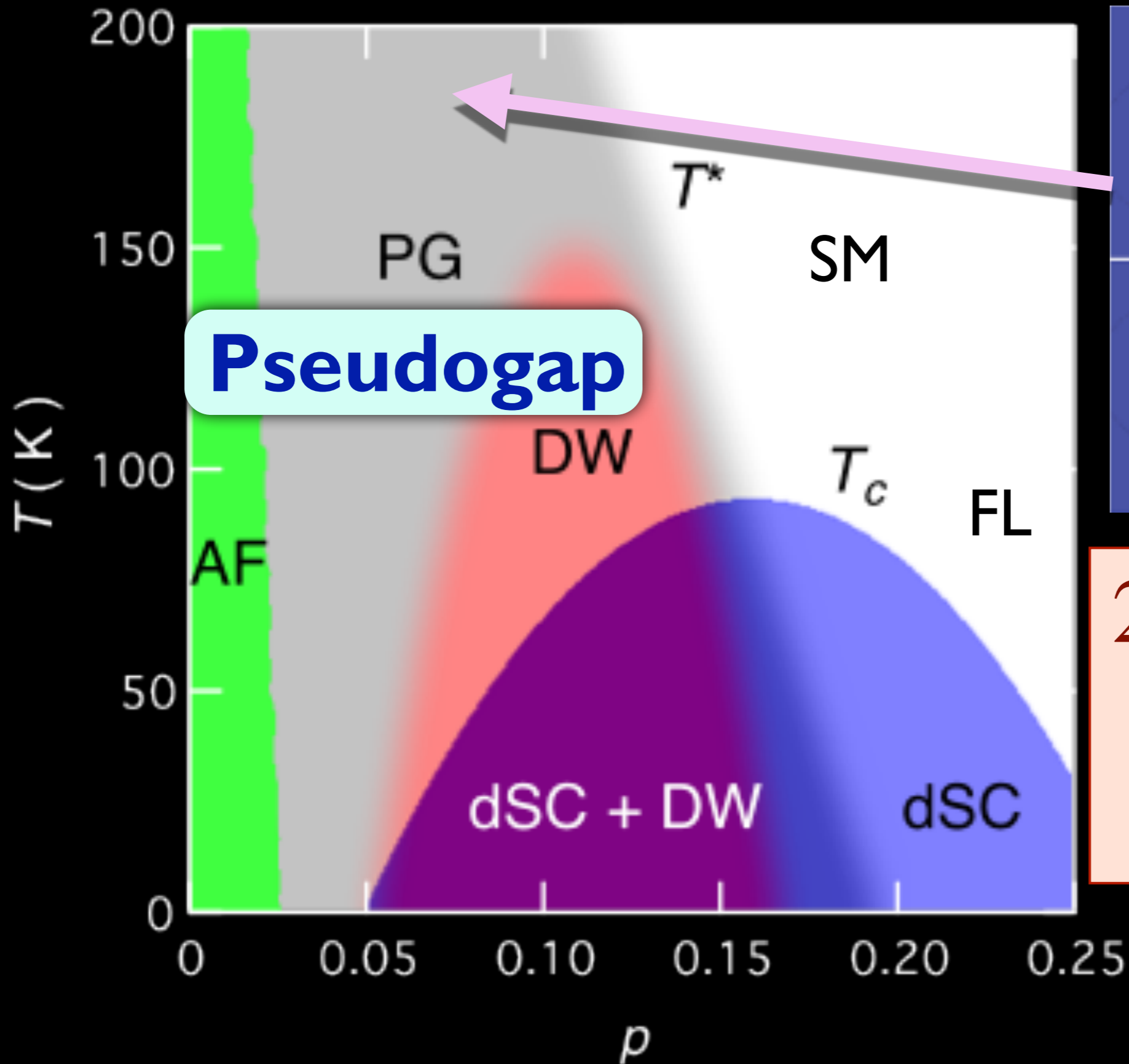
1. Emergent gauge fields and long-range entanglement in insulators

2. Theory of ordinary metals: Fermi liquids (FL)
 - (a) *Quasiparticles*
 - (b) *Luttinger theorem for volume enclosed by Fermi surface*

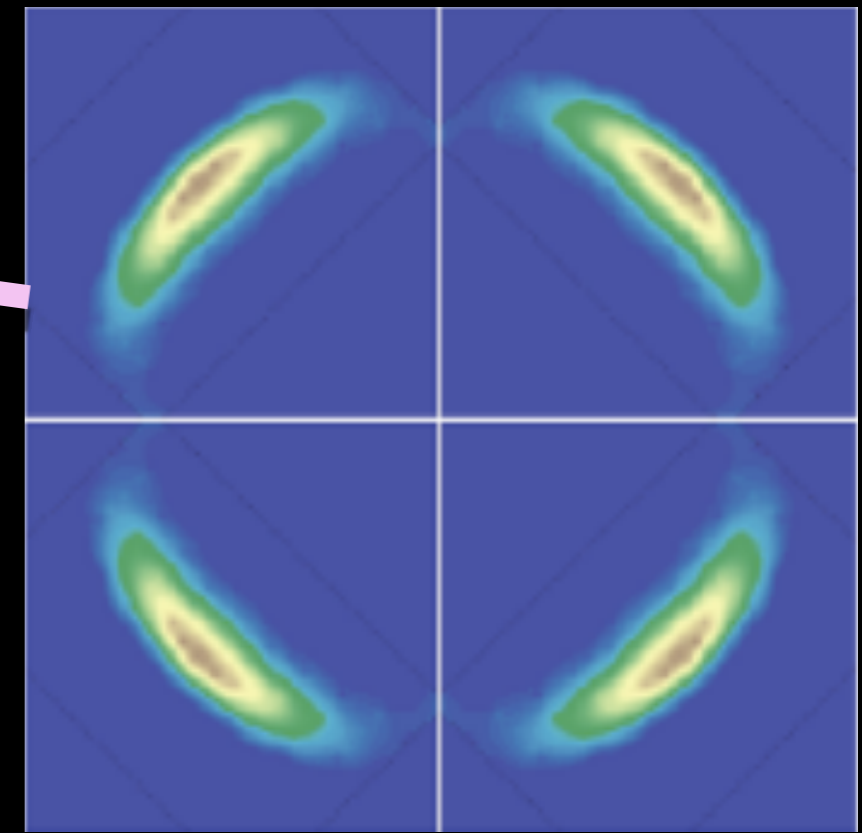
3. Fractionalized Fermi liquids (FL*)
*Quasiparticles with a non-Luttinger volume,
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Quasiparticles with a non-Luttinger volume, and emergent gauge fields
4. The pseudogap metal of the cuprate superconductors

Kyle M. Shen, F. Ronning, D. H. Lu, F. Baumberger, N. J. C. Ingle, W. S. Lee, W. Meevasana, Y. Kohsaka, M. Azuma, M. Takano, H. Takagi, Z.-X. Shen, *Science* **307**, 901 (2005)

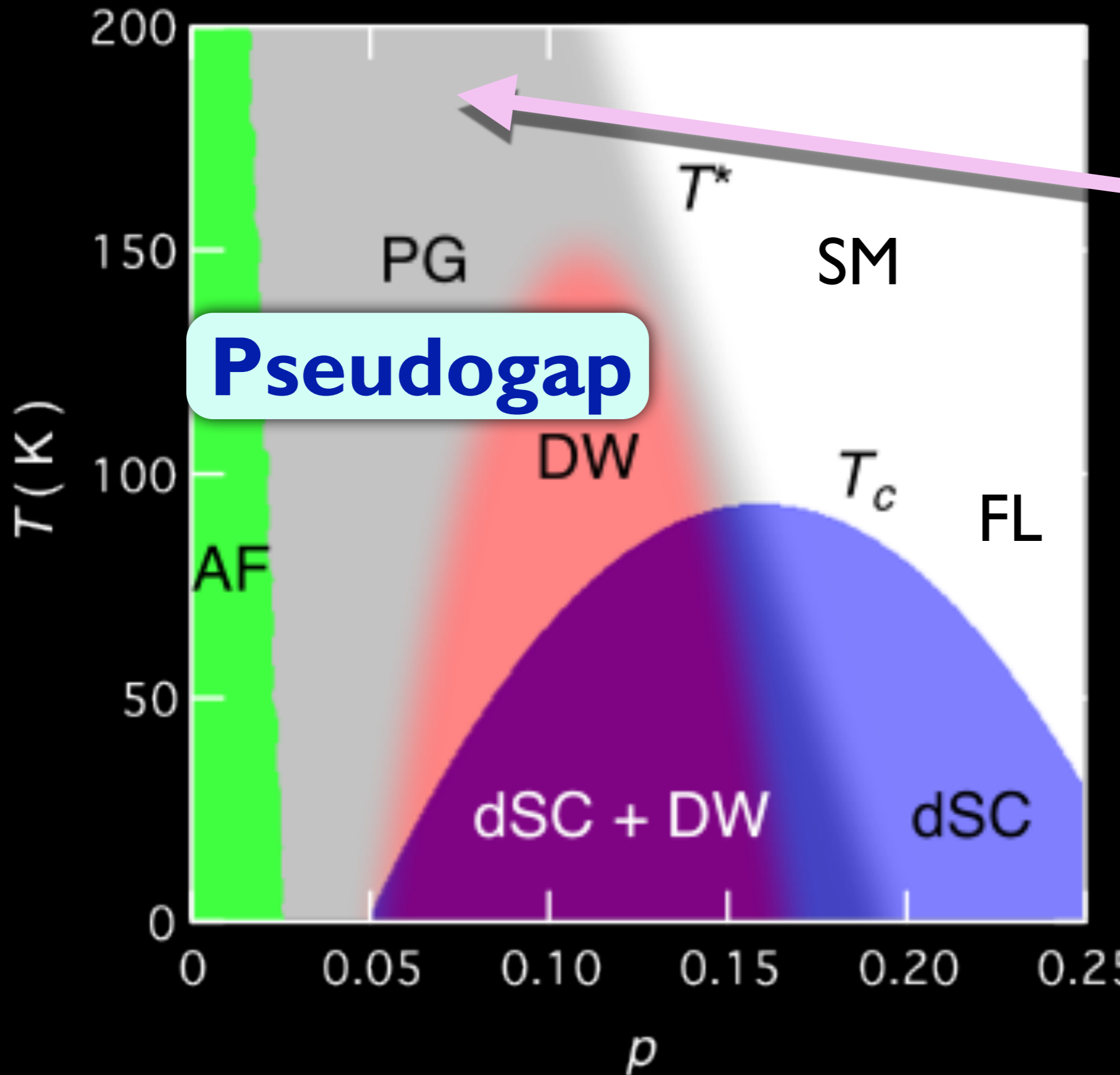


Pseudogap

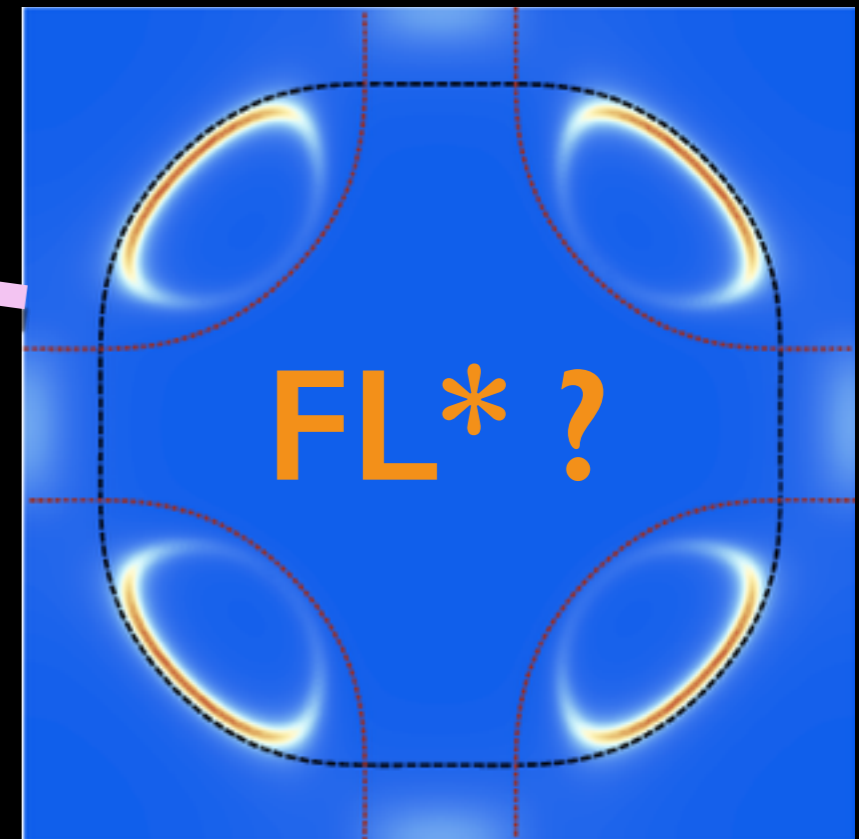


2. Pseudogap
metal
at low p

Y. Qi and S. Sachdev, Phys. Rev. B **81**, 115129 (2010)
M. Punk, A. Allais, and S. Sachdev, PNAS **112**, 9552 (2015)



Pseudogap



A new metal — a fractionalized Fermi liquid (FL^*) — with electron-like quasiparticles on a Fermi surface of size p

Recent evidence for pseudogap metal as FL*

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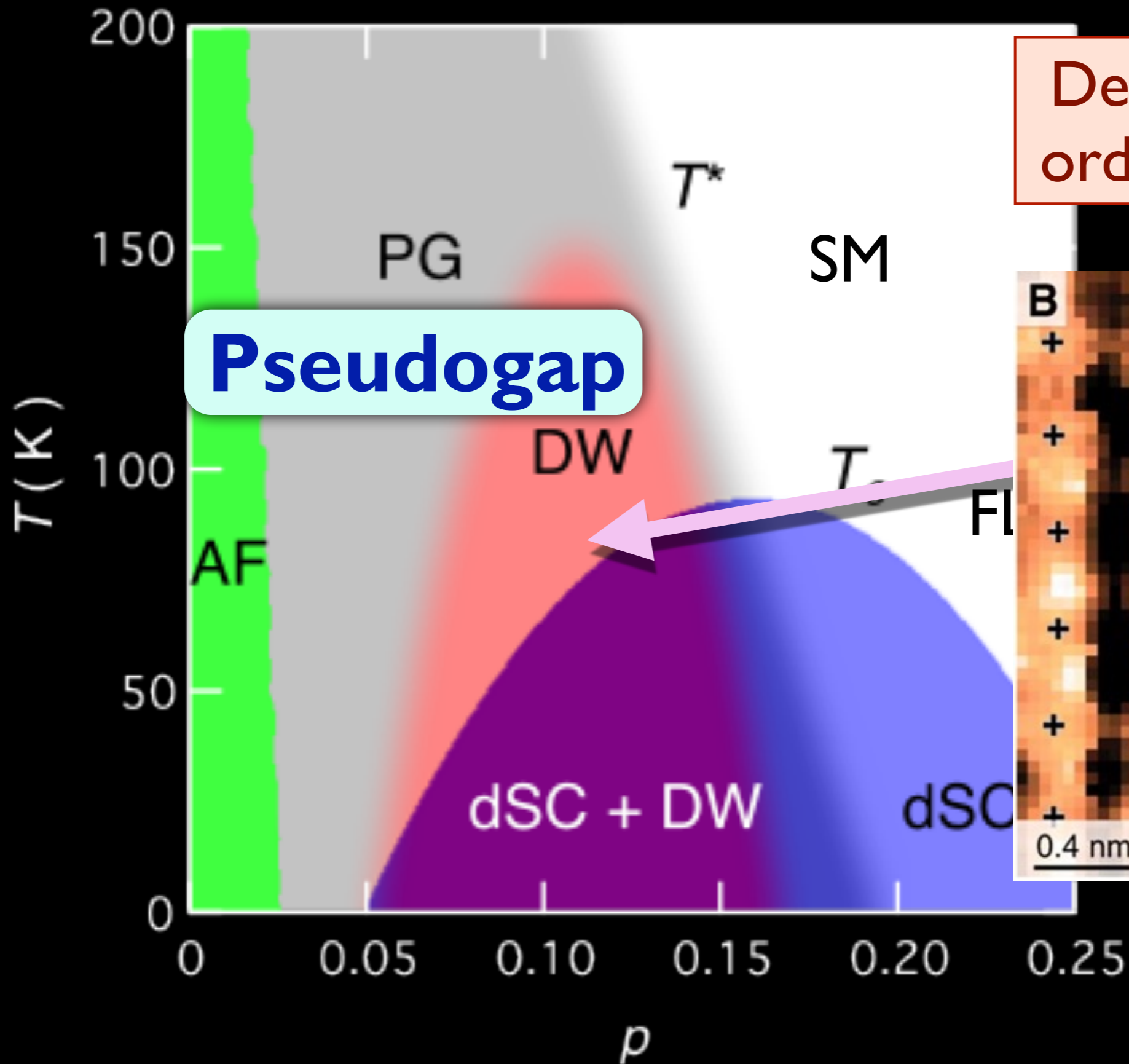
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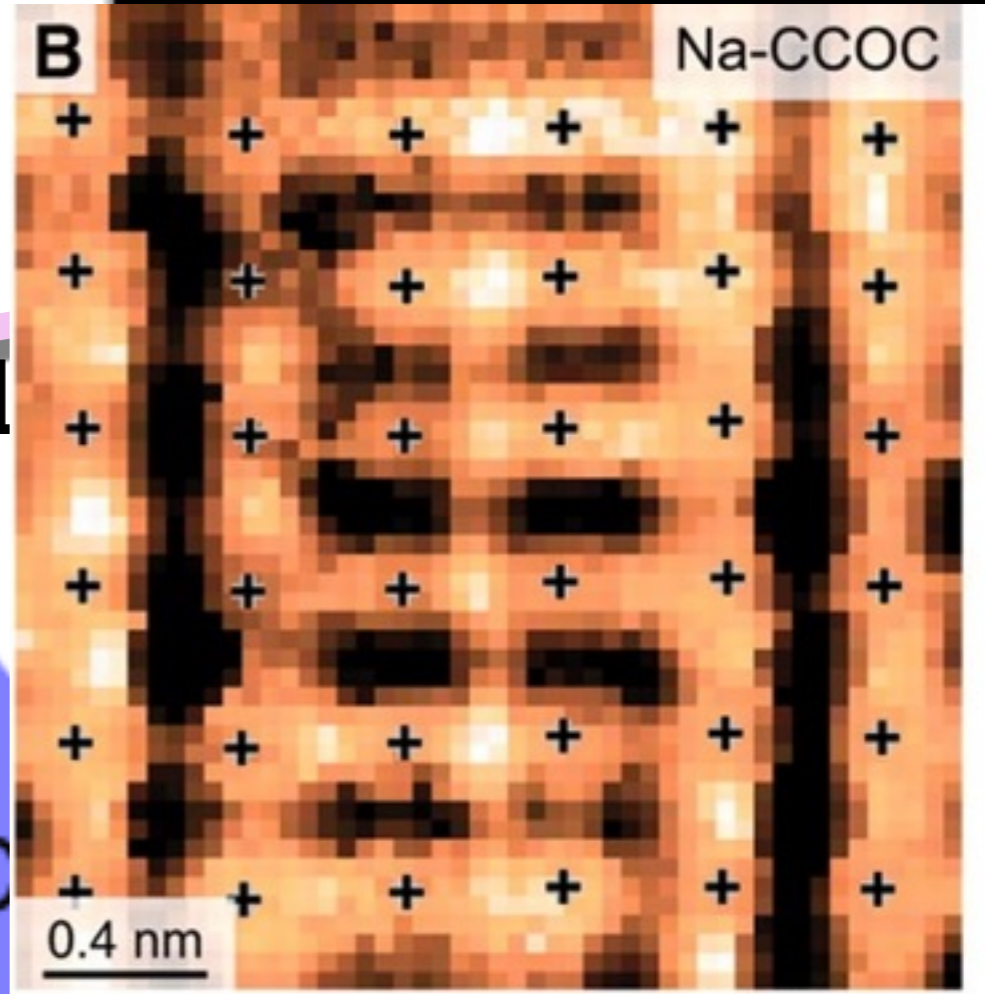
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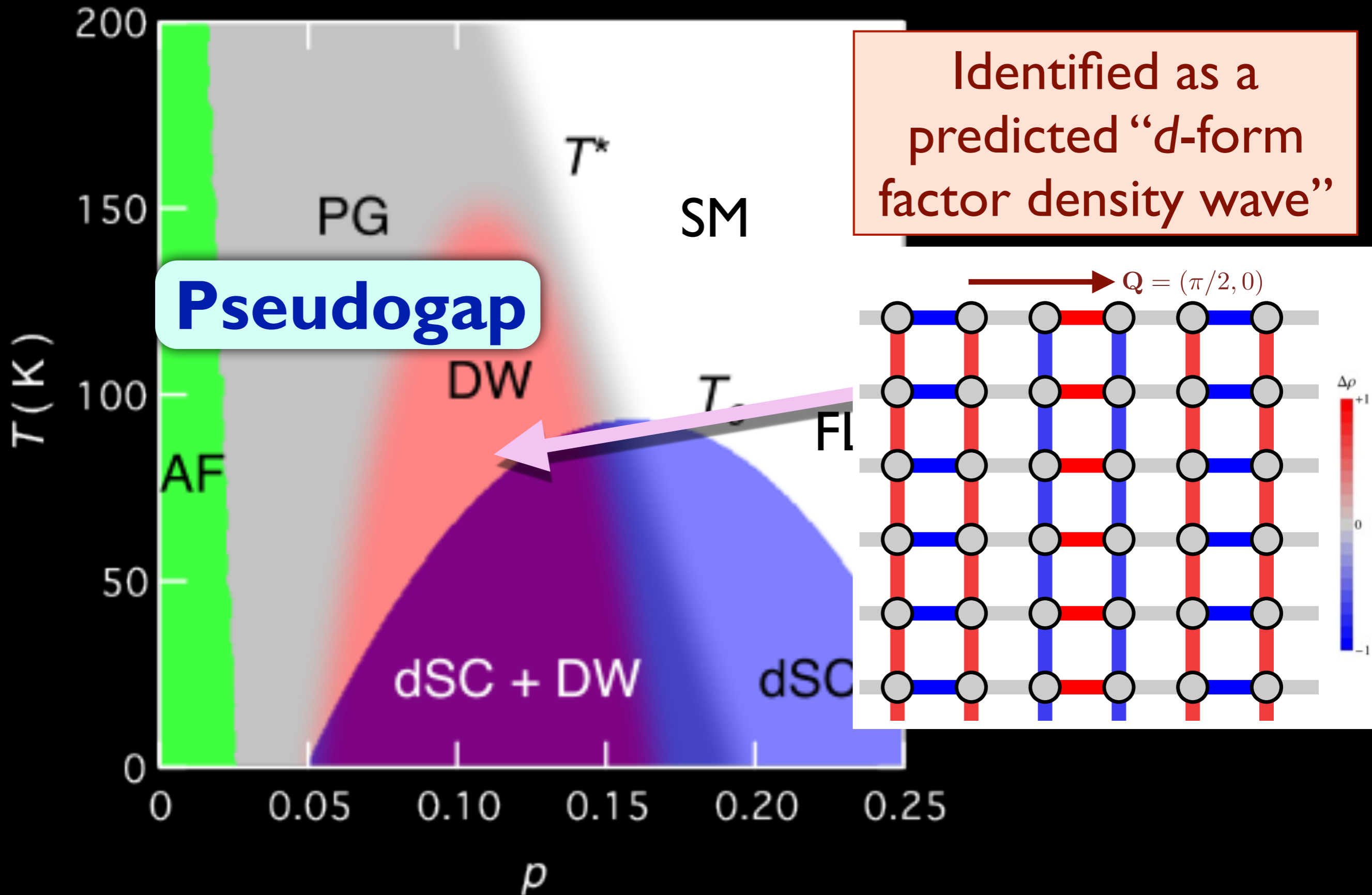
Pseudogap

Density wave (DW) order at low T and p



M. A. Metlitski and S. Sachdev, PRB **82**, 075128 (2010). S. Sachdev R. La Placa, PRL **111**, 027202 (2013).

K. Fujita, M. H Hamidian, S. D. Edkins, Chung Koo Kim, Y. Kohsaka, M. Azuma, M. Takano, H. Takagi, H. Eisaki, S. Uchida, A. Allais, M. J. Lawler, E.-A. Kim, S. Sachdev, and J. C. Davis, PNAS **111**, E3026 (2014)



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- T -independent positive Hall coefficient, R_H , corresponding to carrier density p in the higher temperature pseudogap (Ando *et al.*, PRL **92**, 197001 (2004)) and in recent measurements at high fields, low T , and around $p \approx 0.16$ in YBCO (Proust-Taillefer-UBC collaboration, Badoux *et al.*, arXiv:1511.08162).

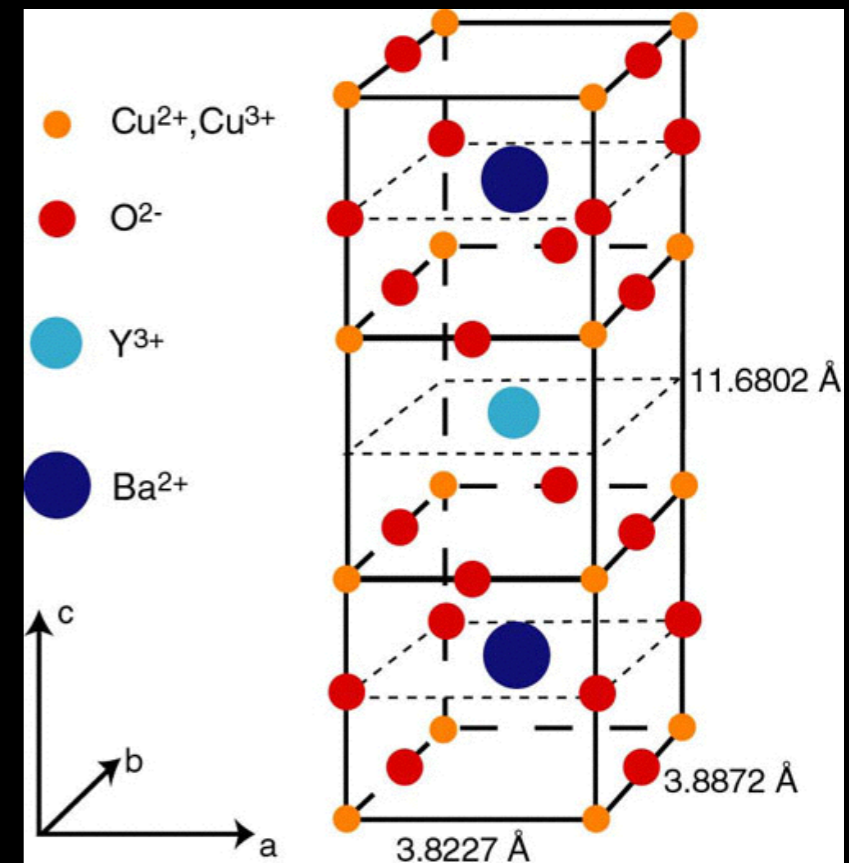
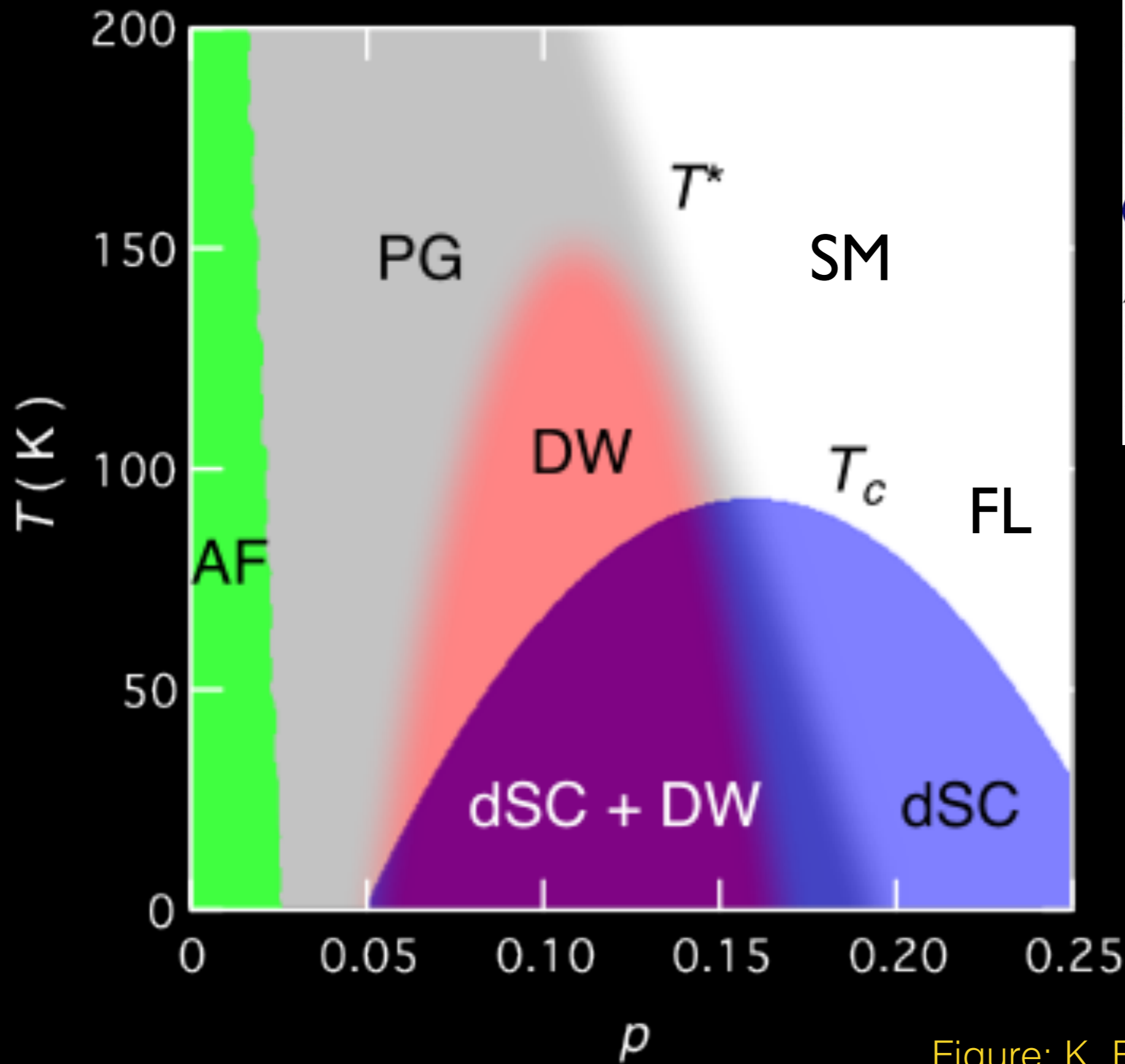
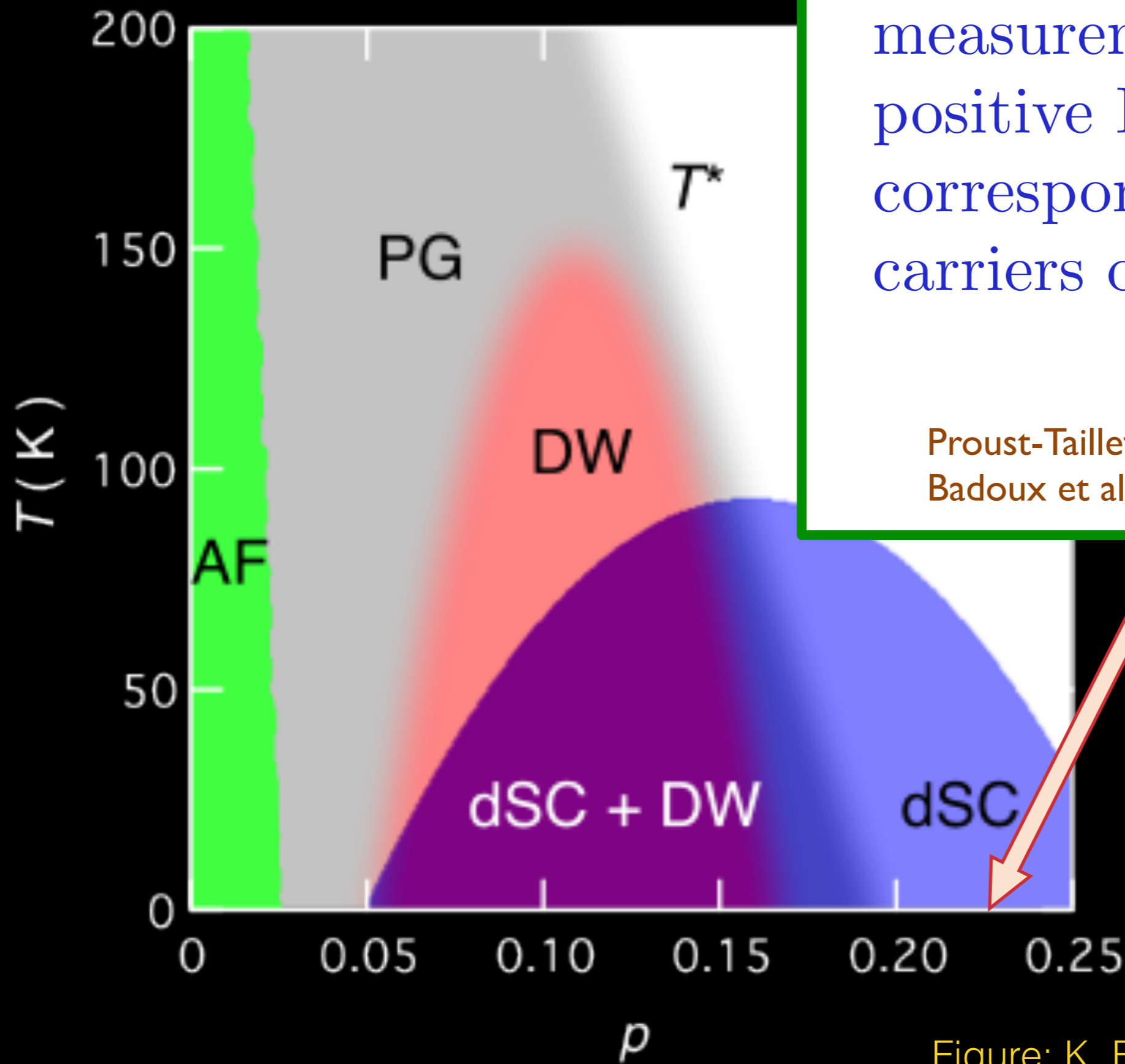


Figure: K. Fujita and J. C. Seamus Davis

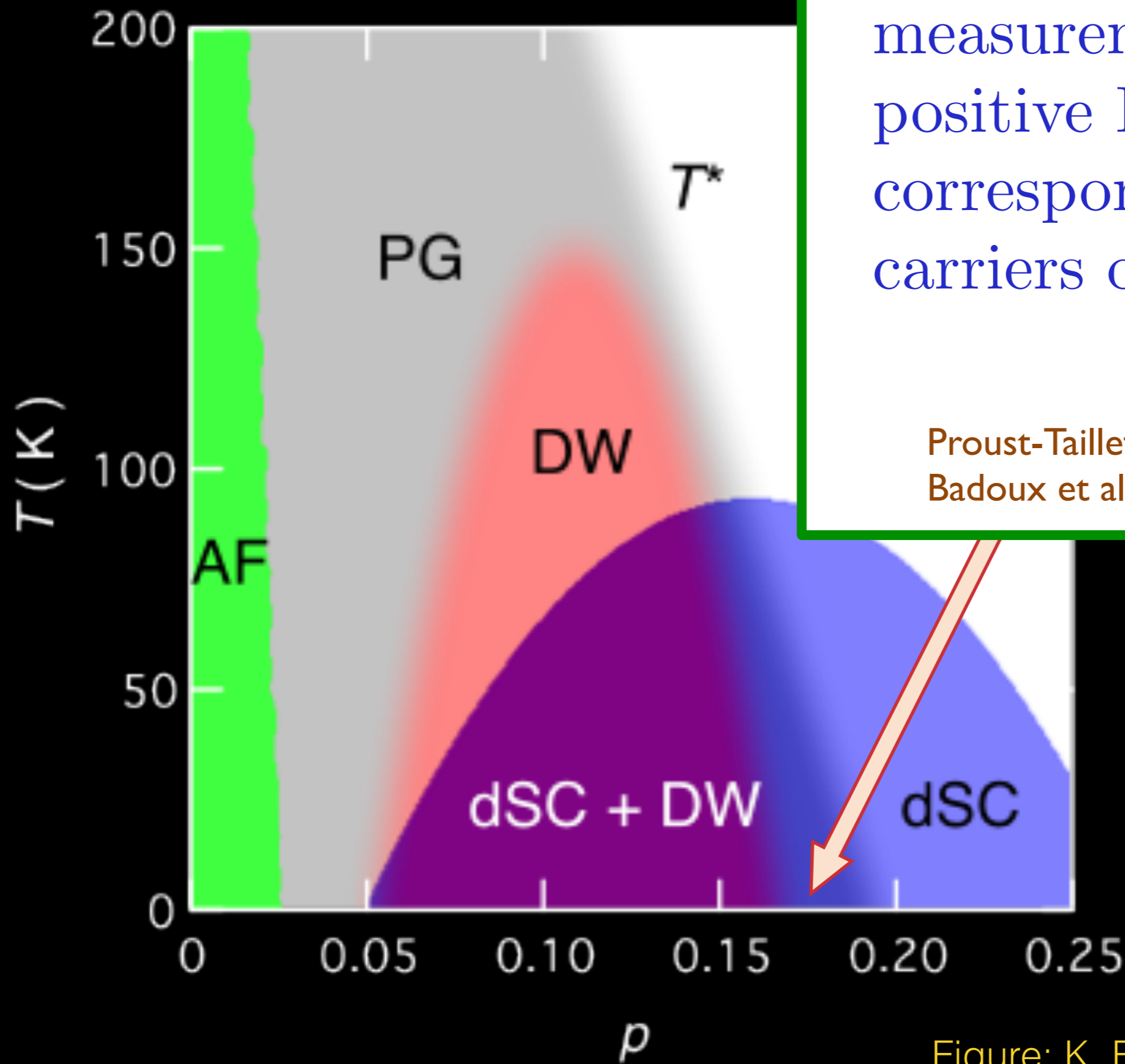


High field, low T measurements show a positive Hall co-efficient corresponding to carriers of density $1 + p$

Proust-Taillefer-UBC collaboration,
Badoux et al. arXiv:1511.08162

$D \propto \alpha_2 \cup \alpha_3 \cup \alpha_6 + x$

Figure: K. Fujita and J. C. Seamus Davis



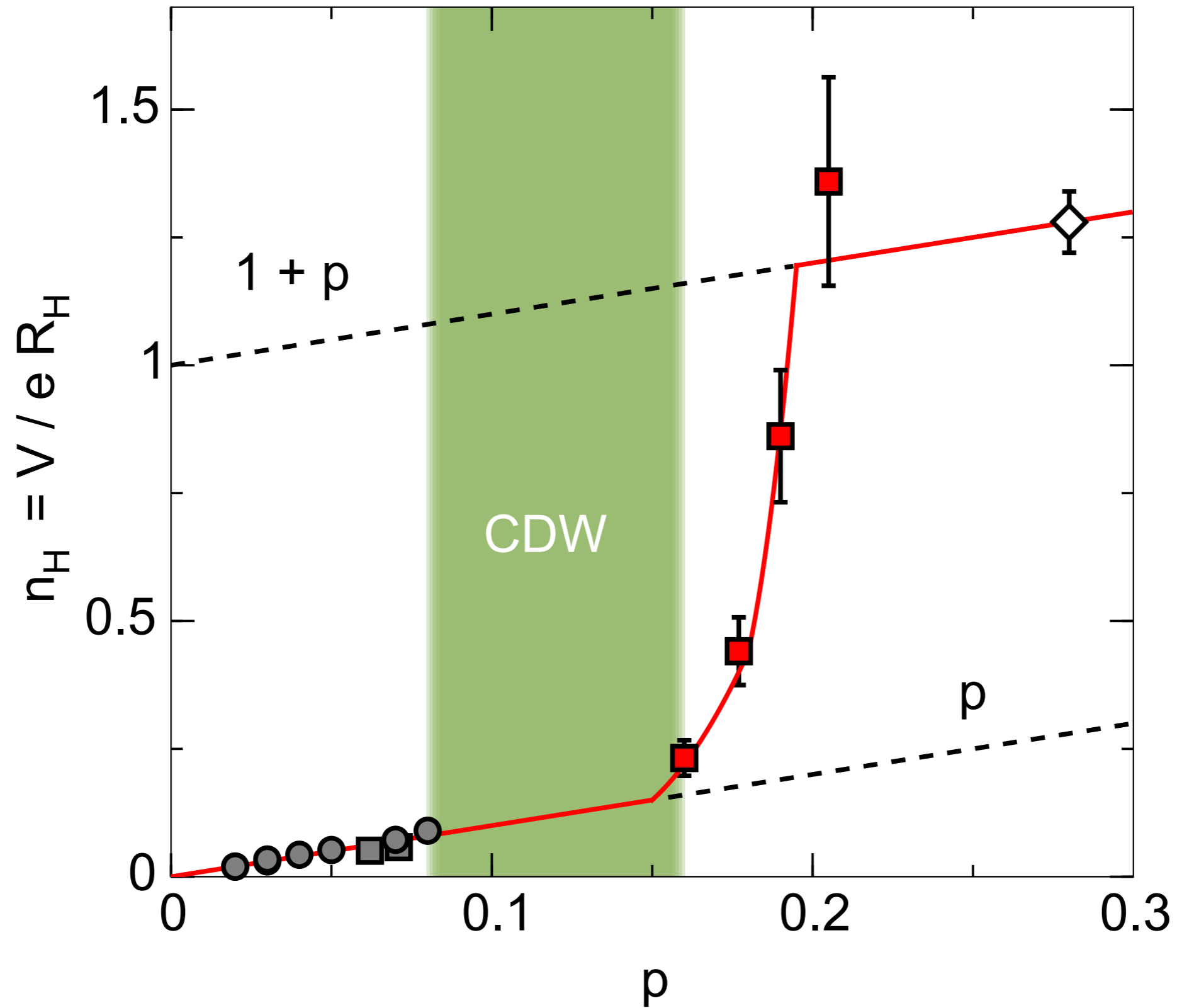
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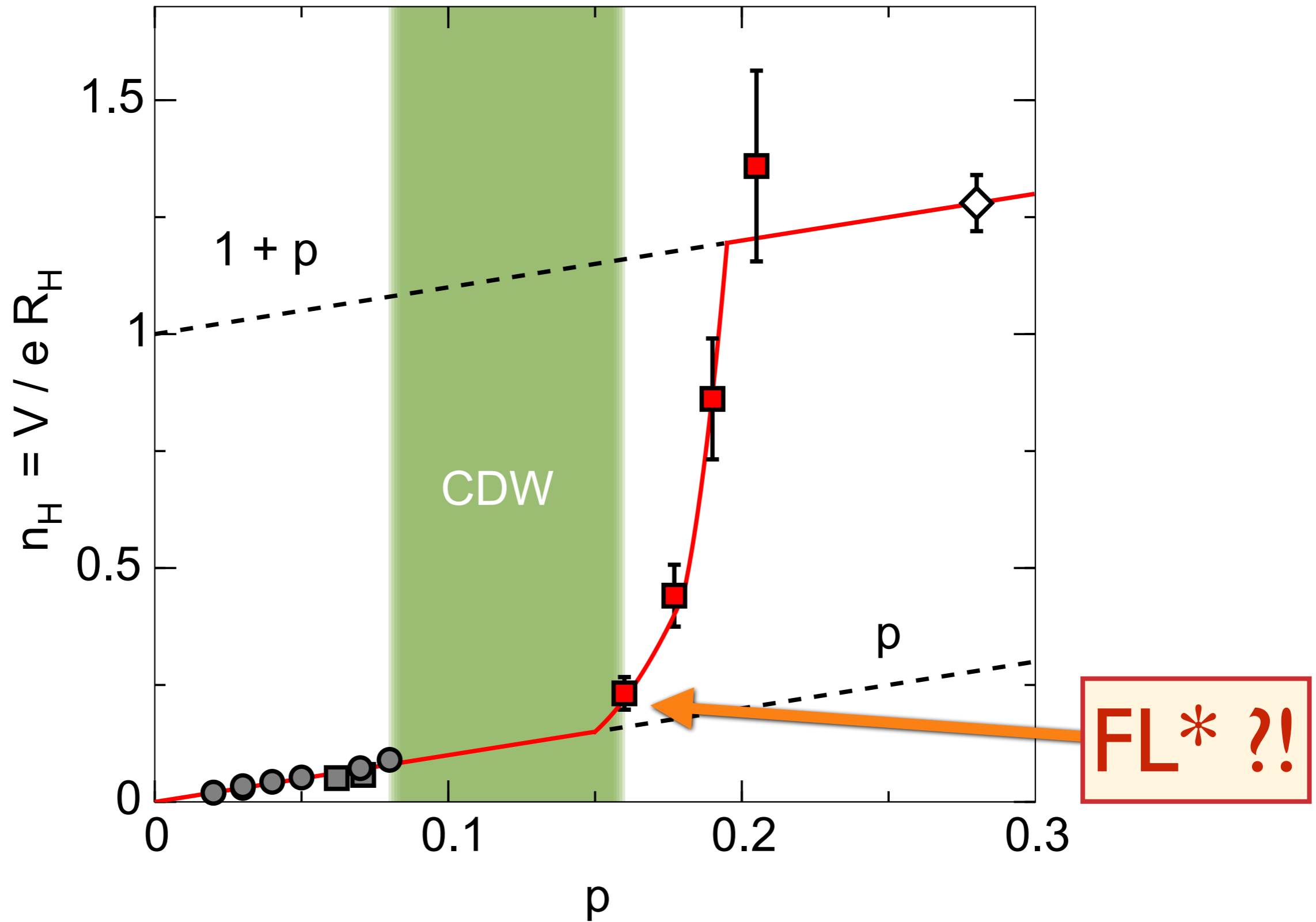
$T = Da^2 \cup a_3 \cup 6 + x$

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