

# Quantum matter without quasiparticles

University of Maryland,  
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Talk online: [sachdev.physics.harvard.edu](http://sachdev.physics.harvard.edu)



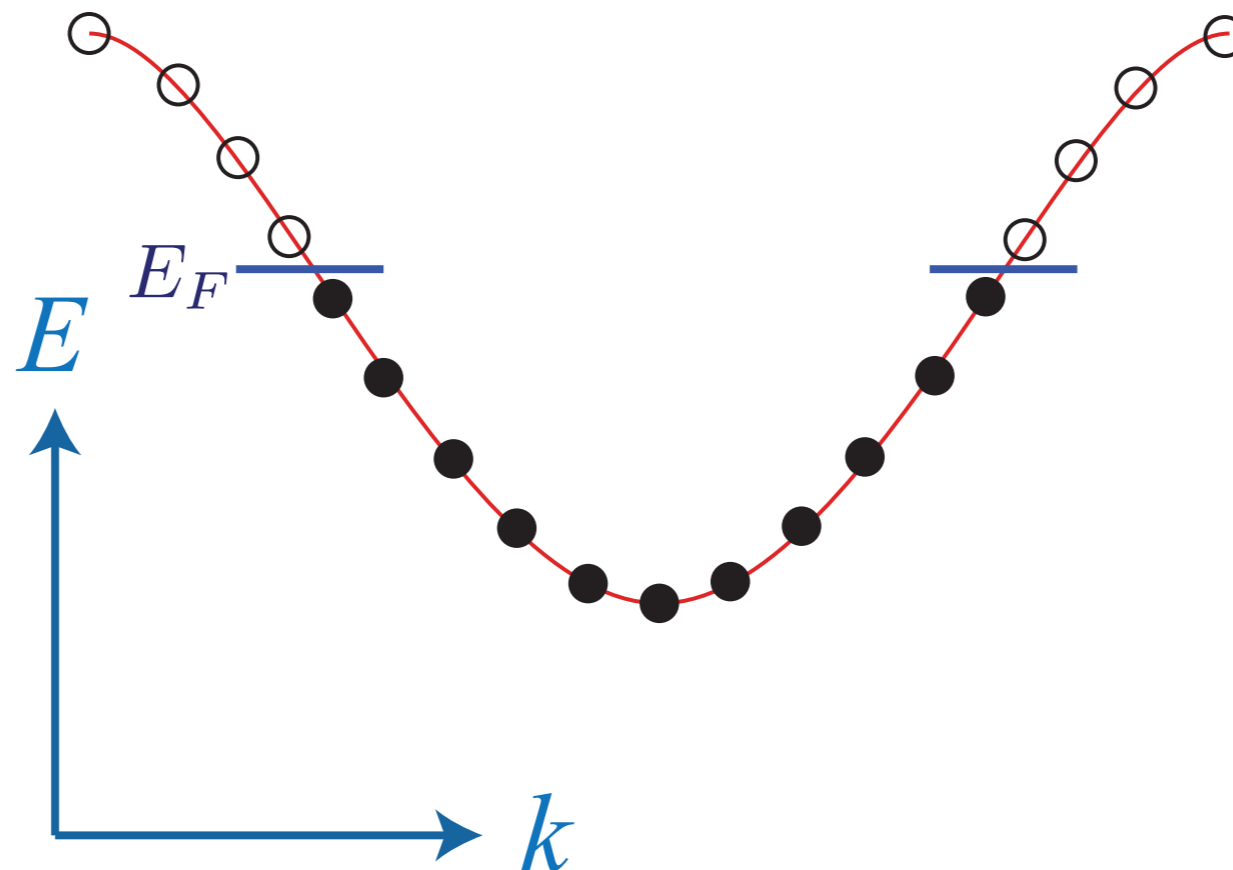
JOHN TEMPLETON  
FOUNDATION



# Foundations of quantum many body theory:

## I. Ground states connected adiabatically to independent electron states

### Metals

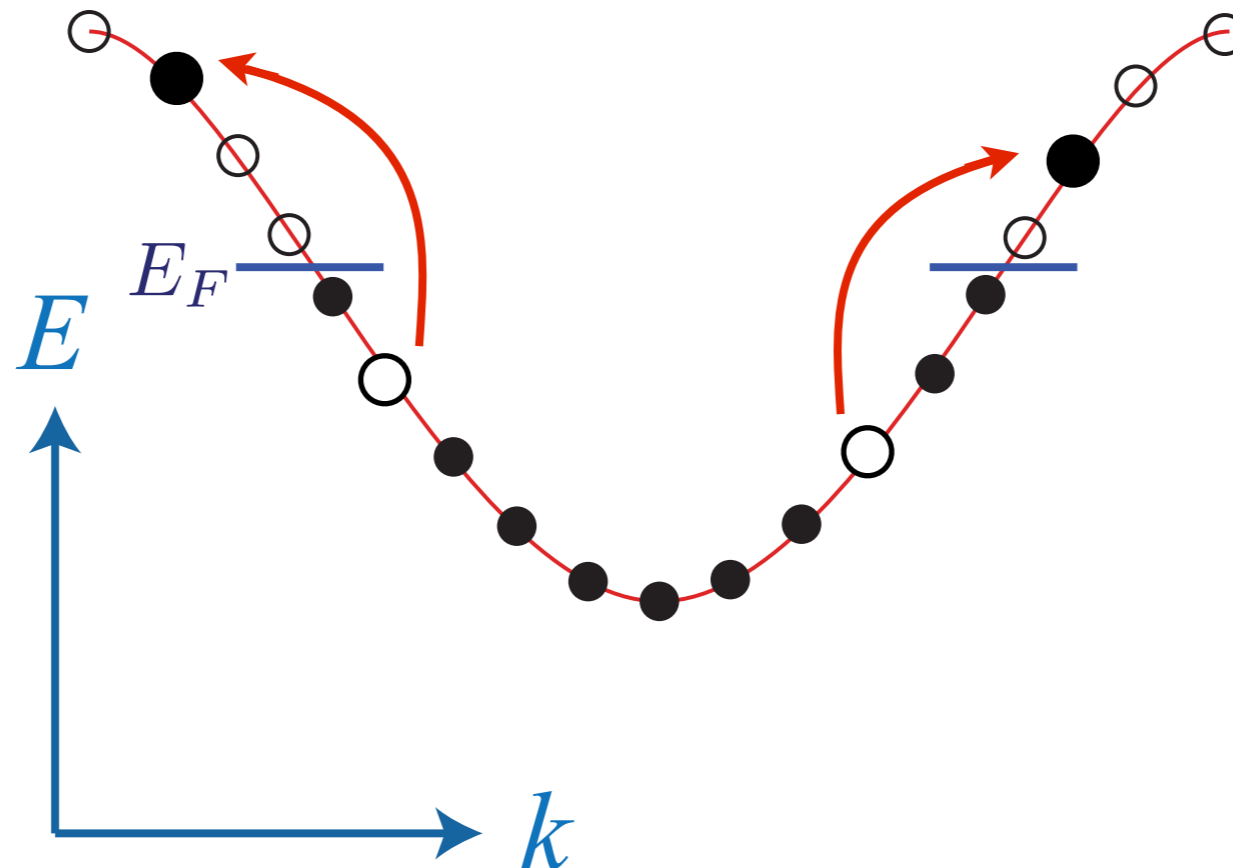


# Foundations of quantum many body theory:

1. Ground states connected adiabatically to independent electron states

2. Boltzmann-Landau theory of quasiparticles

## Metals



## Modern phases of quantum matter:

1. Ground states disconnected from independent electron states: many-particle entanglement
2. Boltzmann-Landau theory of quasiparticles

## Famous examples:

The fractional quantum Hall effect of electrons in two dimensions (e.g. in graphene) in the presence of a strong magnetic field. The ground state is described by Laughlin's wavefunction, and the excitations are *quasiparticles* which carry fractional charge.



## Modern phases of quantum matter:

1. *Ground states disconnected from independent electron states: many-particle entanglement*
2. *Boltzmann-Landau theory of quasiparticles*

## Famous examples:

Electrons in one dimensional wires form the Luttinger liquid. The quanta of density oscillations (“phonons”) are a *quasiparticle* basis of the low-energy Hilbert space. Similar comments apply to magnetic insulators in one dimension.

Modern phases of quantum matter:

1. Ground states disconnected from independent electron states: many-particle entanglement
2. No quasiparticles

## Modern phases of quantum matter:

- 1. Ground states disconnected from independent electron states: many-particle entanglement*
- 2. No quasiparticles**

## Only 2 examples:

1. Conformal field theories in spatial dimension  $d > 1$
2. Quantum critical metals in dimension  $d=2$

# Outline

## 1. Conformal field theories in $2+1$ dimensions

*Superfluid-insulator transition*

*A. Boltzmann dynamics*

*B. Conformal / holographic dynamics*

## 2. Non-Fermi liquid in $2+1$ dimensions

*Strange metal in the high temperature superconductors*

*A. Lessons from holography*

*B. Field theories and memory functions*

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**Emanuel Katz**  
**Boston University**



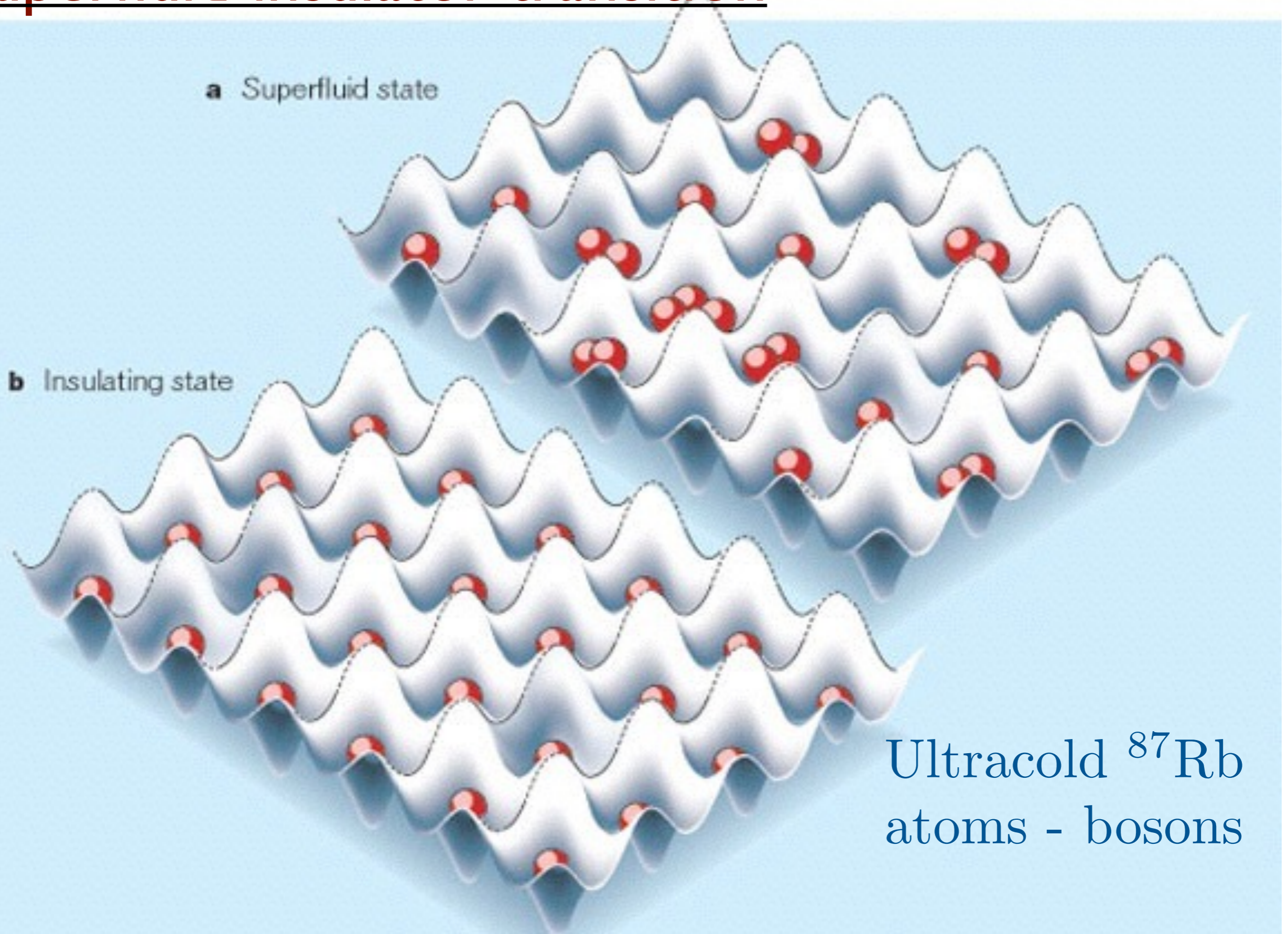
**William Witczak-Krempa**  
**Perimeter**



**Erik Sorensen**  
**McMaster**



# Superfluid-insulator transition



Ultracold  $^{87}\text{Rb}$   
atoms - bosons

# The Superfluid-Insulator transition

## Boson Hubbard model

Bosons,  $b_j$  hopping on the sites  $j$  of a square lattice with Hamiltonian

$$H = -t \sum_{\langle ij \rangle} b_i^\dagger b_j + \frac{U}{2} \sum_j n_j (n_j - 1)$$

$$n_j \equiv b_j^\dagger b_j$$

The boson operators obey the commutation relation

$$[b_j, b_k^\dagger] = \delta_{jk}$$

We restrict attention to the sector of the Fock space with

$$\sum_j n_j = \text{integer multiple of the number of sites}$$



$$\underline{U \gg t}$$

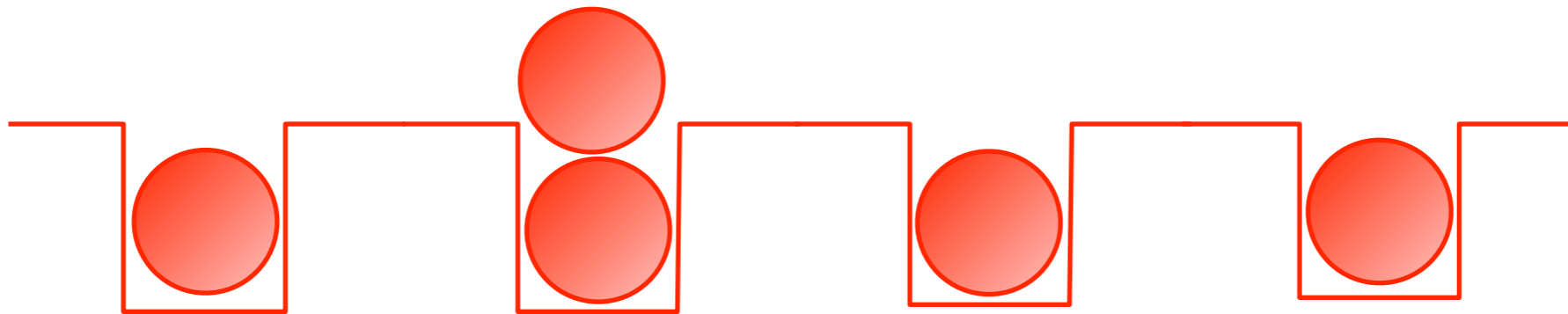


Insulator (the vacuum)  
at large repulsion between bosons

$$|\text{Ground state}\rangle = \prod_i b_i^\dagger |0\rangle$$

$$\underline{U \gg t}$$

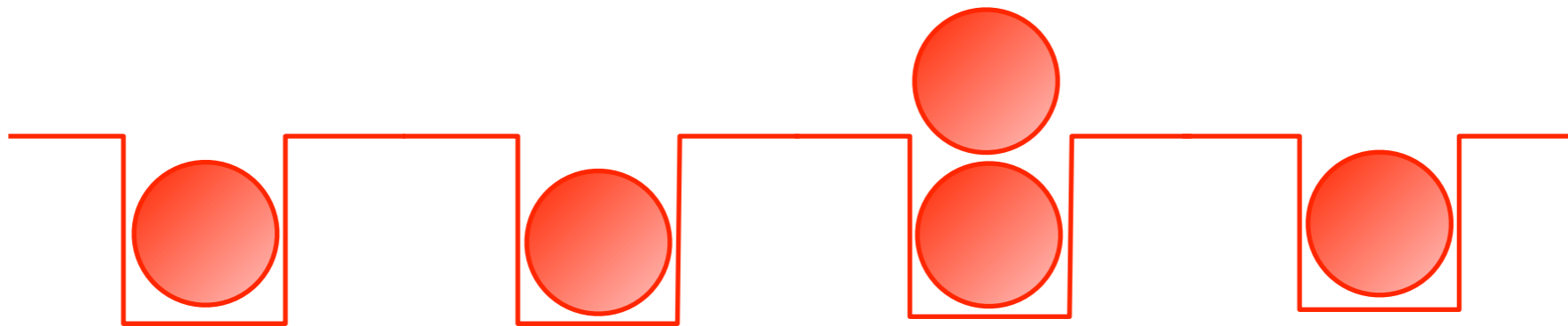
Excitations of the insulator:



Particles  $\sim \psi^\dagger$

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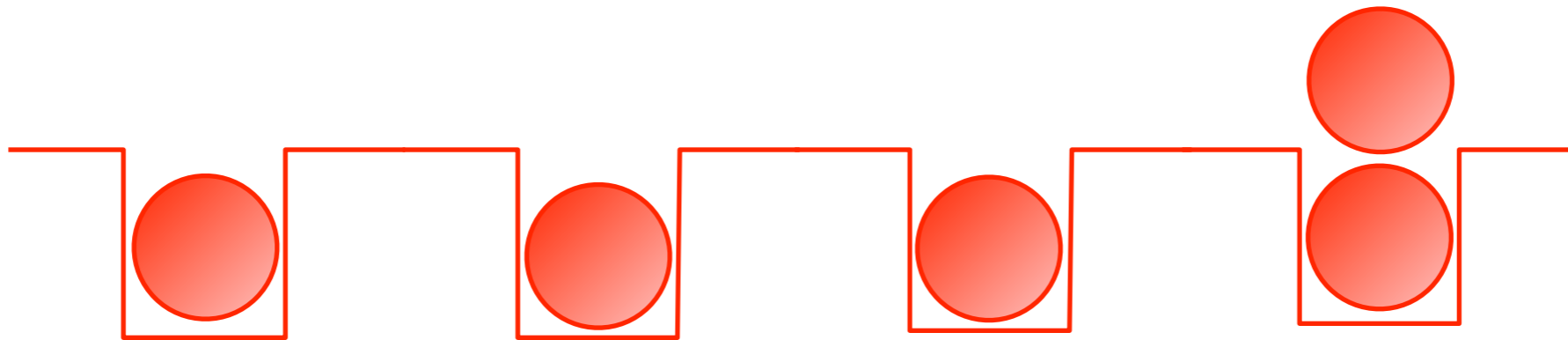
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Holes  $\sim \psi$

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Excitations of the insulator:



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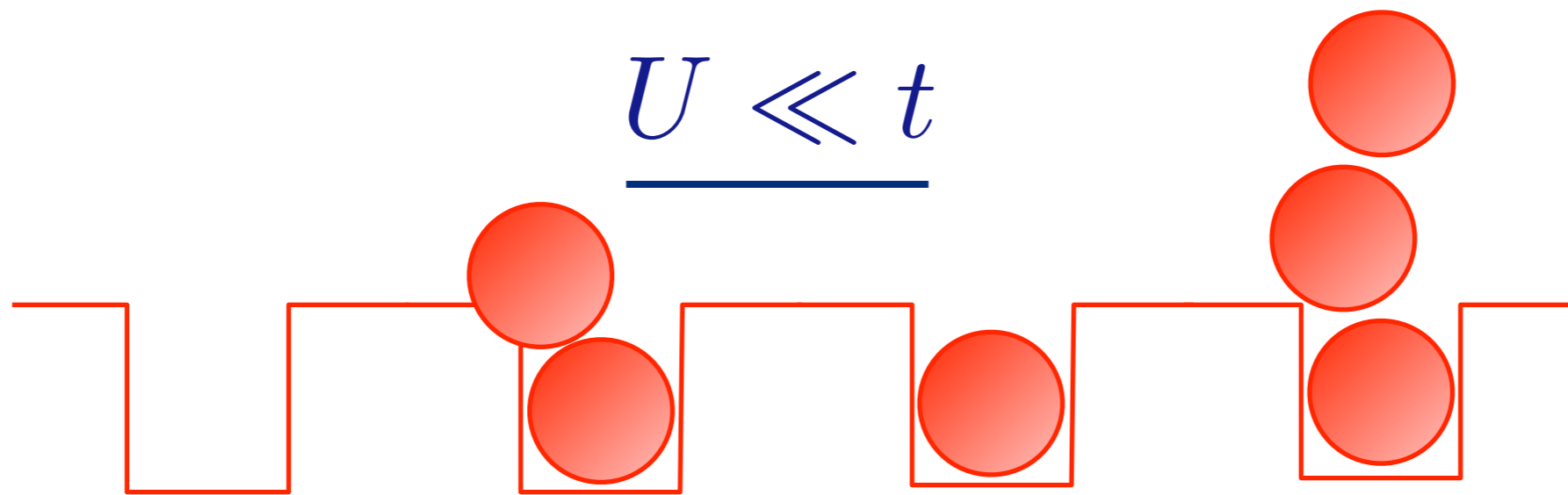
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Insulator (the vacuum)  
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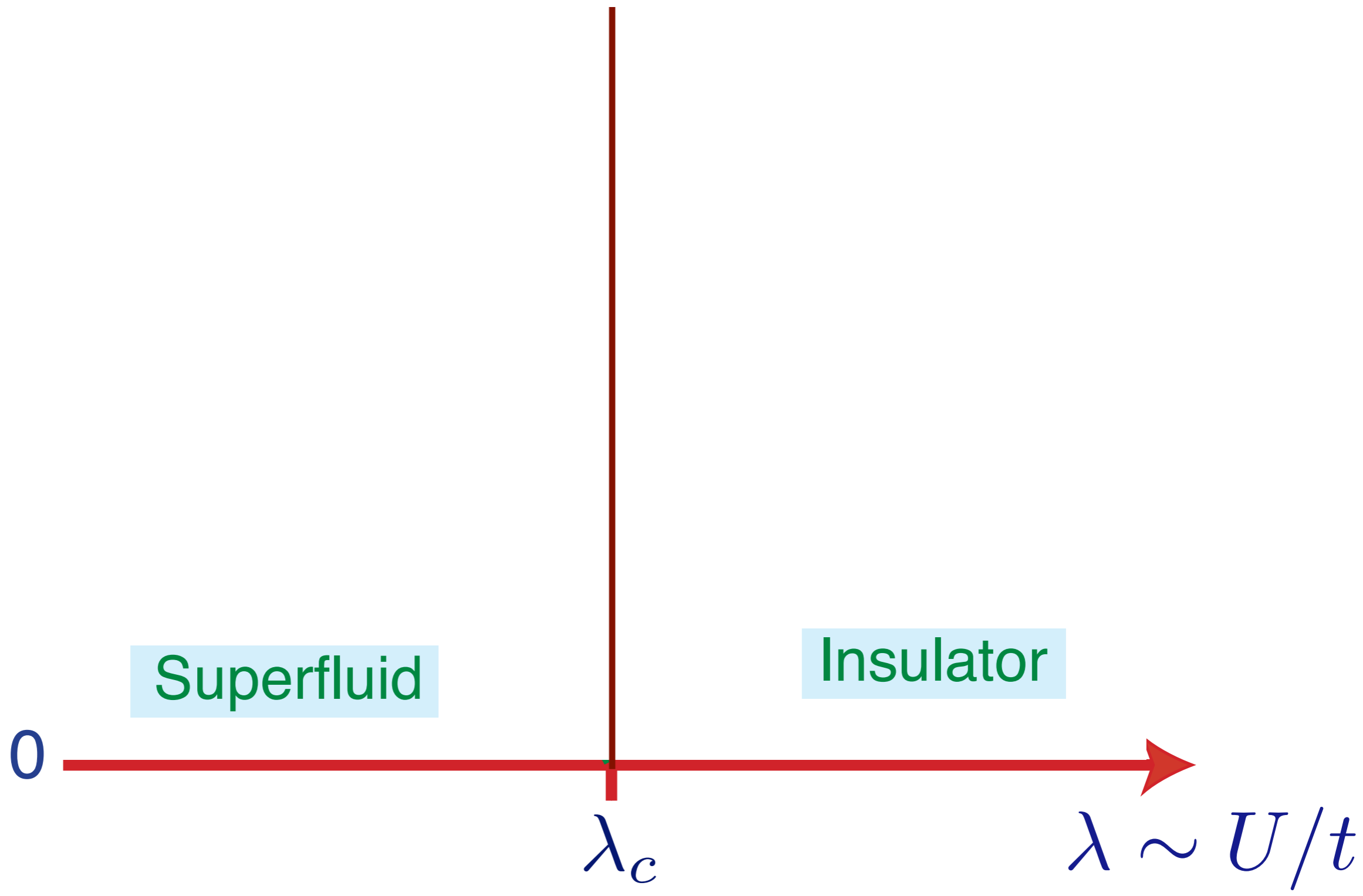
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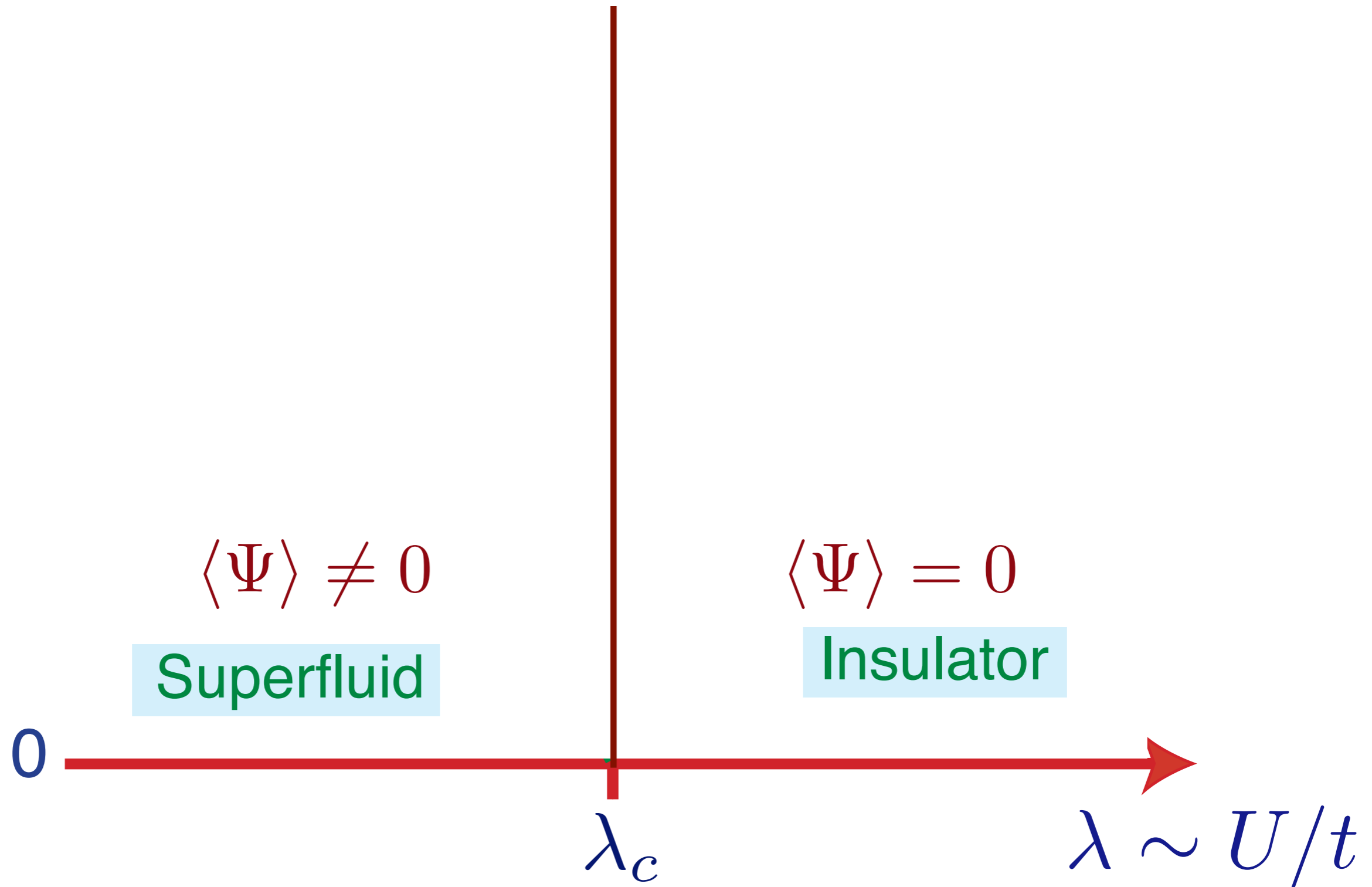


Superfluid  
at small repulsion between bosons

$$|\text{Ground state}\rangle = \left[ \sum_i b_i^\dagger \right]^N |0\rangle$$

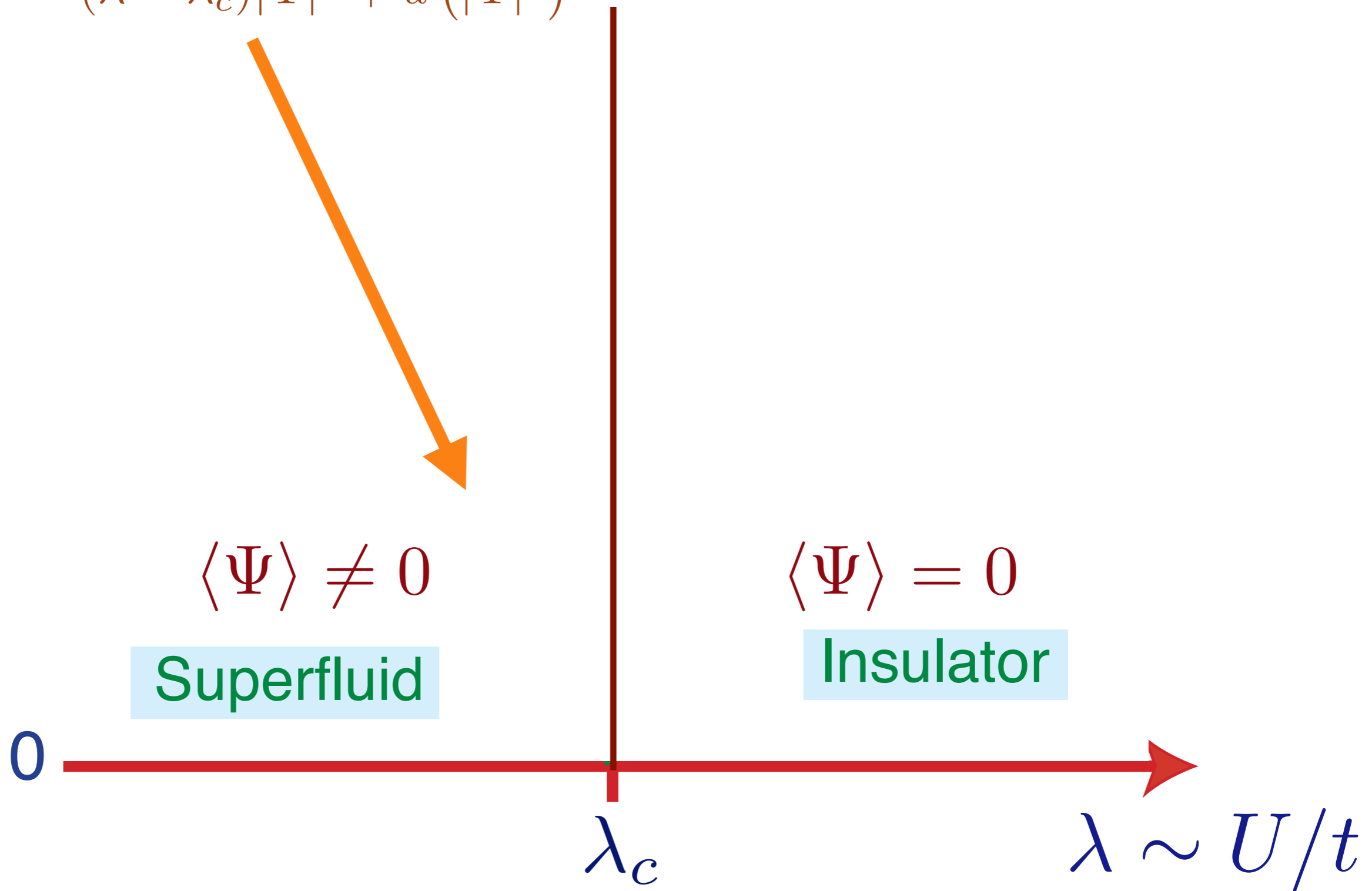


$\Psi \sim b_{k=0} \rightarrow$  a complex field representing the Bose-Einstein condensate of the superfluid



$$\mathcal{Z} = \int \mathcal{D}\Psi(r, \tau) \exp \left( - \int d^2r d\tau [|\partial_\tau \Psi|^2 + c^2 |\nabla_r \Psi|^2 + V(\Psi)] \right)$$

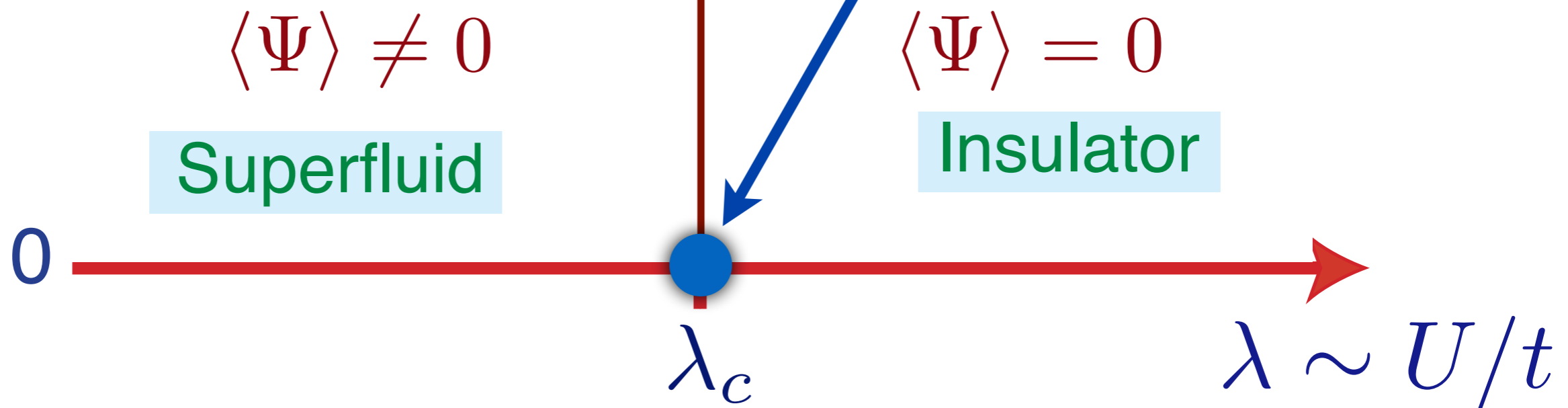
$$V(\Psi) = (\lambda - \lambda_c) |\Psi|^2 + u (|\Psi|^2)^2$$



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A conformal field theory  
in 2+1 spacetime dimensions (CFT3):  
the O(2) Wilson-Fisher CFT3

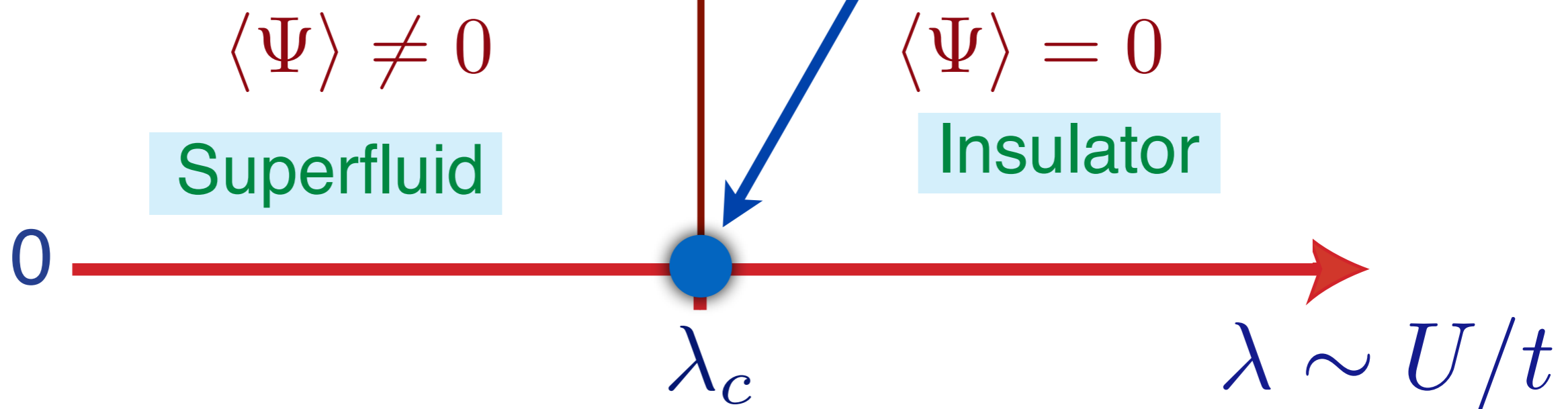


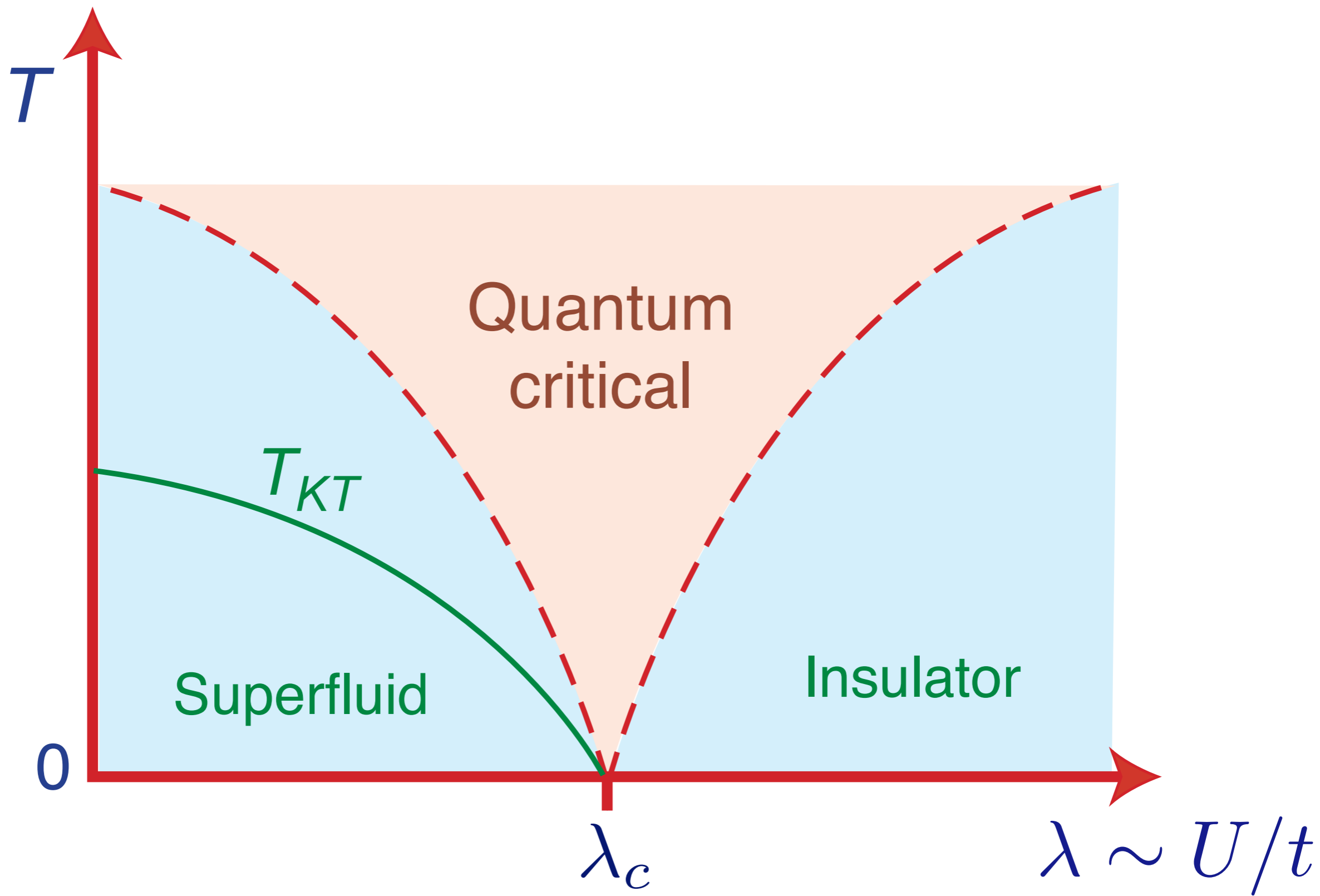
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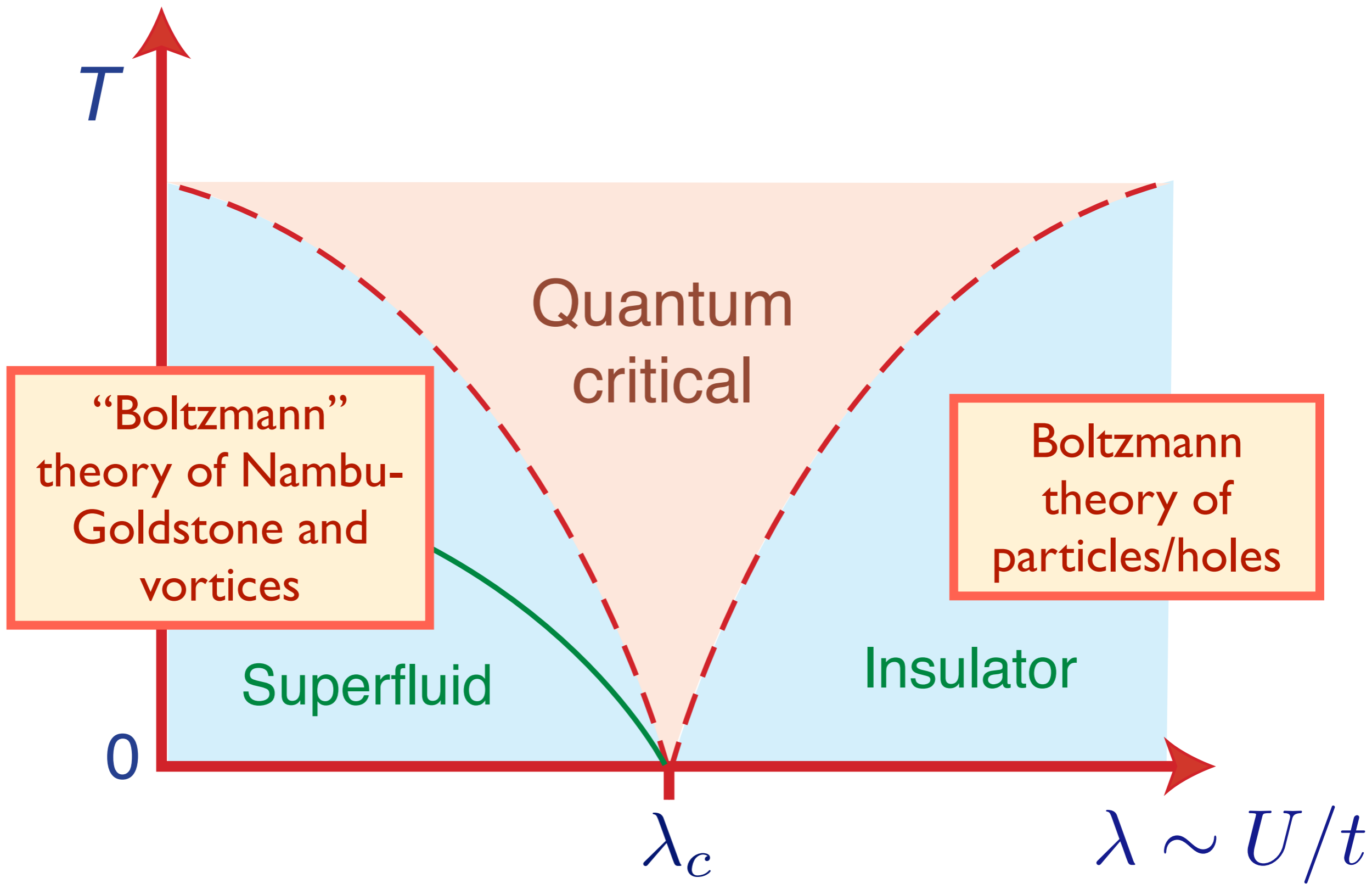
$$V(\Psi) = (\lambda - \lambda_c) |\Psi|^2 + u (|\Psi|^2)^2$$

The coupling  $u \rightarrow u^*$ ,  
the renormalization group  
fixed point, for the CFT3.

A conformal field theory  
in 2+1 spacetime dimensions (CFT3):  
the O(2) Wilson-Fisher CFT3







$T$

0

Quantum critical

“Boltzmann” theory of Nambu-Goldstone and vortices

Boltzmann theory of particles/holes

Superfluid

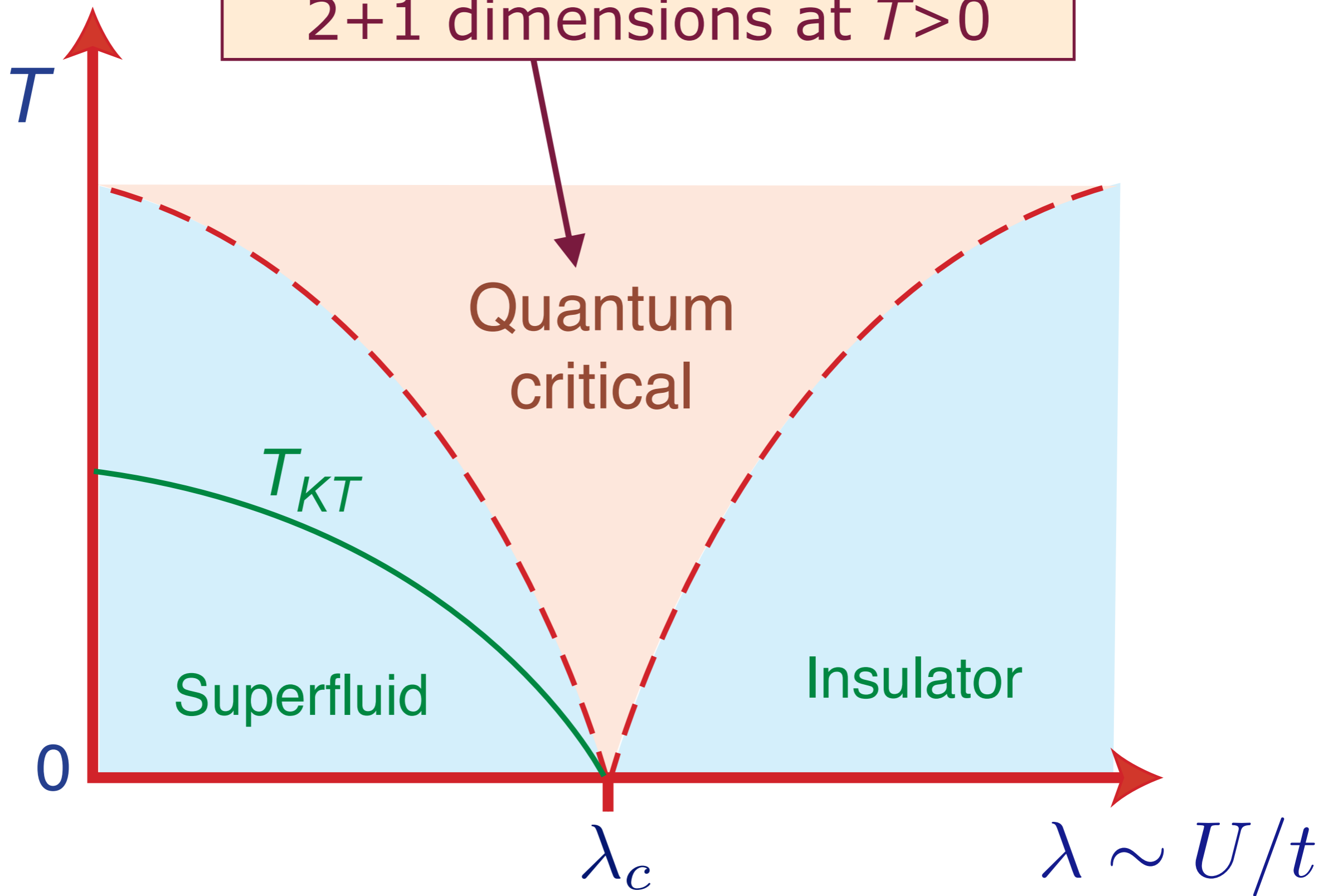
Insulator

$\lambda_c$

$\lambda \sim U/t$



Conformal field theory in  
2+1 dimensions at  $T > 0$



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*Strange metal in the high temperature superconductors*

*A. Lessons from holography*

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# Traditional CMT

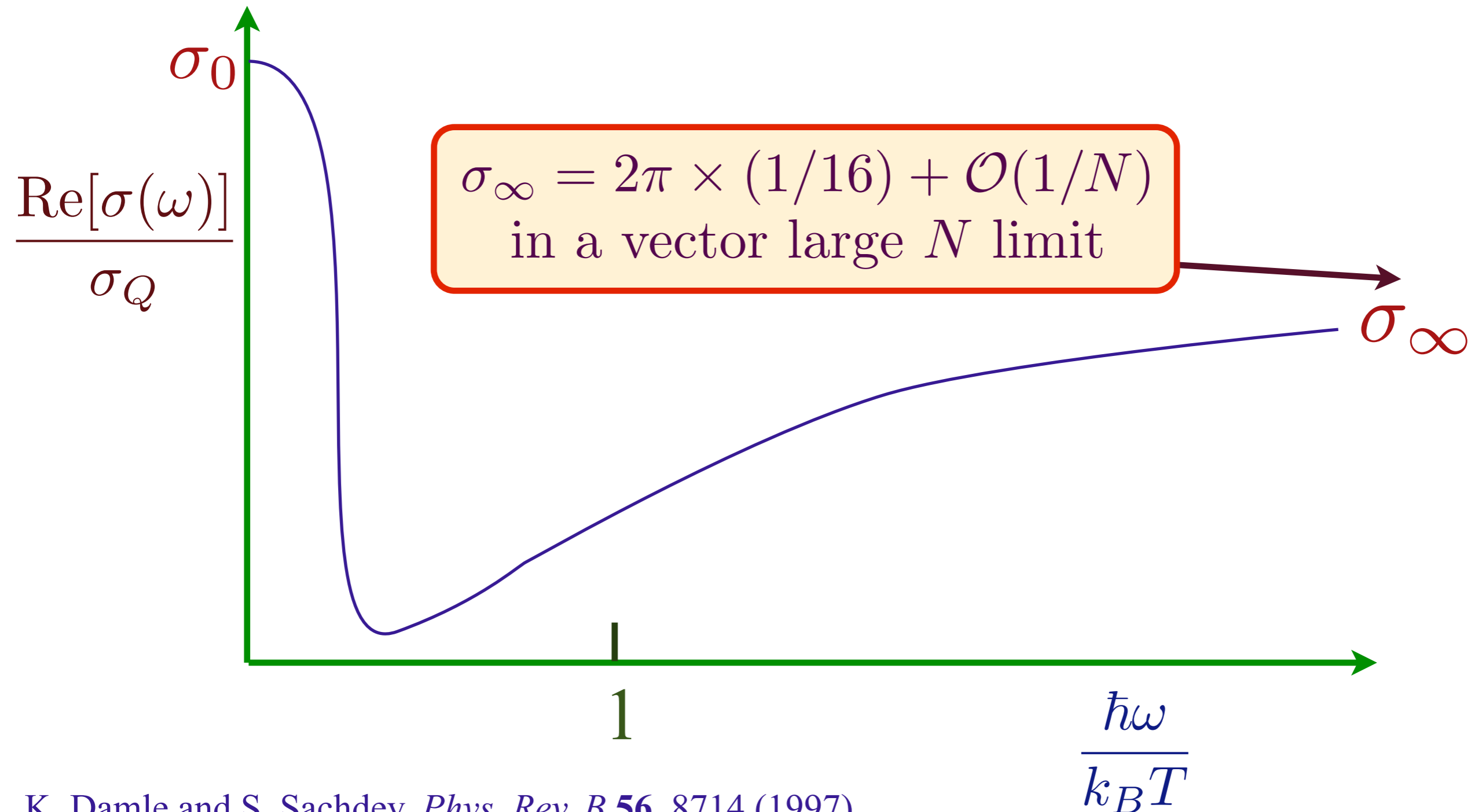
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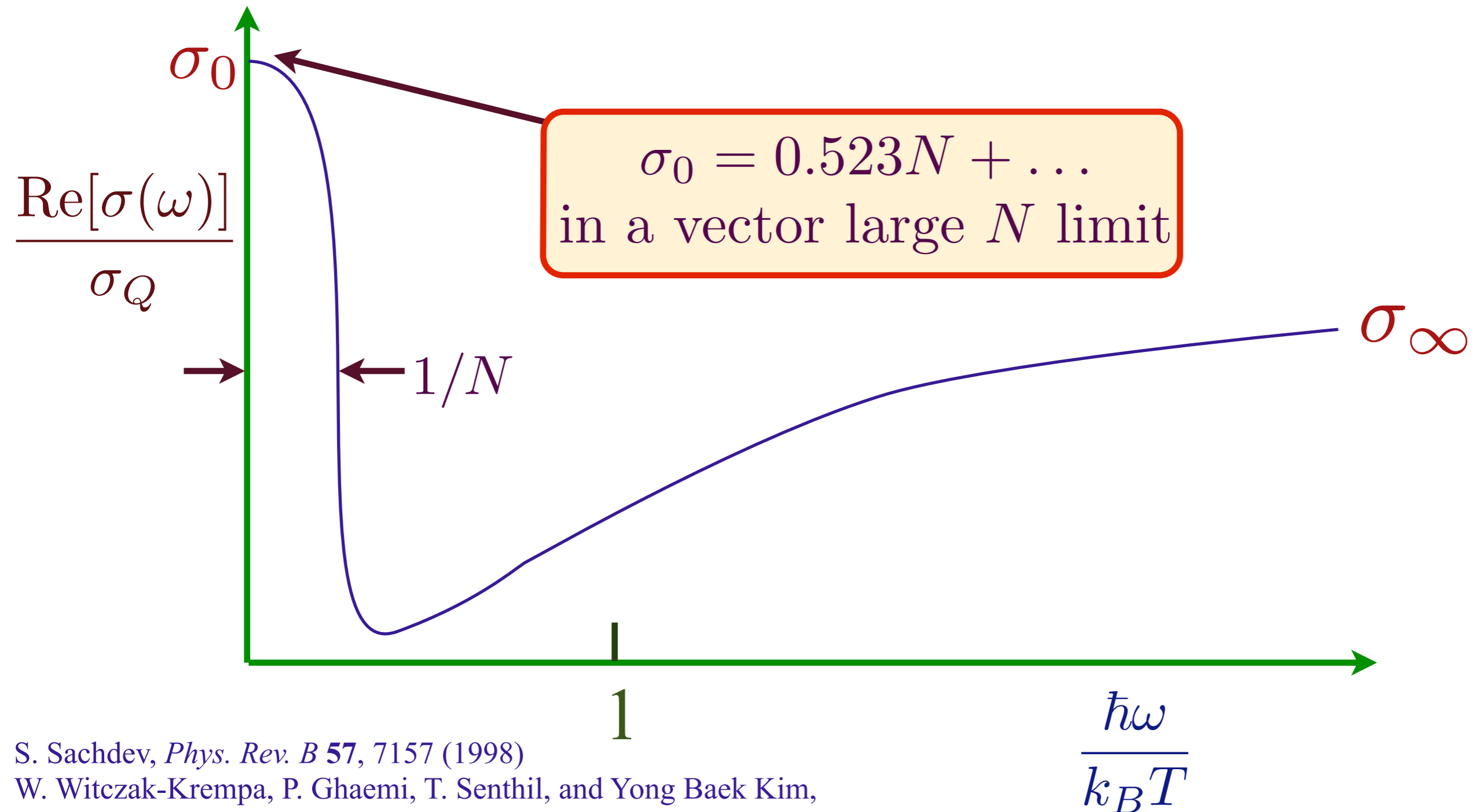
# Quasiparticle view of quantum criticality (Boltzmann equation): Transport of $O(N)$ current for a (weakly) interacting CFT3

$\sigma_Q = e^2/h$ , the quantum unit of conductance



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S. Sachdev, *Phys. Rev. B* **57**, 7157 (1998)

W. Witczak-Krempa, P. Ghaemi, T. Senthil, and Yong Baek Kim, *Phys. Rev. B* **86**, 24102 (2012)

$$\frac{\hbar\omega}{k_B T}$$

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## Dynamics without quasiparticles

- ★ Start with strongly interacting CFT without quasiparticles
- ★ Using scaling dimensions and operator product expansions (OPE) of the CFT, compute conductivity at  $\hbar\omega \gg k_B T$



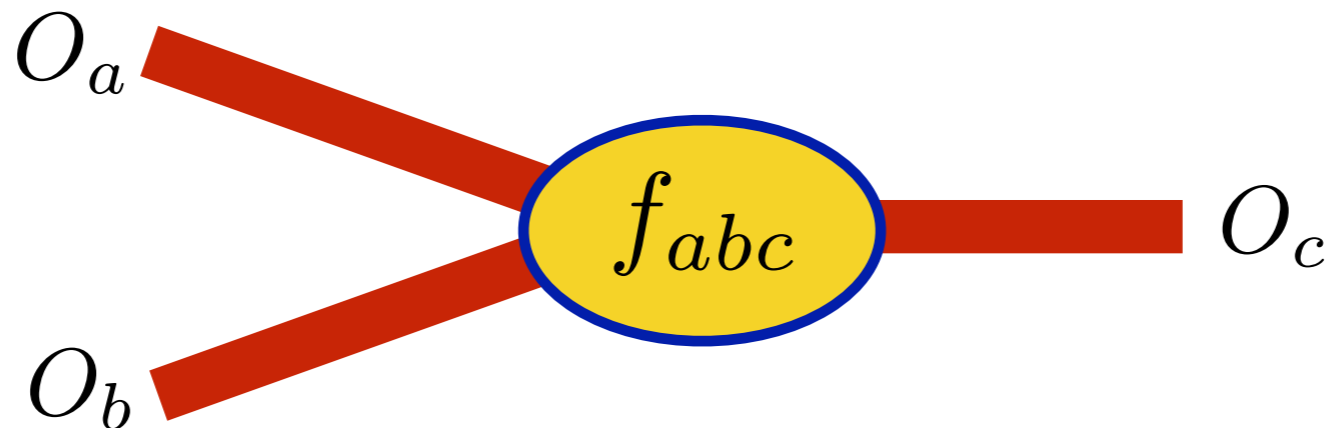
## Basic characteristics of CFTs

Primary operators of CFT,  $O_a(x)$ , obey ( at  $T = 0$ ):

$$\langle O_a(x)O_b(0) \rangle = \frac{\delta_{ab}}{|x|^{2\Delta_a}}$$

where  $\Delta_a$  is their scaling dimension. Their “interactions” are determined by the OPE (considering scalar operators only)

$$\lim_{x' \rightarrow x} \langle O_a(x')O_b(x)O_c(0) \rangle = \frac{f_{abc}}{|x|^{\Delta_a + \Delta_b + \Delta_c}}$$



The values of  $\{\Delta_a, f_{abc}\}$  determine (in principle) all observable properties of the CFT, as constrained by conformal Ward identities. For the Wilson-Fisher CFT<sub>3</sub>, systematic methods exist to compute (in principle) all the  $\{\Delta_a, f_{abc}\}$ , and we will assume this data is *known*. This knowledge will be taken as an *input* to the computation of the finite  $T$  dynamics

# Basic characteristics of CFT3s

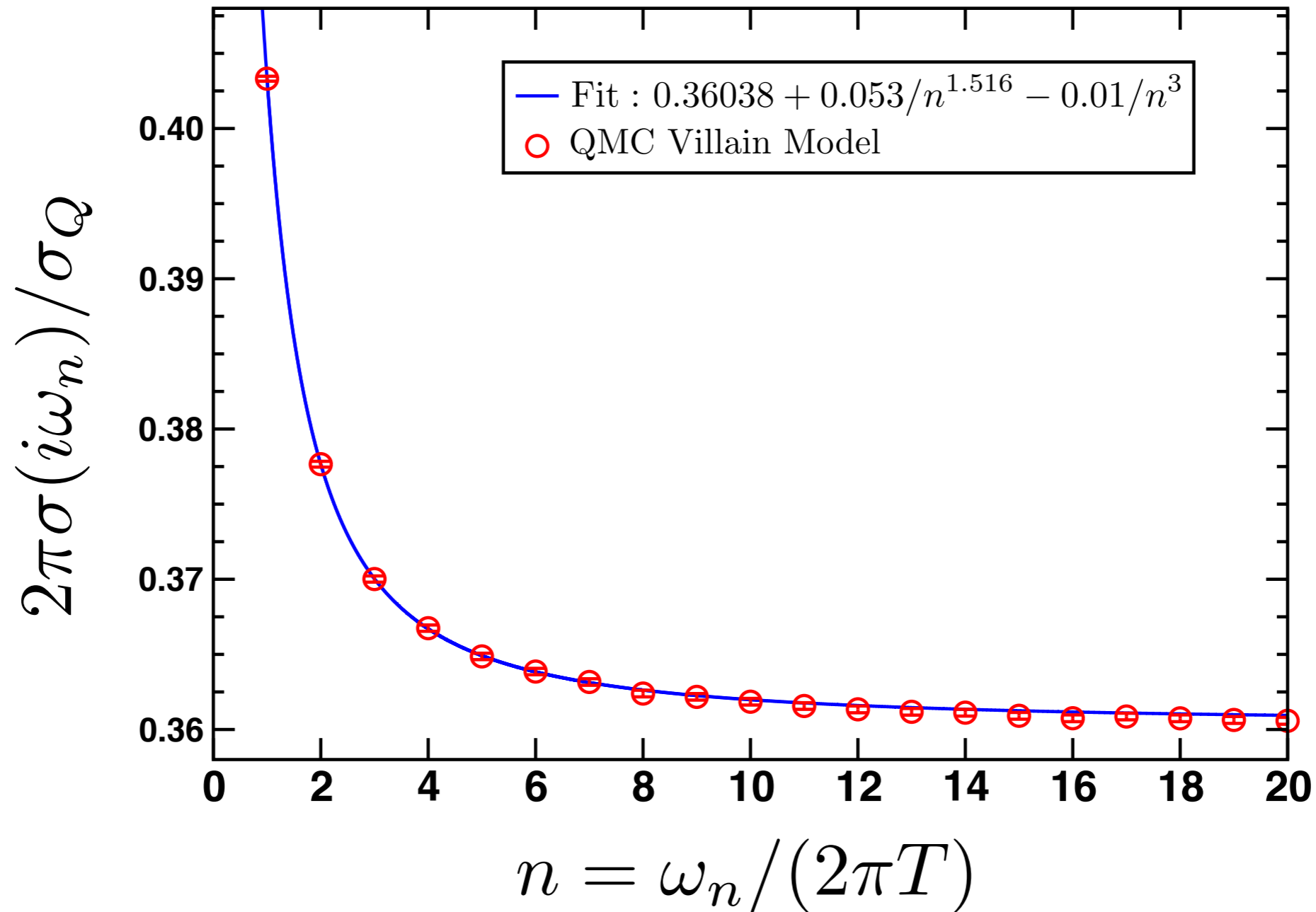
The thermal average of the OPE of two  $O(2)$  current operators yields for  $\omega \gg T$

$$\frac{\sigma(\omega)}{\sigma_Q} = \sigma_\infty + b_1 \left(\frac{T}{\omega}\right)^{3-1/\nu} + b_2 \left(\frac{T}{\omega}\right)^3 + \dots$$

where  $b_{1,2}$  are universal numbers dependent upon OPE coefficients.

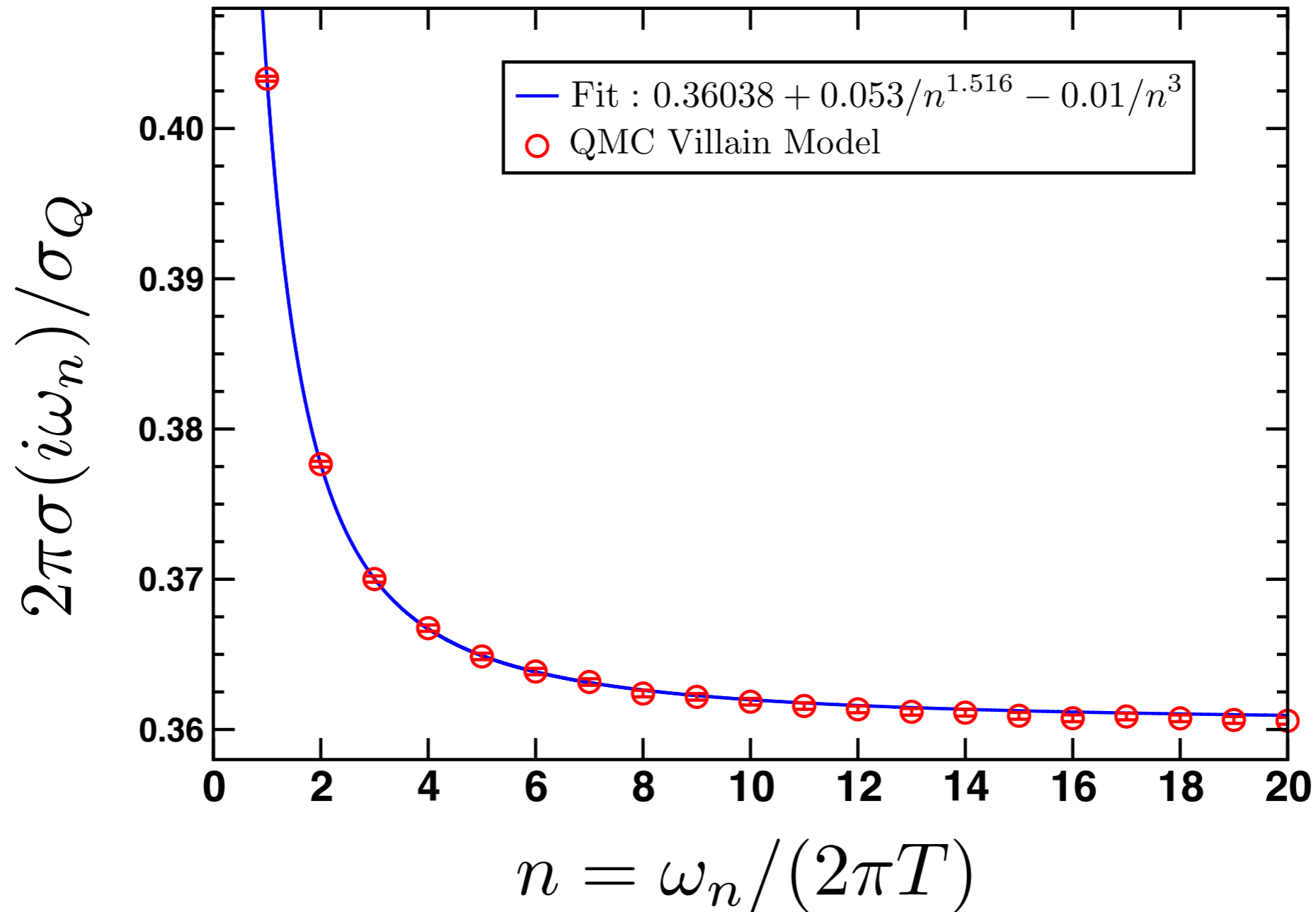
- $b_1$  depends on a relevant scalar operator with dimension  $3 - 1/\nu$ ; for the  $O(2)$  Wilson-Fisher CFT3,  $\nu \approx 0.6717(1)$ .
- $b_2$  depends on OPE with the energy-momentum tensor.

# Quantum Monte Carlo for lattice model of integer currents (Villain model) in Euclidean time



**Excellent agreement with OPE**

# Quantum Monte Carlo for lattice model of integer currents (Villain model) in Euclidean time



QMC fails for Minkowski frequencies  $\hbar\omega \ll k_B T$

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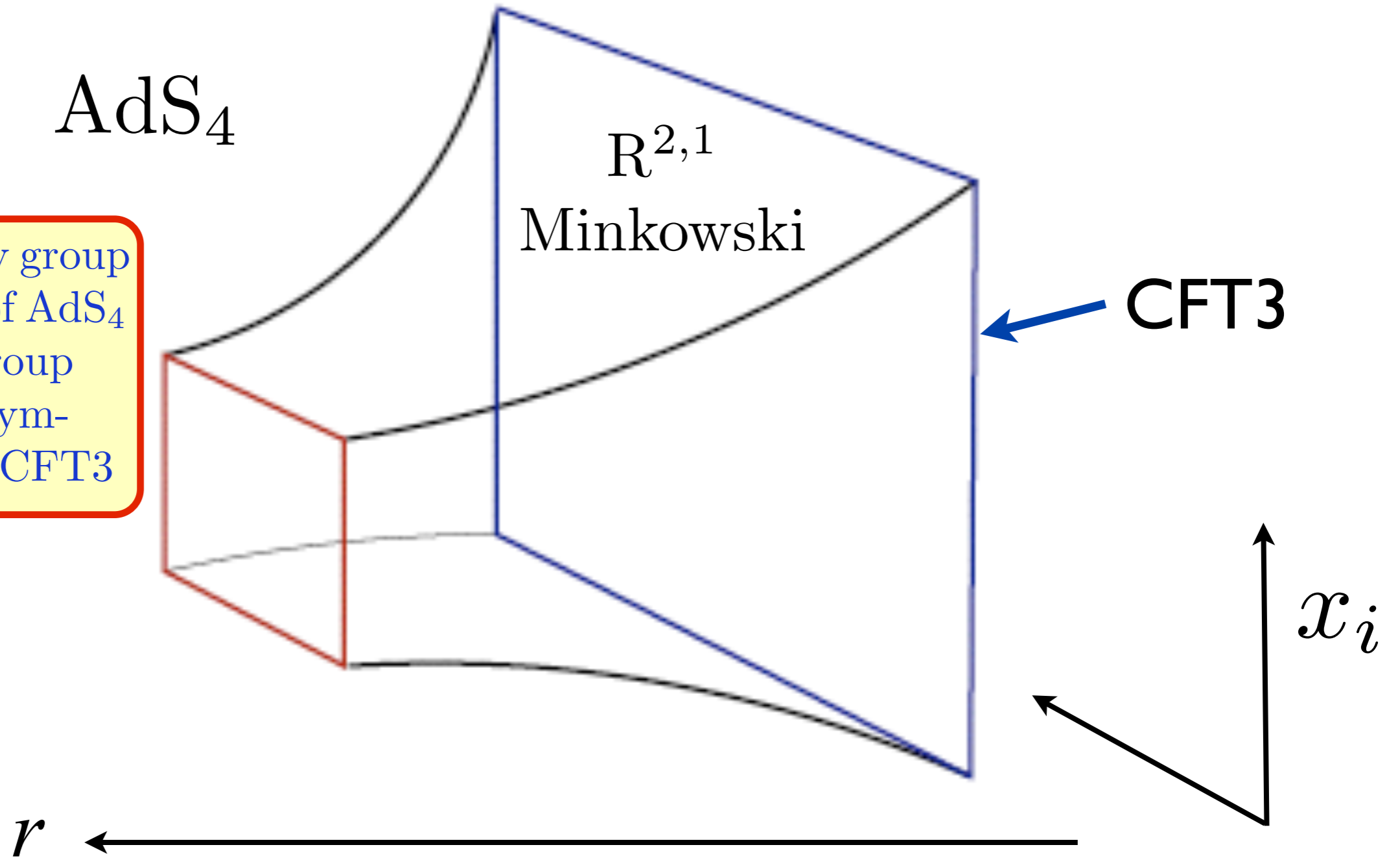
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- ★ Relate OPE coefficients to couplings of an effective gravitational theory on AdS

# AdS/CFT correspondence at zero temperature

The symmetry group of isometries of  $\text{AdS}_4$  maps to the group of conformal symmetries of the CFT3



$$ds^2 = \left(\frac{L}{r}\right)^2 [dr^2 - dt^2 + dx^2 + dy^2]$$

# AdS/CFT correspondence at zero temperature

To fully match the OPE of the current operators, we need an *Einstein-Maxwell-Weyl-scalar* theory

$$\mathcal{S}_{\text{bulk}} = \frac{1}{g_M^2} \int d^4x \sqrt{g} \left[ \frac{1}{4} [1 + \alpha \varphi(x)] F_{ab} F^{ab} + \gamma L^2 C_{abcd} F^{ab} F^{cd} \right] \\ + \int d^4x \sqrt{g} \left[ -\frac{1}{2\kappa^2} \left( R + \frac{6}{L^2} \right) + g^{ab} \partial_a \varphi \partial_b \varphi + m^2 \varphi^2 \right],$$

where  $C_{abcd}$  is the Weyl tensor. Stability constraints on this action restrict  $|\gamma| < 1/12$ , in agreement with results from the CFT3. The scalar field  $\varphi$  is conjugate to the CFT operator  $\mathcal{O}$  with scaling dimension  $3 - 1/\nu$ , which fixes its mass  $m$ . The coupling  $\alpha$  is determined by the OPE of the currents with  $\mathcal{O}$ .

R. C. Myers, S. Sachdev, and A. Singh, *Physical Review D* **83**, 066017 (2011)

D. Chowdhury, S. Raju, S. Sachdev, A. Singh, and P. Strack, *Physical Review B* **87**, 085138 (2013).

E. Katz, S. Sachdev, E. Sorensen, and W. Witczak-Krempa, arXiv:1409.3841



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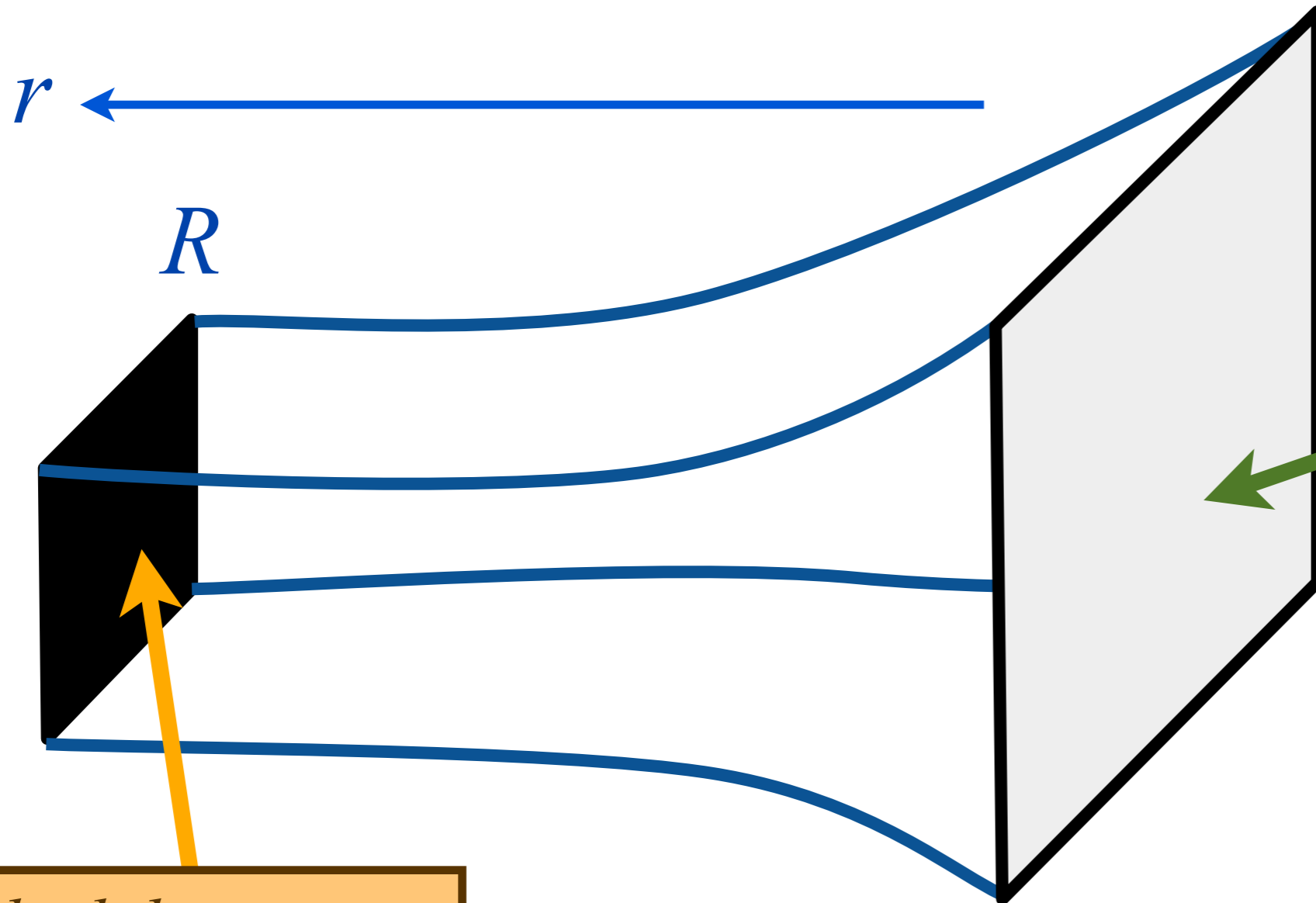
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- ★ Dynamics of a “horizon” in gravitational theory yields info at  $\hbar\omega \ll k_B T$ .

# AdS/CFT correspondence at non-zero temperatures

## AdS<sub>4</sub>-Schwarzschild black-brane



A CFT<sub>3</sub>  
at a non-zero  
temperature:  
 $k_B T = \frac{3\hbar}{4\pi R}$ .

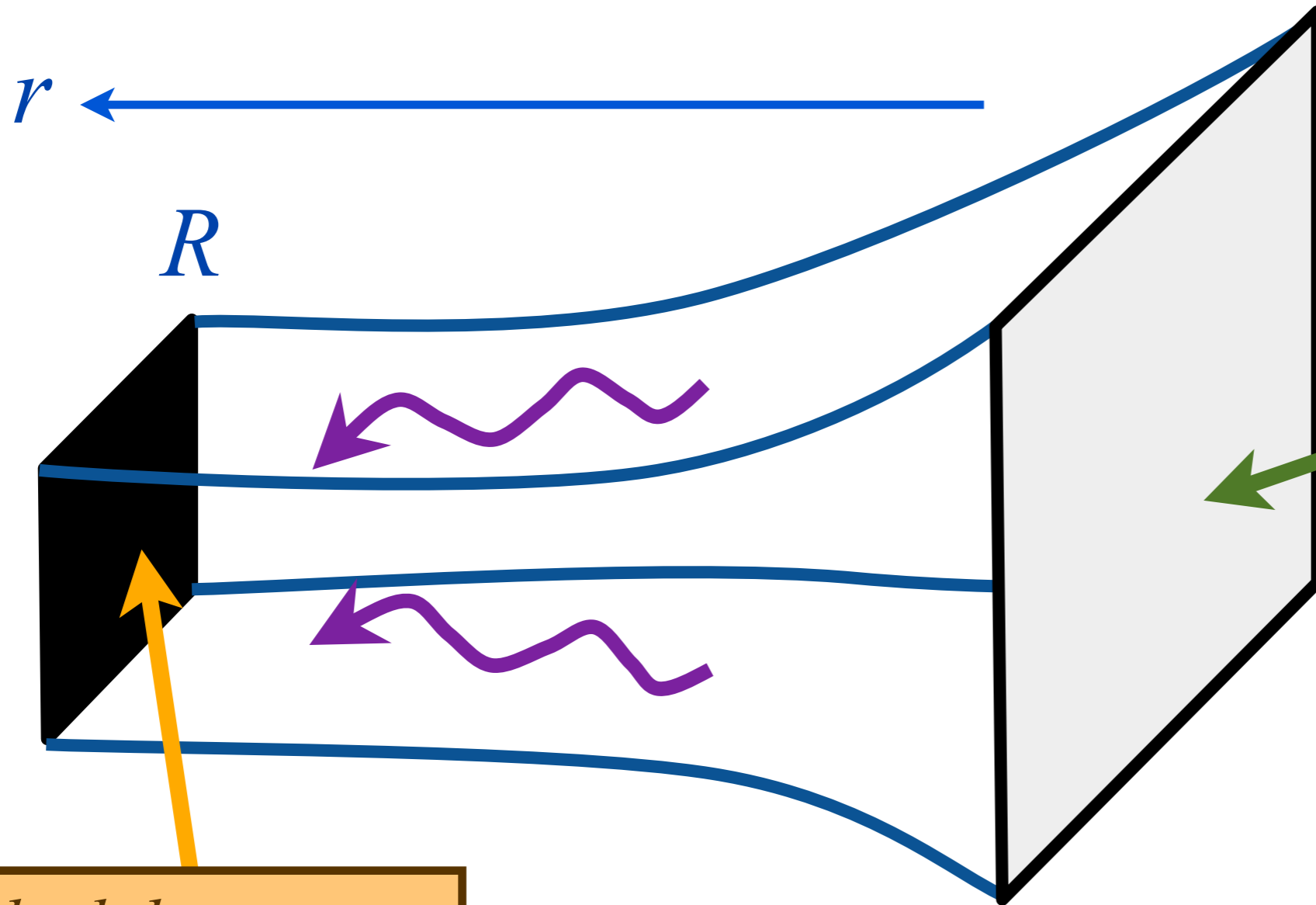
*Black-brane at  
Hawking  
temperature  $T$*

$$ds^2 = \left(\frac{L}{r}\right)^2 \left[ \frac{dr^2}{f(r)} - f(r)dt^2 + dx^2 + dy^2 \right]$$

with  $f(r) = 1 - (r/R)^3$

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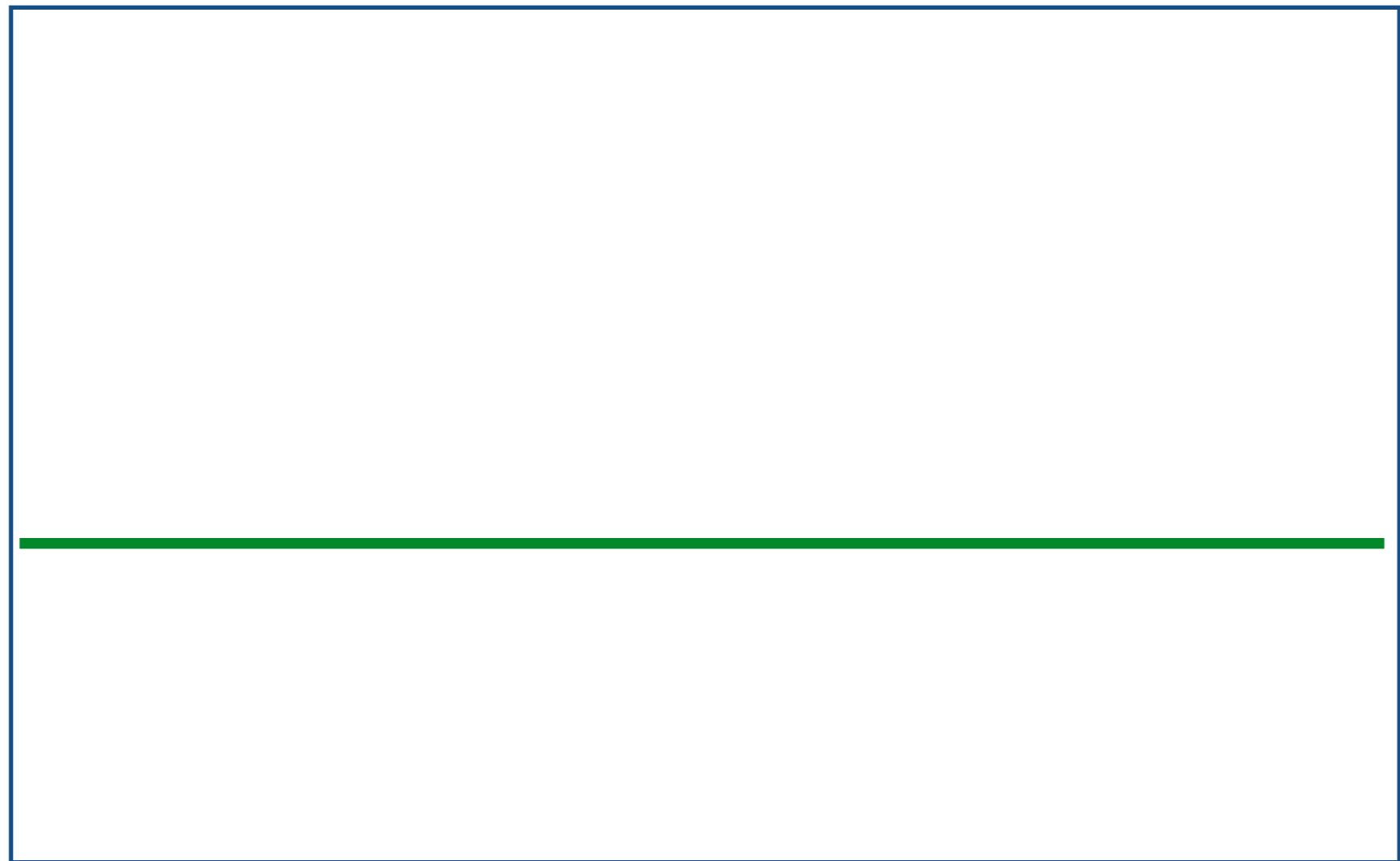
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Friction of CFT<sub>3</sub> =  
waves falling into  
black brane

# Conductivity of Einstein-Maxwell theory

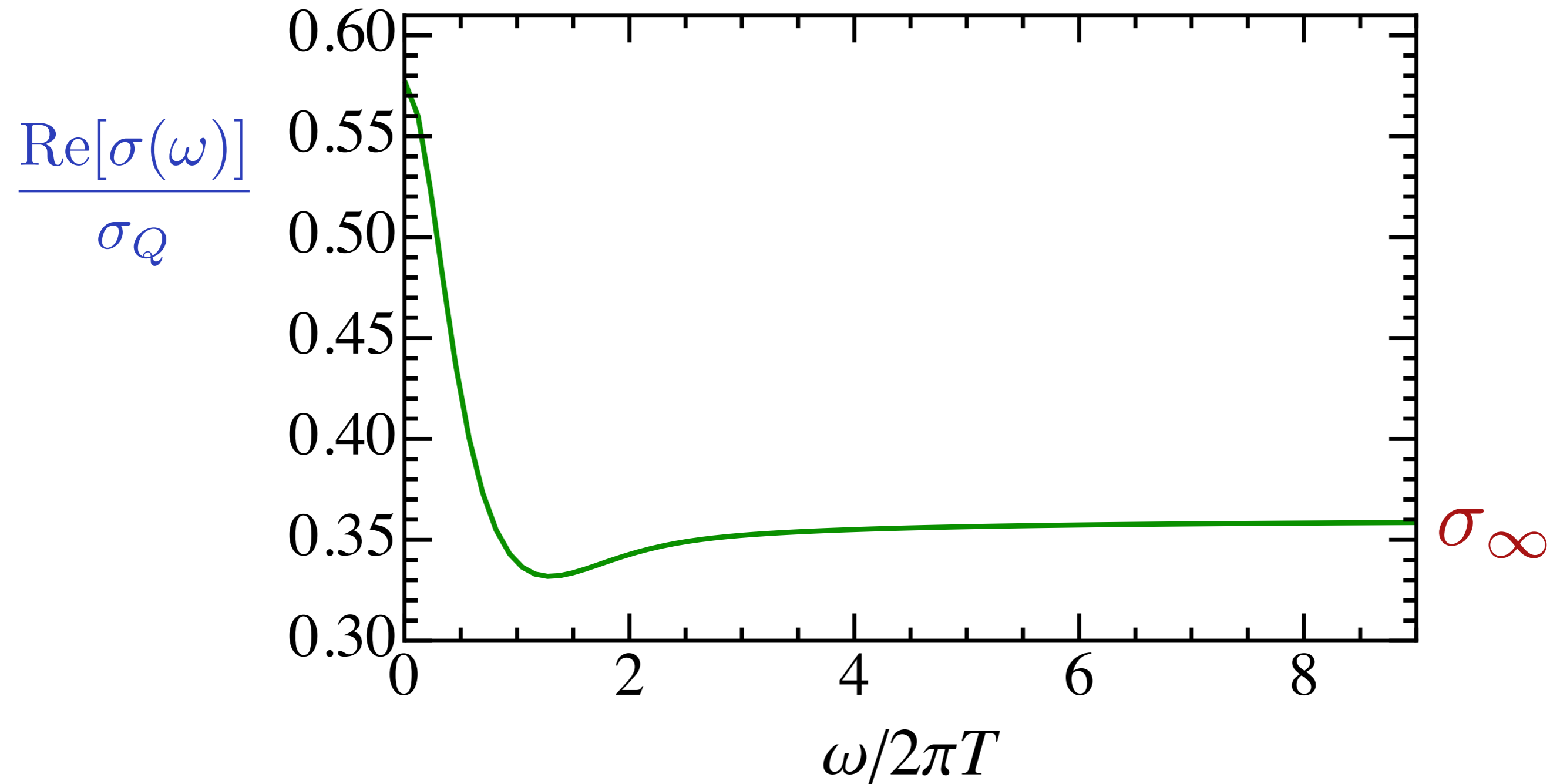
$$\frac{\text{Re}[\sigma(\omega)]}{\sigma_Q}$$



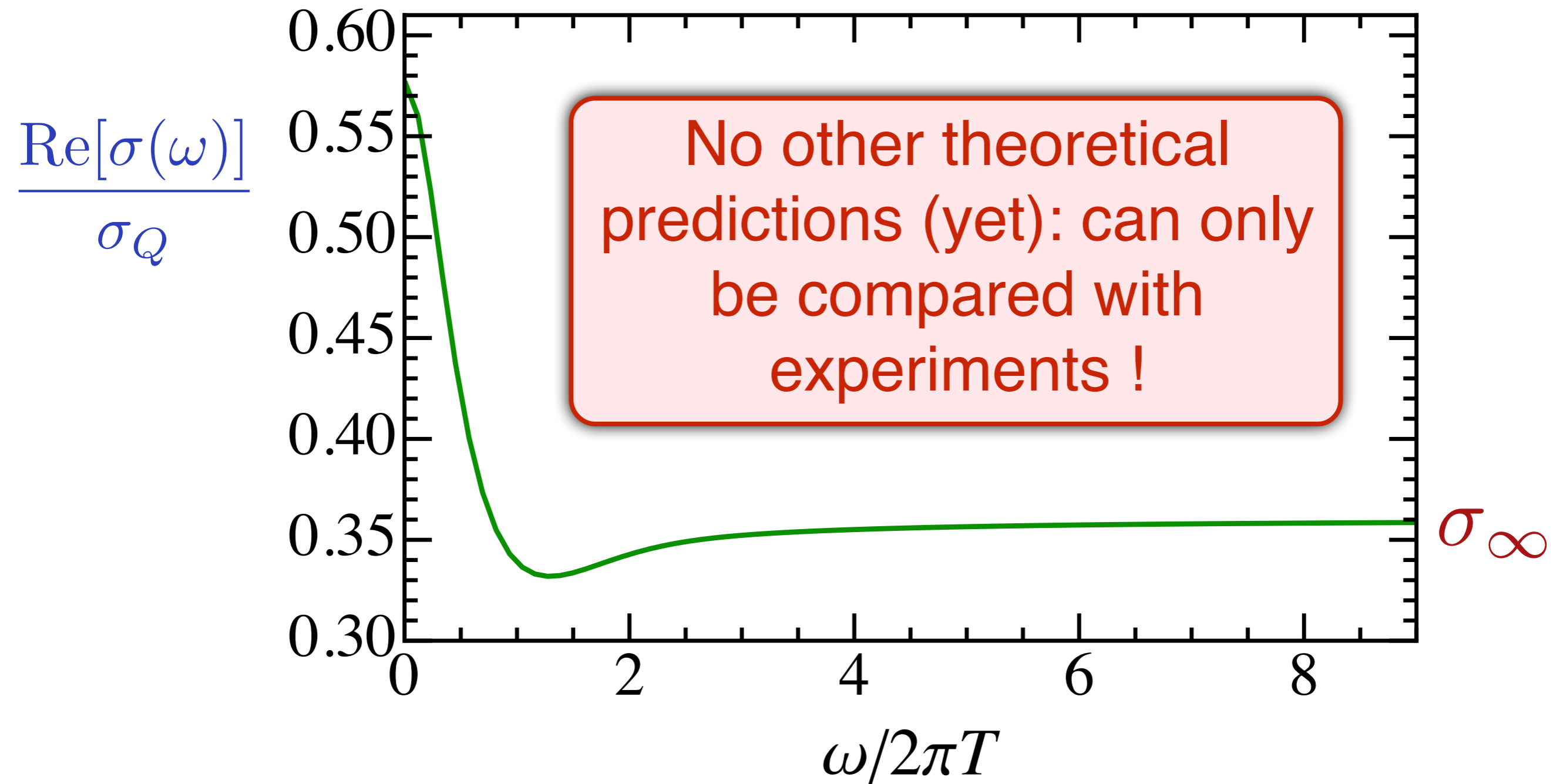
$$\sigma_\infty$$

$$\omega/2\pi T$$

# Numerical solution of Einstein-Maxwell-Weyl-scalar theory + OPE info from QMC



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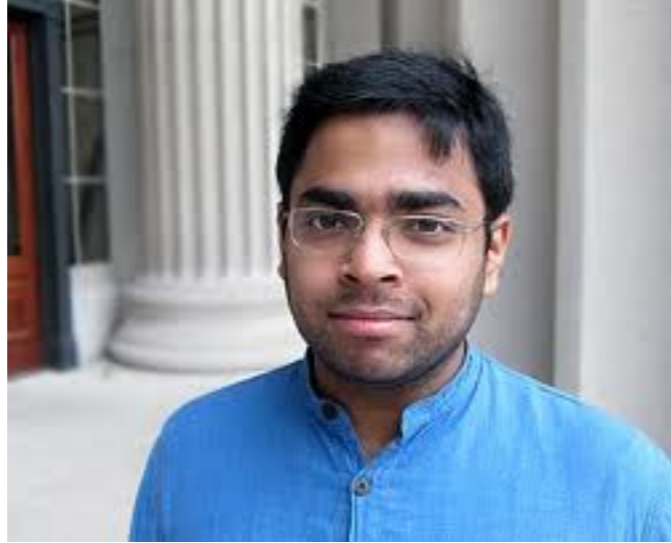
*Strange metal in the high temperature superconductors*

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Sean Hartnoll  
Stanford



Raghu Mahajan  
Stanford



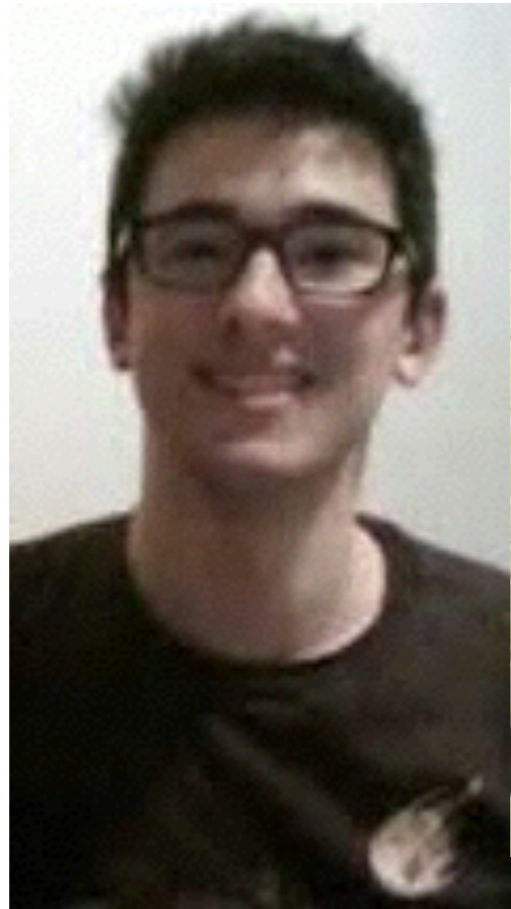
Matthias Punk  
Innsbruck



Andrea Allais



Koenraad Schalm  
Leiden



Andrew Lucas



Aavishkar Patel



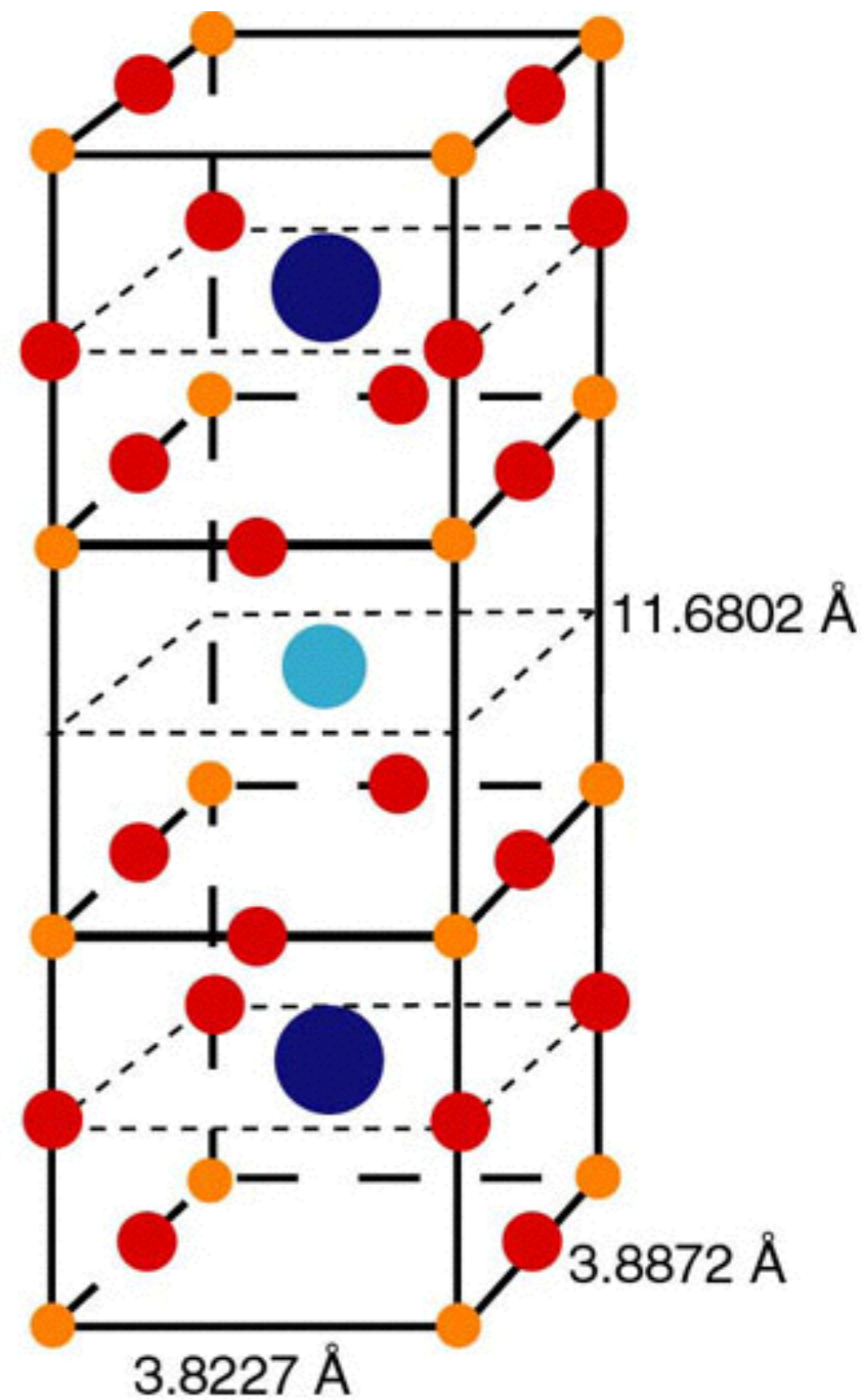
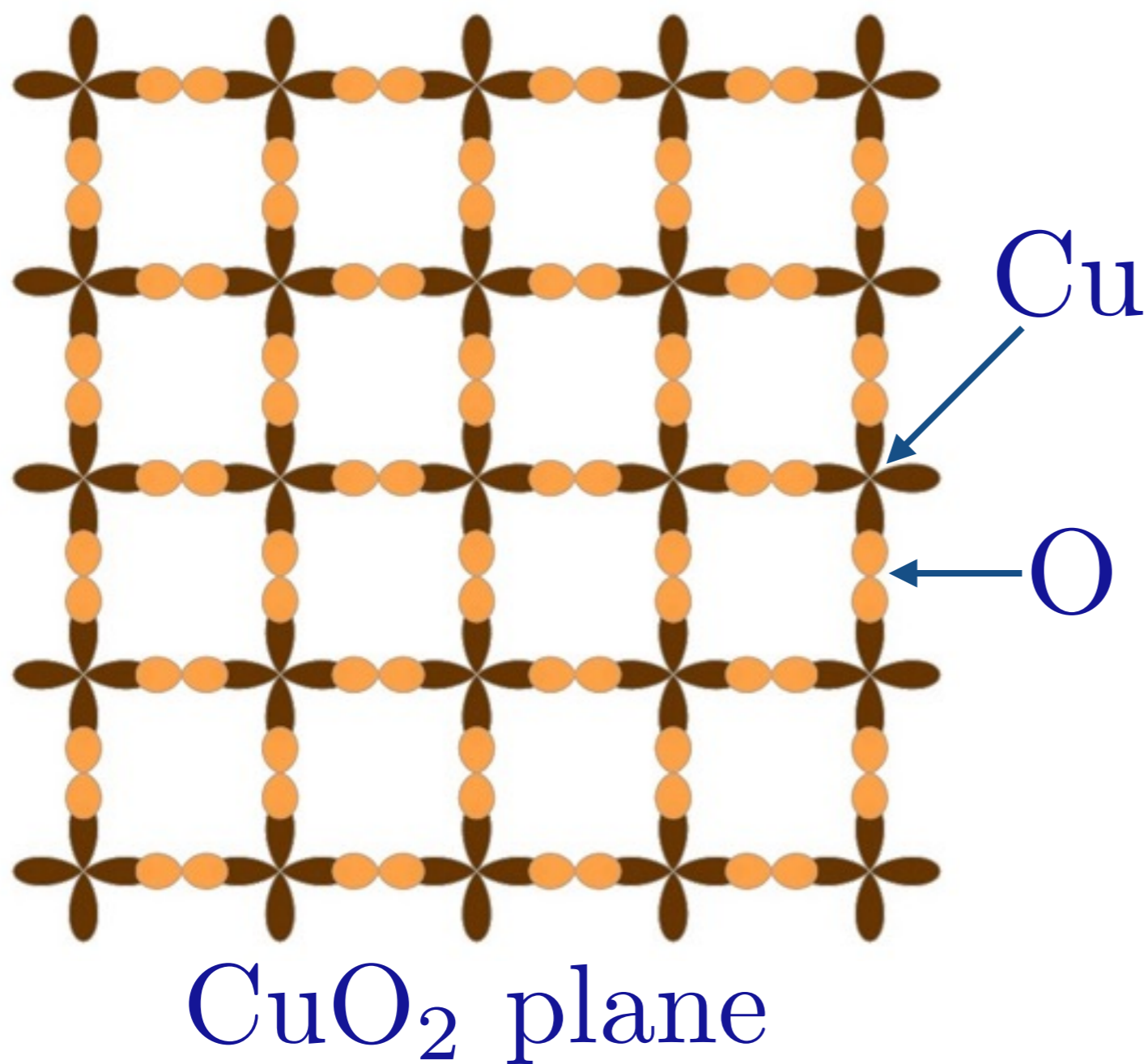
Debanjan  
Chowdhury

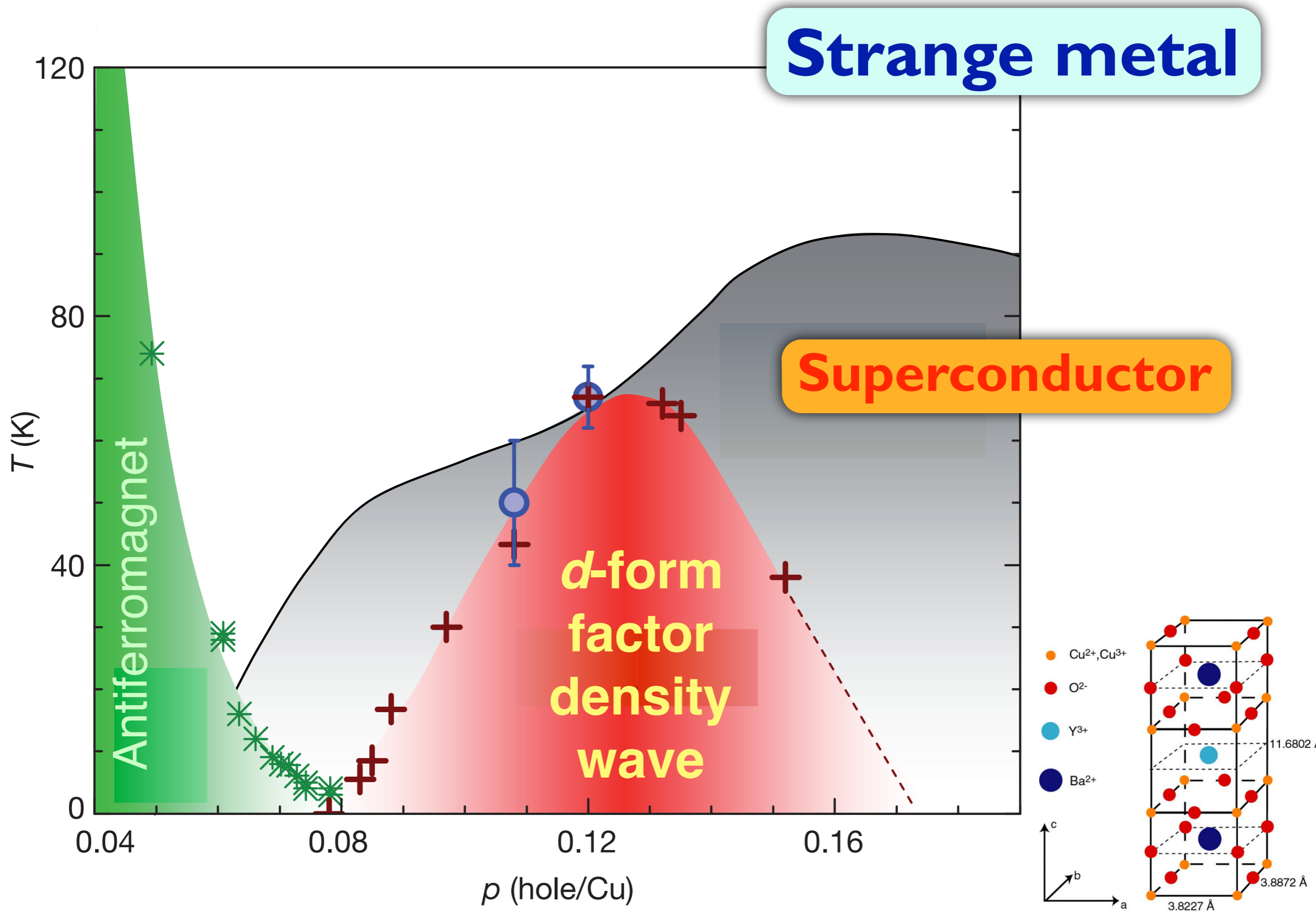


Alexandra  
Thomson



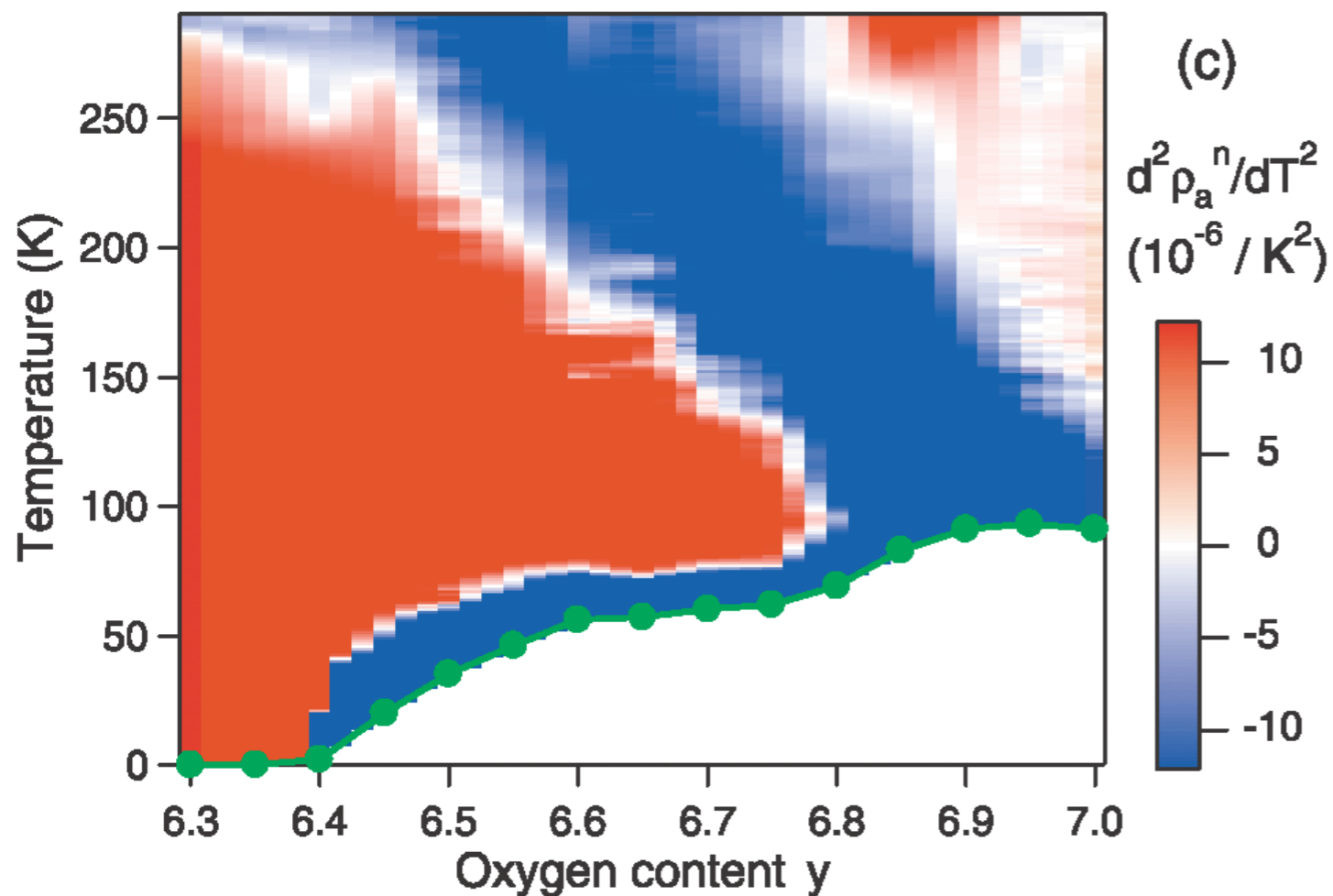
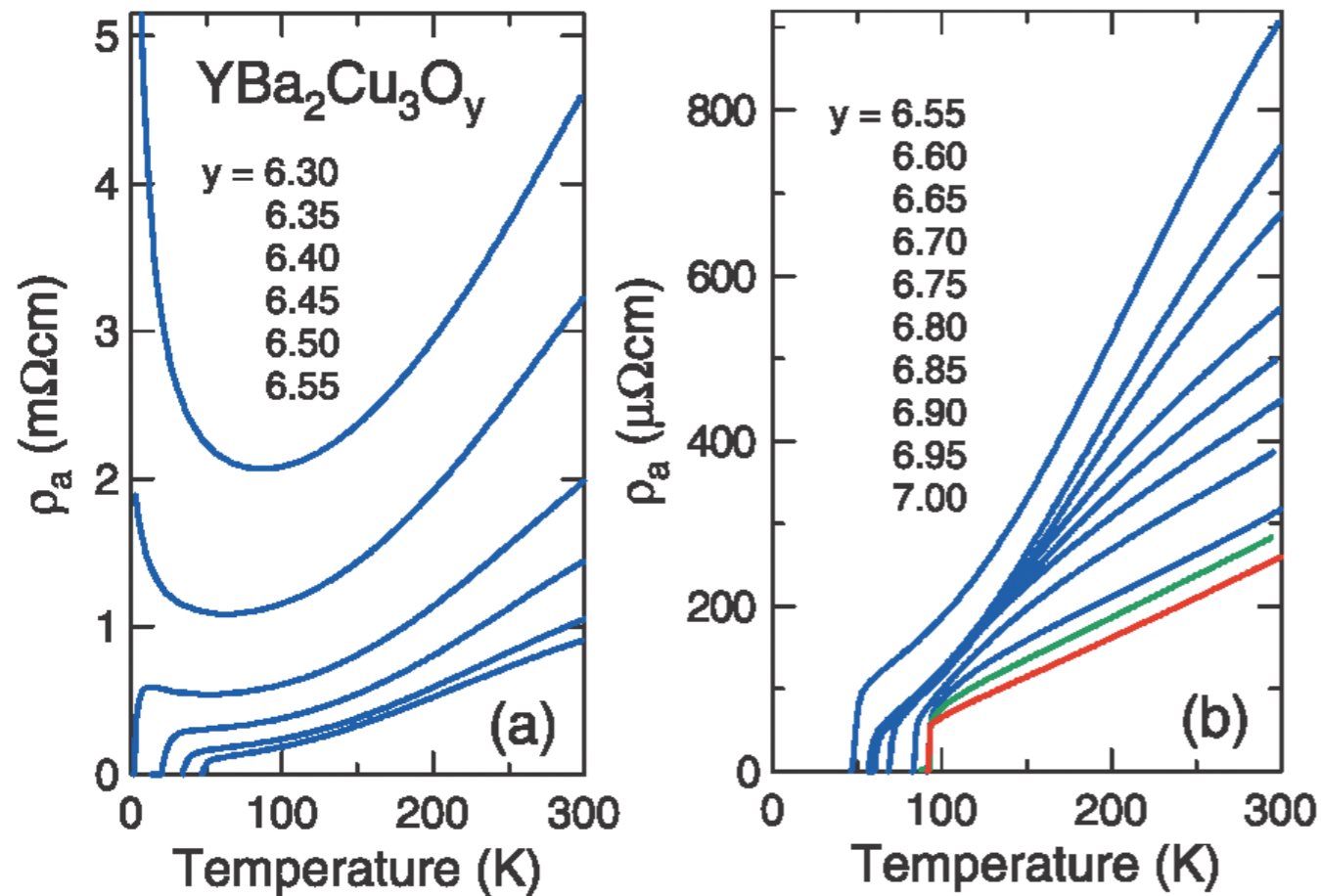
# High temperature superconductors





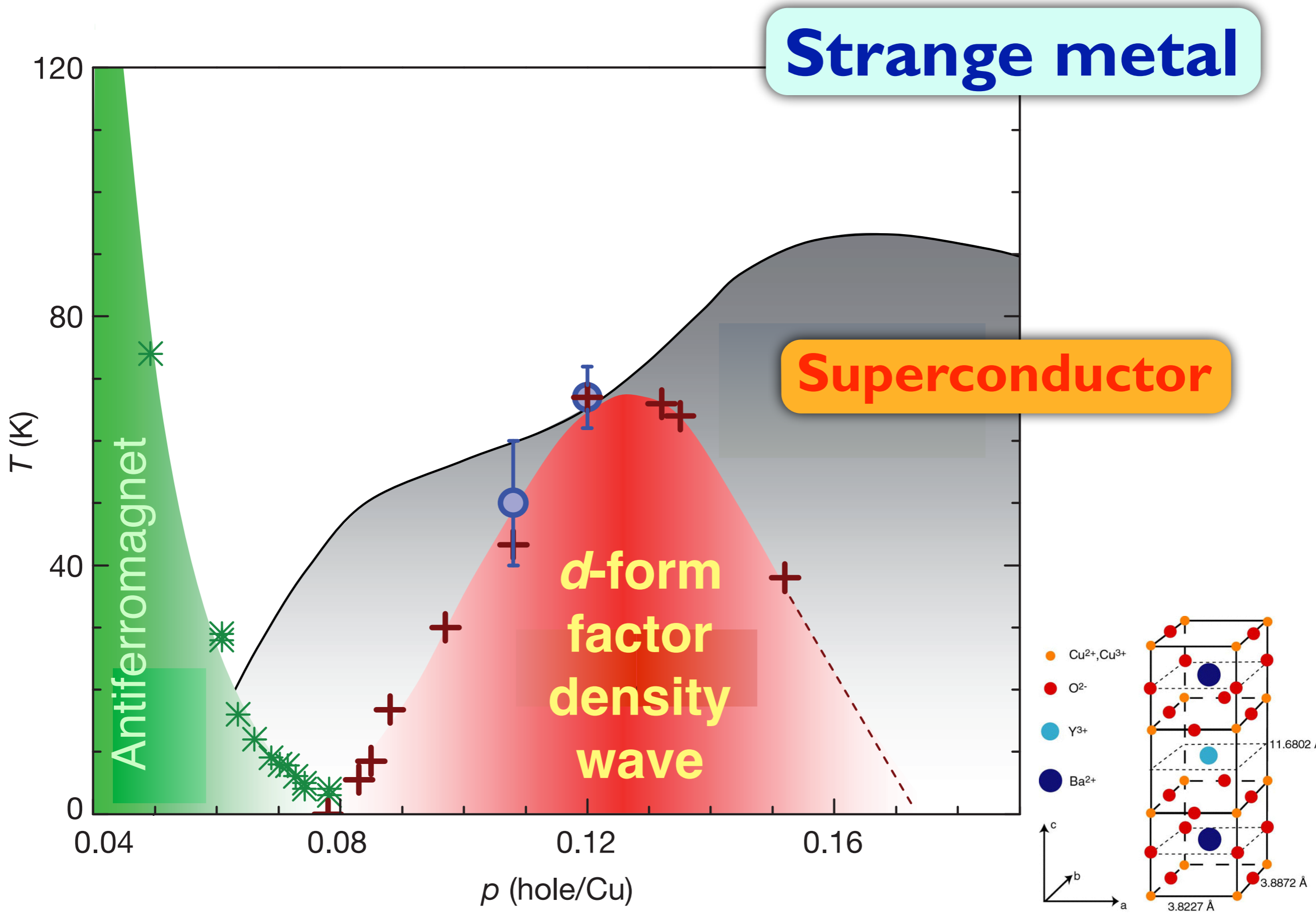
T. Wu, H. Mayaffre, S. Kramer, M. Horvatic, C. Berthier, W.N. Hardy, R. Liang, D.A. Bonn, and M.-H. Julien, *Nature* **477**, 191 (2011).

# Strange metal

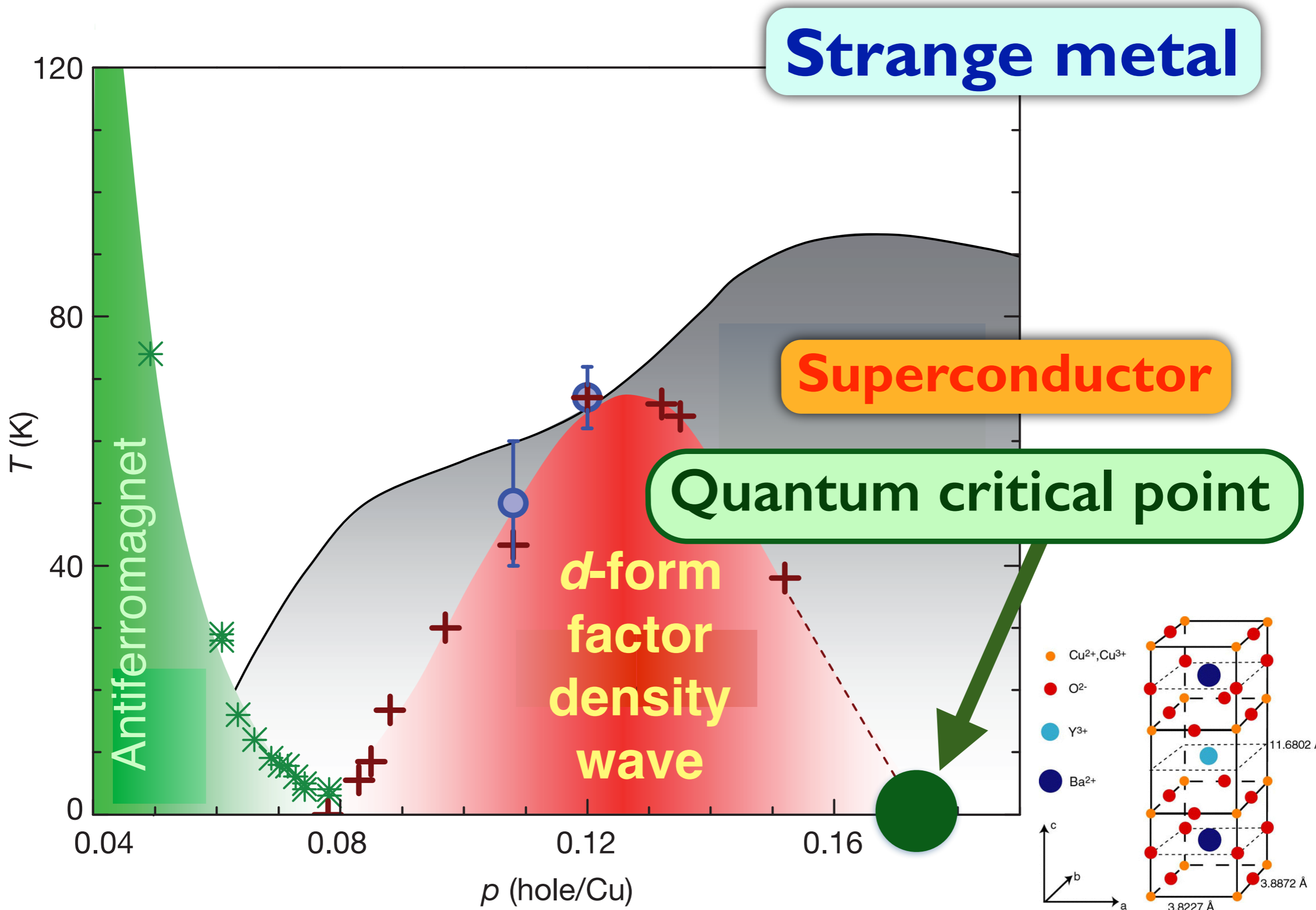


YBCO at optimal doping has resistivity  $\rho(T) \sim T$ .

Yoichi Ando, Seiki Komiya, Kouji Segawa, S. Ono, and Y. Kurita, Phys. Rev. Lett. **93**, 267001 (2004)



T. Wu, H. Mayaffre, S. Kramer, M. Horvatic, C. Berthier, W.N. Hardy, R. Liang, D.A. Bonn, and M.-H. Julien, Nature **477**, 191 (2011).



Y. He *et al.*, Science **344**, 608 (2014)  
 K. Fujita *et al.*, Science **344**, 612 (2014)



## SU(2) gauge theory for underlying quantum critical point

Write the electron operator  $c_\alpha$  ( $\alpha = \uparrow, \downarrow$  are spin indices) as

$$\begin{pmatrix} c_\uparrow \\ c_\downarrow \end{pmatrix} = R \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix}$$

where  $R$  is a SU(2) matrix which determines the orientation of the local antiferromagnetic order, and  $\psi_\pm$  are spinless fermions which carry the global electron U(1) charge.

This parameterization is invariant under a SU(2) *gauge* transformation

$$\begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} \rightarrow U \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} ; \quad R \rightarrow RU^\dagger$$



## SU(2) gauge theory for underlying quantum critical point

- The quantum critical theory is the Higgs transition where the gauge “symmetry” breaks from SU(2) down to U(1), in the presence of a Fermi surface of fermions carrying fundamental SU(2) charges.

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- The Higgs condensation does not give the fermions a “mass”; instead it reconstructs the Fermi surface from *large* to *small*.
- The quantum phase transition has no gauge-invariant “order parameter”, and it does not break any global symmetries.

# Outline

## 1. Conformal field theories in $2+1$ dimensions

*Superfluid-insulator transition*

*A. Boltzmann dynamics*

*B. Conformal / holographic dynamics*

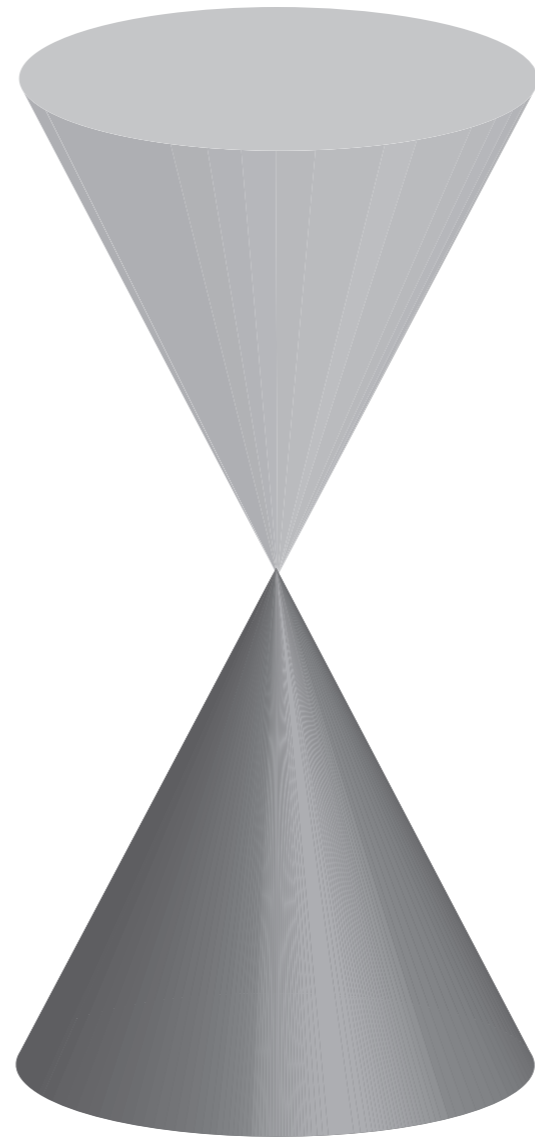
## 2. Non-Fermi liquid in $2+1$ dimensions

*Strange metal in the high temperature superconductors*

*A. Lessons from holography*

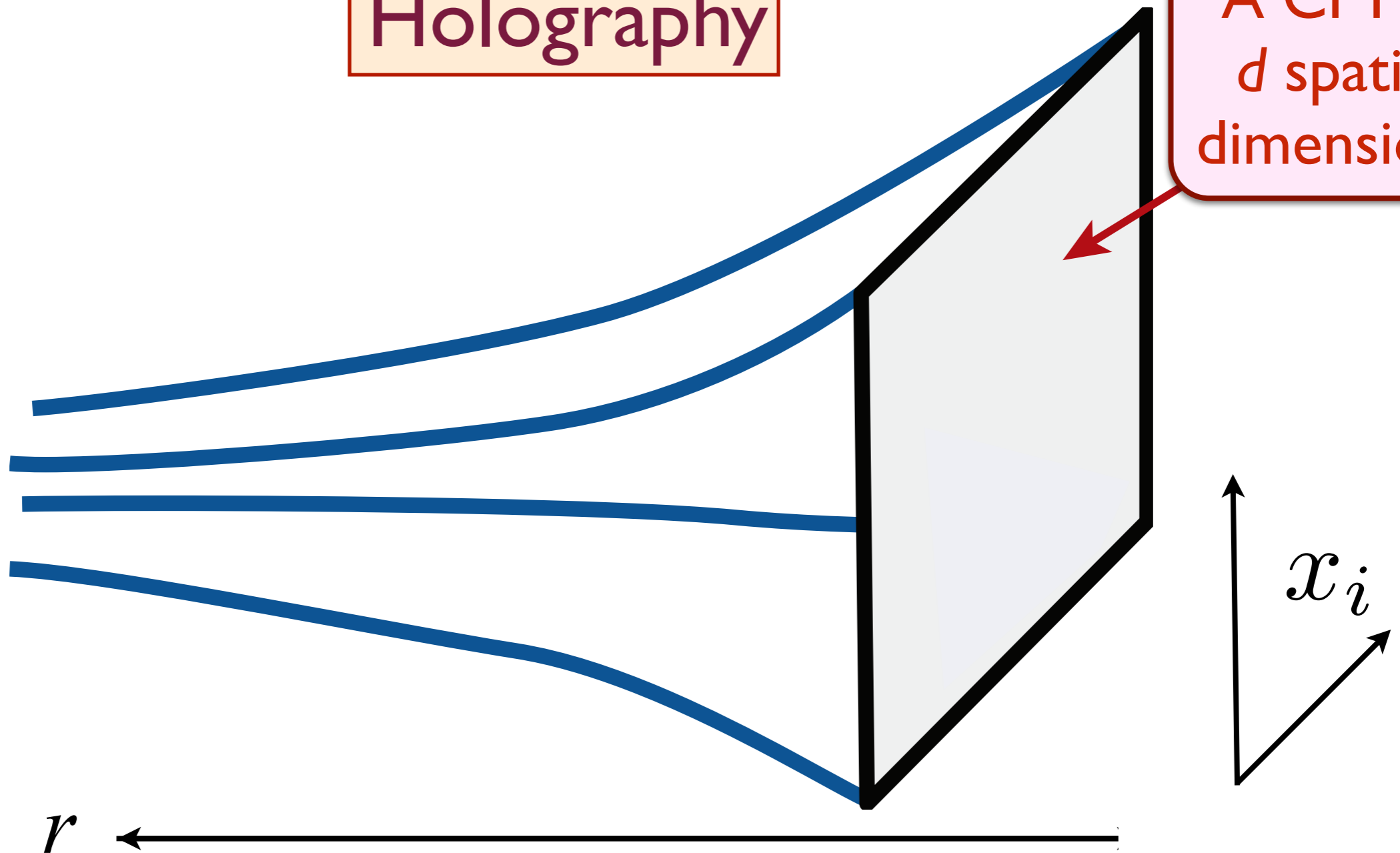
*B. Field theories and memory functions*

# A CFT



# Holography

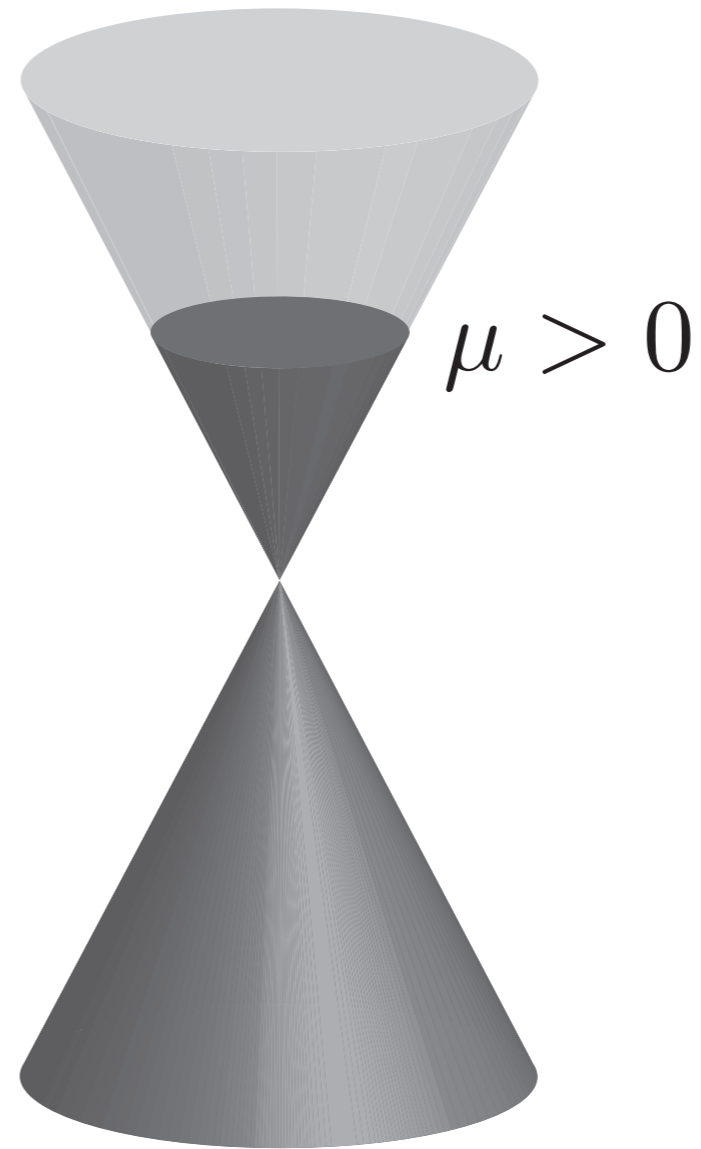
A CFT in  
 $d$  spatial  
dimensions



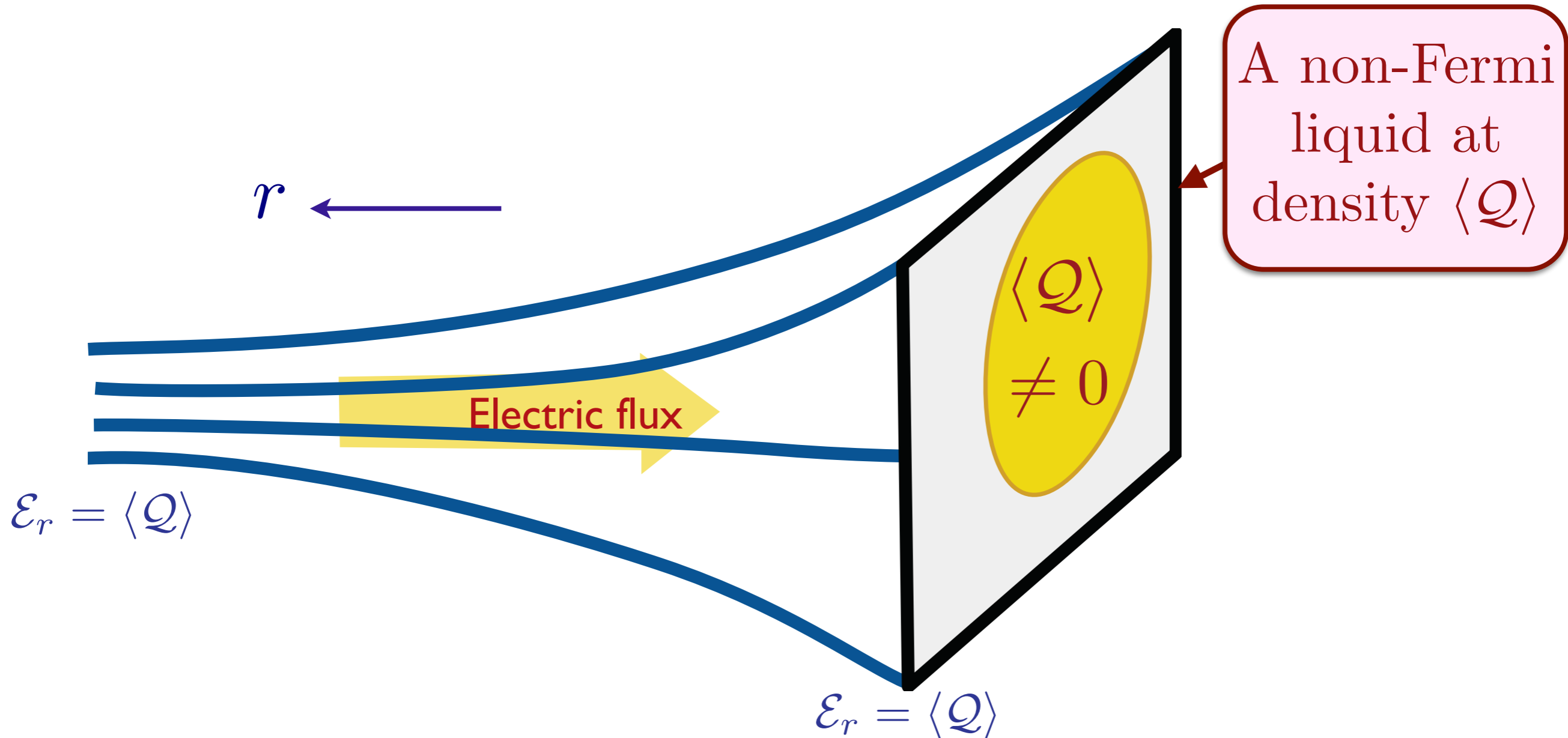
$$ds^2 = \frac{1}{r^2} (-dt^2 + dr^2 + dx_i^2)$$

This is the metric of anti-de Sitter space  $\text{AdS}_{d+2}$ .

# Apply a chemical potential



# Holography of a non-Fermi liquid: a charged black hole



The most general metric with scale-invariance at long distances/times

$$ds^2 = \frac{1}{r^2} \left( -\frac{dt^2}{r^{2d(z-1)/(d-\theta)}} + r^{2\theta/(d-\theta)} dr^2 + dx_i^2 \right)$$



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## Holography of a non-Fermi liquid: a charged black hole

- ★ Computation of resistivity in gravitational theory yields zero resistance at all temperatures,  $\rho(T) = 0$  !
- ★ This can be understood by
  - Conservation of total momentum,  $\vec{P}$ ,
  - Non-zero value of  $\chi_{JP} = \langle \vec{P}; \vec{J} \rangle$  when  $\langle Q \rangle \neq 0$  ( $\vec{J}$  is the  $O(2)$  current).

*i.e.* Momentum *drags* current.

## Holography of a non-Fermi liquid

To relax momentum, add a random perturbation coupling to the operator  $\mathcal{O}$ :

$$\mathcal{S} \rightarrow \mathcal{S} + \int d^d r d\tau h(r) \mathcal{O}(r, \tau) \quad \text{with } \overline{h(r)} = 0 \text{ and } \overline{h(r)h(r')} = h_0^2 \delta^d(r - r')$$

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Solution of gravitational equations for small  $h_0$  yields the resistivity

$$\rho(T) \sim h_0^2 T^{2(1+\Delta-z)/z},$$

where  $\Delta$  is the dimension of  $\mathcal{O}$ . This agrees precisely with the memory function computation on a field theory with the operator  $\mathcal{O}$ , and with  $\chi_{JP} \neq 0$  !

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*B. Transport at the Higgs critical point*

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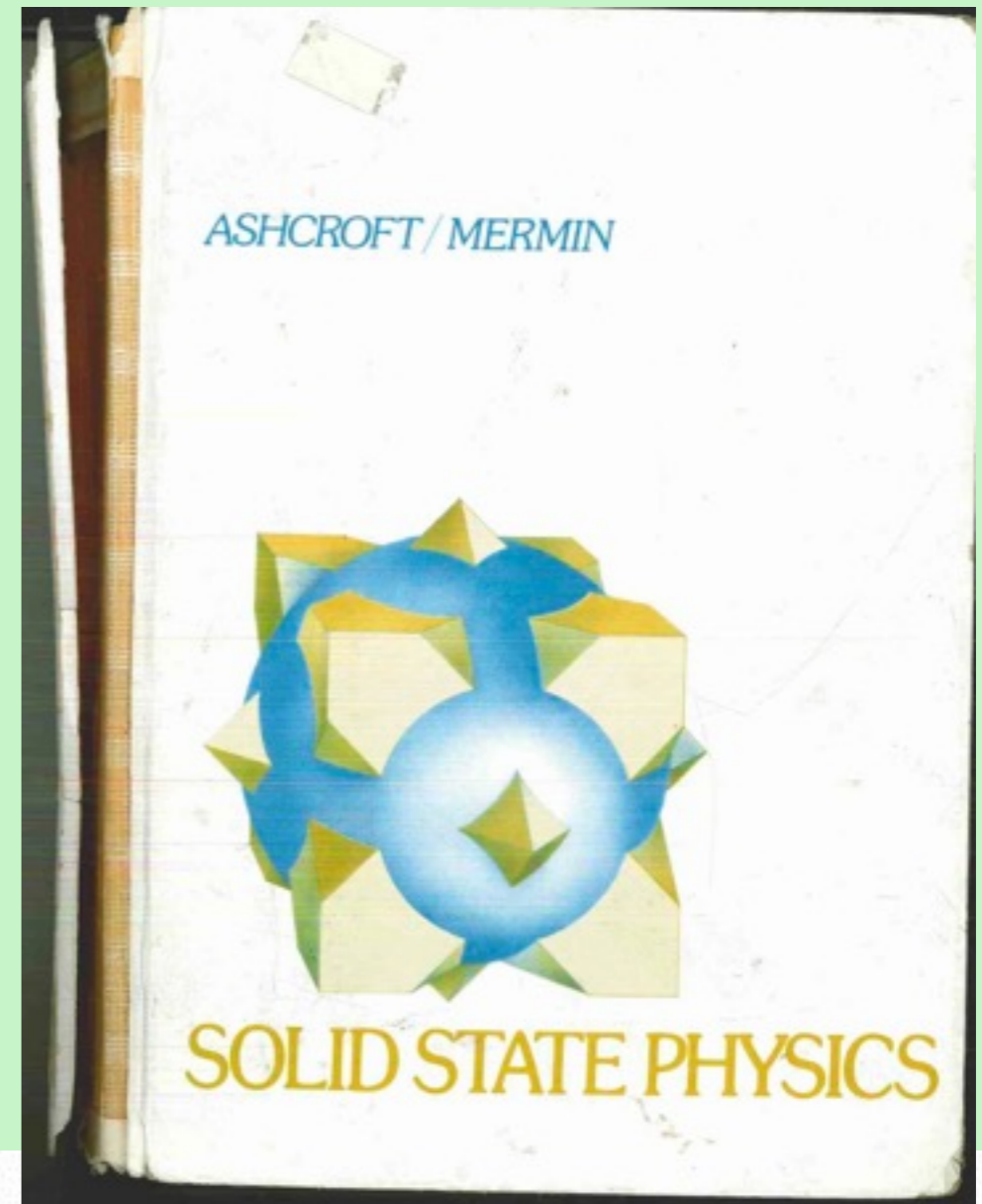
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Peierls<sup>28</sup> pointed out a way in which the low temperature resistivity might decline more rapidly than  $T^5$ .

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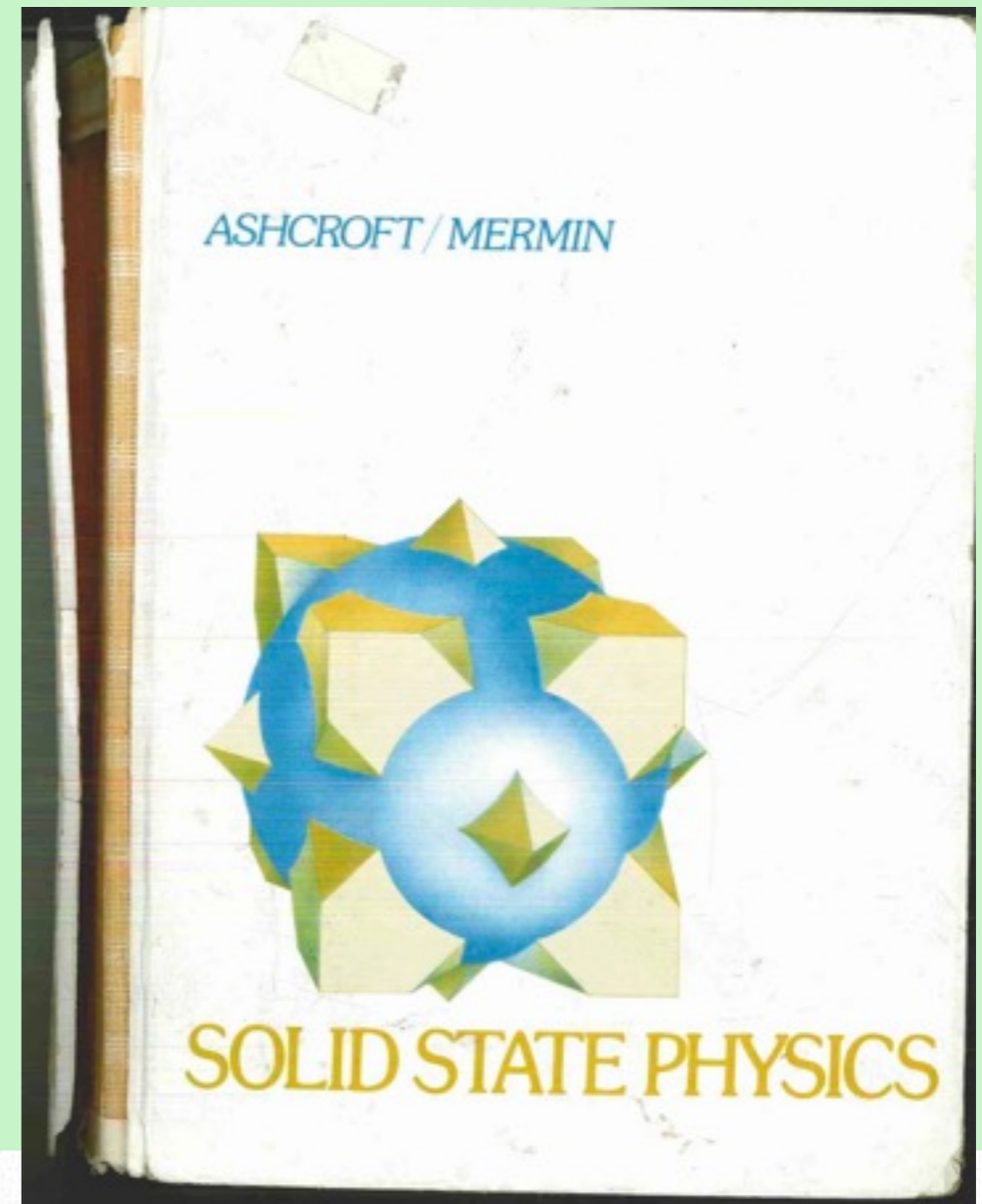
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### PHONON DRAG

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- Holography teaches us that Peierls is correct for the strongly-coupled Higgs critical point in a metal.

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The resistivity of this strange metal is *not* determined by the scattering rate of charged excitations near the Fermi surface, but by the dominant rate of momentum loss by *any* excitation, whether neutral or charged, or fermionic or bosonic.



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There is a dominant contribution  $\rho(T) \sim T$  by the coupling of long-wavelength disorder to the gauge-invariant operator  $\mathcal{O} \sim H^2$ , which can be computed by applying memory functions to the quantum field theory

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