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PHYSICS



Topological quantum matter

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- Emergent gauge fields: "anyons" in the bulk, and ground state degeneracy dependent upon topology of space. Protected edge states may or may not exist

### Topological quantum matter

- Band topology: free fermions in a bulk band have protected states on the edge (topological insulators, Majorana chain etc.)
- Emergent gauge fields: "anyons" in the bulk, and ground state degeneracy dependent upon topology of space. Protected edge states may or may not exist
- Combination of band topology and emergent gauge fields leads to exotic new possibilities (non-Abelian bulk anyons)

Resonating valence bonds
The Z<sub>2</sub> spin liquid

2. SU(2) gauge theory of fluctuating antiferromagnetism on the triangular lattice The Z<sub>2</sub> spin liquid

3. Electron-doped cuprates Higgs phase with topological order: Fermi surface reconstruction without translational symmetry breaking Resonating valence bonds
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Nearest-neighbor model has non-collinear Neel order



P. Fazekas and P. W. Anderson, *Philos. Mag.* **30**, 23 (1974).



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Spinon: S=1/2, charge 0

e (boson) or  $\epsilon$  (fermion) particle



N. Read and S. Sachdev, *Phys. Rev. Lett.* **66**, 1773 (1991) X.-G. Wen, *Phys. Rev. B* **44**, 2664 (1991)

 $=\frac{1}{\sqrt{2}}\left(\left|\uparrow\downarrow\right\rangle-\left|\downarrow\uparrow\right\rangle\right)$ 



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#### Topological order in the Z<sub>2</sub> spin liquid ground state



#### 4-fold degeneracy on the torus

#### Topological order in the $Z_2$ spin liquid ground state



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#### 4-fold degeneracy on the torus

## Properties of the Z<sub>2</sub> spin liquid ('toric code')

- 3 non-trivial particles: e (boson),  $\epsilon$  (fermion), m (boson).
- e and m are mutual 'semions': the e particle acquires a phase (-1) upon encircling the m particle (and vice versa).
- $\epsilon$  and m are also mutual semions.
- The bound state e and m (if it exists) is an  $\epsilon$ . Fusion rule:  $e \times m = \epsilon$ .
- The bound state of  $\epsilon$  and m is an e. Fusion rule:  $\epsilon \times m = e$ .
- The bound state of e and  $\epsilon$  is a m. Fusion rule:  $e \times \epsilon = m$ .
- There is a 4-fold degeneracy on the torus.
- Protected edge states do not exist in general, but could appear in the presence of symmetries.

A. Kitaev, Annals Phys. 303, 2 (2003)

I. Resonating valence bonds The Z<sub>2</sub> spin liquid

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non-collinear Néel state

 $Z_2$  spin liquid with neutral S = 1/2 spinons and **vison** excitations

 $s_c$ 

N. Read and S. Sachdev, *Phys. Rev. Lett.* **66**, 1773 (1991) X.-G. Wen, *Phys. Rev. B* **44**, 2664 (1991)

S

### The Hubbard Model

$$H = -\sum_{i < j} t_{ij} c_{i\alpha}^{\dagger} c_{j\alpha} + U \sum_{i} \left( n_{i\uparrow} - \frac{1}{2} \right) \left( n_{i\downarrow} - \frac{1}{2} \right) - \mu \sum_{i} c_{i\alpha}^{\dagger} c_{i\alpha}$$

 $t_{ij} \rightarrow$  "hopping".  $U \rightarrow$  local repulsion,  $\mu \rightarrow$  chemical potential

Spin index  $\alpha = \uparrow, \downarrow$ 

$$n_{i\alpha} = c_{i\alpha}^{\dagger} c_{i\alpha}$$

$$c_{i\alpha}^{\dagger}c_{j\beta} + c_{j\beta}c_{i\alpha}^{\dagger} = \delta_{ij}\delta_{\alpha\beta}$$
$$c_{i\alpha}c_{j\beta} + c_{j\beta}c_{i\alpha} = 0$$

First study on the triangular lattice

We use the operator equation (valid on each site i):

$$U\left(n_{\uparrow} - \frac{1}{2}\right)\left(n_{\downarrow} - \frac{1}{2}\right) = -\frac{2U}{3}\vec{S}^2 + \frac{U}{4}$$

Then we decouple the interaction via

$$\exp\left(\frac{2U}{3}\sum_{i}\int d\tau \vec{S}_{i}^{2}\right) = \int \mathcal{D}\vec{\Phi}_{i}(\tau)\exp\left(-\sum_{i}\int d\tau \left[\frac{3}{8U}\vec{\Phi}_{i}^{2} - \vec{\Phi}_{i}\vec{S}_{i}\right]\right)$$

In this manner, we obtain the "spin-fermion" model

$$\begin{aligned} \mathcal{Z} &= \int \mathcal{D}c_{\alpha}\mathcal{D}\vec{\Phi}\exp\left(-\mathcal{S}\right) \\ \mathcal{S} &= \int d\tau \sum_{\mathbf{k}} c_{\mathbf{k}\alpha}^{\dagger} \left(\frac{\partial}{\partial\tau} - \varepsilon_{\mathbf{k}}\right) c_{\mathbf{k}\alpha} \\ &- \lambda \int d\tau \sum_{i} c_{i\alpha}^{\dagger}\vec{\Phi}_{i} \cdot \vec{\sigma}_{\alpha\beta} c_{i\beta} \\ &+ V(\vec{\Phi}) \end{aligned}$$

We have exactly transformed the Hubbard model to the "spin-fermion" model with electronic Hamiltonian described by **electrons**  $c_{i\alpha}$  on the square or triangular lattice with dispersion

$$\mathcal{H}_{c} = -\sum_{i,\rho} t_{\rho} \left( c_{i,\alpha}^{\dagger} c_{i+\boldsymbol{v}_{\rho},\alpha} + c_{i+\boldsymbol{v}_{\rho},\alpha}^{\dagger} c_{i,\alpha} \right)$$
$$-\mu \sum_{i} c_{i,\alpha}^{\dagger} c_{i,\alpha} + \mathcal{H}_{int}$$

are coupled to a magnetic moment order parameter  $\Phi^p(i), p = x, y, z$ 

$$\mathcal{H}_{\text{int}} = -\lambda \sum_{i} \Phi^{p}(i) c_{i,\alpha}^{\dagger} \sigma_{\alpha\beta}^{p} c_{i,\beta}^{\phantom{\dagger}} + V_{\Phi}$$

## Gauge theory of fluctuating antiferromagnetism

For fluctuating antiferromagnetism (spin density waves (SDW)), we transform to a rotating reference frame using the SU(2) rotation  $R_i$ 

$$\left(\begin{array}{c}c_{i\uparrow}\\c_{i\downarrow}\end{array}\right) = R_i \left(\begin{array}{c}\psi_{i,+}\\\psi_{i,-}\end{array}\right),$$

in terms of fermionic "chargons"  $\psi_s$  and a **Higgs** field  $H^a(i)$ 

$$\sigma^p \Phi^p(i) = R_i \, \sigma^a H^a(i) \, R_i^{\dagger}$$

The Higgs field is the SDW order in the rotating reference frame. We will see later that the  $\psi_s$  are  $\epsilon$  particles of the  $\mathbb{Z}_2$  spin liquid.

### Gauge theory of fluctuating antiferromagnetism

The SU(2) rotation  $R_i$  obeys  $R_i^{\dagger}R = 1$  and so we write

$$R = \begin{pmatrix} z_{\uparrow} & -z_{\downarrow}^{*} \\ z_{\downarrow} & z_{\uparrow}^{*} \end{pmatrix}$$

The  $z_{\alpha}$  are spin S = 1/2 bosonic spinons. We will see later that the  $z_{\alpha}$  will become the *e* particles of the  $\mathbb{Z}_2$  spin liquid.

# Gauge theory of fluctuating antiferromagnetism

Field	Symbol	Statistics	$SU(2)_{gauge}$	$SU(2)_{spin}$	$U(1)_{e.m.charge}$
Electron	С	fermion	1	2	-1
AF order	$\Phi$	boson	1	3	0
Chargon	$\psi$	fermion	2	1	-1
Spinon	$R  ext{ or } z$	boson	$ar{2}$	2	0
Higgs	H	boson	3	1	0

Note that this representation is ambiguous up to a SU(2) gauge transformation,  $V_i$ 

$$\begin{pmatrix} \psi_{i,+} \\ \psi_{i,-} \end{pmatrix} \to V_i \begin{pmatrix} \psi_{i,+} \\ \psi_{i,-} \end{pmatrix}$$
$$R_i \to R_i V_i^{\dagger}$$
$$\sigma^a H^a(i) \to V_i \sigma^b H^b(i) V_i^{\dagger}.$$

S. Sachdev, M.A. Metlitski, Y. Qi, and C. Xu, PRB 80, 155129 (2009)
The simplest effective Hamiltonian for the fermionic chargons is the same as that for the electrons, with the magnetic order replaced by the Higgs field.

$$\mathcal{H}_{\psi} = -\sum_{i,\rho} t_{\rho} \left( \psi_{i,s}^{\dagger} \psi_{i+\boldsymbol{v}_{\rho},s} + \psi_{i+\boldsymbol{v}_{\rho},s}^{\dagger} \psi_{i,s} \right) - \mu \sum_{i} \psi_{i,s}^{\dagger} \psi_{i,s} + \mathcal{H}_{\text{int}}$$

$$\mathcal{H}_{\text{int}} = -\lambda \sum_{i} H^{a}(i) \psi^{\dagger}_{i,s} \sigma^{a}_{ss'} \psi_{i,s'} + V_{H}$$

**IF** we can transform to a rotating reference frame in which  $H^a(i) =$ a constant independent of time, **THEN** the  $\psi$  fermions in the presence of fluctuating magnetism will inherit the Fermi surfaces (if present) of the electrons in the presence of static magnetism. For insulating spin liquids, we consider the case where the chargons are fully gapped, and there are no Fermi surfaces.

S. Sachdev, M.A. Metlitski, Y. Qi, and C. Xu, PRB 80, 155129 (2009)

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The Higgs phases of the SU(2) gauge theory can realize states with topological order. The topological order depends upon the structure of the Higgs condensate.

We obtain different numbers of adjoint Higgs scalars,  $N_h$ , depending upon the spatial dependence of the local spin correlations:

Neel correlations (un- and electron-doped cuprates):

 $N_{h} = 1,$   $K = (\pi, \pi),$  $H^{a}(i) = H_{1}^{a}(\mathbf{r})e^{i\mathbf{K}\cdot\mathbf{r}_{i}}$ 

Coplanar spin correlations on the triangular lattice :  $N_h = 2$ ,  $\mathbf{K} = (4\pi/3, 4\pi/\sqrt{3})$ ,  $H^a(i) = \operatorname{Re}\left\{ [H_1^a(\mathbf{r}) + iH_2^a(\mathbf{r})] e^{i\mathbf{K}_x \cdot \mathbf{r}_i} \right\}$ 

Bidirectional incommensurate correlations (hole doped cuprates):  $N_h = 4$ ,  $\mathbf{K}_y = (\pi, \pi - \delta), \, \mathbf{K}_x = (\pi - \delta, \pi),$  $H^a(i) = \operatorname{Re}\left\{ [H_1^a(\mathbf{r}) + iH_2^a(\mathbf{r})] \, e^{i\mathbf{K}_x \cdot \mathbf{r}_i} + [H_3^a(\mathbf{r}) + iH_4^a(\mathbf{r})] \, e^{i\mathbf{K}_y \cdot \mathbf{r}_i} \right\}$ 

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SU(2) gauge theory For the triangular lattice,  $N_h = 2$ , we define the complex Higgs field

 $\mathcal{H}^a = H_1^a + iH_2^a.$ 

The SU(2) gauge theory is

$$\mathcal{L} = \frac{1}{2} \left| \partial_{\mu} \mathcal{H}^{a} - \epsilon_{abc} A^{b}_{\mu} \mathcal{H}^{c} \right|^{2} + \frac{1}{4g^{2}} F^{a}_{\mu\nu} F^{a}_{\mu\nu} + V(\mathcal{H}^{a})$$

$$F_{\mu\nu}^{a} = \partial_{\mu}A_{\nu}^{a} - \partial_{\nu}A_{\mu}^{a} - \epsilon_{abc}A_{\mu}^{b}A_{\nu}^{c}$$
  

$$V(\mathcal{H}^{a}) = s\left(\mathcal{H}^{a*}\mathcal{H}^{a}\right) + u_{0}\left(\mathcal{H}^{a*}\mathcal{H}^{a}\right)^{2} + u_{1}\left|\mathcal{H}^{a}\mathcal{H}^{a}\right|^{2}$$
  

$$+ u_{3}\left(\mathcal{H}^{a}\mathcal{H}^{a}\right)^{3} + \text{c.c.}$$

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- This corresponds to fluctuating coplanar spin configurations of the triangular lattice.

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- Although  $\langle \mathcal{H}^a \rangle \neq 0$ , spin rotation symmetry is preserved. The gaugeinvariant observable  $\mathcal{H}^a \mathcal{H}^a$  corresponds to a charge-density-wave at wavevector  $2\mathbf{K}$ , but  $\langle \mathcal{H}^a \mathcal{H}^a \rangle = 0$ .

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- The Higgs condensate breaks the SU(2) gauge symmetry down to a  $\mathbb{Z}_2$  gauge symmetry (this is different from the Weinberg-Salam model): the condensate is only invariant a gauge transformation  $\sigma^a H^a \rightarrow V \sigma^b H^b V^{\dagger}$  with  $V = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$ .

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- The Higgs phase of the SU(2) gauge theory has finite energy  $\mathbb{Z}_2$  vortex defects (visons!) associated with  $\pi_1(SO(3)) = \mathbb{Z}_2$ . These are analogous to  $\mathbb{Z}$  Abrikosov vortices in the Ginzburg-Landau theory of superconductivity





#### 4-fold degeneracy on the torus

#### Mott insulator: Triangular lattice antiferromagnet

Higgs condensate  $\langle \mathcal{H}^a \rangle \propto (1, i, 0)$ Spinons R condensed  $\langle R \rangle \neq 0$ 



non-collinear Néel state

Higgs condensate  $\langle \mathcal{H}^a \rangle \propto (1, i, 0)$ Spinons R gapped  $\langle R \rangle = 0$ 

 $Z_2$  spin liquid with neutral S = 1/2 spinons and **vison** excitations

 $\bullet S_{C} \bullet O(4)^{*} \text{ CFT3}$ 

A.V. Chubukov, T. Senthil and S. Sachdev, Physical Review Letters 72, 2089 (1994)

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Spinon	$R  ext{ or } z$	boson	$\bar{2}$	2	0	e
Higgs	H	boson	3	1	0	1
Vison	m	boson	1	1	0	m

#### Symmetry fractionalization in the topological phase of the spin- $\frac{1}{2}$ $J_1$ - $J_2$ triangular Heisenberg model

S. N. Saadatmand<sup>\*</sup> and I. P. McCulloch

ARC Centre for Engineered Quantum Systems, School of Mathematics and Physics, The University of Queensland, St. Lucia, Queensland 4072, Australia (Received 15 July 2016; published 13 September 2016)

Using density-matrix renormalization-group calculations for infinite cylinders, we elucidate the properties of the spin-liquid phase of the spin- $\frac{1}{2}$   $J_1$ - $J_2$  Heisenberg model on the triangular lattice. We find *four* distinct ground states characteristic of a nonchiral,  $Z_2$  topologically ordered state with vison and spinon excitations. We shed light on the interplay of topological ordering and global symmetries in the model by detecting fractionalization of time-reversal and space-group dihedral symmetries in the anyonic sectors, which leads to the coexistence of symmetry protected and intrinsic topological order. The anyonic sectors, and information on the particle statistics, can be characterized by degeneracy patterns and symmetries of the entanglement spectrum. We demonstrate the ground states on finite-width cylinders are short-range correlated and gapped; however, some features in the large-width limit.

#### PHYSICAL REVIEW B 94, 121111(R) (2016)



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#### Hole doped cuprates

Yang He, Yi Yin, M. Zech, A. Soumyanarayanan, I. Zeljkovic, M. M. Yee, M. C. Boyer, K. Chatterjee, W. D. Wise, Takeshi Kondo, T. Takeuchi, H. Ikuta, P. Mistark, R. S. Markiewicz, A. Bansil, S. Sachdev, E. W. Hudson, and J. E. Hoffman, Science **344**, 608 (2014)

K. Fujita, Chung Koo Kim, Inhee Lee, Jinho Lee, M. H. Hamidian, I.A. Firmo, S. Mukhopadhyay, H. Eisaki, S. Uchida, M. J. Lawler, E.-A. Kim, J. C. Davis, Science **344**, 612 (2014)





We have exactly transformed the Hubbard model to the "spin-fermion" model with electronic Hamiltonian described by **electrons**  $c_{i\alpha}$  on the square or triangular lattice with dispersion

$$\mathcal{H}_{c} = -\sum_{i,\rho} t_{\rho} \left( c_{i,\alpha}^{\dagger} c_{i+\boldsymbol{v}_{\rho},\alpha} + c_{i+\boldsymbol{v}_{\rho},\alpha}^{\dagger} c_{i,\alpha} \right)$$
$$-\mu \sum_{i} c_{i,\alpha}^{\dagger} c_{i,\alpha} + \mathcal{H}_{int}$$

are coupled to a magnetic moment order parameter  $\Phi^p(i), p = x, y, z$ 

$$\mathcal{H}_{\text{int}} = -\lambda \sum_{i} \Phi^{p}(i) c_{i,\alpha}^{\dagger} \sigma_{\alpha\beta}^{p} c_{i,\beta}^{\phantom{\dagger}} + V_{\Phi}$$





The electron spin polarization obeys

$$\left\langle \vec{\Phi}(\mathbf{r},\tau) \right\rangle = \vec{\mathcal{N}} e^{i\mathbf{K}\cdot\mathbf{r}}$$

where  $\mathbf{K} = (\pi, \pi)$  is the ordering wavevector.

In momentum space, the coupling between  $\vec{\mathcal{N}}$  and the electrons takes the form

$$\mathcal{H}_{\text{int}} = \lambda \sum_{\mathbf{k}, \mathbf{q}, \alpha, \beta} \vec{\mathcal{N}}_{\mathbf{q}} \cdot c^{\dagger}_{\mathbf{k}+\mathbf{q}, \alpha} \vec{\sigma}_{\alpha\beta} c_{\mathbf{k}+\mathbf{K}, \beta}$$

where  $\vec{\sigma}$  are the Pauli matrices, the boson momentum **q** is small, while the fermion momenum **k** extends over the entire Brillouin zone. In the antiferromagnetically ordered state, we may take  $\vec{\mathcal{N}} \propto (0,0,1)$ , and the electron dispersions obtained by diagonalizing  $\mathcal{H}_c + \mathcal{H}_{\rm int}$  are

$$E_{\mathbf{k}\pm} = \frac{\varepsilon_{\mathbf{k}} + \varepsilon_{\mathbf{k}+\mathbf{K}}}{2} \pm \sqrt{\left(\frac{\varepsilon_{\mathbf{k}} - \varepsilon_{\mathbf{k}+\mathbf{K}}}{2}\right)^2 + \lambda^2 |\vec{\mathcal{N}}|^2}$$

This leads to the Fermi surfaces shown in the following slides as a function of increasing  $|\vec{\mathcal{N}}|$ .



Metal with "large" Fermi surface



Fermi surfaces translated by  $\mathbf{K} = (\pi, \pi)$ .





Electron and hole pockets in antiferromagnetic phase with  $\langle \vec{\Phi} \rangle \neq 0$ 

#### Square lattice Hubbard model with hole doping



#### Square lattice Hubbard model with electron doping



Electron doped cuprates

#### $Nd_{2-x}Ce_{x}CuO_{4\pm\delta}$



Doping Dependence of an n-Type Cuprate Superconductor Investigated by Angle-Resolved Photoemission Spectroscopy

N. P.Armitage, F. Ronning, D. H. Lu, C. Kim, A. Damascelli, K. M. Shen, D. L. Feng, H. Eisaki, Z.-X. Shen, P. K. Mang, N. Kaneko, M. Greven, Y. Onose, Y. Taguchi, and Y. Tokura Phys. Rev. Lett. **88**, 257001 (2002)

#### PNAS 116, 3449 (2019) Fermi surface reconstruction in electron-doped cuprates without antiferromagnetic long-range order

Junfeng He, C. R. Rotundu, M. S. Scheurer, Y. He, M. Hashimoto, K. Xu, Y. Wang, E. W. Huang, T. Jia, S.-D. Chen, B. Moritz, D.-H. Lu, Y. S. Lee, T. P. Devereaux and Z.-X. Shen

- New photoemission measurements at zero magnetic field show Fermi surfaces in quantitative agreement with quantum oscillation measurements.
- The energy gap between the electron and hole pockets collapses near x = 0.17 like an order parameter.
- "The totality of the data points to a mysterious order between x = 0.14 and x = 0.17, whose appearance favors the FS reconstruction and disappearance defines the quantum critical doping. A recent topological proposal provides an ansatz for its origin."





#### Square lattice Hubbard model with electron doping



 $\Phi^a \Rightarrow \text{Antiferromagnetism at } (\pi, \pi)$ 

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The simplest effective Hamiltonian for the fermionic chargons is the same as that for the electrons, with the magnetic order replaced by the Higgs field.

$$\mathcal{H}_{\psi} = -\sum_{i,\rho} t_{\rho} \left( \psi_{i,s}^{\dagger} \psi_{i+\boldsymbol{v}_{\rho},s} + \psi_{i+\boldsymbol{v}_{\rho},s}^{\dagger} \psi_{i,s} \right) - \mu \sum_{i} \psi_{i,s}^{\dagger} \psi_{i,s} + \mathcal{H}_{\text{int}}$$
$$\mathcal{H}_{i+\boldsymbol{v}_{\rho},s} - \sum_{i} H^{a}(i) \psi_{i,s}^{\dagger} - \sigma^{a} \psi_{i,s} + V \psi_{i,s}$$

$$\mathcal{H}_{\text{int}} = -\lambda \sum_{i} H^{a}(i) \psi_{i,s}^{\dagger} \sigma_{ss'}^{a} \psi_{i,s'} + V_{H}$$

**IF** we can transform to a rotating reference frame in which  $H^a(i) =$  a constant independent of time, **THEN** the  $\psi$  fermions in the presence of fluctuating magnetism will inherit the Fermi surfaces (if present) of the electrons in the presence of static magnetism. For the electron-doped cuprates, the chargons acquire the small, reconstructed Fermi surfaces of the doped antiferromagnet.

S. Sachdev, M.A. Metlitski, Y. Qi, and C. Xu, PRB 80, 155129 (2009)

We obtain different numbers of adjoint Higgs scalars,  $N_h$ , depending upon the spatial dependence of the local spin correlations:

Neel correlations (un- and electron-doped cuprates):  $N_h = 1$ ,  $\mathbf{K} = (\pi, \pi)$ ,  $H^a(i) = H_1^a(\mathbf{r})e^{i\mathbf{K}\cdot\mathbf{r}_i}$  SU(2) gauge symmetry broken down to U(1)

Coplanar spin correlations on the triangular lattice :  $N_h = 2,$   $\mathbf{K} = (4\pi/3, 4\pi/\sqrt{3}),$  $H^a(i) = \operatorname{Re}\left\{ [H_1^a(\mathbf{r}) + iH_2^a(\mathbf{r})] e^{i\mathbf{K}_x \cdot \mathbf{r}_i} \right\}$ 

Bidirectional incommensurate correlations (hole doped cuprates):  $N_h = 4$ ,  $\mathbf{K}_y = (\pi, \pi - \delta), \ \mathbf{K}_x = (\pi - \delta, \pi),$  $H^a(i) = \operatorname{Re}\left\{ [H_1^a(\mathbf{r}) + iH_2^a(\mathbf{r})] e^{i\mathbf{K}_x \cdot \mathbf{r}_i} + [H_3^a(\mathbf{r}) + iH_4^a(\mathbf{r})] e^{i\mathbf{K}_y \cdot \mathbf{r}_i} \right\}$


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Mathias Scheurer

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Momentum

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## Square lattice Hubbard model with electron doping



 $\Phi^a \Rightarrow \text{Antiferromagnetism at } (\pi, \pi)$ 

Topological quantum matter

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Theory of fluctuating antiferromagnetism in the electron-doped cuprates. Found a metallic state with topological order, reconstructed Fermi surfaces, and violation of the Luttinger theorem. This phase can explain recent photoemission experiments near optimal doping.