

Gauge-gravity duality and its applications



Liza Huijse

Leiden, June 14 2011

Talk online: sachdev.physics.harvard.edu



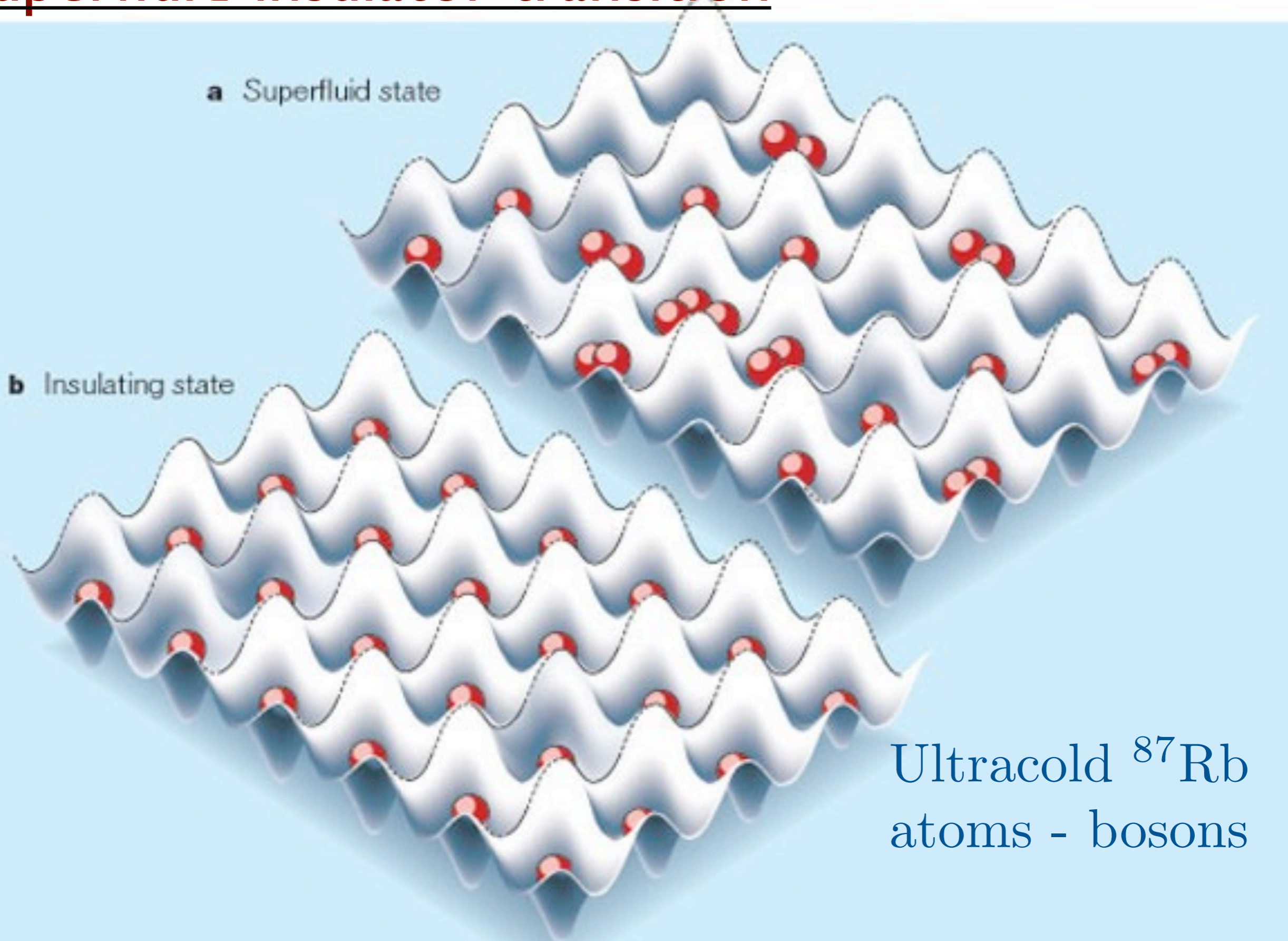
Outline

1. Quantum criticality and conformal field theories in condensed matter
2. Quantum transport and Einstein-Maxwell theory on AdS_4
3. Compressible quantum matter
 - A. *Strange metals: experiments and theoretical framework*
 - B. *ABJM (-like) theory at non-zero density*
 - C. *Gauge-gravity duality*

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Superfluid-insulator transition



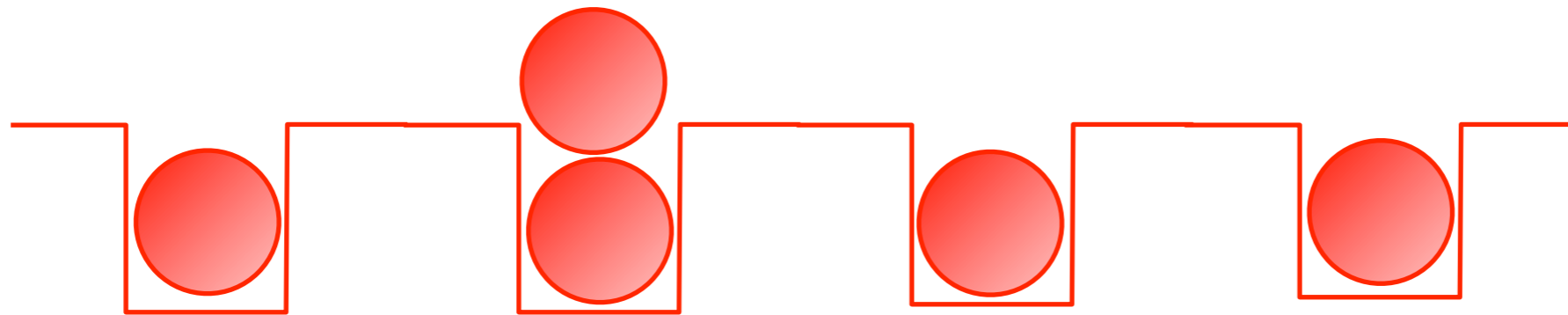
Ultracold ^{87}Rb
atoms - bosons

M. Greiner, O. Mandel, T. Esslinger, T. W. Hänsch, and I. Bloch, *Nature* **415**, 39 (2002).



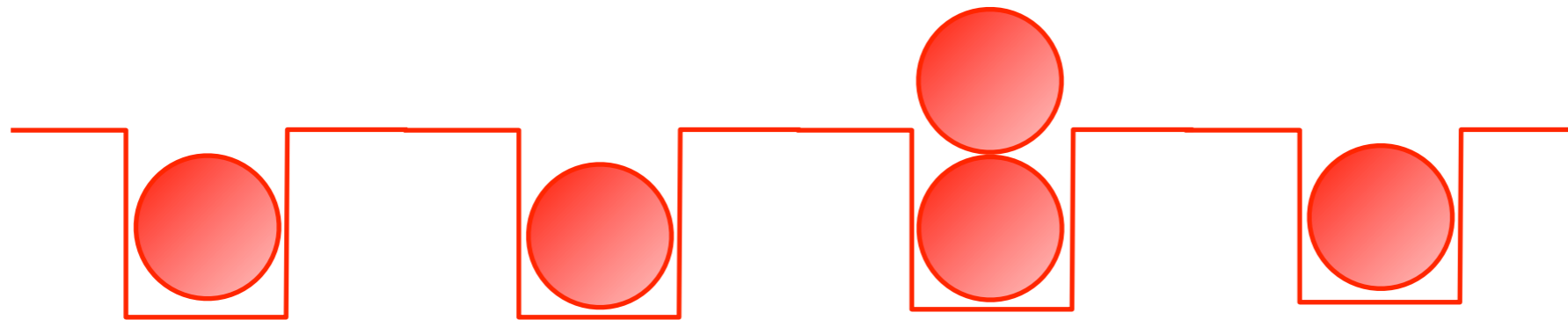
Insulator (the vacuum)
at large repulsion between bosons

Excitations of the insulator:



Particles $\sim \psi^\dagger$

Excitations of the insulator:



Particles $\sim \psi^\dagger$



Holes $\sim \psi$

Density of particles = density of holes \Rightarrow

“relativistic” field theory for ψ :

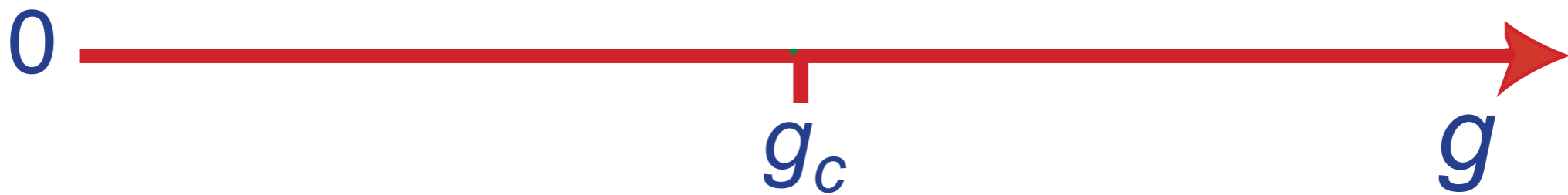
$$\mathcal{S} = \int d^2r d\tau \left[|\partial_\tau \psi|^2 + v^2 |\vec{\nabla} \psi|^2 + (g - g_c) |\psi|^2 + \frac{u}{2} |\psi|^4 \right]$$

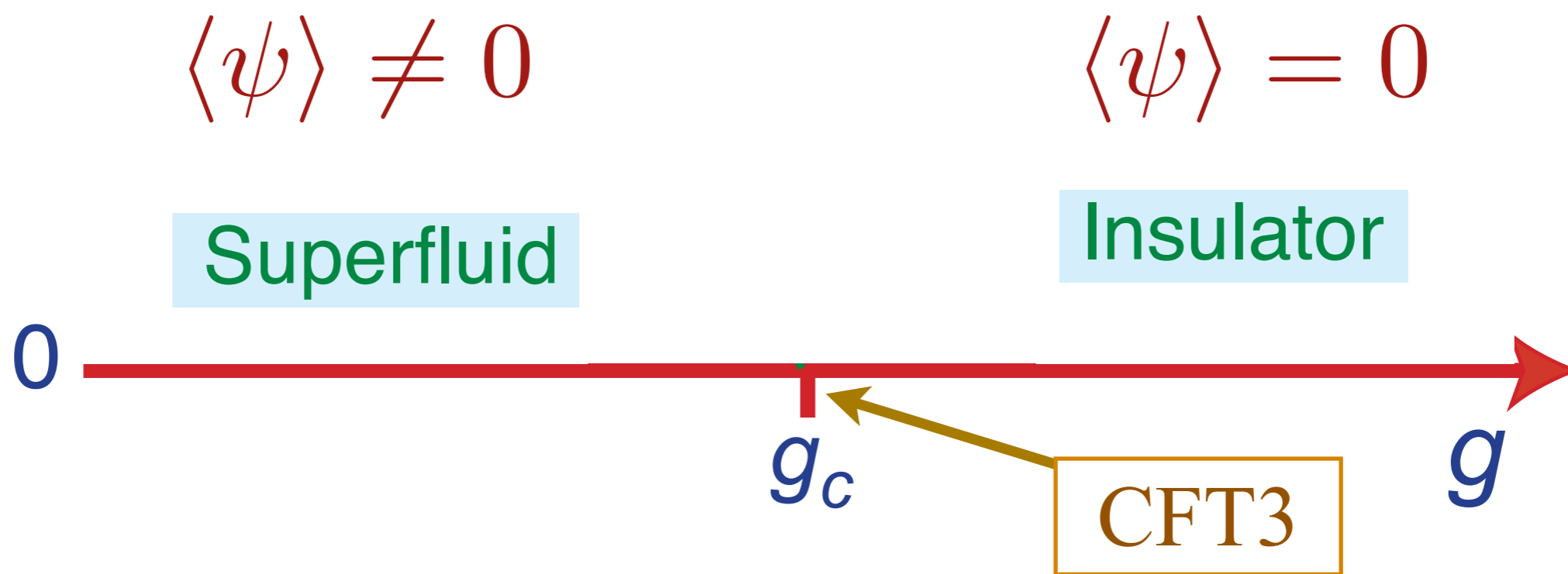
$$\langle \psi \rangle \neq 0$$

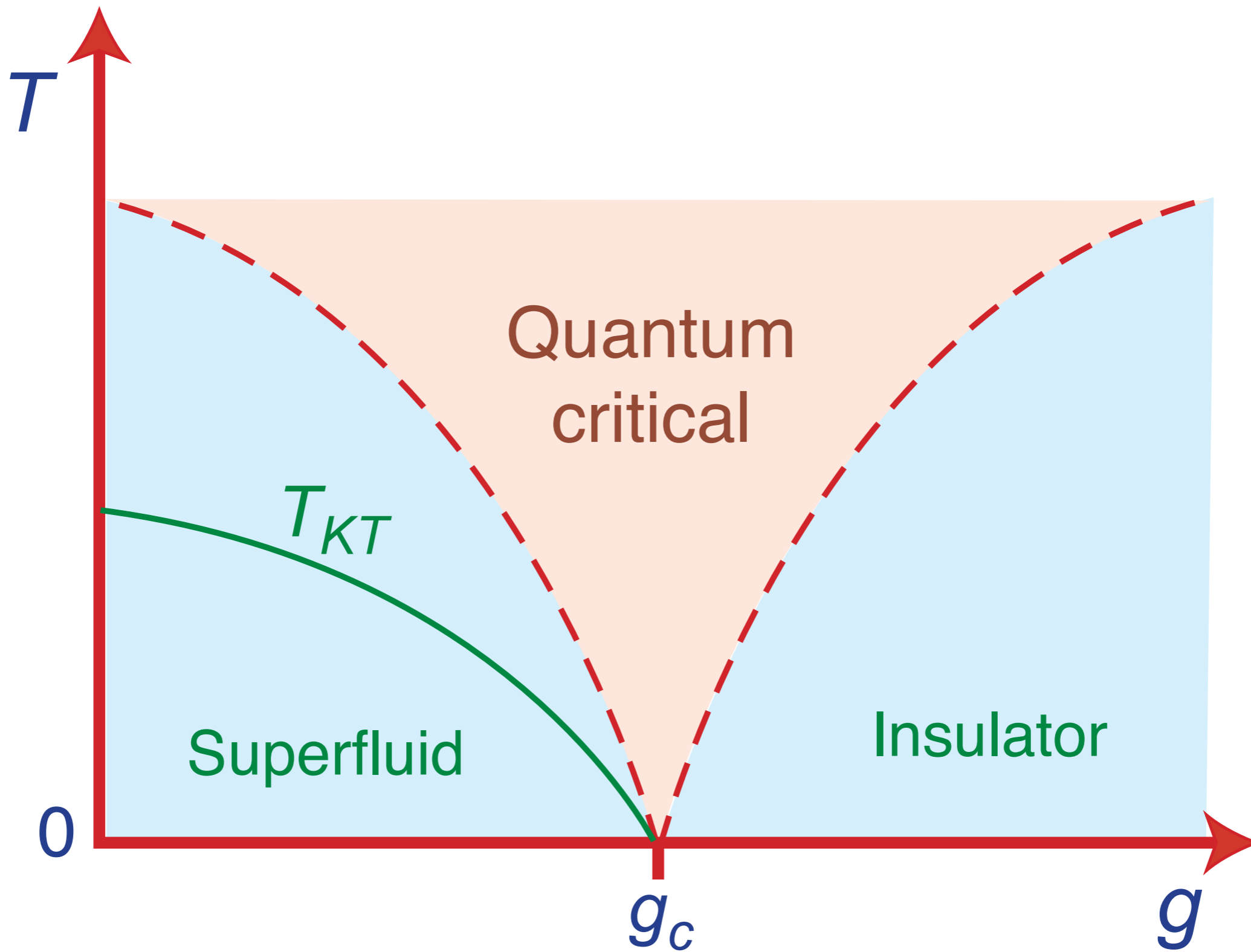
$$\langle \psi \rangle = 0$$

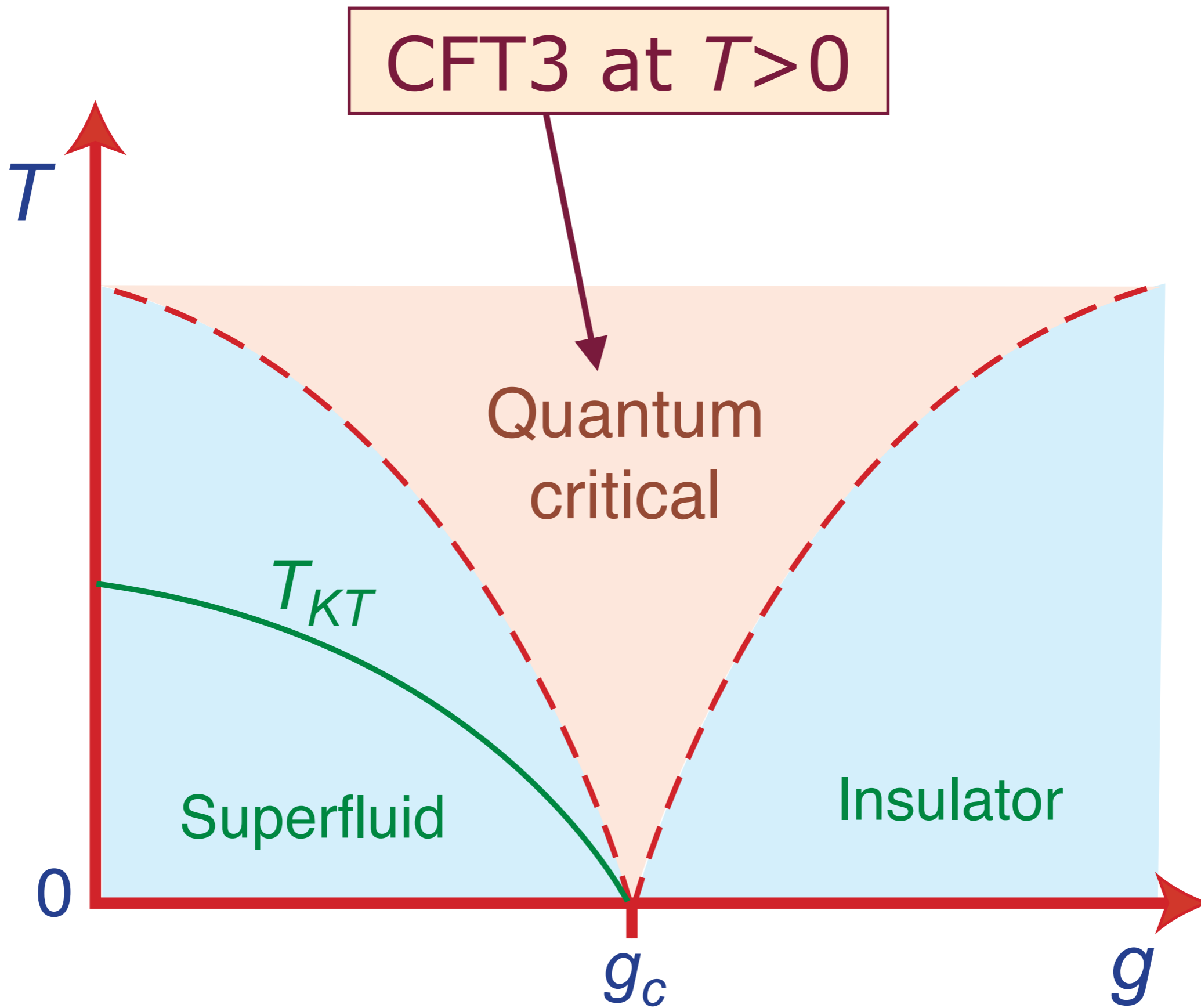
Superfluid

Insulator









Quantum critical transport

Quantum “*nearly perfect fluid*”
with shortest possible
equilibration time, τ_{eq}

$$\tau_{\text{eq}} = \mathcal{C} \frac{\hbar}{k_B T}$$

where \mathcal{C} is a *universal* constant

Quantum critical transport

Transport co-efficients not determined
by collision rate, but by
universal constants of nature

Conductivity

$$\sigma = \frac{Q^2}{h} \times [\text{Universal constant } \mathcal{O}(1)]$$

(Q is the “charge” of one boson)

M.P.A. Fisher, G. Grinstein, and S.M. Girvin, *Phys. Rev. Lett.* **64**, 587 (1990)

K. Damle and S. Sachdev, *Phys. Rev. B* **56**, 8714 (1997).

Quantum critical transport

Transport co-efficients not determined
by collision rate, but by
universal constants of nature

Momentum transport

$$\frac{\eta}{s} \equiv \frac{\text{viscosity}}{\text{entropy density}}$$
$$= \frac{\hbar}{k_B} \times [\text{Universal constant } \mathcal{O}(1)]$$

Quantum critical transport

Describe charge transport using Boltzmann theory of interacting bosons:

$$\frac{dv}{dt} + \frac{v}{\tau_c} = F.$$

This gives a frequency (ω) dependent conductivity

$$\sigma(\omega) = \frac{\sigma_0}{1 - i\omega\tau_c}$$

where $\tau_c \sim \hbar/(k_B T)$ is the time between boson collisions.

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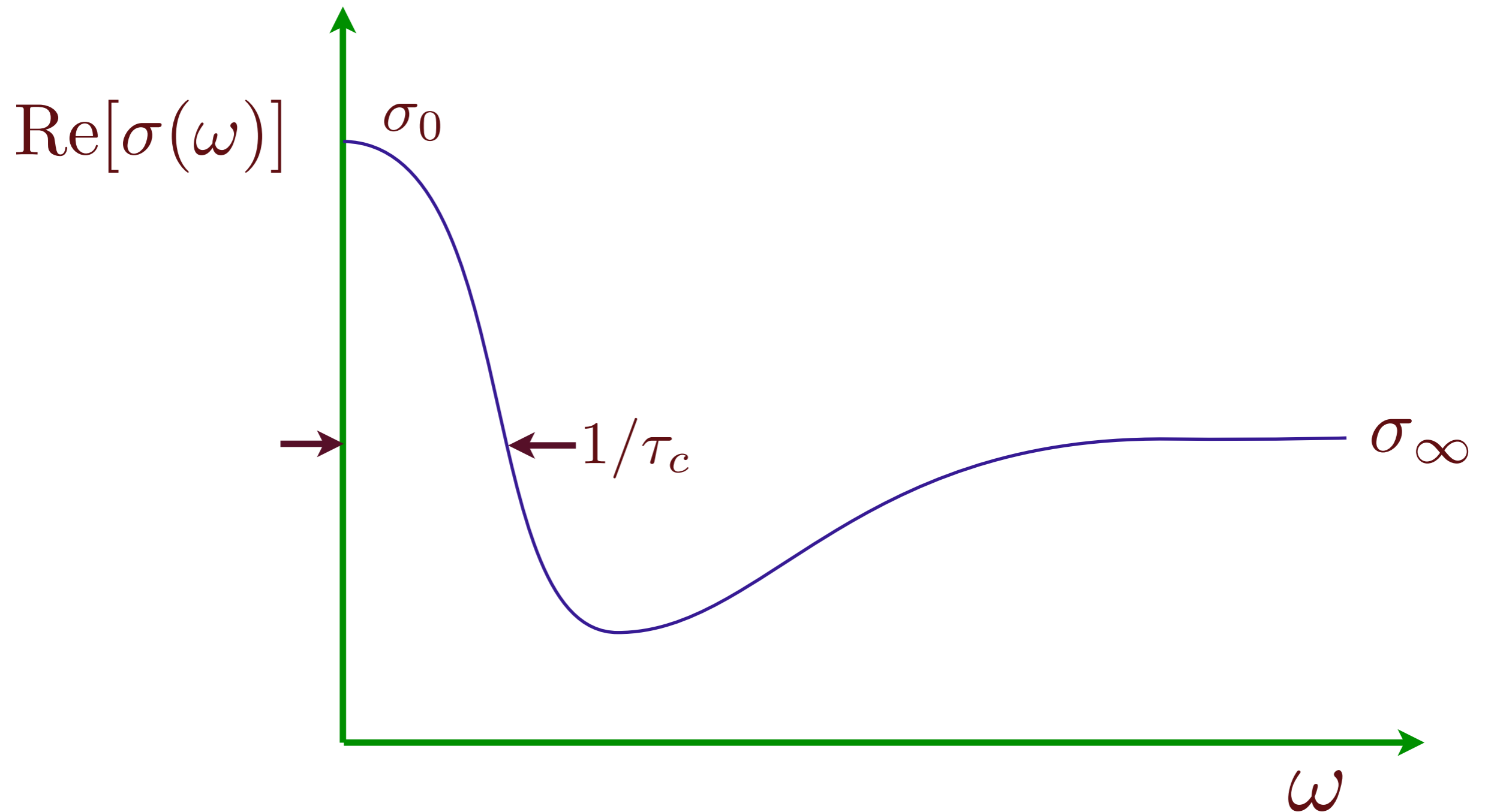
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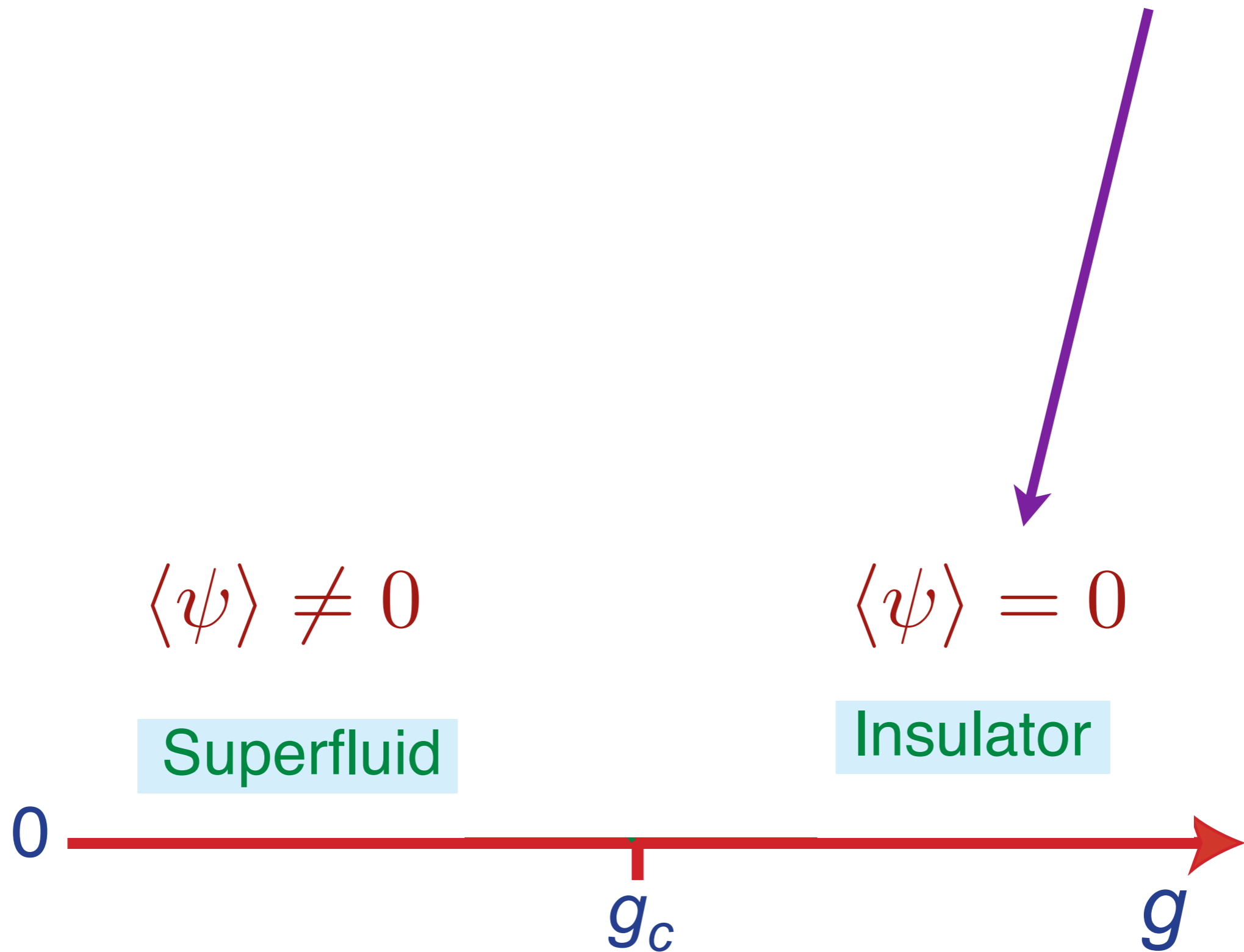
Also, we have $\sigma(\omega \rightarrow \infty) = \sigma_\infty$, associated with the density of states for particle-hole creation (the “optical conductivity”) in the CFT3.

Boltzmann theory of bosons

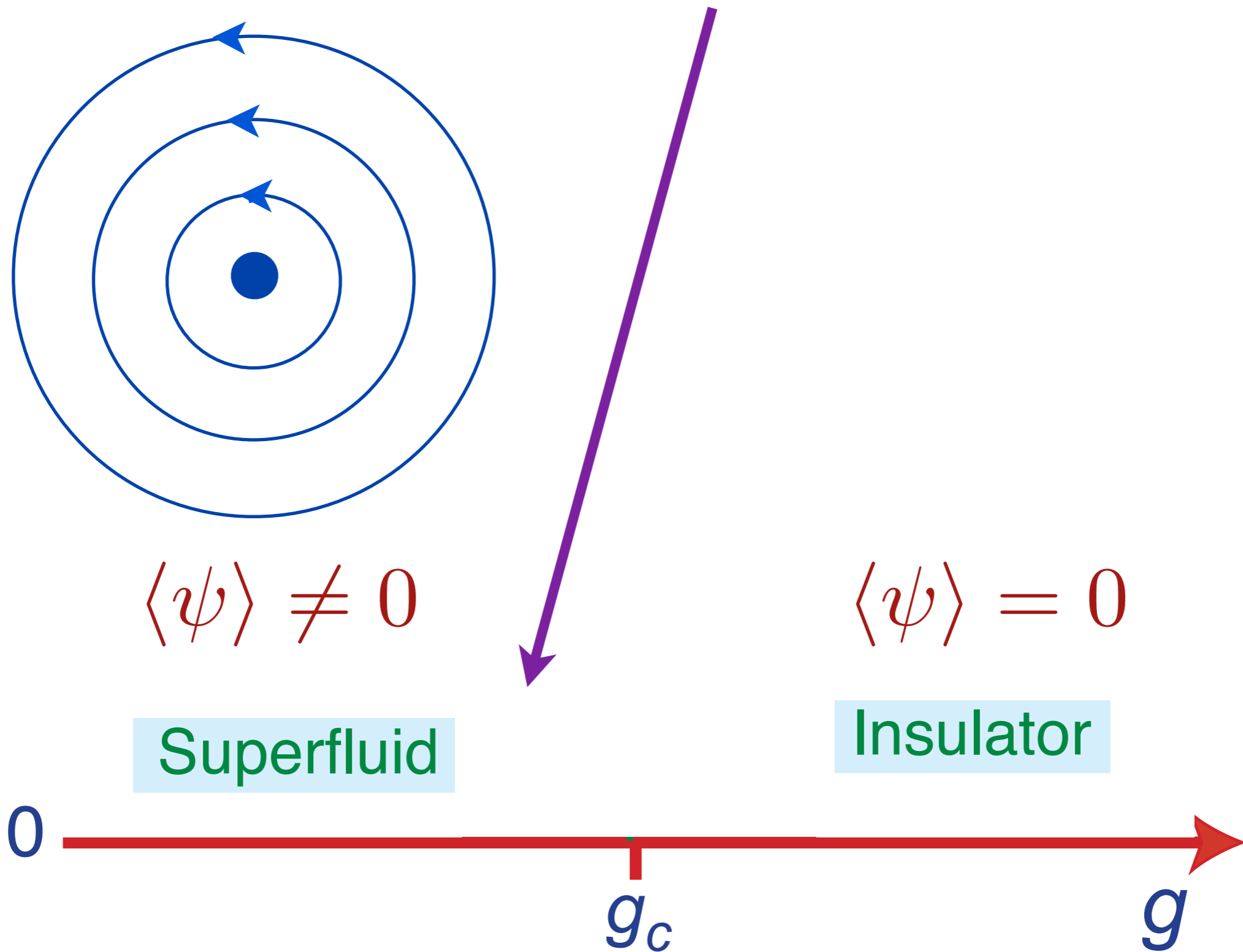


K. Damle and S. Sachdev, *Phys. Rev. B* **56**, 8714 (1997).

So far, we have described the quantum critical point using the boson particle and hole excitations of the insulator.



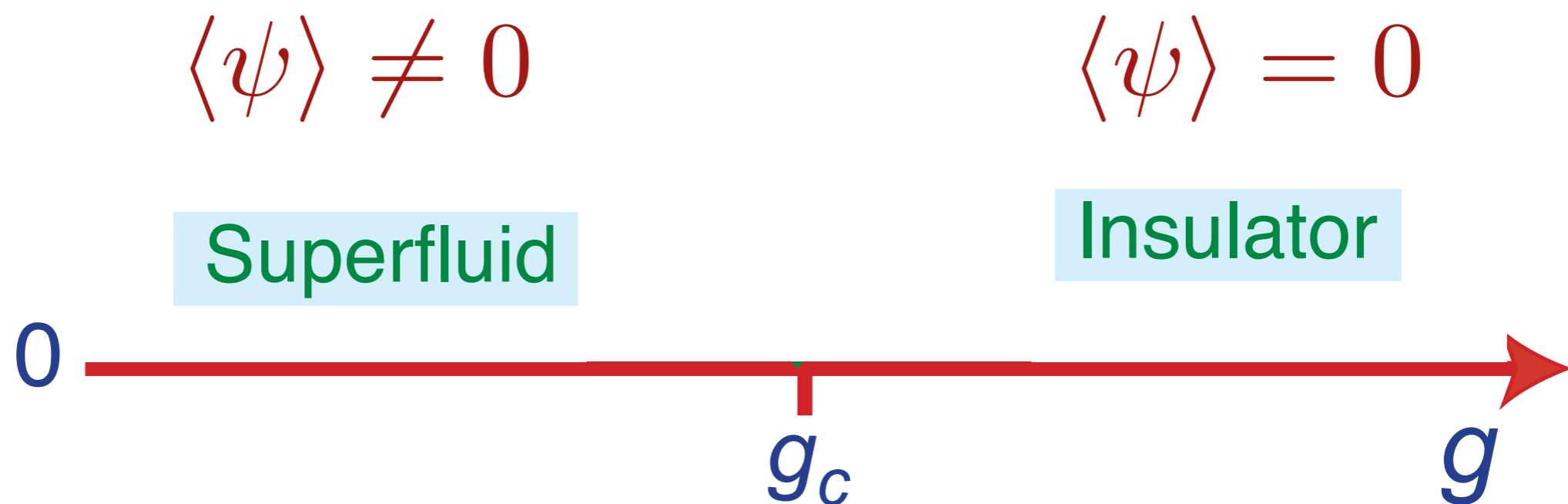
However, we could equally well describe the conductivity using the excitations of the superfluid, which are *vortices*.



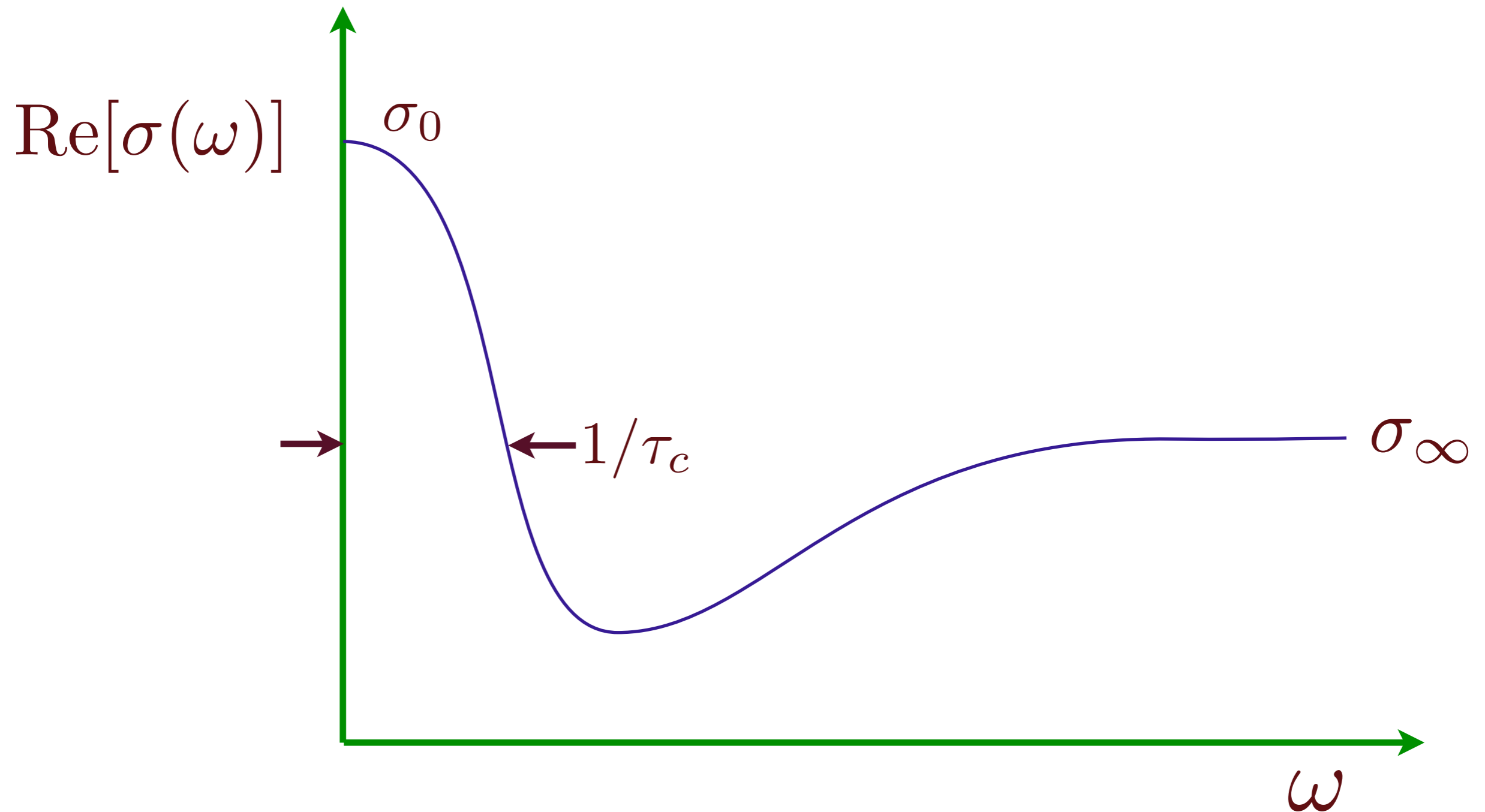
However, we could equally well describe the conductivity using the excitations of the superfluid, which are *vortices*.

These are quantum particles (in 2+1 dimensions) which described by a (mirror/e.m.) “dual” CFT3 with an emergent U(1) gauge field. Their $T > 0$ dynamics can also be described by a Boltzmann equation:

Conductivity = Resistivity of vortices

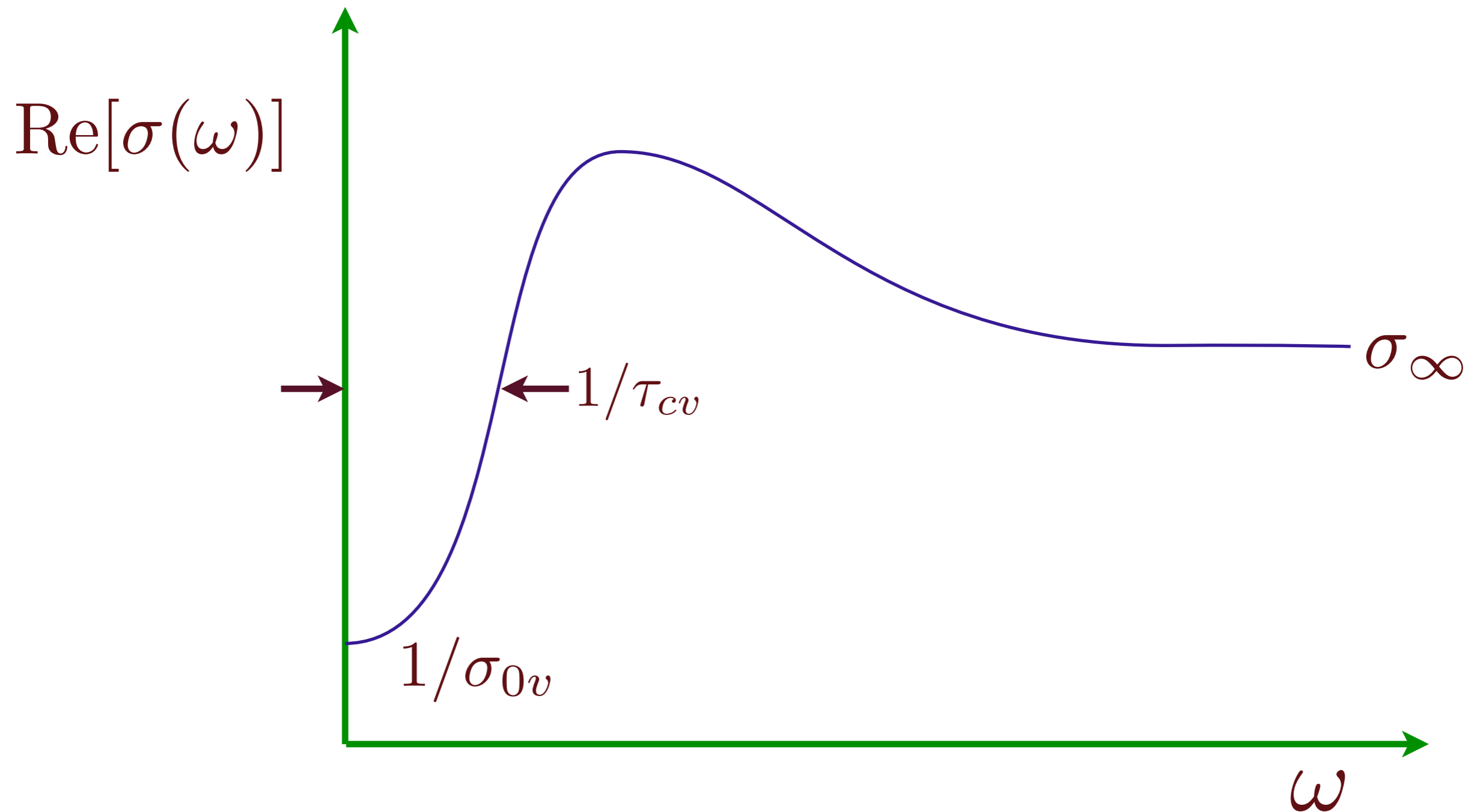


Boltzmann theory of bosons

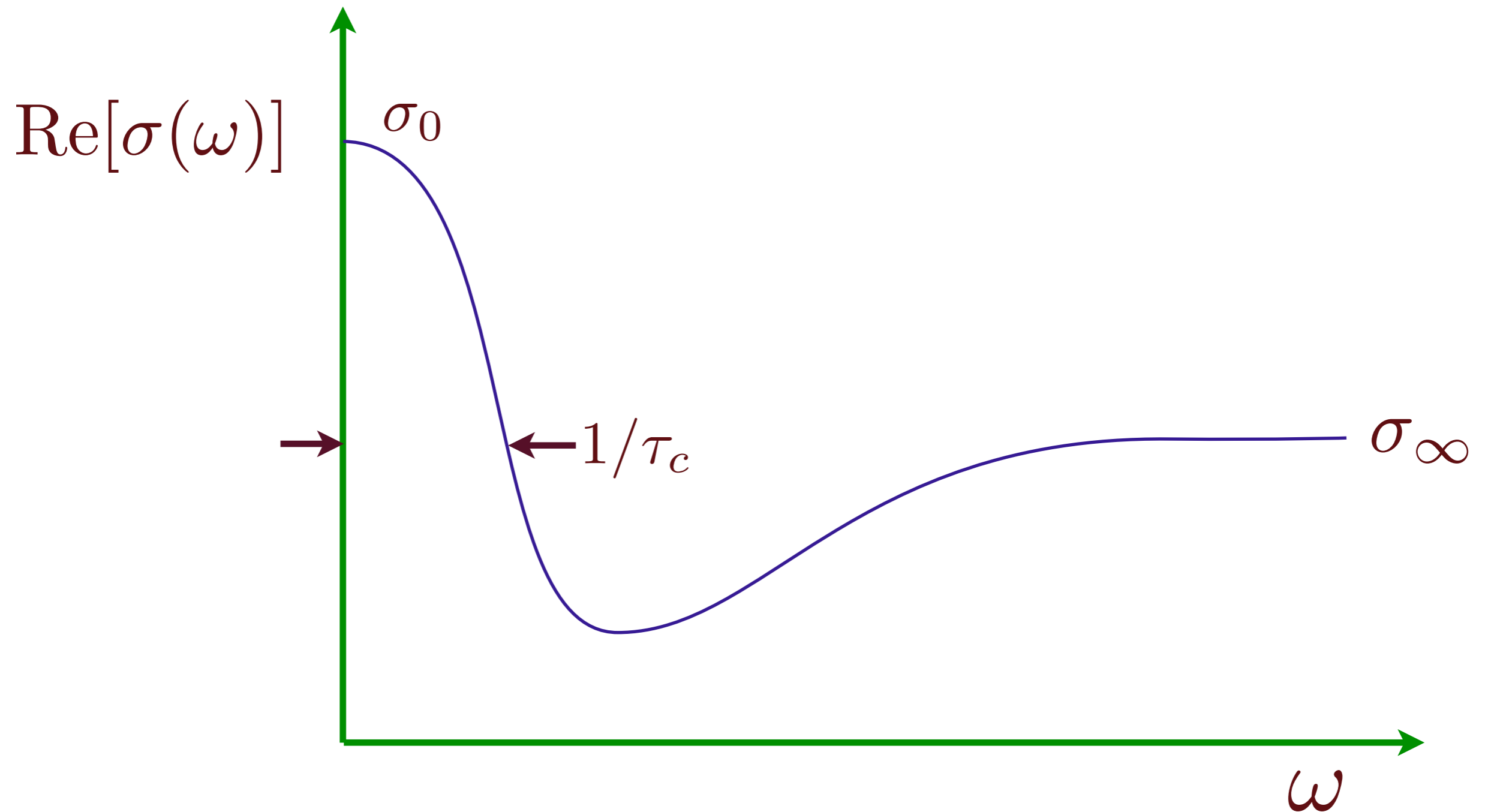


K. Damle and S. Sachdev, *Phys. Rev. B* **56**, 8714 (1997).

Boltzmann theory of vortices



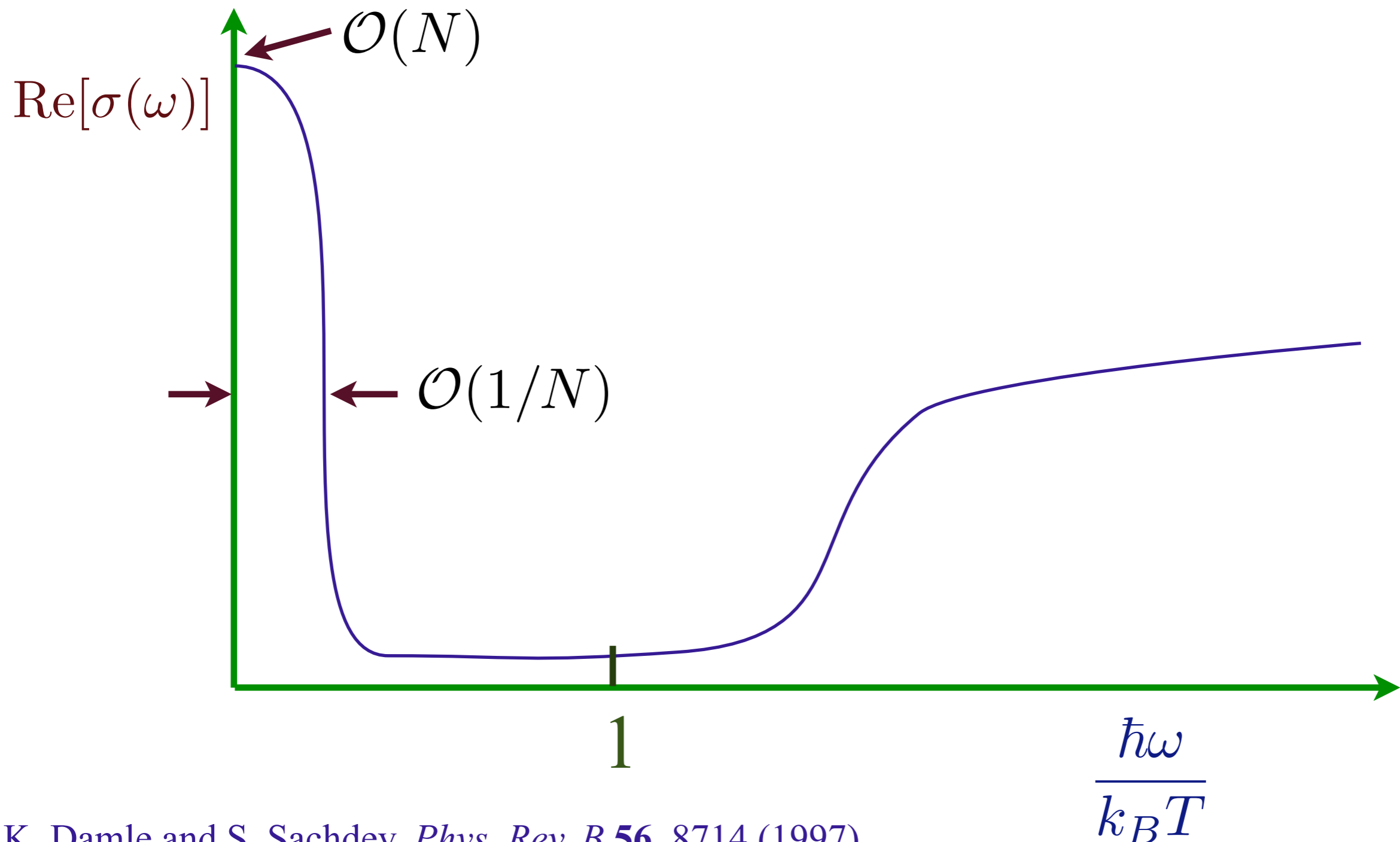
Boltzmann theory of bosons



K. Damle and S. Sachdev, *Phys. Rev. B* **56**, 8714 (1997).

Vector large N expansion for CFT3

$$\sigma = \frac{Q^2}{h} \Sigma \left(\frac{\hbar\omega}{k_B T} \right); \quad \Sigma \rightarrow \text{a universal function}$$



K. Damle and S. Sachdev, *Phys. Rev. B* **56**, 8714 (1997).

Frequency dependency of integer quantum Hall effect

Little frequency dependence, and conductivity is close to self-dual value

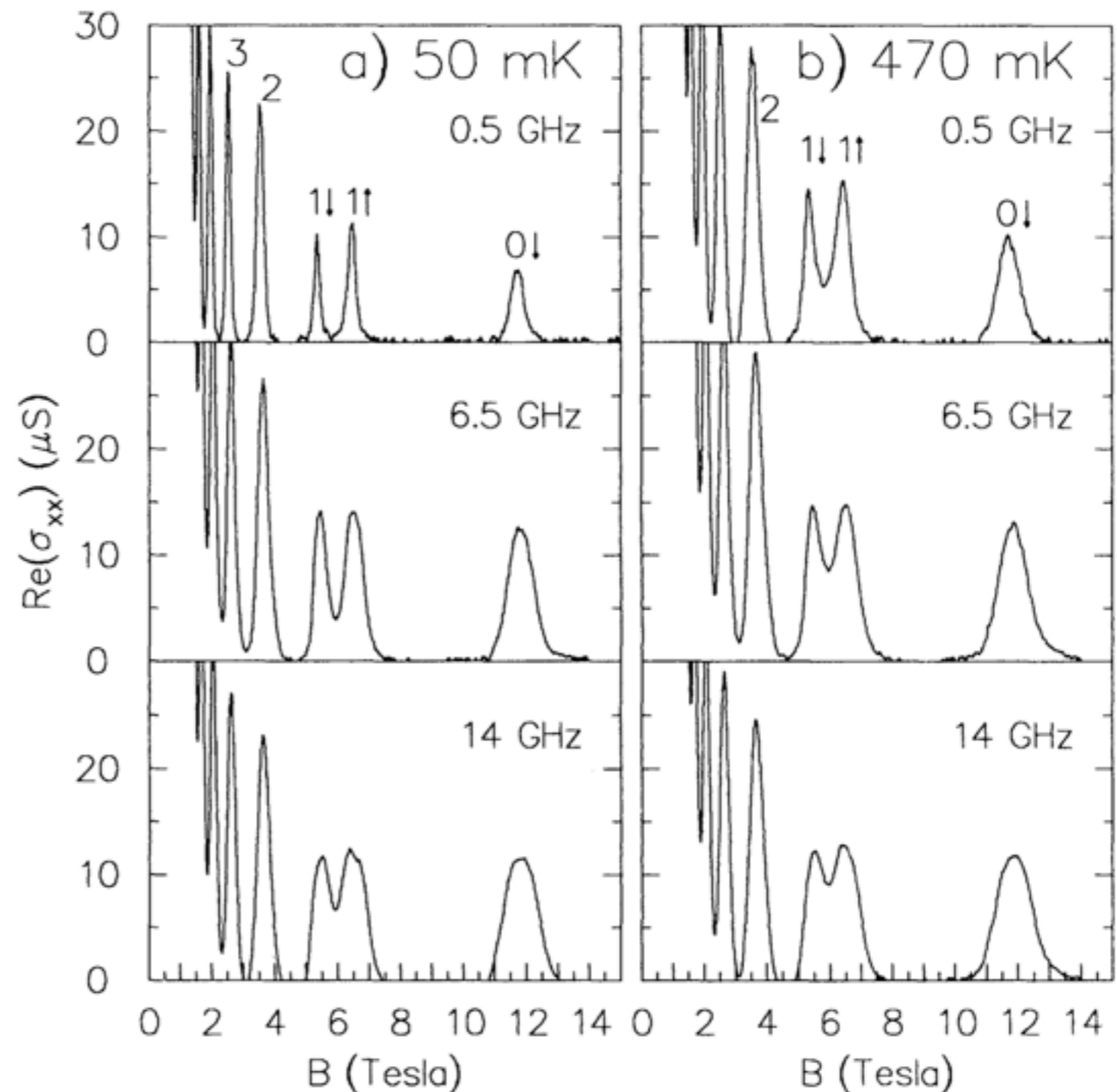


FIG. 3. $\text{Re}(\sigma_{xx})$ vs B at three frequencies and two temperatures. Peaks are marked with Landau level index N and spin.

L. W. Engel, D. Shahar, C. Kurdak, and D. C. Tsui,
Physical Review Letters **71**, 2638 (1993).

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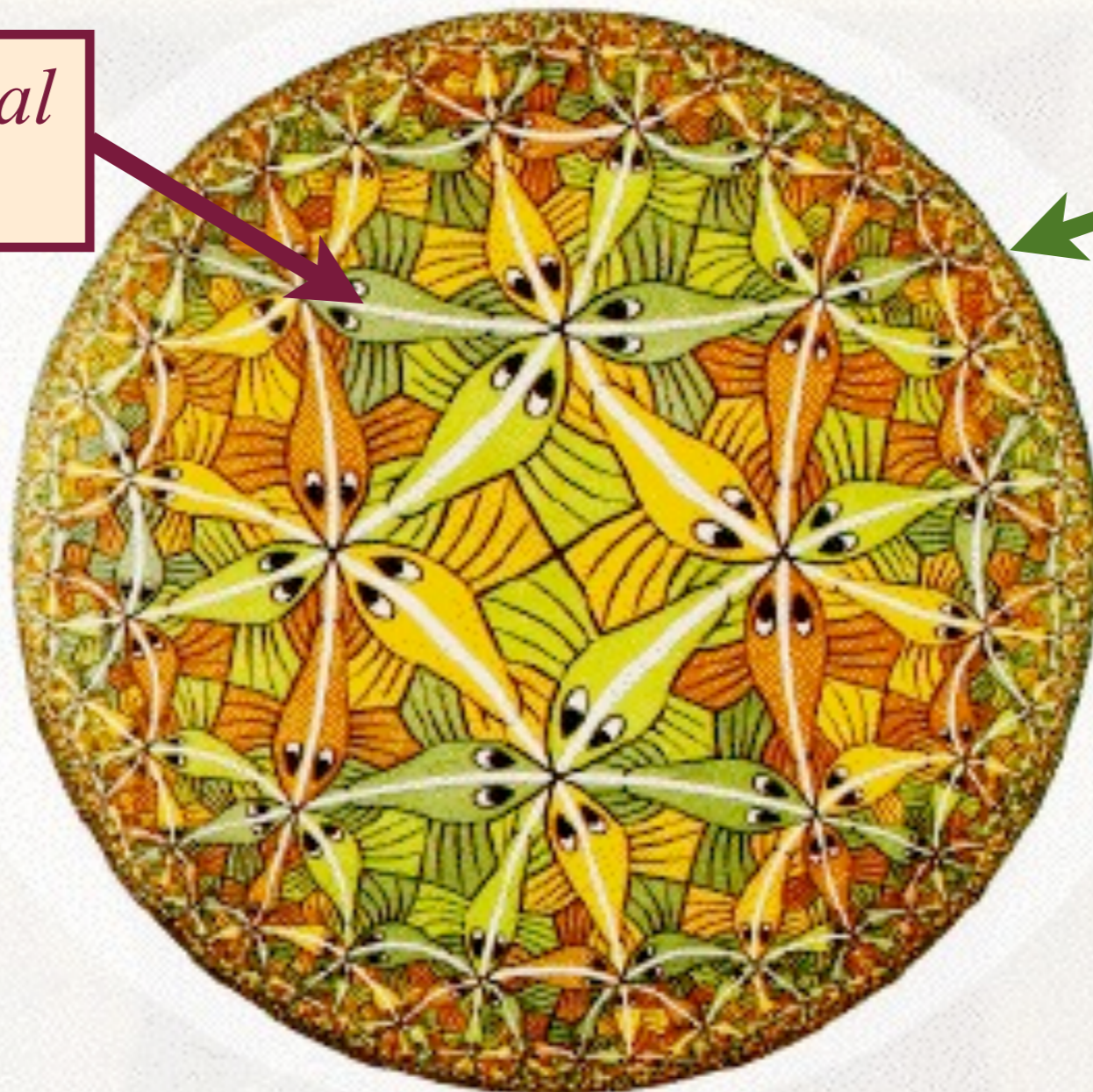
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AdS/CFT correspondence

The quantum theory of a black hole in a 3+1-dimensional negatively curved AdS universe is holographically represented by a CFT (the theory of a quantum critical point) in 2+1 dimensions

*3+1 dimensional
AdS space*



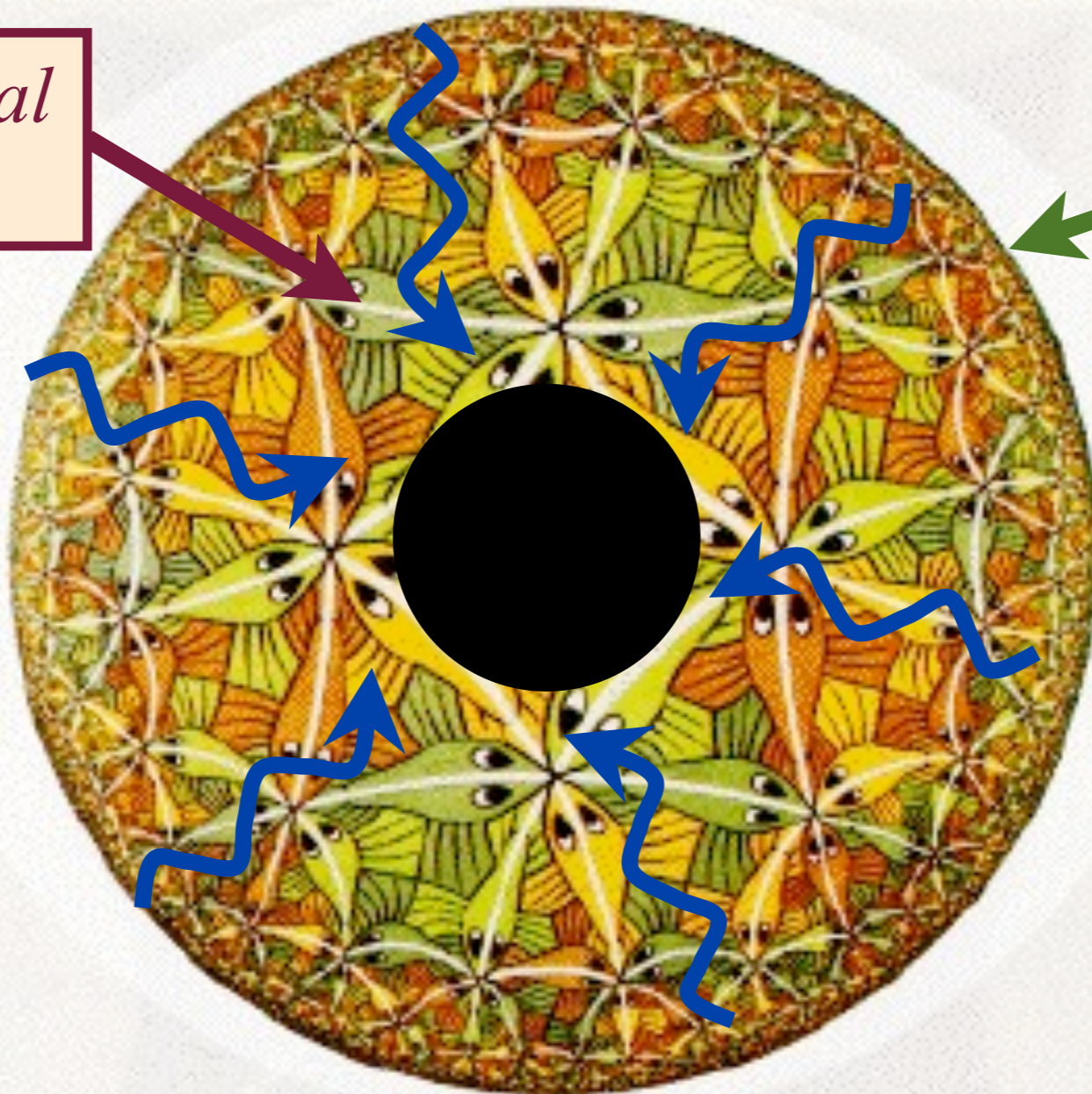
A 2+1
dimensional
system at its
quantum
critical point

Maldacena, Gubser, Klebanov, Polyakov, Witten

AdS/CFT correspondence

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*3+1 dimensional
AdS space*



Quantum
criticality in
2+1
dimensions

Friction of
quantum
criticality =
waves
falling into
black hole

Kovtun, Policastro, Son

AdS₄ theory of “nearly perfect fluids”

To leading order in a gradient expansion, charge transport in an infinite set of strongly-interacting CFT3s can be described by Einstein-Maxwell gravity/electrodynamics on AdS₄

$$\mathcal{S}_{EM} = \frac{1}{g_4^2} \int d^4x \sqrt{-g} \left[-\frac{1}{4} F_{ab} F^{ab} \right].$$

C. P. Herzog, P. K. Kovtun, S. Sachdev, and D. T. Son,
Phys. Rev. D **75**, 085020 (2007).

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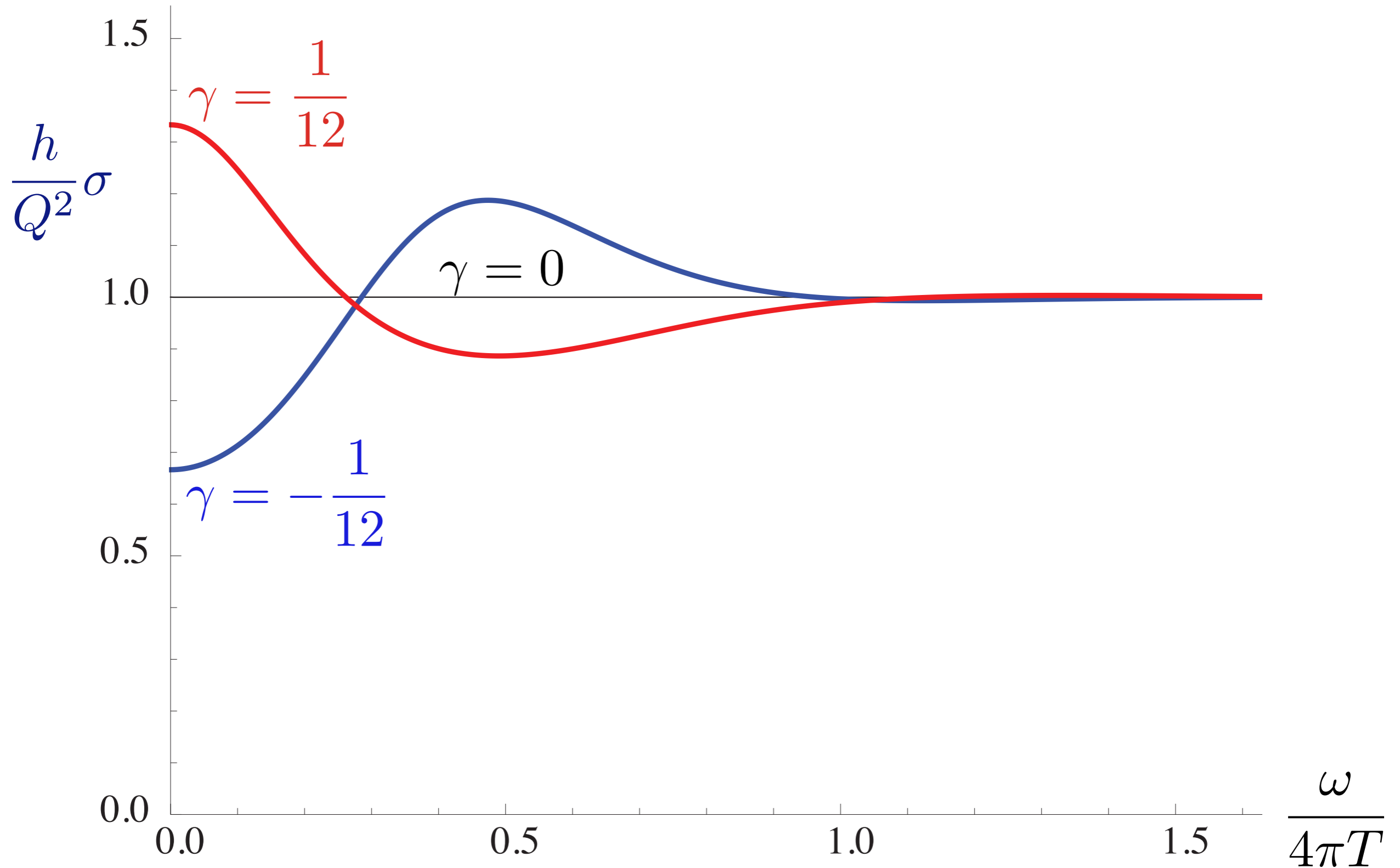
We include all possible 4-derivative terms: after suitable field redefinitions, the required theory has only *one* dimensionless constant γ (L is the radius of AdS₄):

$$\mathcal{S} = \frac{1}{g_4^2} \int d^4x \sqrt{-g} \left[-\frac{1}{4} F_{ab} F^{ab} + \gamma L^2 C_{abcd} F^{ab} F^{cd} \right],$$

where C_{abcd} is the Weyl curvature tensor.

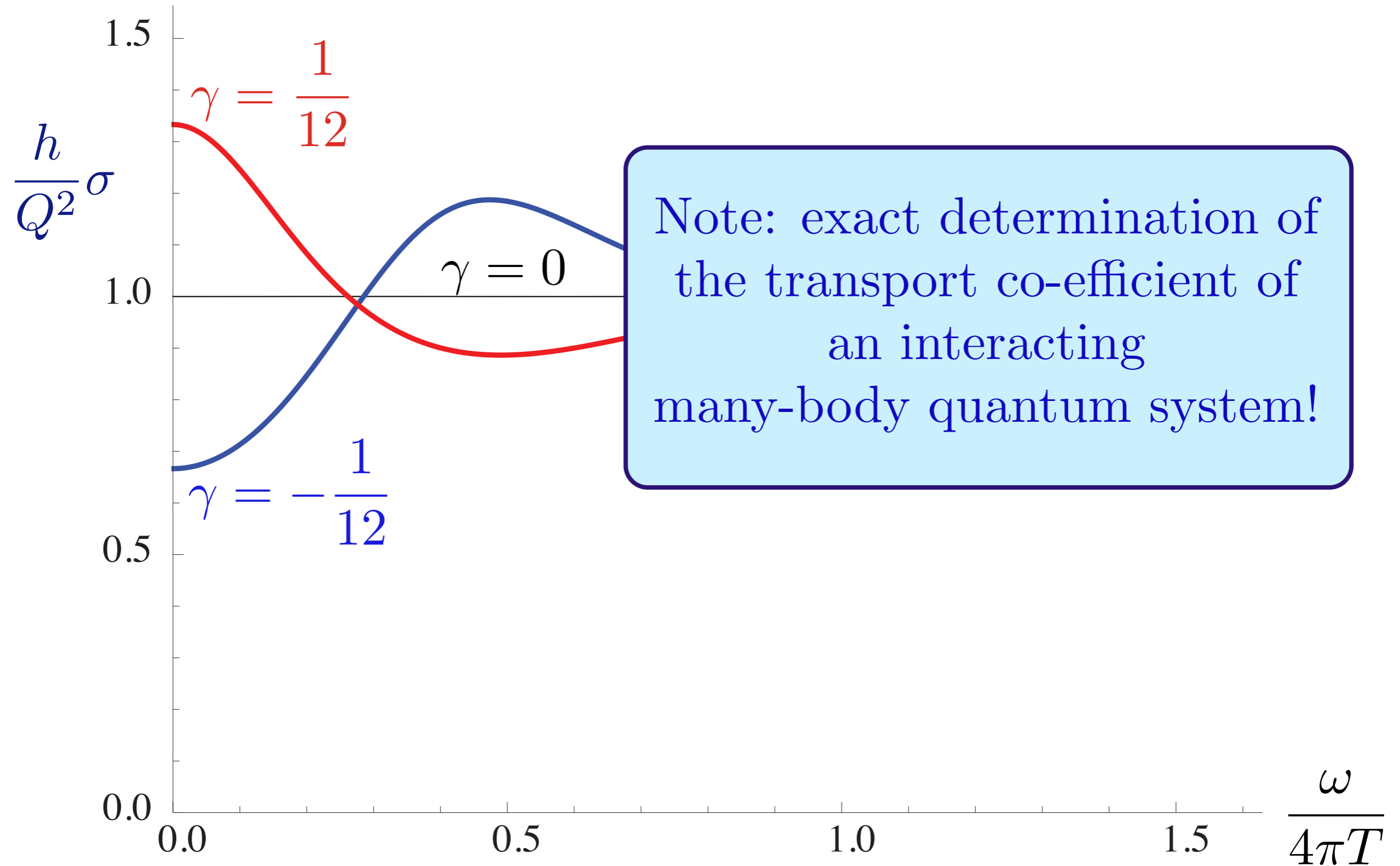
Stability and causality constraints restrict $|\gamma| < 1/12$.

AdS₄ theory of strongly interacting “perfect fluids”



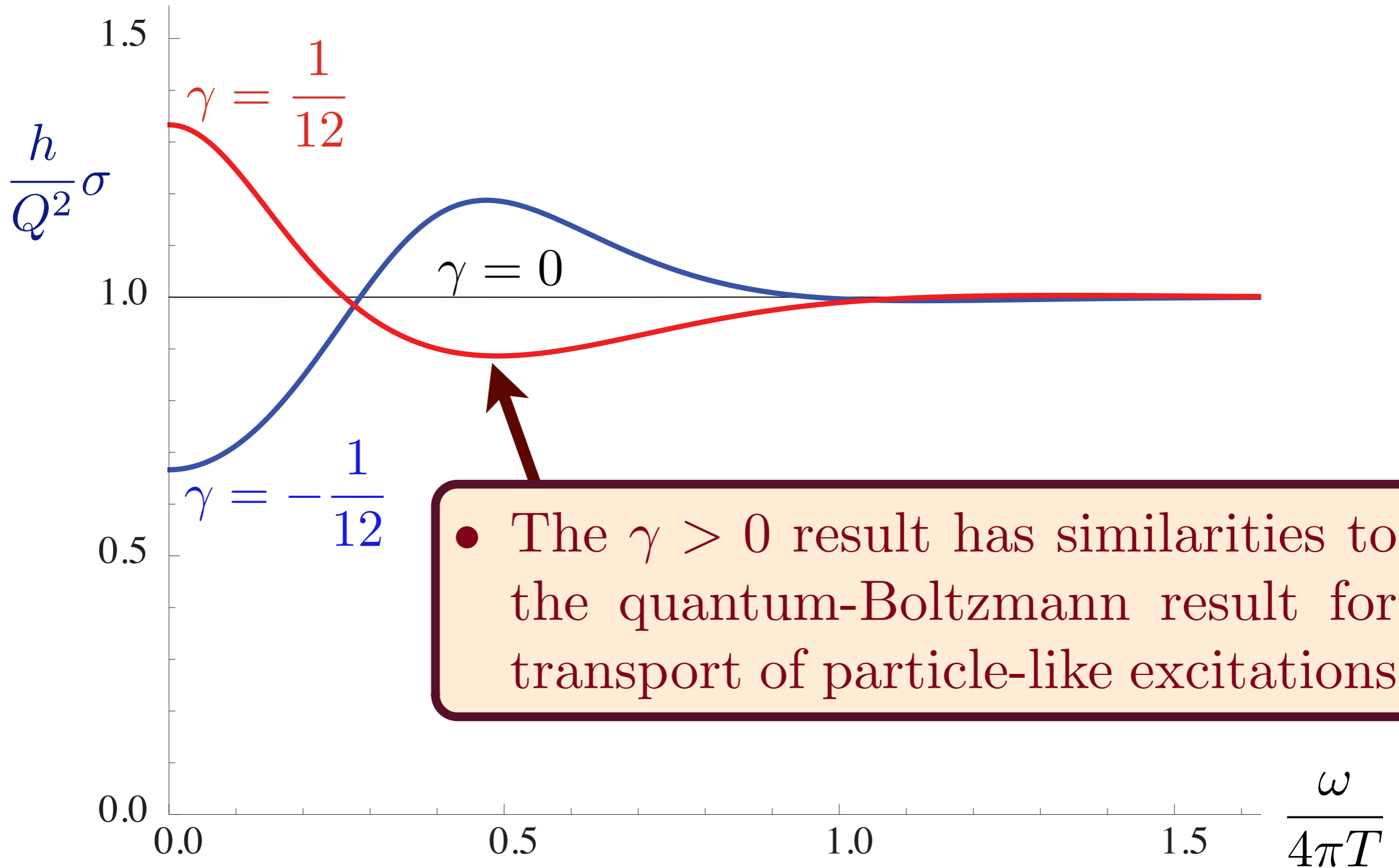
R. C. Myers, S. Sachdev, and A. Singh, *Physical Review D* **83**, 066017 (2011)

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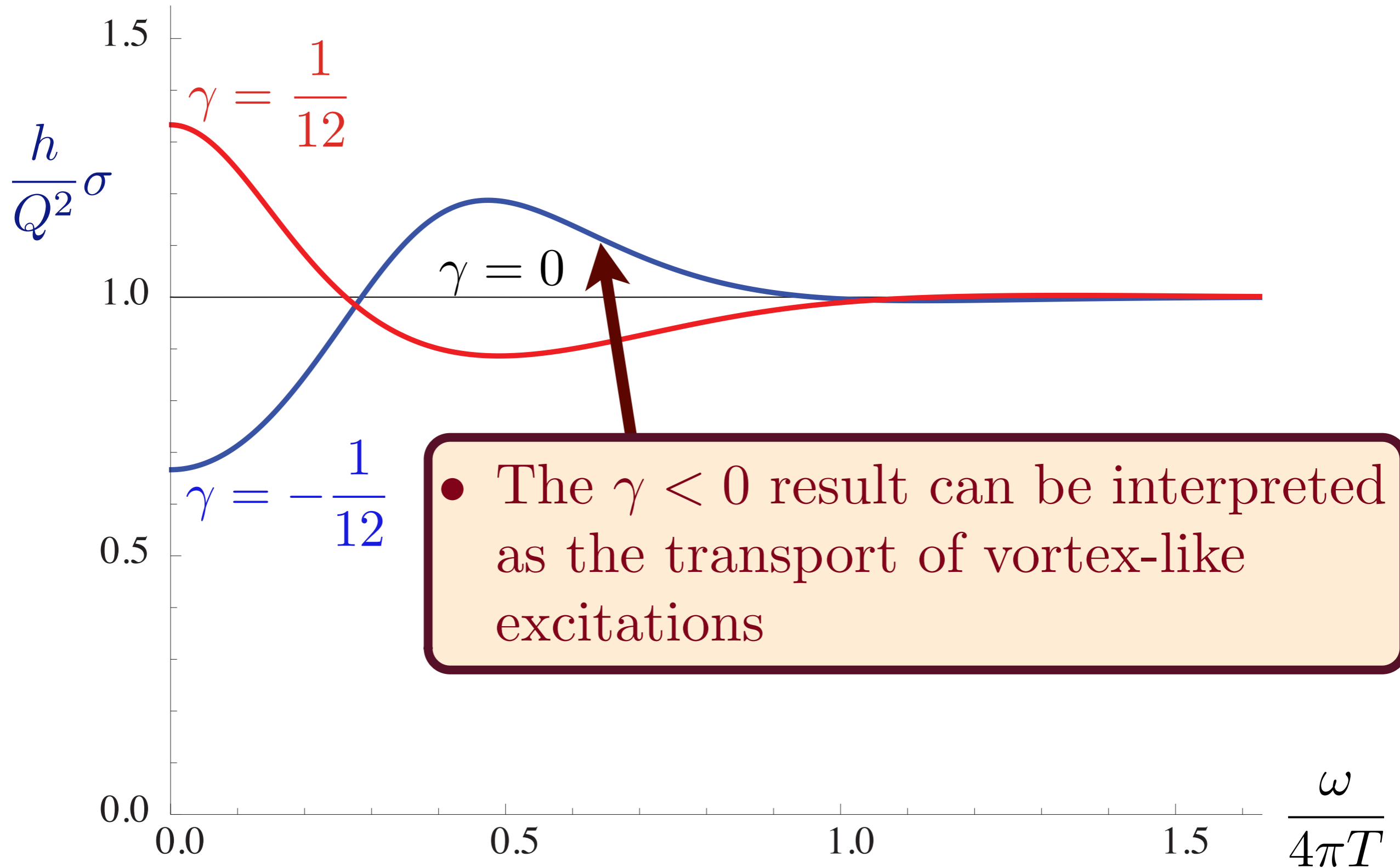
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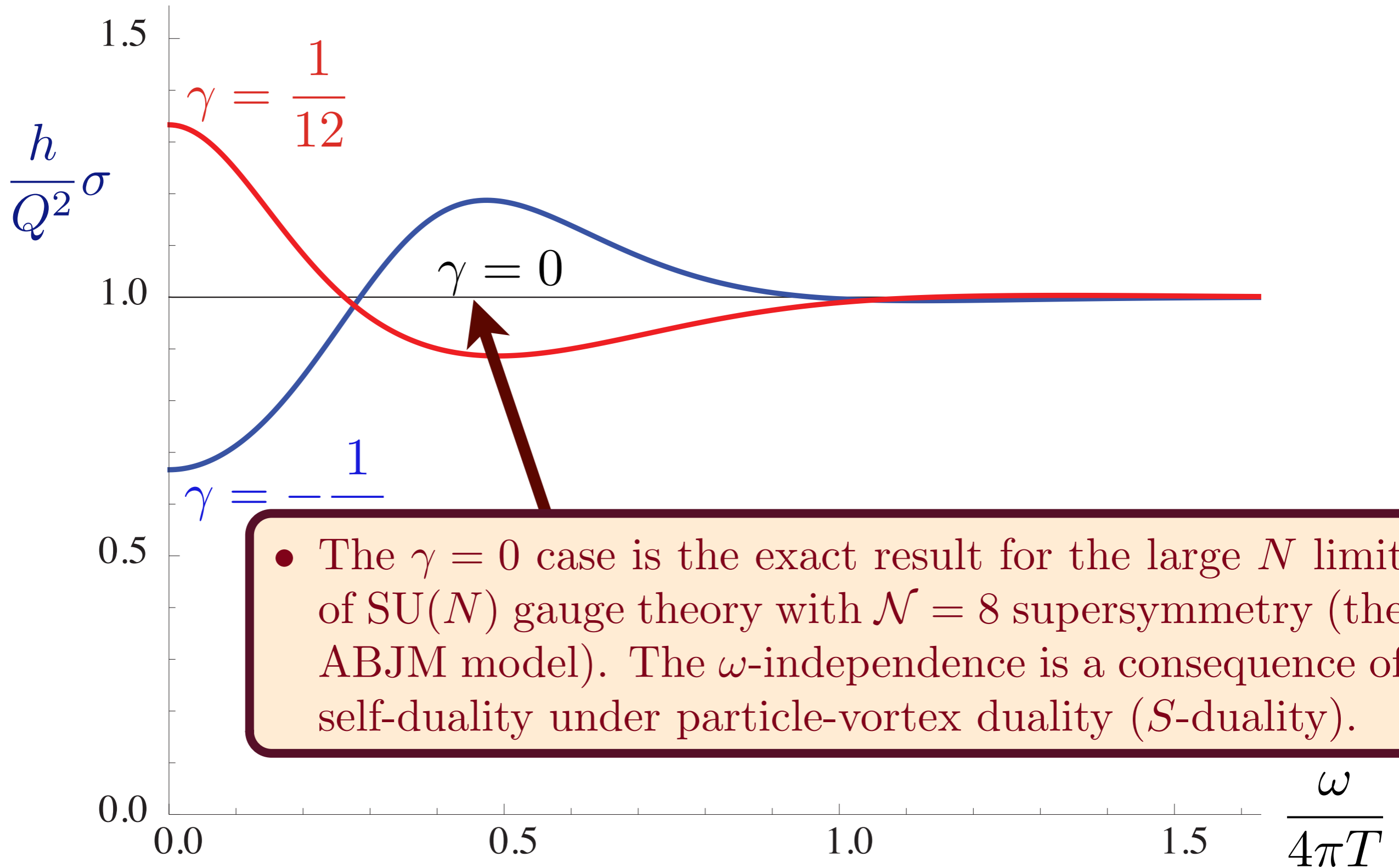
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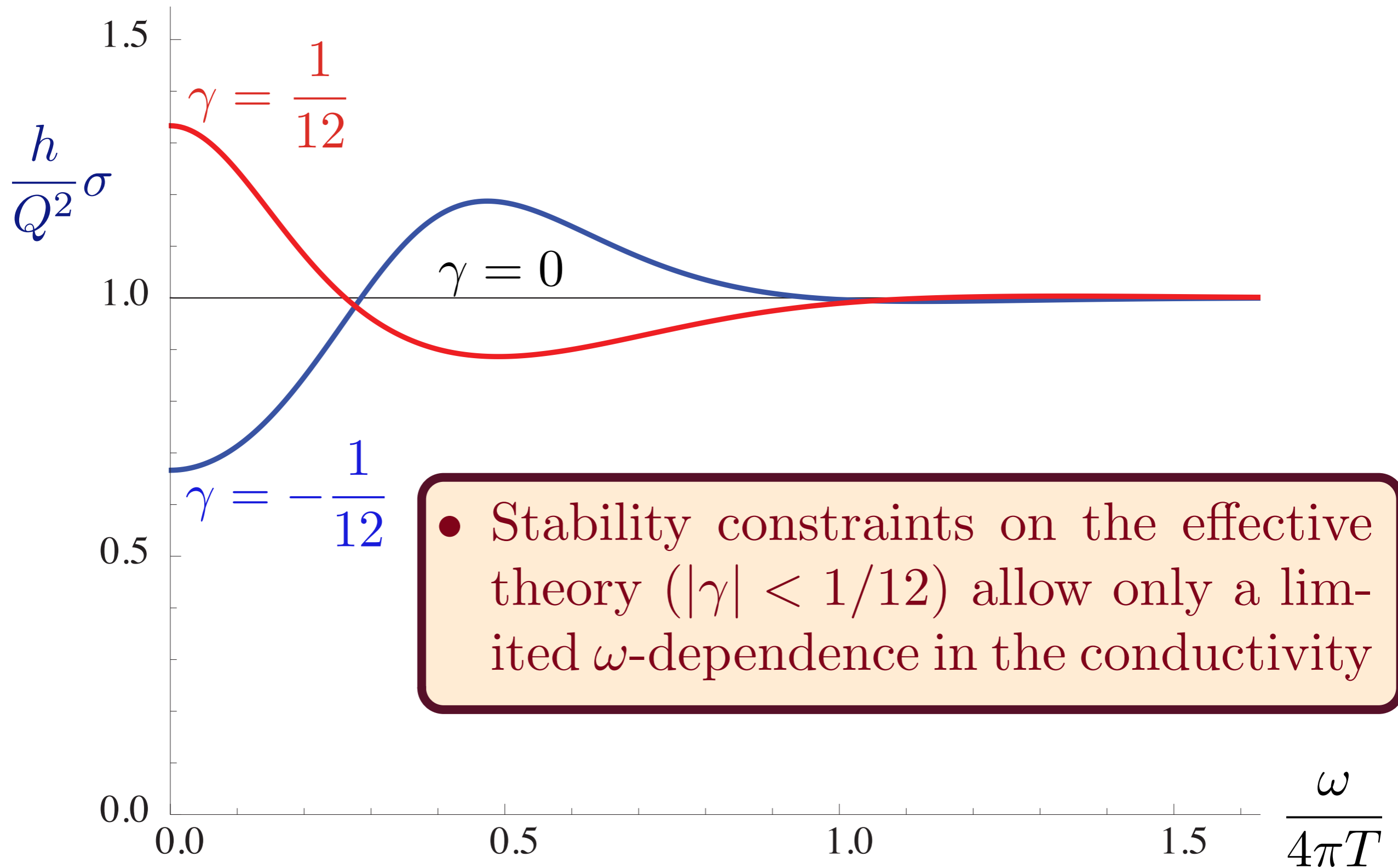
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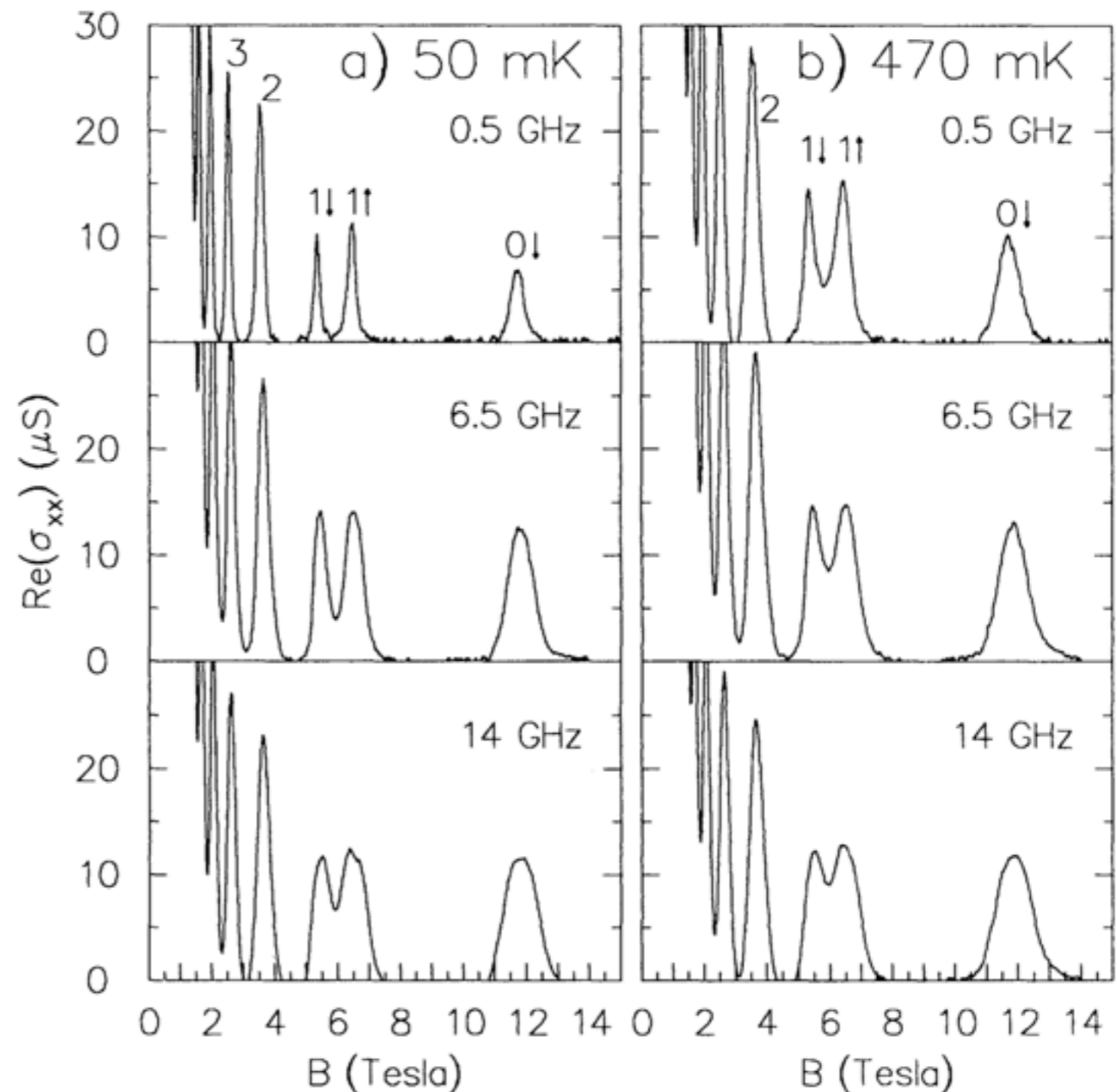


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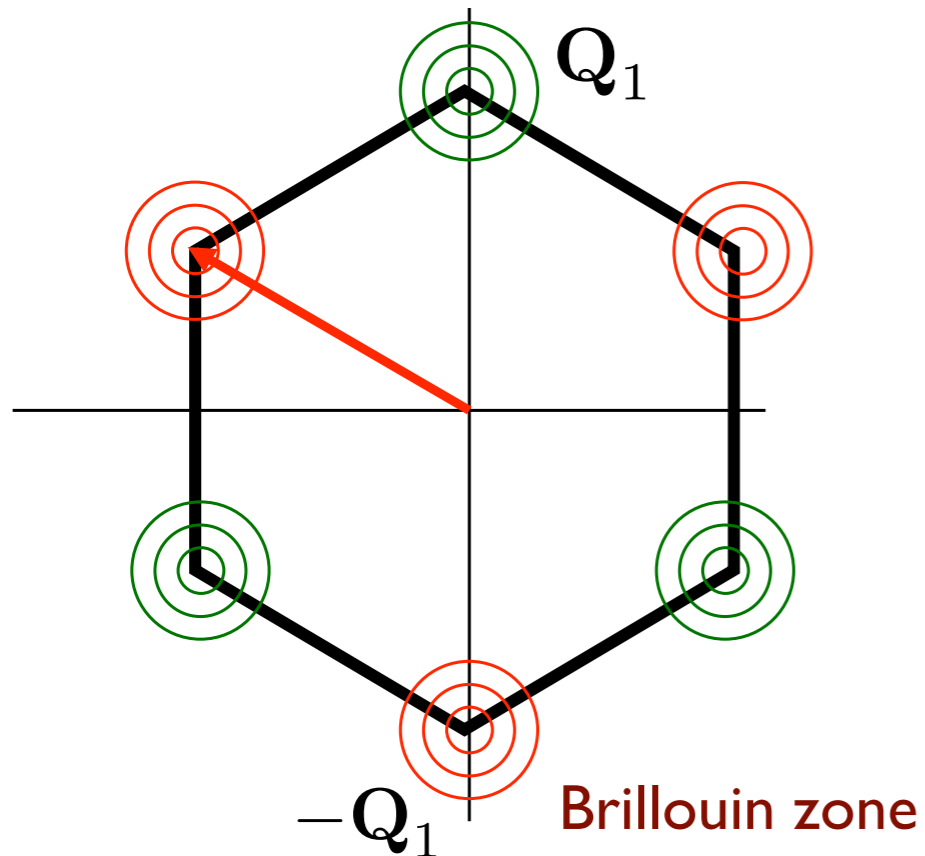
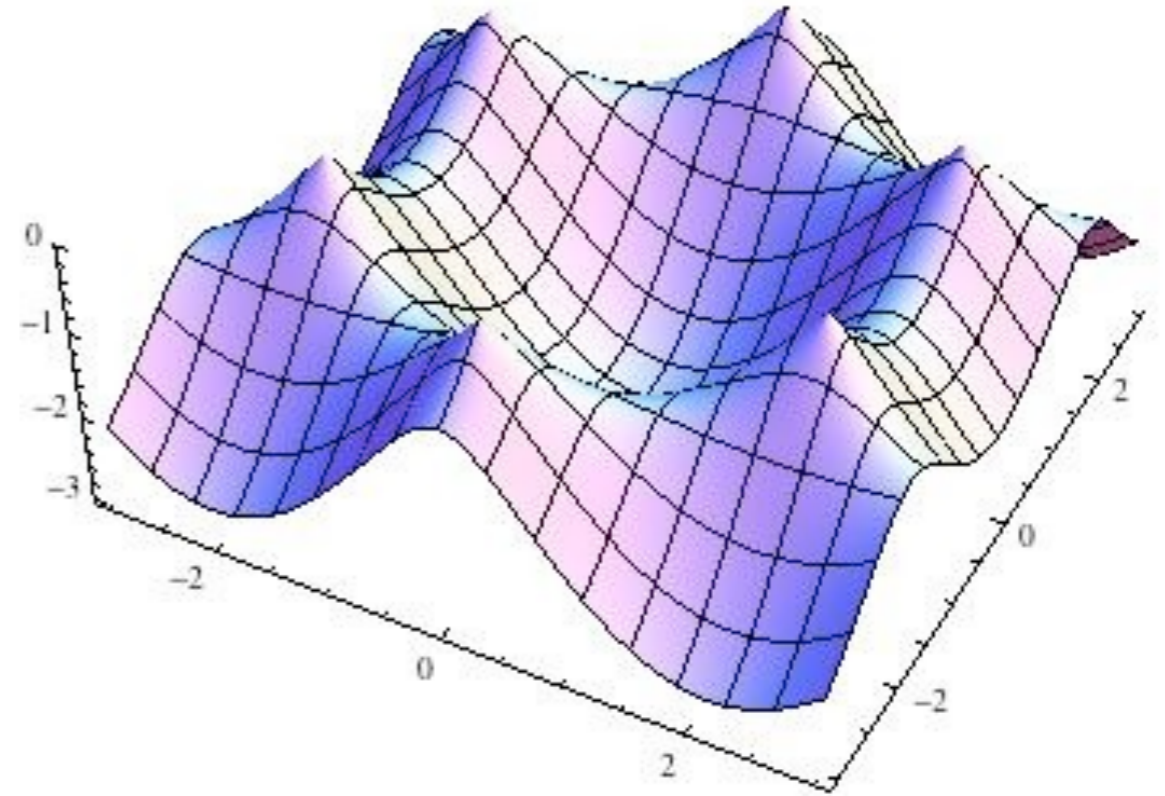
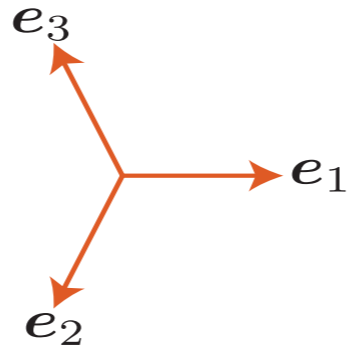
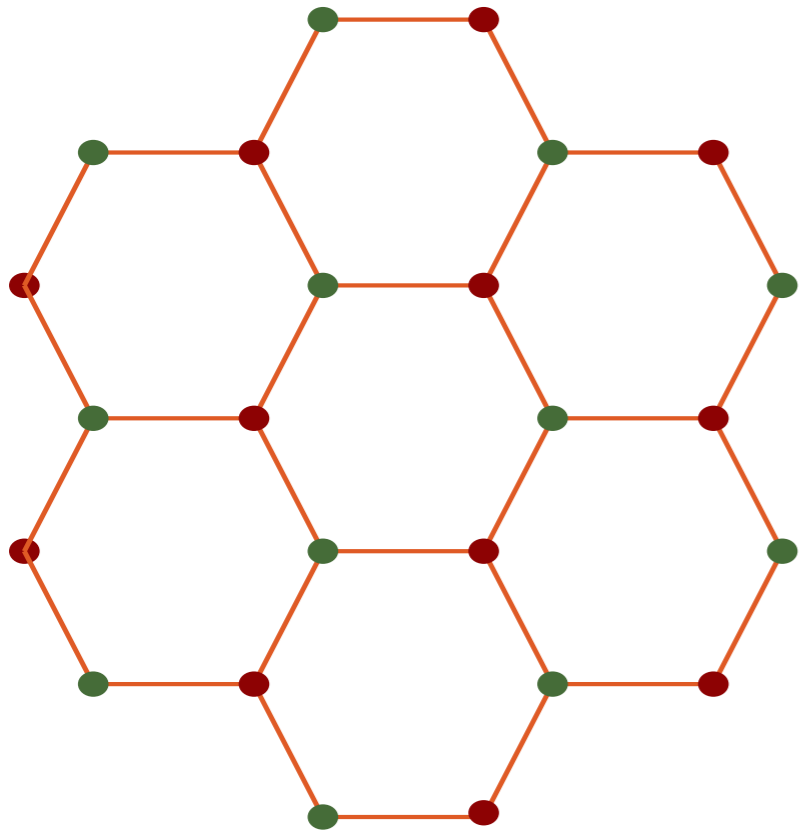
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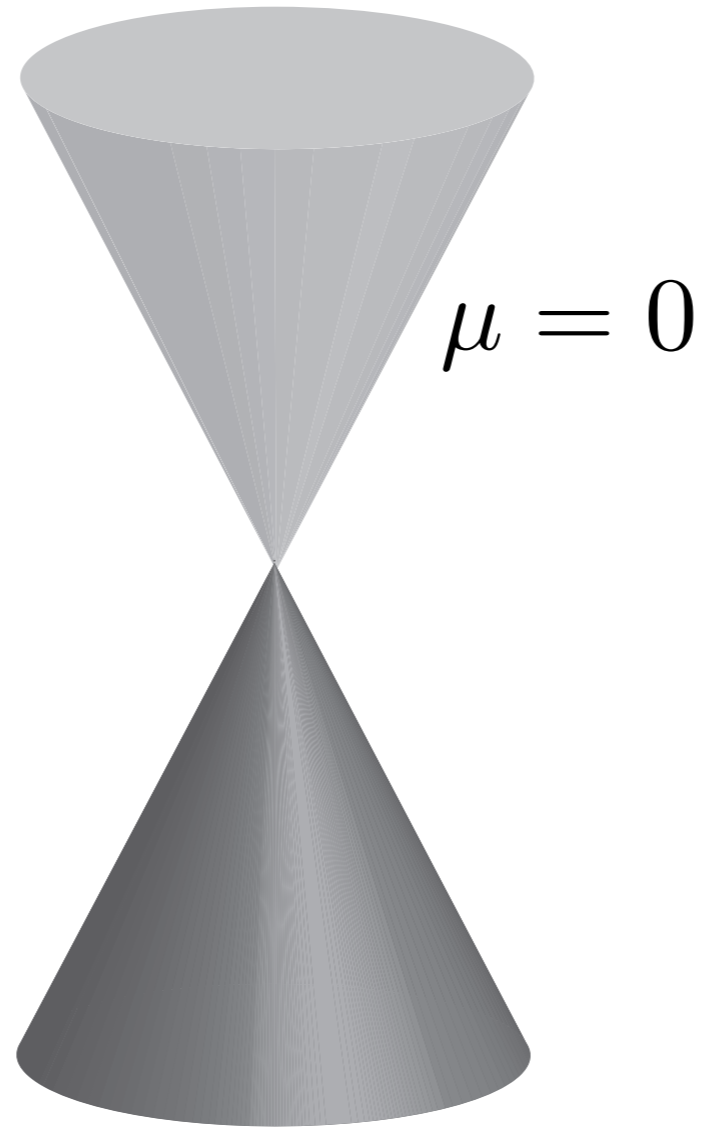
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Graphene

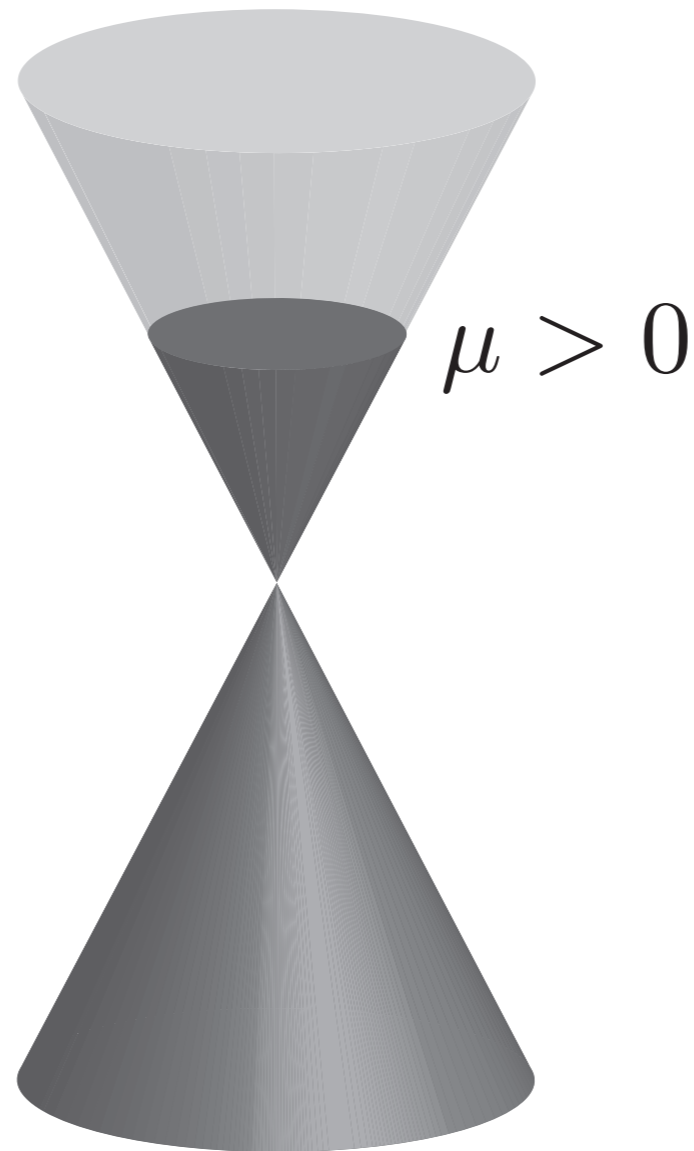


**Semi-metal with
massless Dirac fermions**

Turn on a chemical potential on a CFT

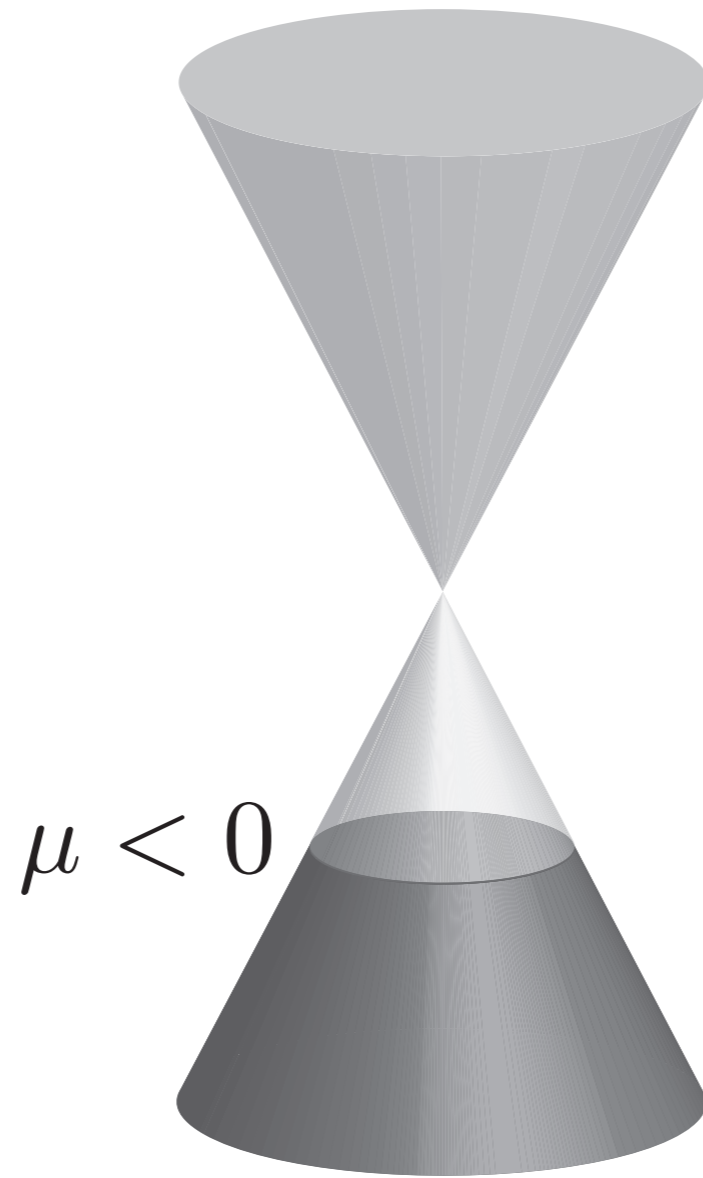


Turn on a chemical potential on a CFT



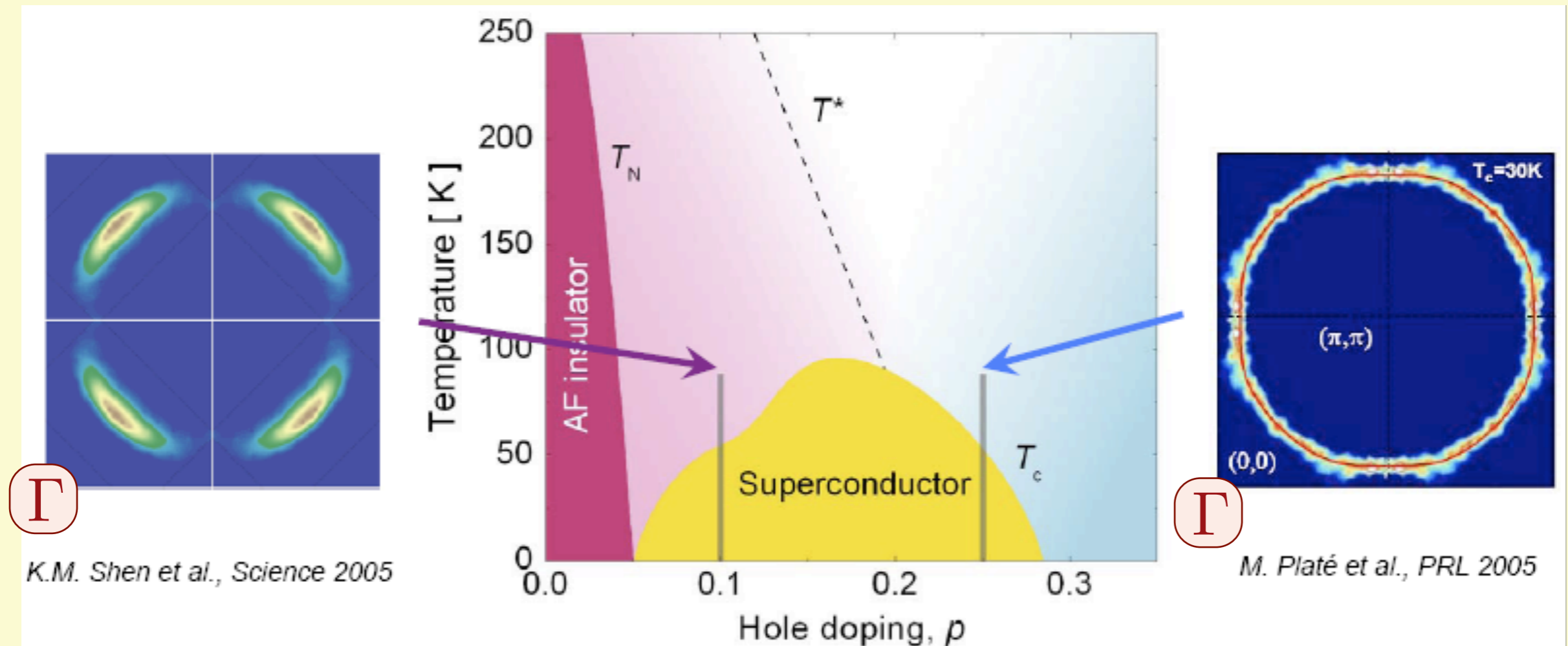
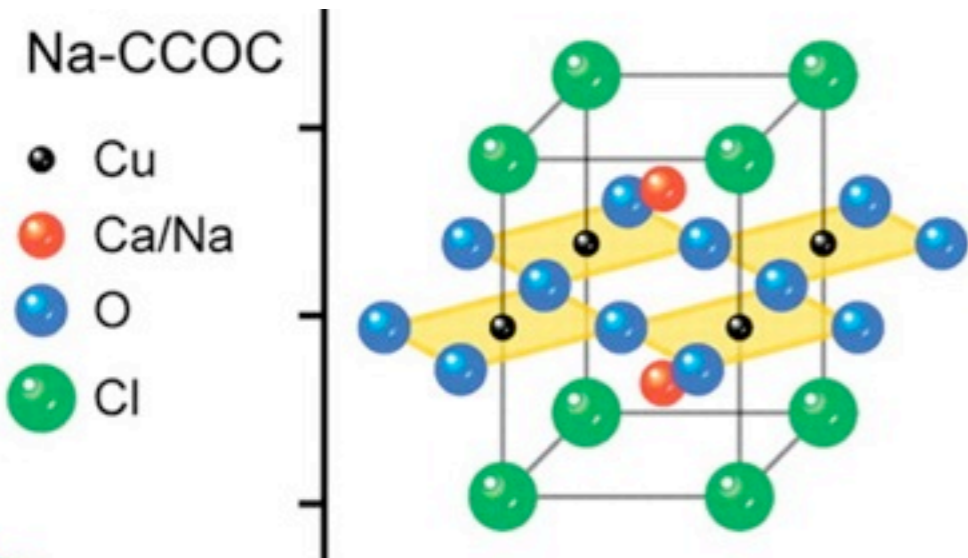
**Electron
Fermi surface**

Turn on a chemical potential on a CFT



**Hole
Fermi surface**

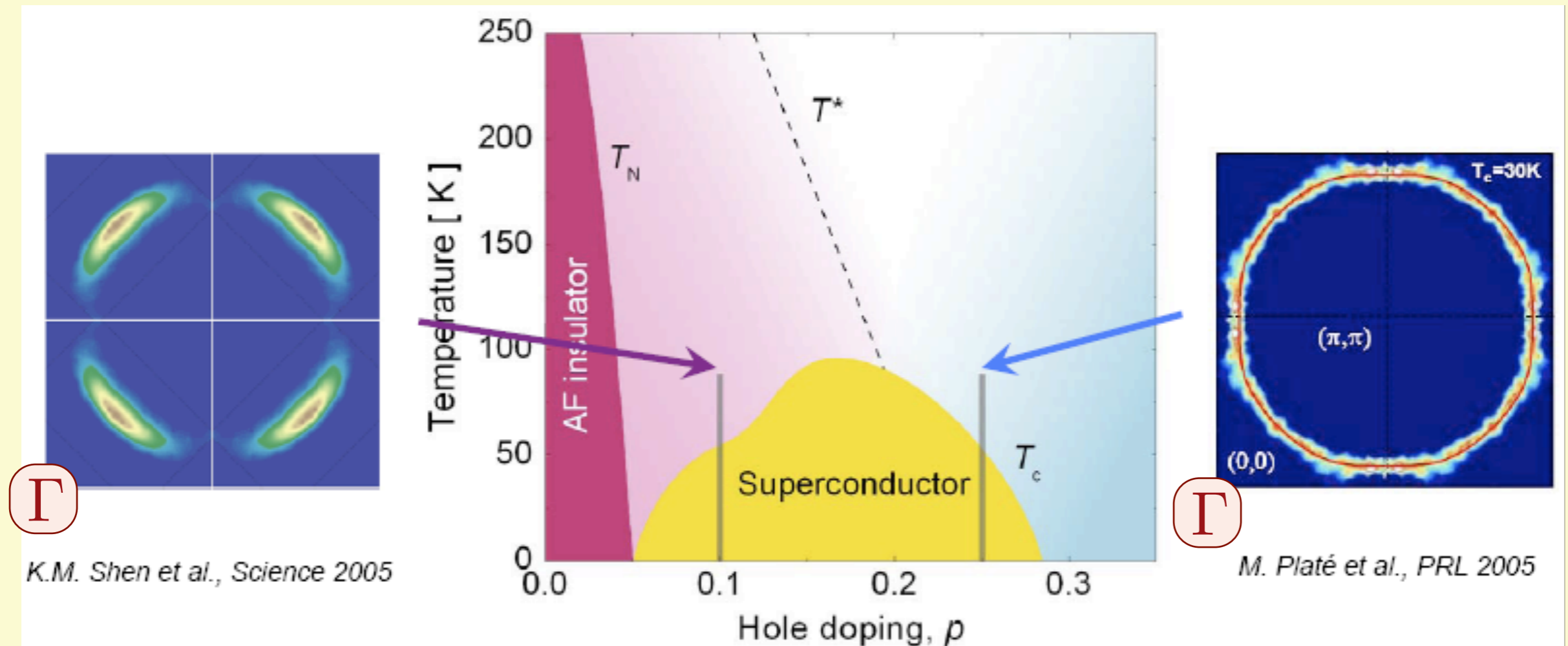
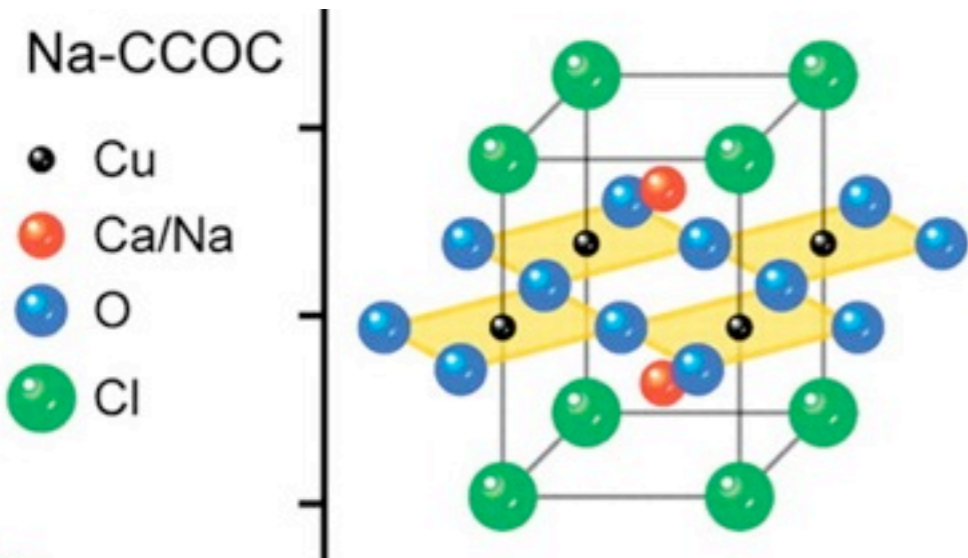
The cuprate superconductors



Smaller hole
Fermi-pockets

Large hole
Fermi surface

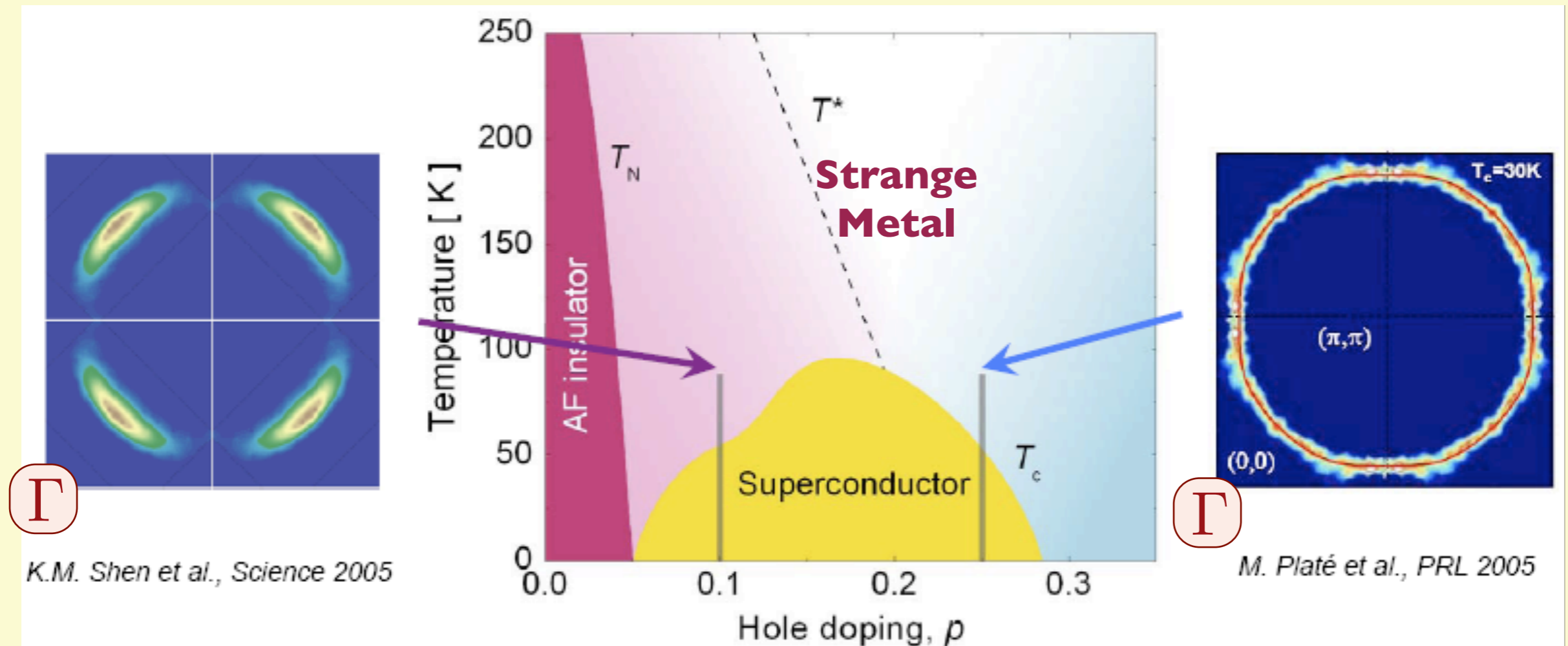
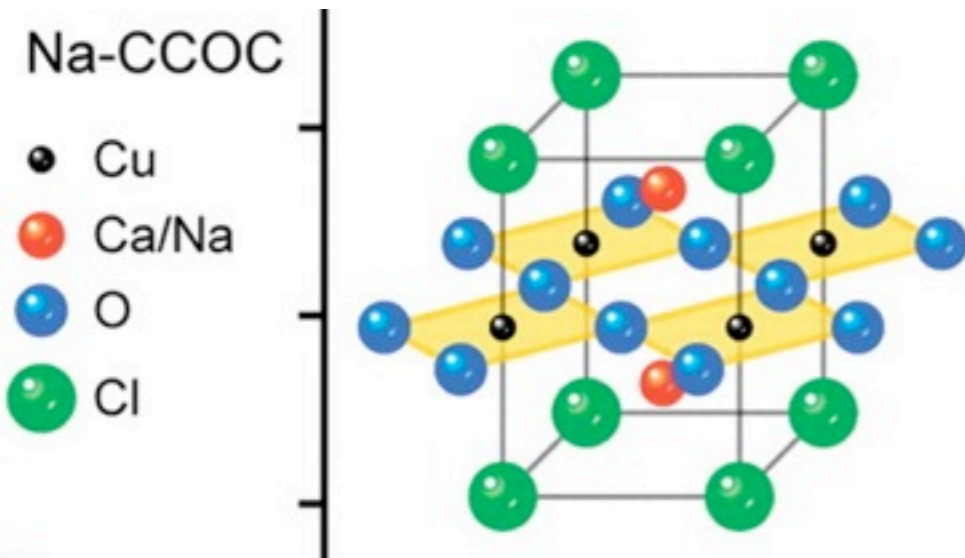
The cuprate superconductors



Smaller hole Fermi-pockets

Large hole Fermi surface

The cuprate superconductors



Smaller hole
Fermi-pockets

Large hole
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Electron-doped cuprate superconductors

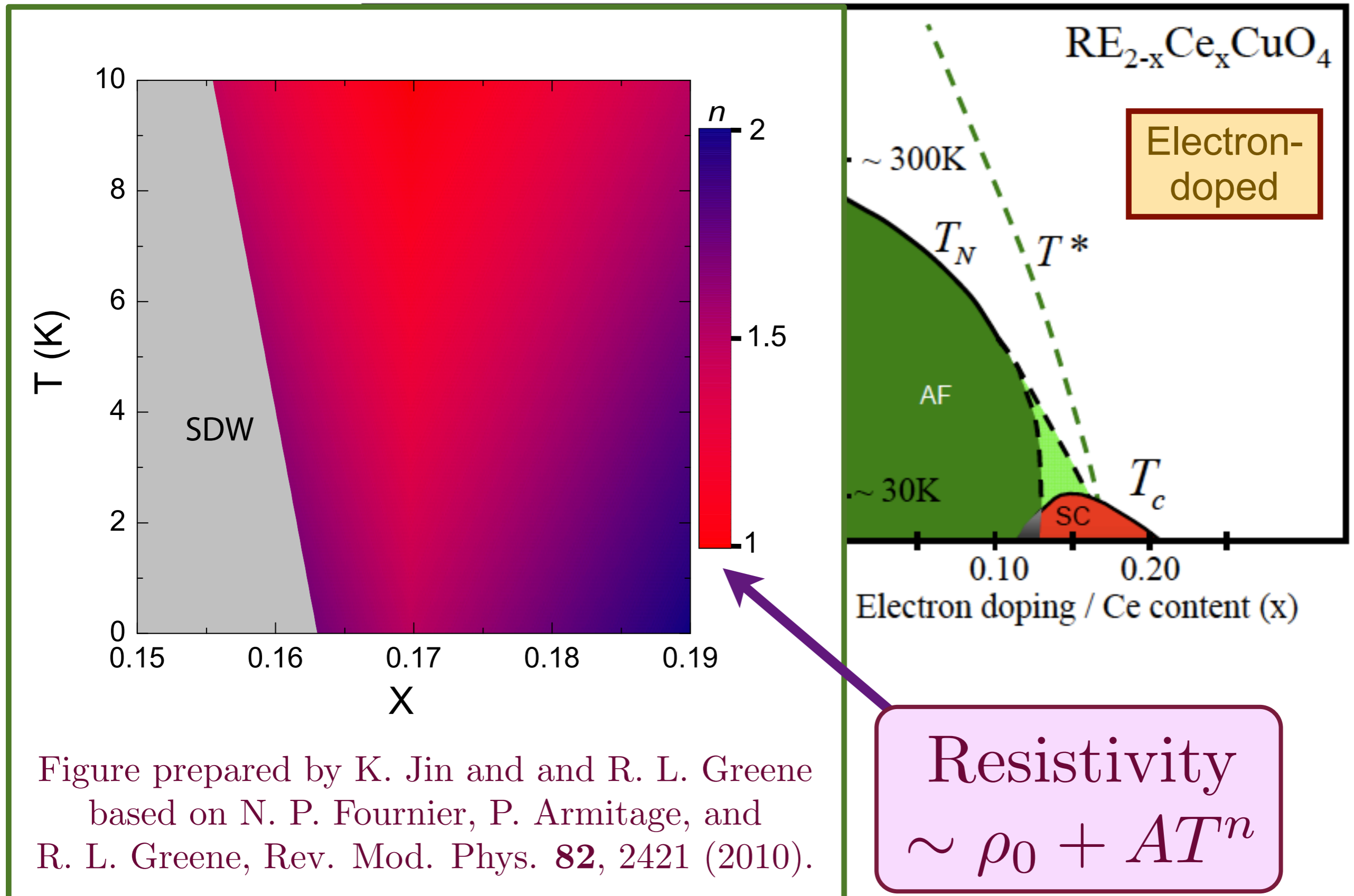
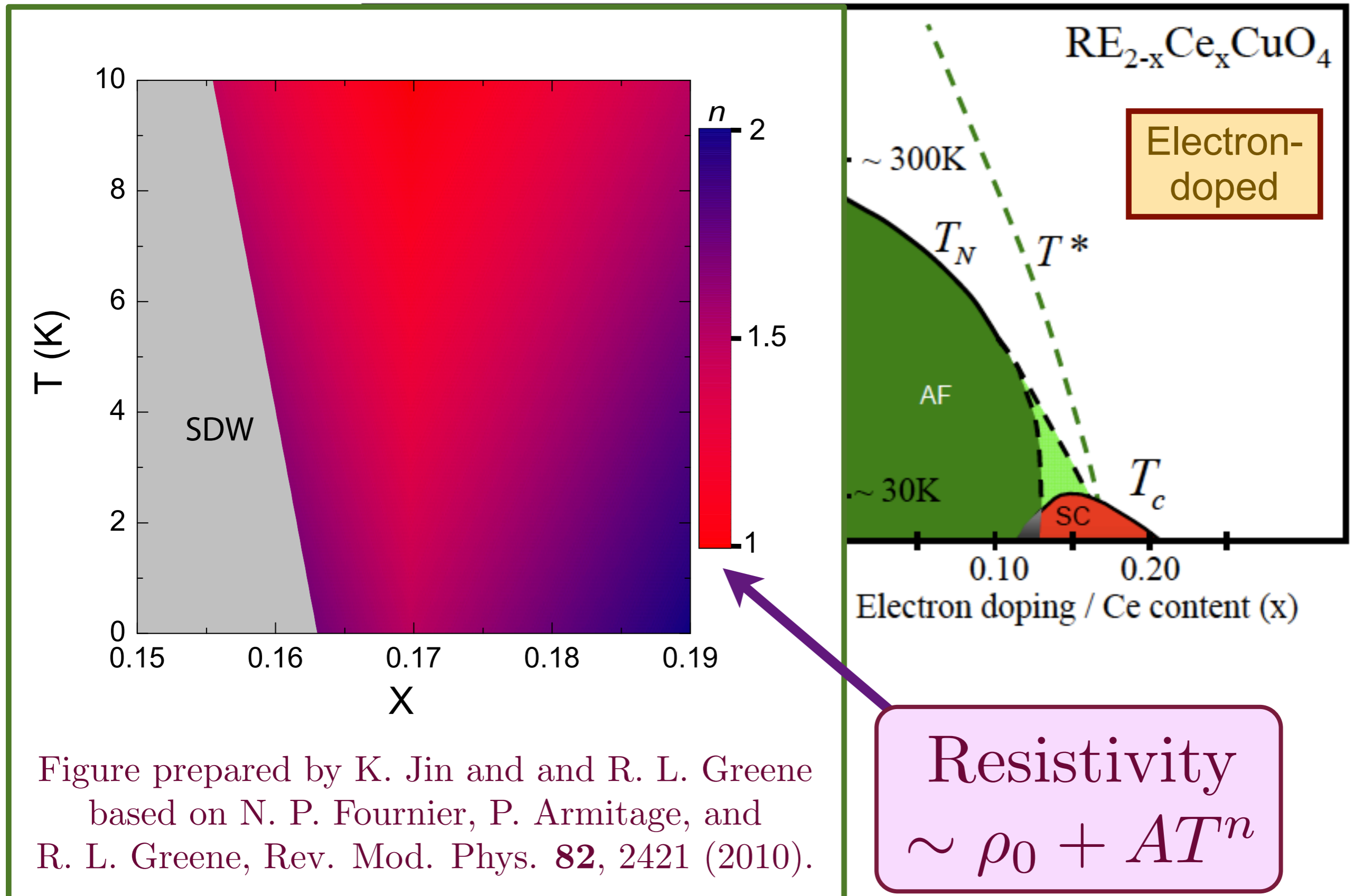


Figure prepared by K. Jin and R. L. Greene based on N. P. Fournier, P. Armitage, and R. L. Greene, Rev. Mod. Phys. **82**, 2421 (2010).

Resistivity
 $\sim \rho_0 + AT^n$

Electron-doped cuprate superconductors



Electron-doped cuprate superconductors

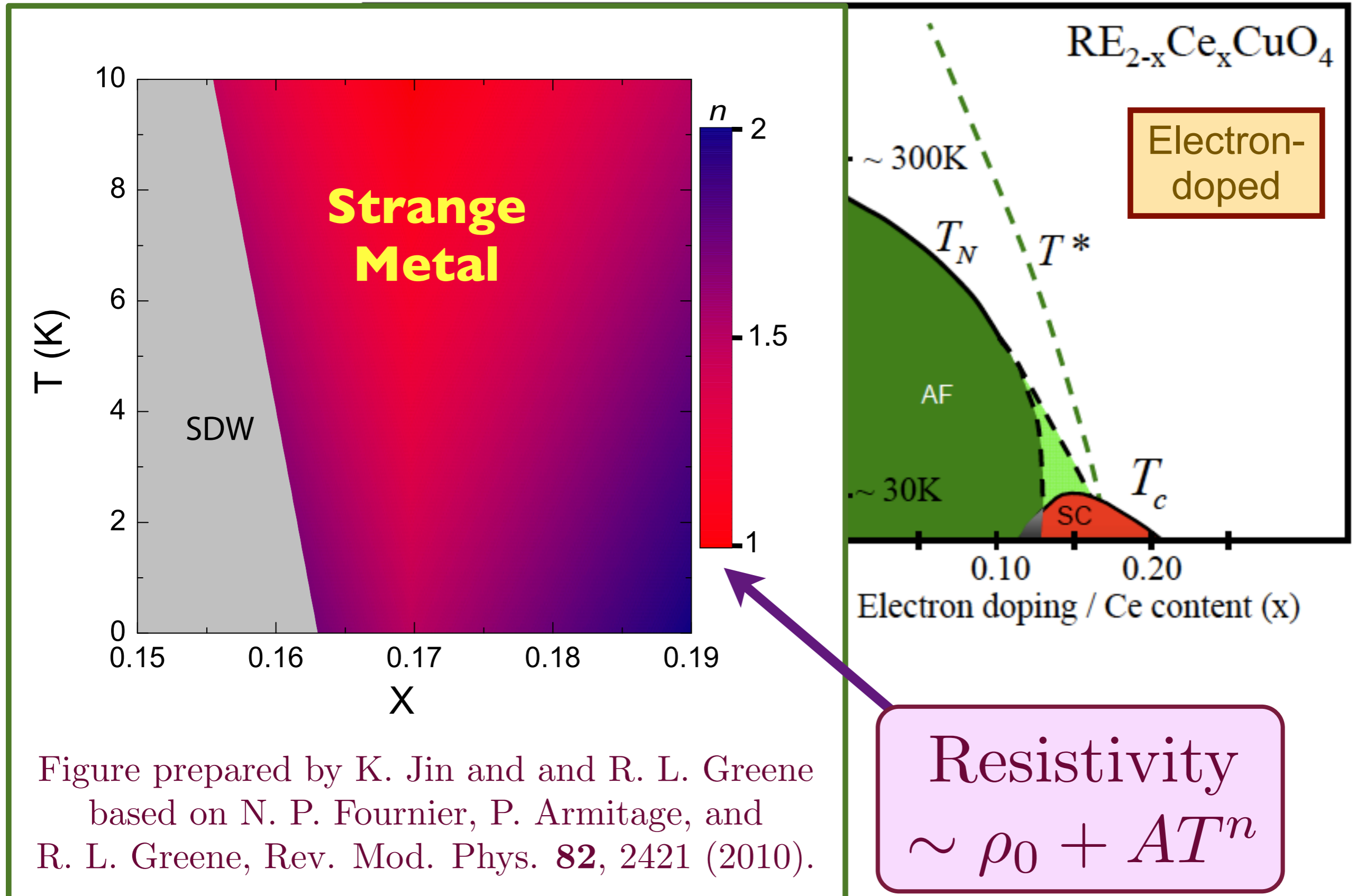
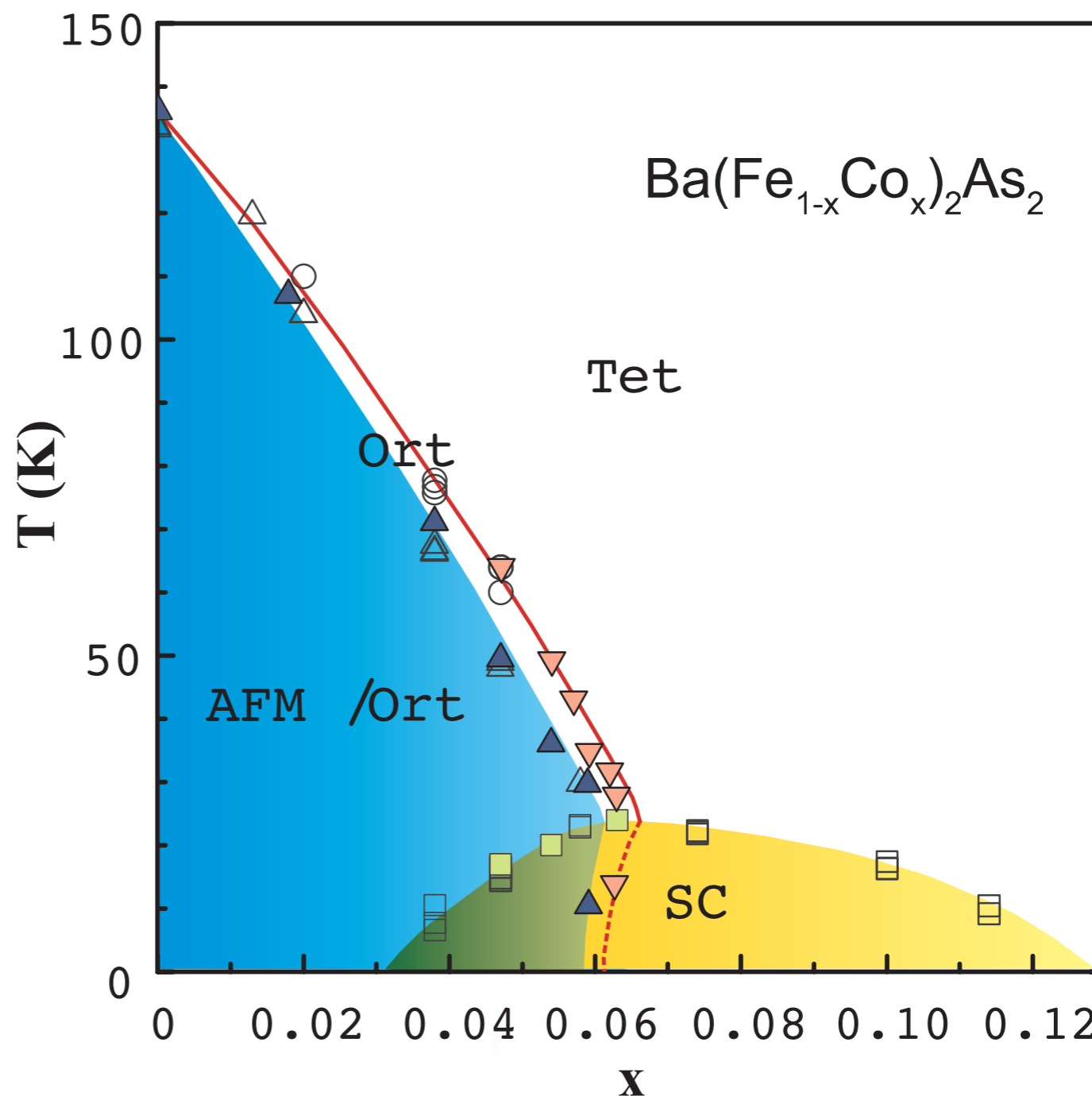
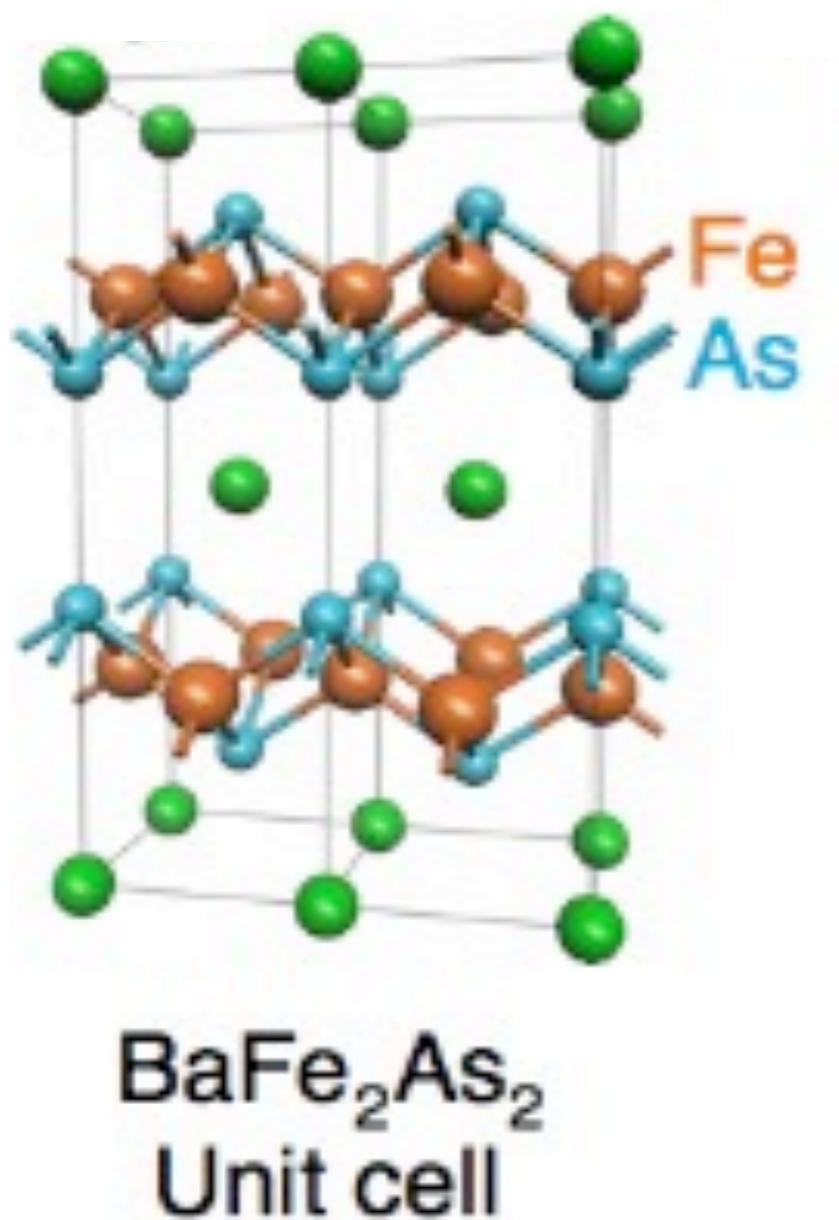


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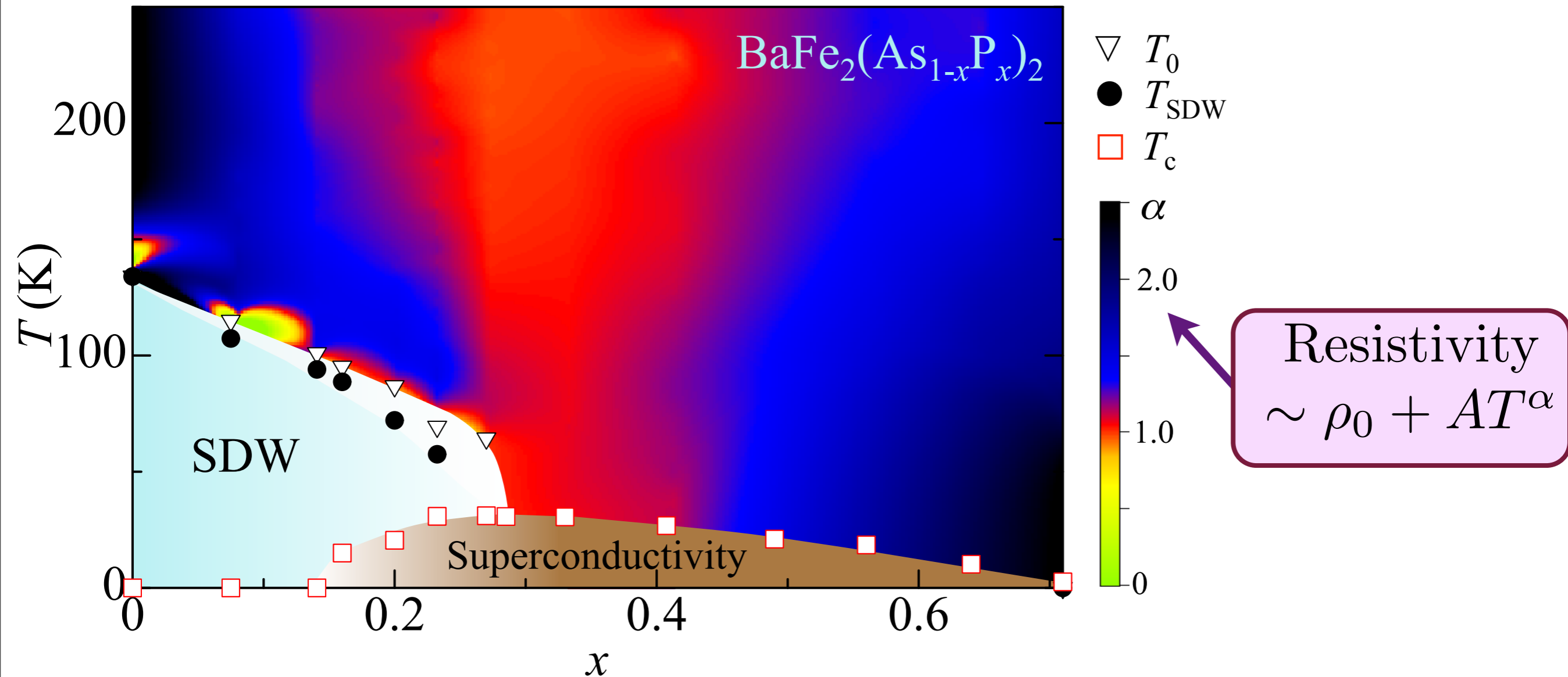
Iron pnictides:

a new class of high temperature superconductors



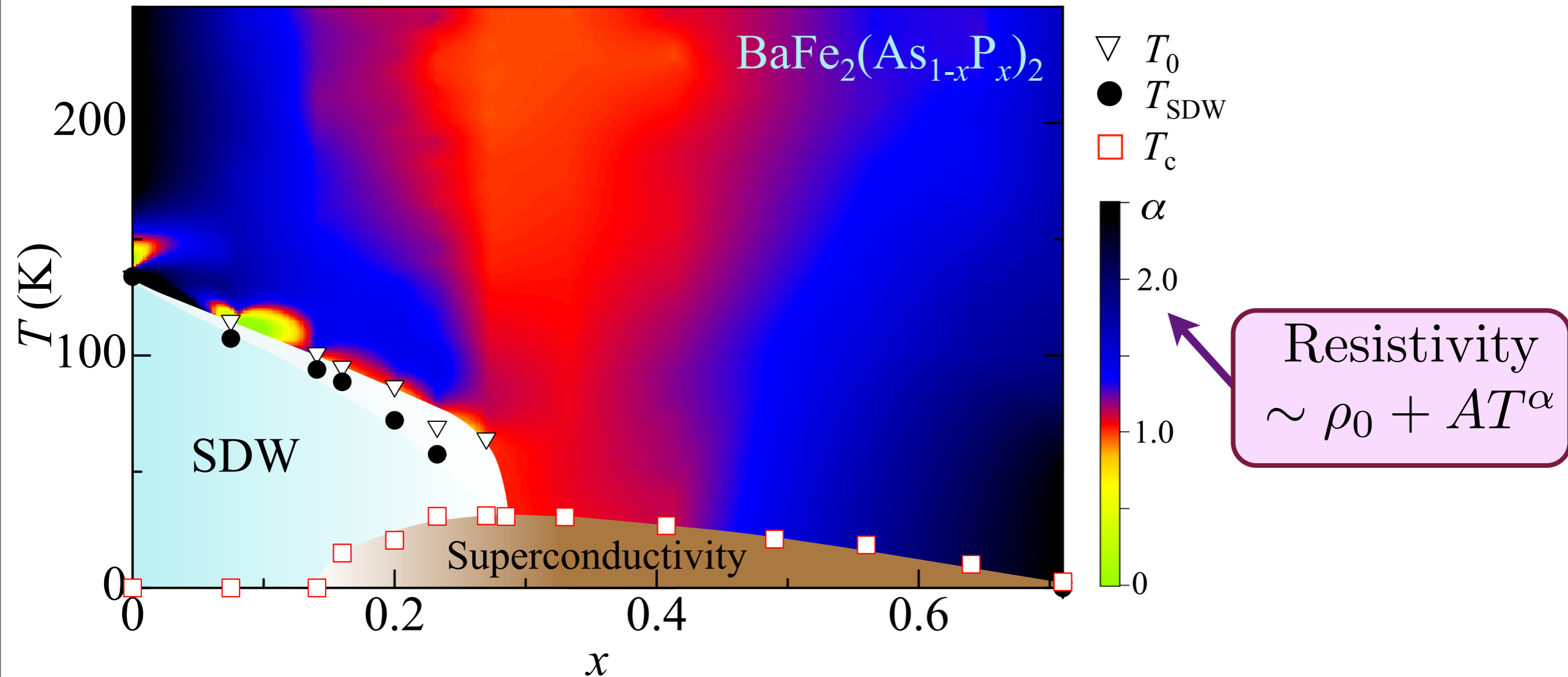
S. Nandi, M. G. Kim, A. Kreyssig, R. M. Fernandes, D. K. Pratt, A. Thaler, N. Ni,
S. L. Bud'ko, P. C. Canfield, J. Schmalian, R. J. McQueeney, A. I. Goldman,
Physical Review Letters **104**, 057006 (2010).

Temperature-doping phase diagram of the iron pnictides:



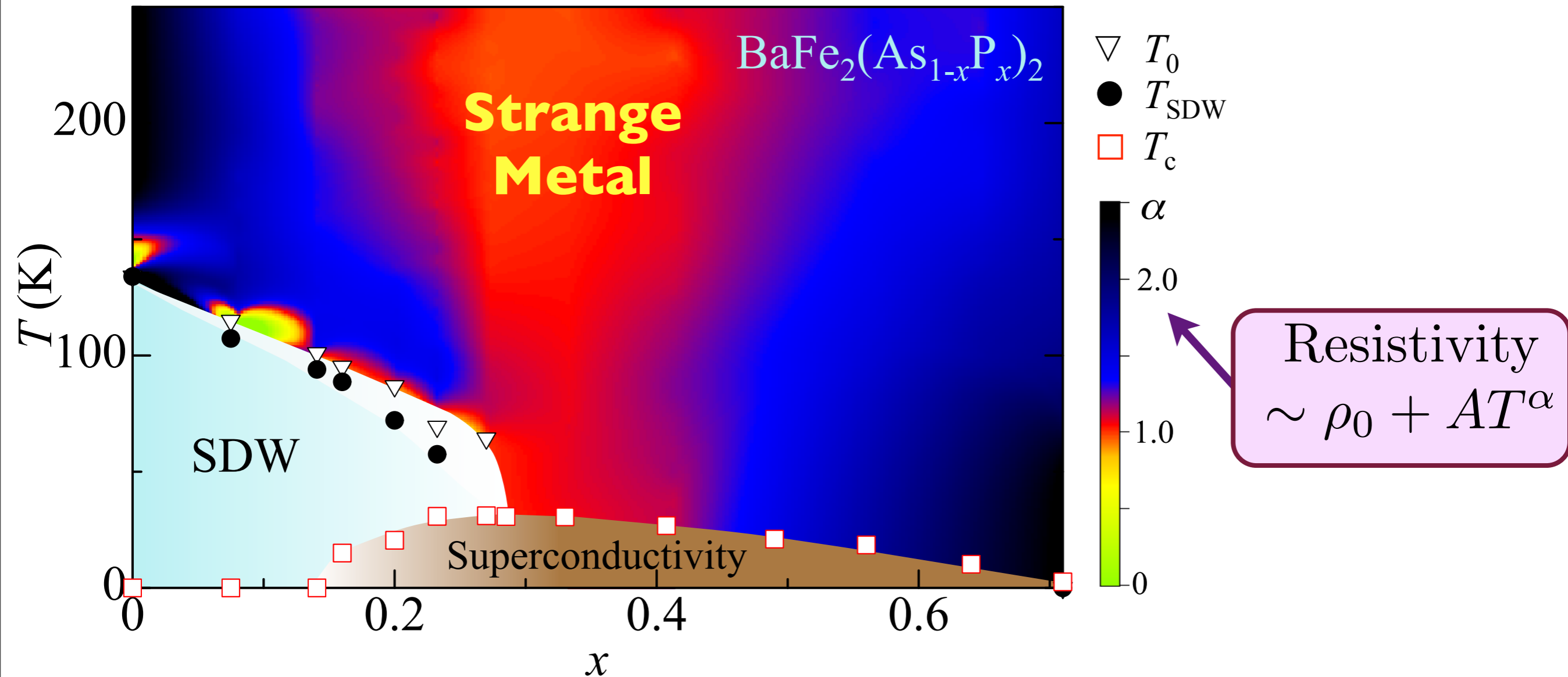
S. Kasahara, T. Shibauchi, K. Hashimoto, K. Ikada, S. Tonegawa, R. Okazaki, H. Shishido, H. Ikeda, H. Takeya, K. Hirata, T. Terashima, and Y. Matsuda, *Physical Review B* **81**, 184519 (2010)

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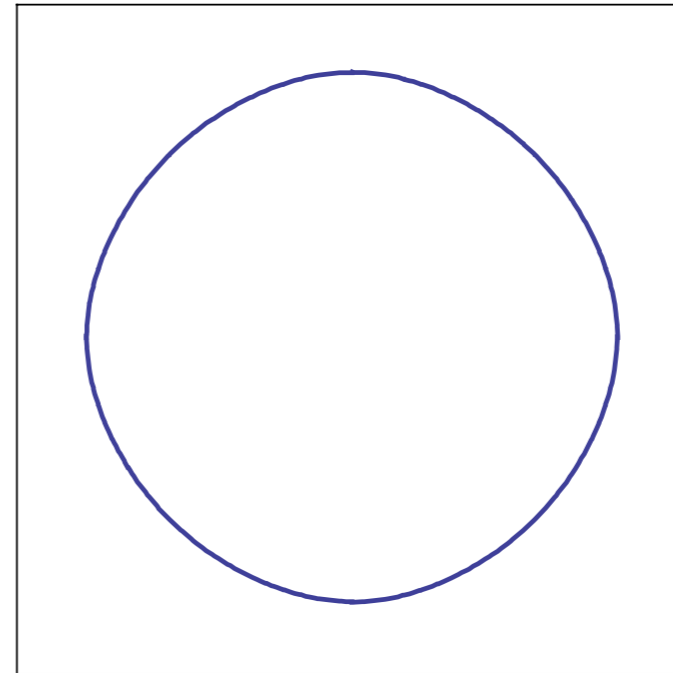
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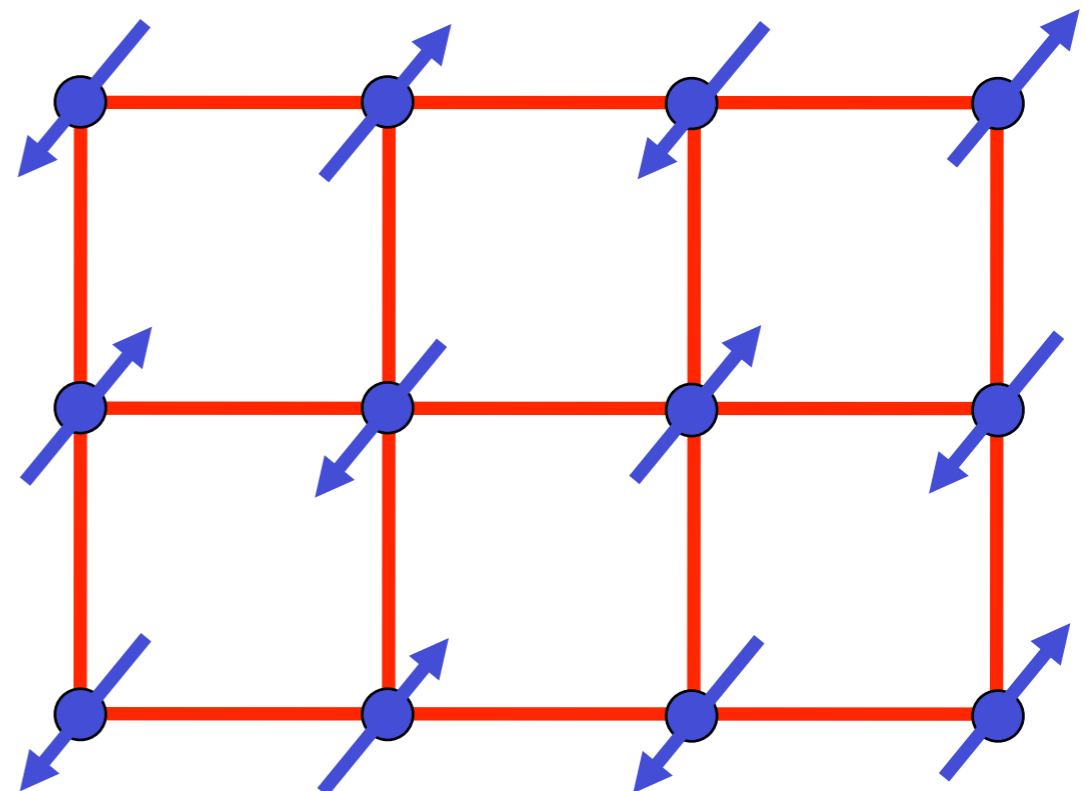
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Fermi surface+antiferromagnetism

Metal with “large”
Fermi surface



+

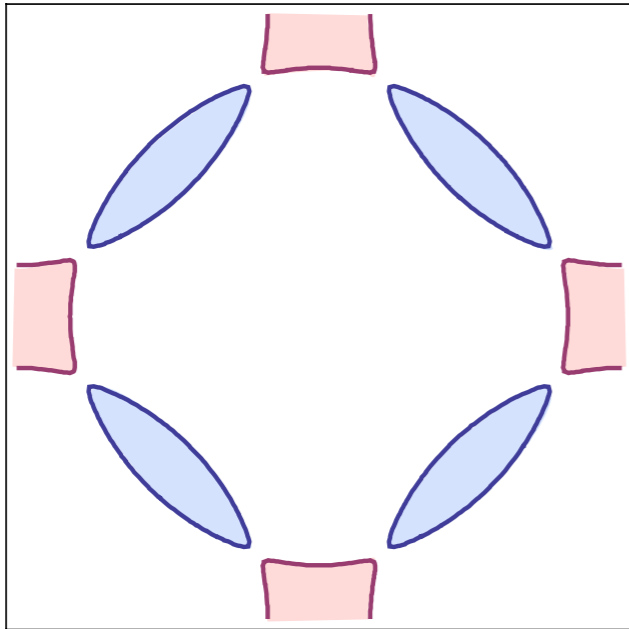


The electron spin polarization obeys

$$\langle \vec{S}(\mathbf{r}, \tau) \rangle = \vec{\varphi}(\mathbf{r}, \tau) e^{i\mathbf{K} \cdot \mathbf{r}}$$

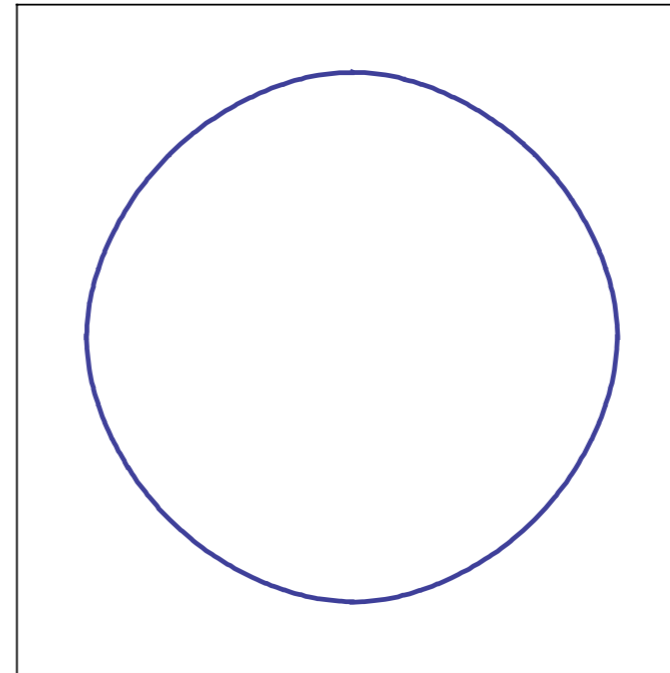
where \mathbf{K} is the ordering wavevector.

Fermi surface+antiferromagnetism



$$\langle \vec{\varphi} \rangle \neq 0$$

Metal with electron
and hole pockets



$$\langle \vec{\varphi} \rangle = 0$$

Metal with “large”
Fermi surface

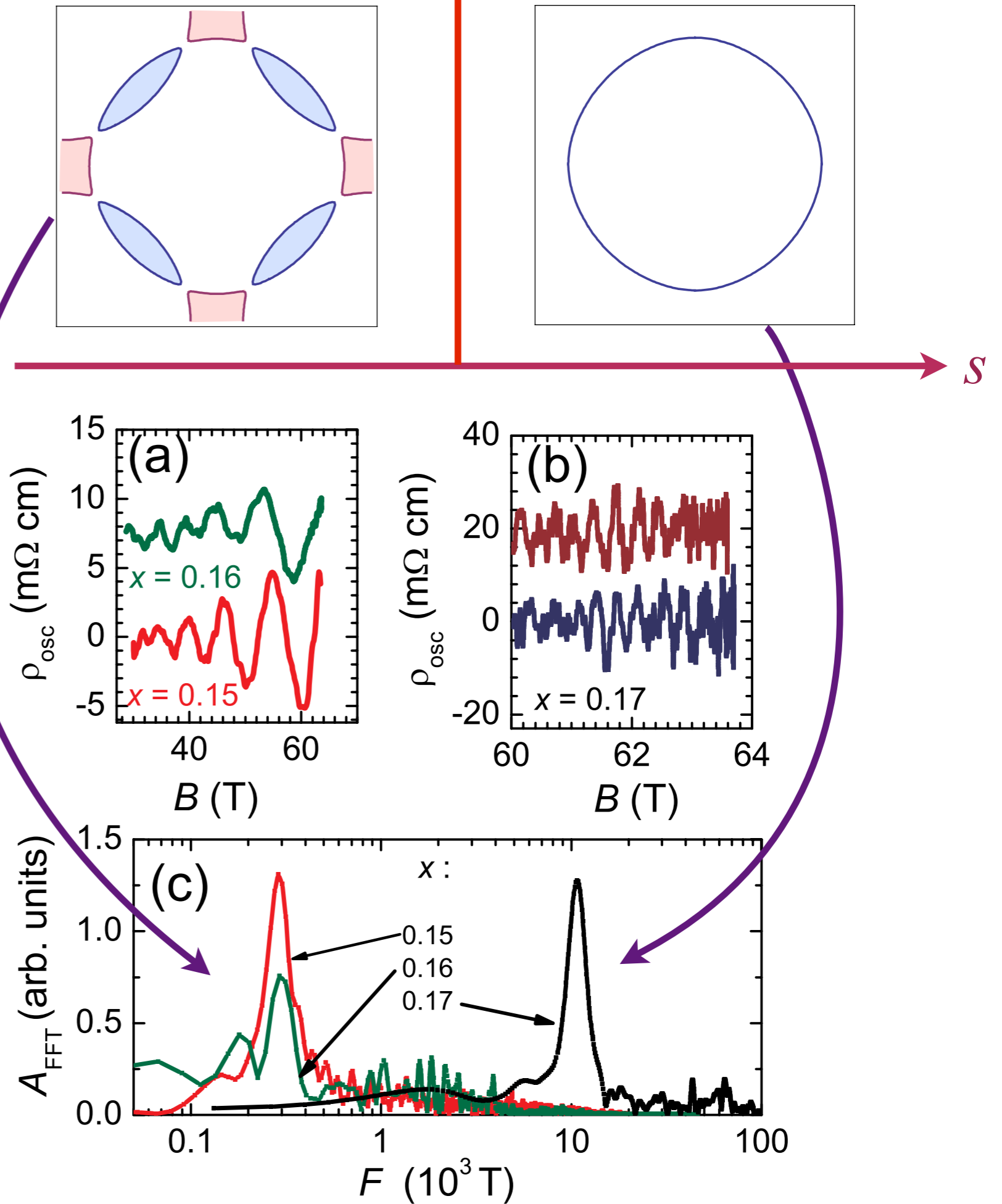
← Increasing interaction

S. Sachdev, A. V. Chubukov, and A. Sokol, *Phys. Rev. B* **51**, 14874 (1995).
A. V. Chubukov and D. K. Morr, *Physics Reports* **288**, 355 (1997).

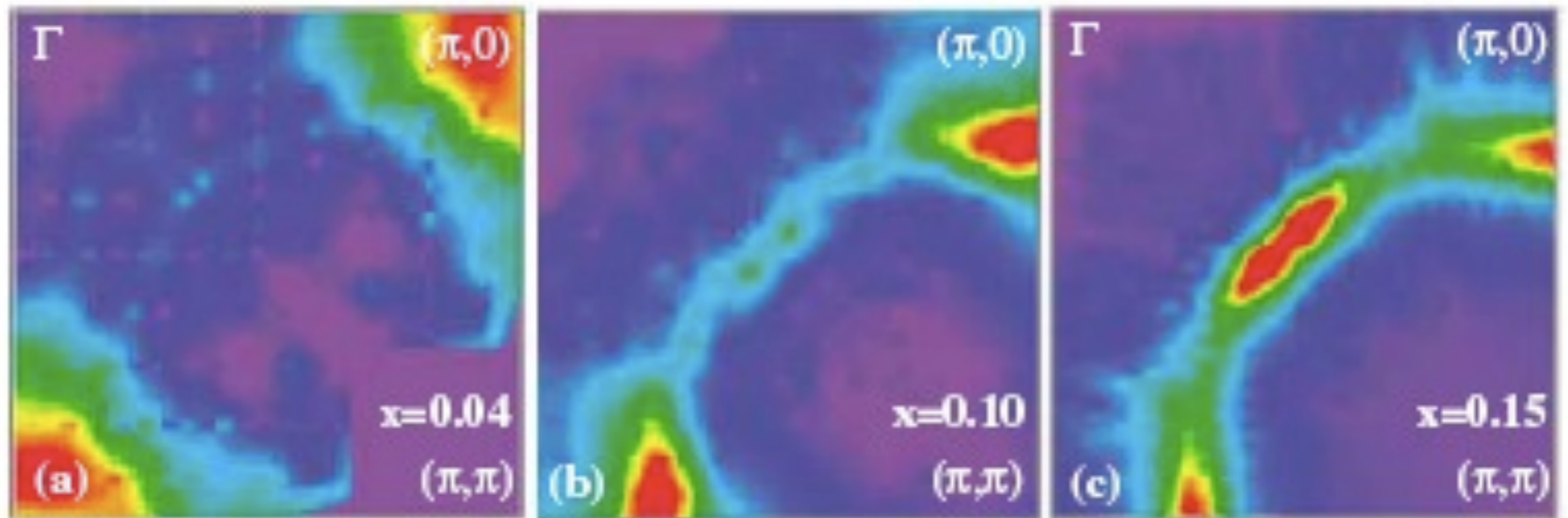
Quantum oscillations



T. Helm, M.V. Kartsovnik,
M. Bartkowiak, N. Bittner,
M. Lambacher, A. Erb, J. Wosnitza,
and R. Gross,
Phys. Rev. Lett. **103**, 157002 (2009).



Photoemission in $\text{Nd}_{2-x}\text{Ce}_x\text{CuO}_4$



N. P. Armitage *et al.*, Phys. Rev. Lett. **88**, 257001 (2002).

Fermi surface + antiferromagnetism:
SU(2) gauge theory

Transform electrons to a
“rotating reference frame”,
quantizing spins in the direction of the
local antiferromagnetic order

Fermi surface + antiferromagnetism: *SU(2) gauge theory*

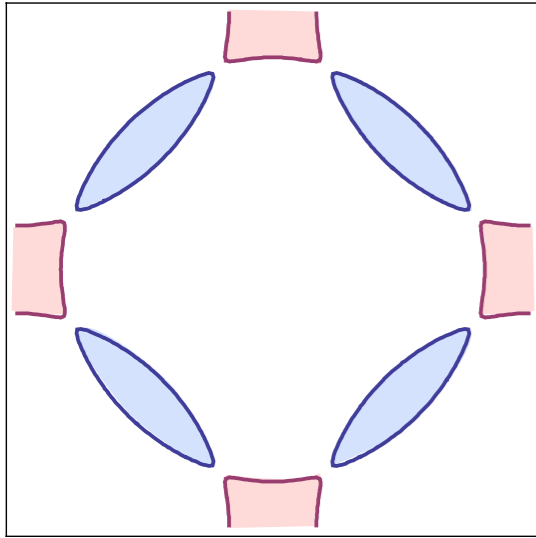
$$\begin{pmatrix} c_{\uparrow} \\ c_{\downarrow} \end{pmatrix} = \begin{pmatrix} z_{\uparrow} & -z_{\downarrow}^* \\ z_{\downarrow} & z_{\uparrow}^* \end{pmatrix} \begin{pmatrix} \psi_{+} \\ \psi_{-} \end{pmatrix}$$

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$U \times U^{-1}$
 $SU(2)_{\text{gauge}}$

Phases of $SU(2)$ gauge theory

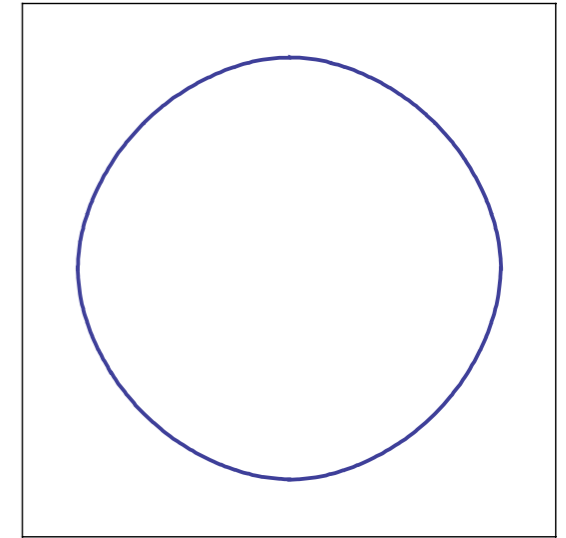


$$\langle \vec{\varphi} \rangle \neq 0$$

SDW order
small Fermi pockets

Fermi liquid

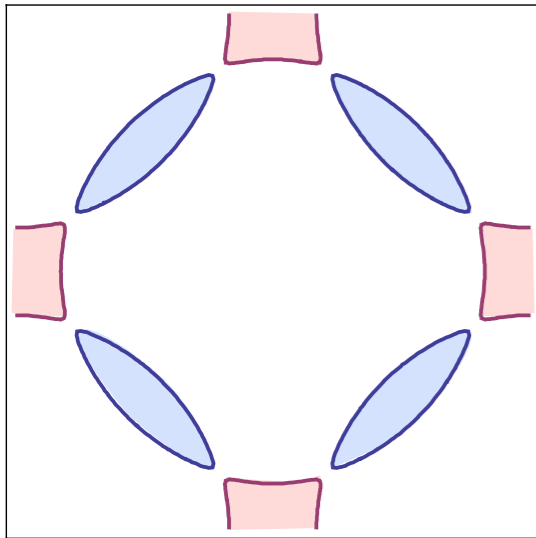
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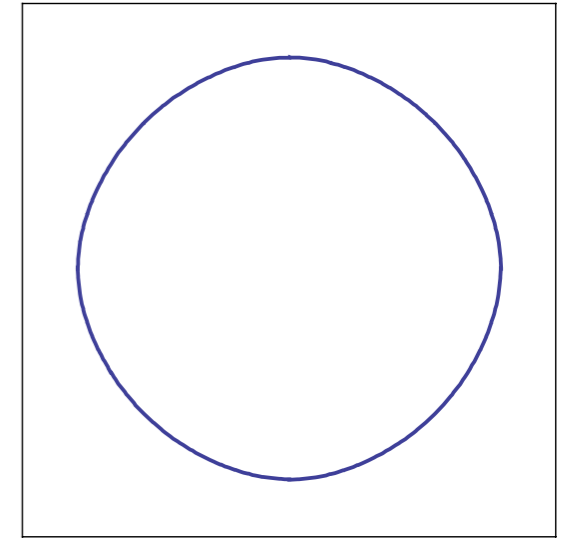
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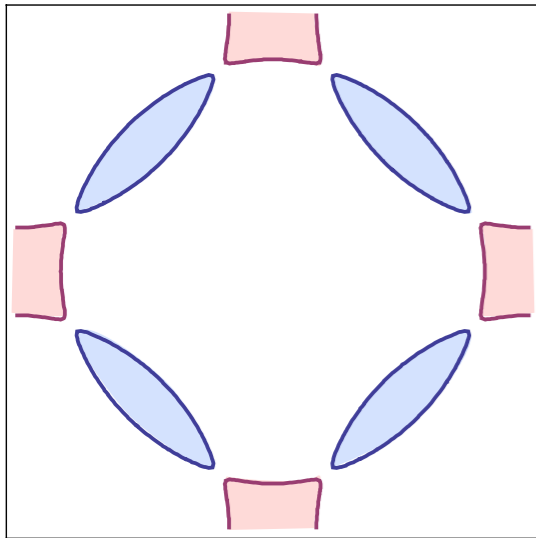
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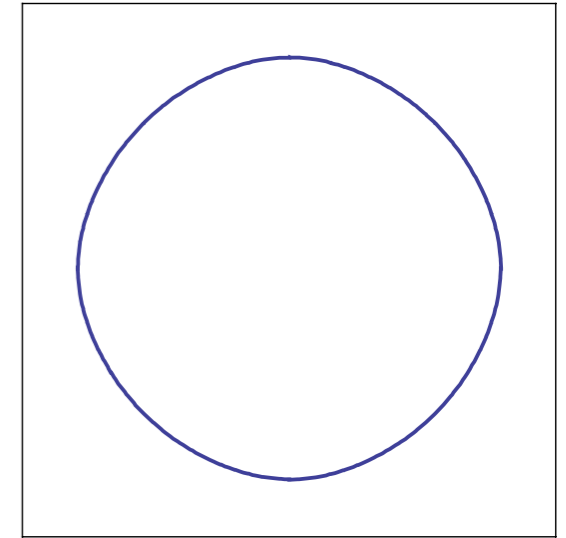
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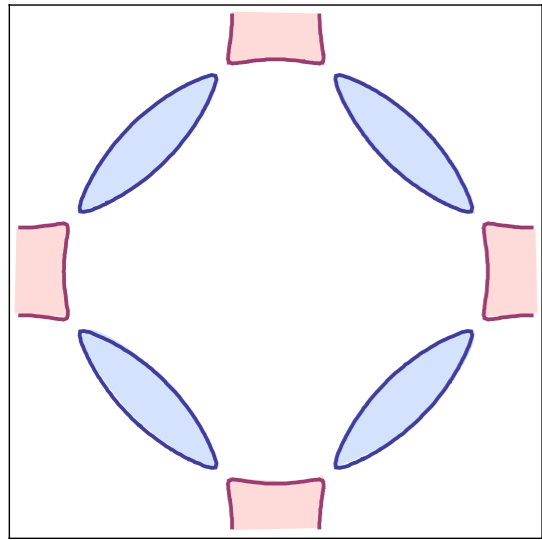
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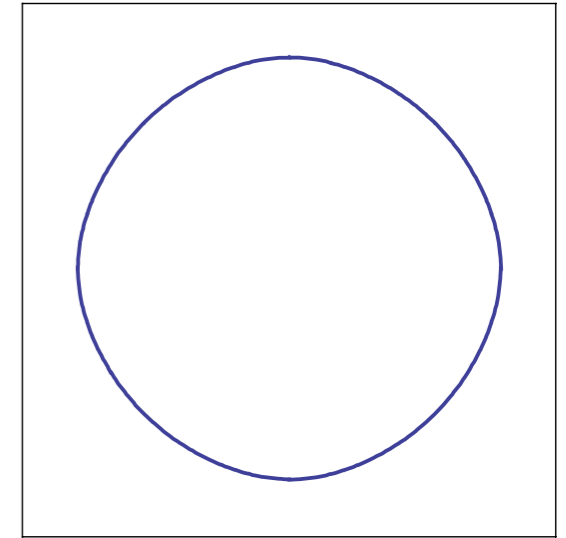
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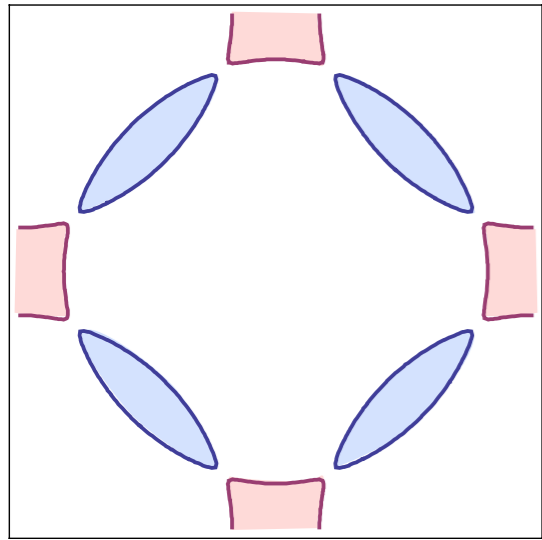
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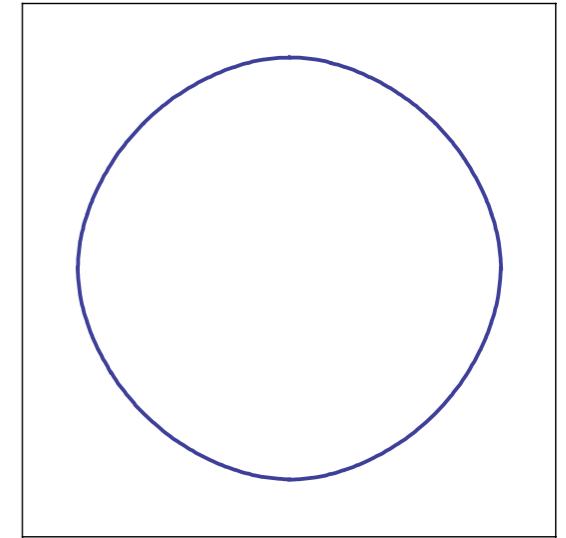
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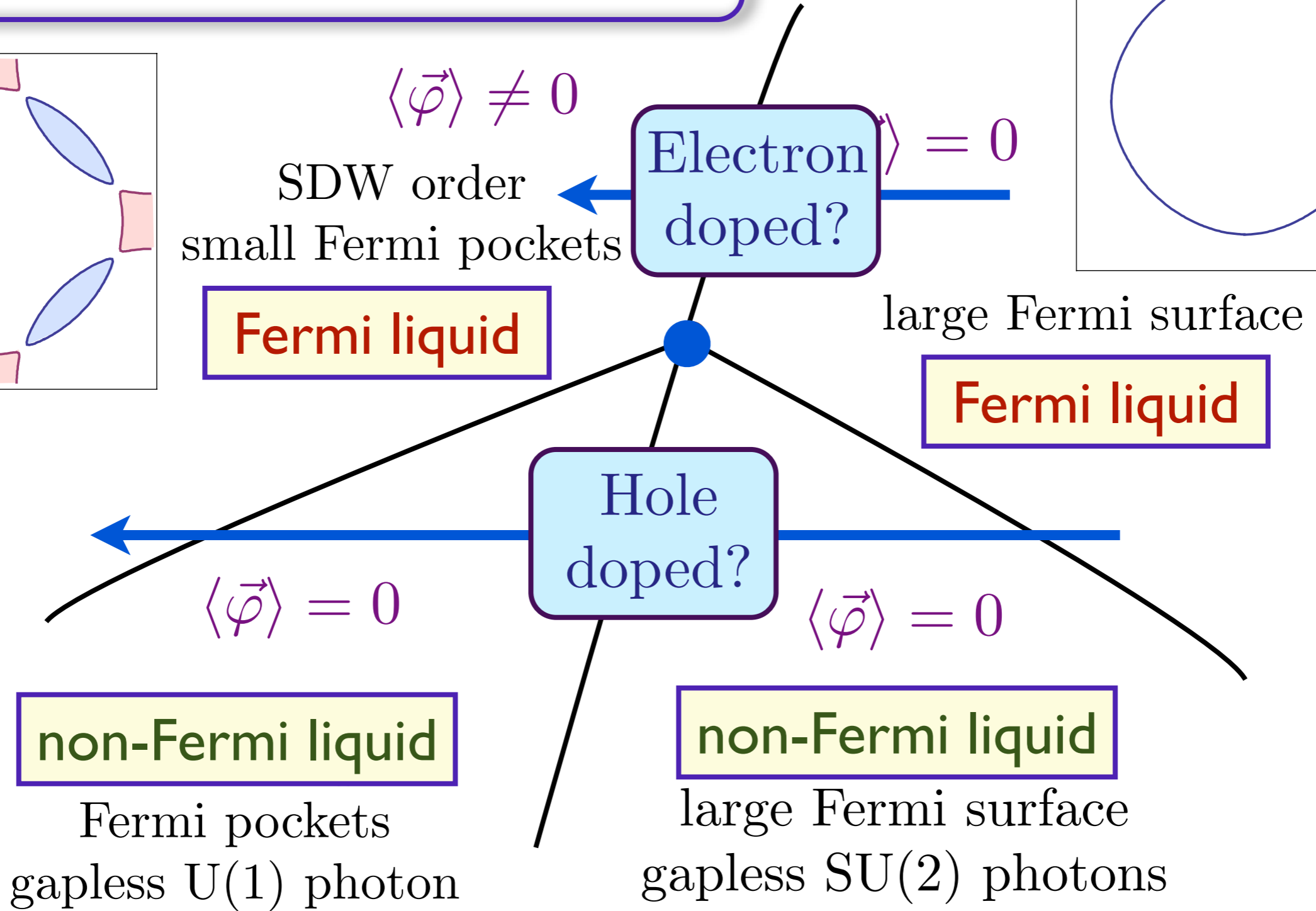
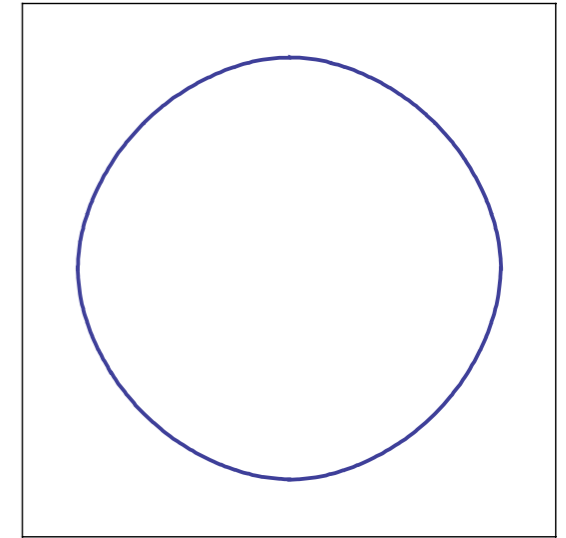
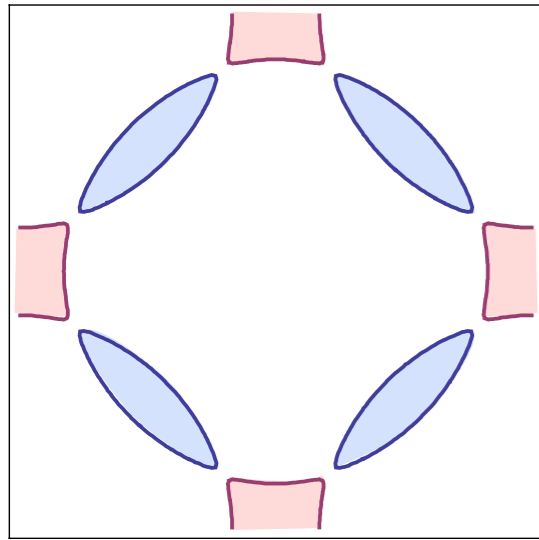
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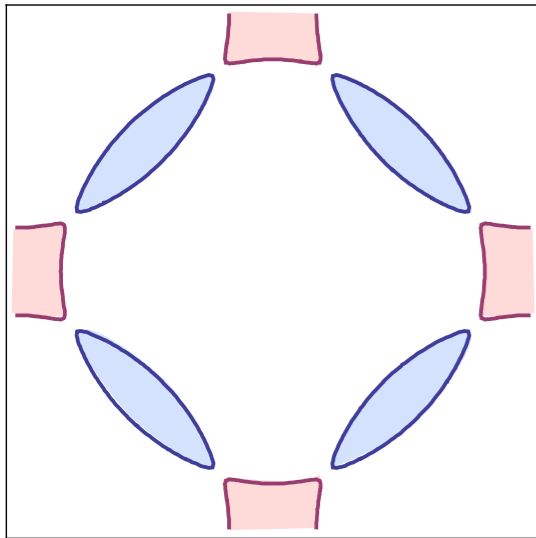
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Phases of $SU(2)$ gauge theory



S. Sachdev, M.A. Metlitski, Y. Qi, and C. Xu, *Physical Review B* **80**, 155129 (2009)

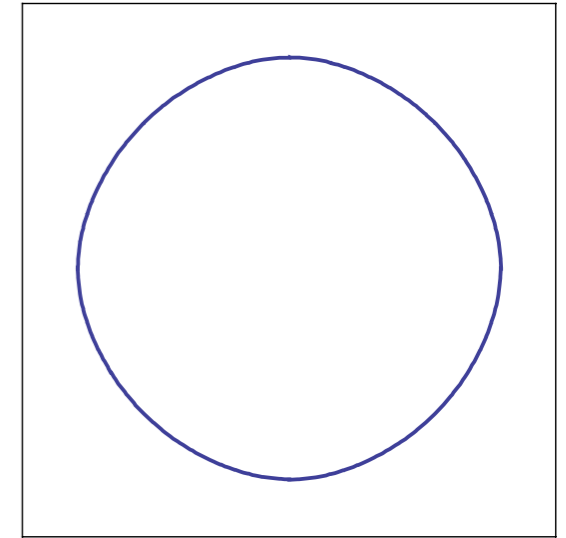
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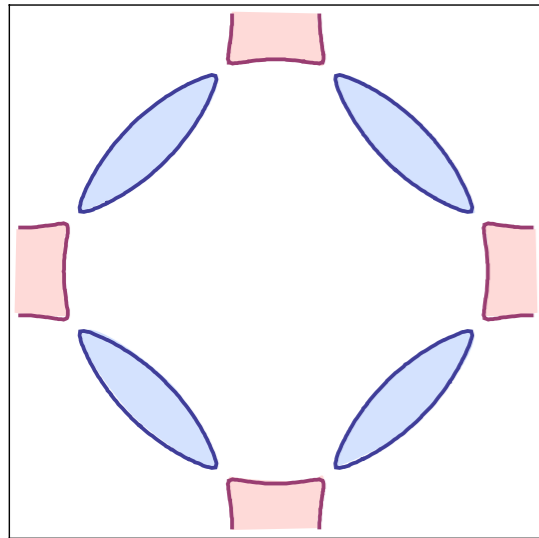
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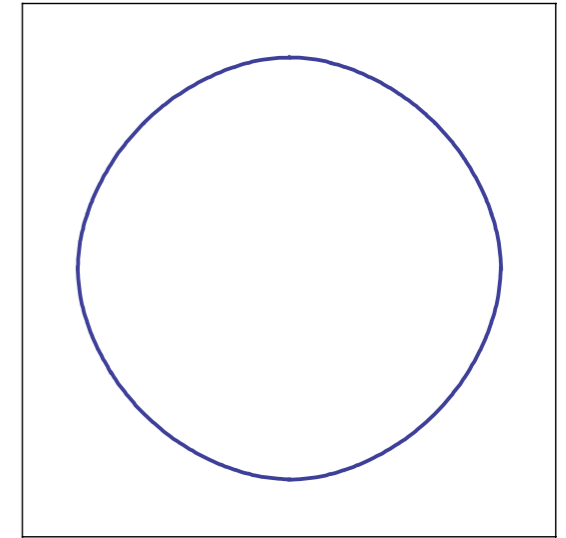
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M

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Theory near M

SU(2) gauge field coupled to matter transforming under $SU(2)_{\text{gauge}} \times SU(2)_{\text{spin}} \times U(1)_{\text{charge}}$

- Fermi surfaces of $\psi : (\mathbf{2}, \mathbf{1}, 1)$,
- Scalar $z : (\bar{\mathbf{2}}, \mathbf{2}, 0)$
- Adjoint scalar $\vec{N} : (\mathbf{3}, \mathbf{1}, 0)$

Note: chemical potential couples only to ψ .

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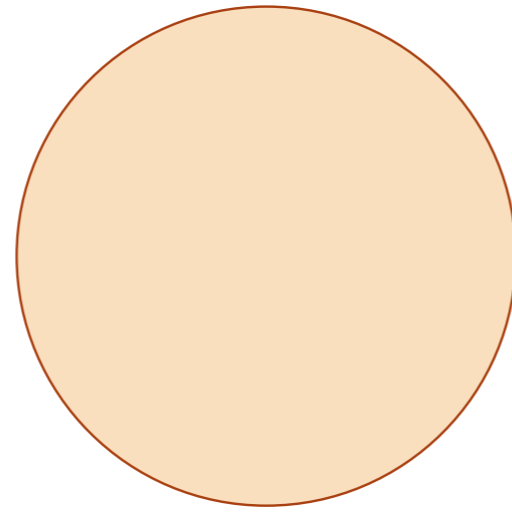
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Yukawa coupling: $\int d^2r e^{i\mathbf{K}\cdot\mathbf{r}} \vec{N} \cdot \psi^\dagger \vec{\sigma} \psi$:

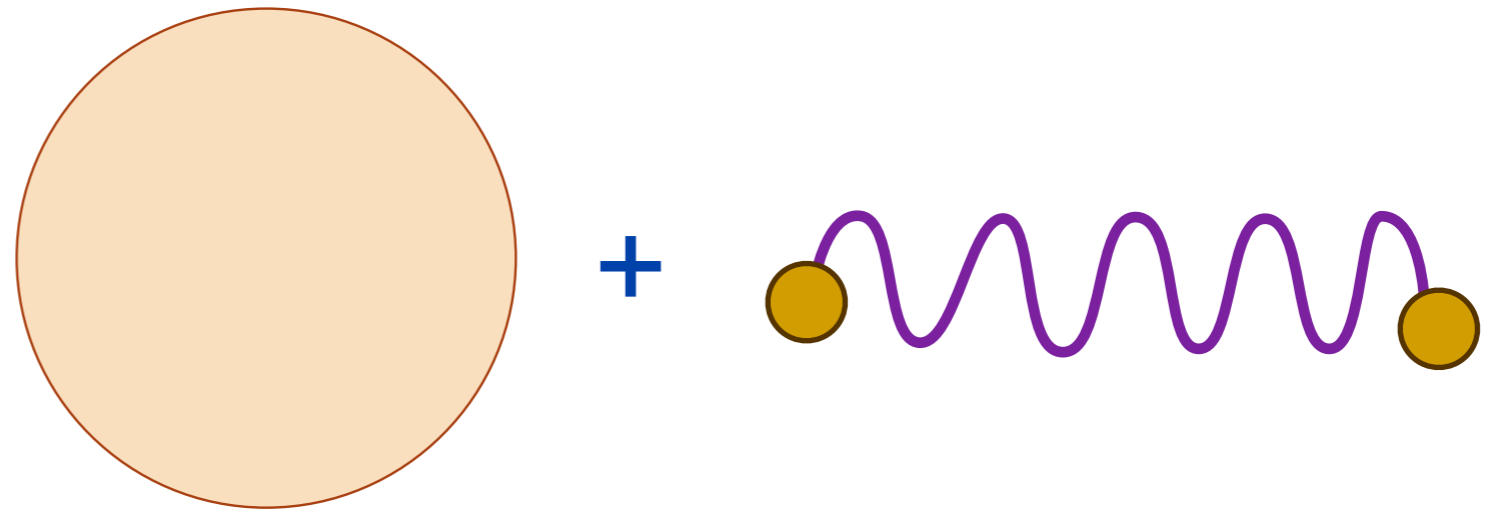
spatial oscillation hard to realize holographically, but perhaps be ignored for some properties of the strange metal.

Basic ingredient in many models of strange metals:



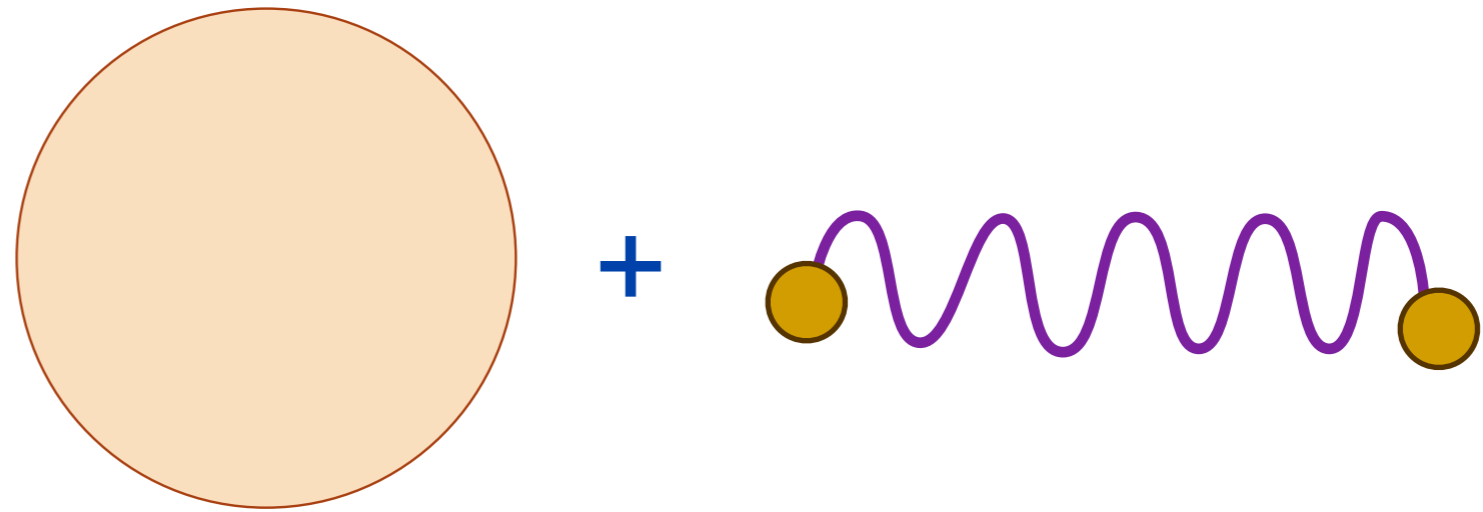
- Begin with fermions with short-range interactions. This leads to a Fermi liquid, with sharp fermionic quasiparticles near the Fermi surface.

Basic ingredient in many models of strange metals:



- Couple fermions to a gauge field (physics turns out to be similar for abelian or non-Abelian gauge fields). This is an “emergent” gauge field, found in many analyses of Hubbard or Kondo models of correlated electrons.

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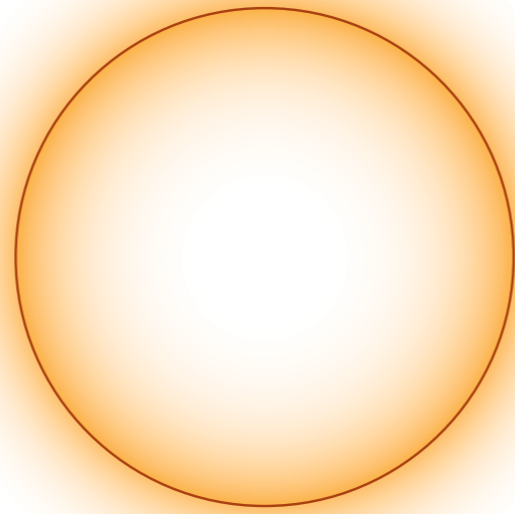


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S.-S. Lee, Phys. Rev. B **80**, 165102 (2009)

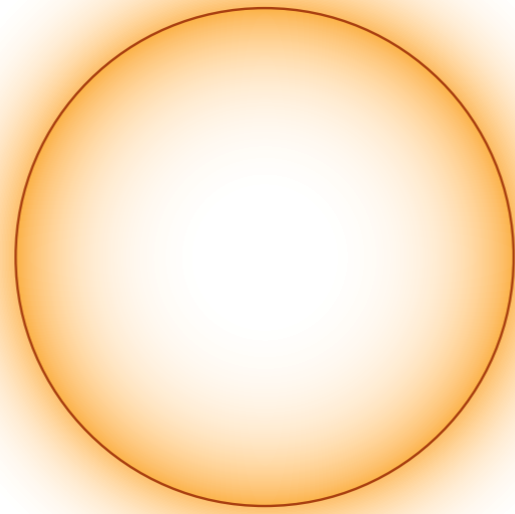
M. A. Metlitski and S. Sachdev, Phys. Rev. B **82**, 075127 (2010)

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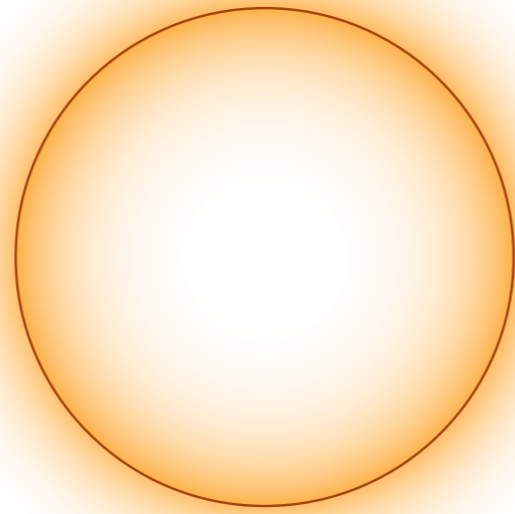
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Basic ingredient in many models of strange metals:



- Couple fermions to a gauge field (physics turns out to be similar for abelian or non-Abelian gauge fields). This is an “emergent” coupling in the context of Hubbard or Kondo models.
- Long-range interactions between fermions. But Landau Fermi liquid theory and are not applicable. The theory of a Fermi surface coupled to transverse gauge fluctuations is *strongly coupled in two spatial dimensions*.
- The overdamped transverse gauge modes lead to “non-Fermi liquid” broadening of the fermion pole near the Fermi surface.

Needed: a complete theory of this, and related non-Fermi liquid states, and of their instabilities

Outline

1. Quantum criticality and conformal field theories in condensed matter
2. Quantum transport and Einstein-Maxwell theory on AdS_4
3. Compressible quantum matter
 - A. *Strange metals: experiments and theoretical framework*
 - B. *ABJM (-like) theory at non-zero density*
 - C. *Gauge-gravity duality*

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ABJM theory in $D=2+1$ dimensions

- $4N^2$ Weyl fermions carrying fundamental charges of $U(N) \times U(N) \times SU(4)_R$.
- $4N^2$ complex bosons carrying fundamental charges of $U(N) \times U(N) \times SU(4)_R$.
- $\mathcal{N} = 6$ supersymmetry

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Adding a chemical potential coupling to a $SU(4)$ charge breaks supersymmetry and $SU(4)$ invariance

Theory similar to ABJM

- U(1) gauge invariance and U(1) global symmetry
- Fermions, f_+ and f_- , carry U(1) gauge charges ± 1 , and global U(1) charge 1.
- Bosons, b_+ and b_- , carry U(1) gauge charges ± 1 , and global U(1) charge 1.
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- Fermions, c , gauge-invariant bound states of fermions and bosons carrying global U(1) charge 2.

L. Huijse and S. Sachdev, arXiv:1104.5022

Theory similar to ABJM

$$\begin{aligned}\mathcal{L} &= f_{\sigma}^{\dagger} \left[(\partial_{\tau} - i\sigma A_{\tau}) - \frac{(\nabla - i\sigma \mathbf{A})^2}{2m} - \mu \right] f_{\sigma} \\ &+ b_{\sigma}^{\dagger} \left[(\partial_{\tau} - i\sigma A_{\tau}) - \frac{(\nabla - i\sigma \mathbf{A})^2}{2m_b} + \epsilon_1 - \mu \right] b_{\sigma} \\ &+ \frac{u}{2} (b_{\sigma}^{\dagger} b_{\sigma})^2 - g_1 \left(b_{+}^{\dagger} b_{-}^{\dagger} f_{-} f_{+} + \text{H.c.} \right)\end{aligned}$$

The index $\sigma = \pm 1$

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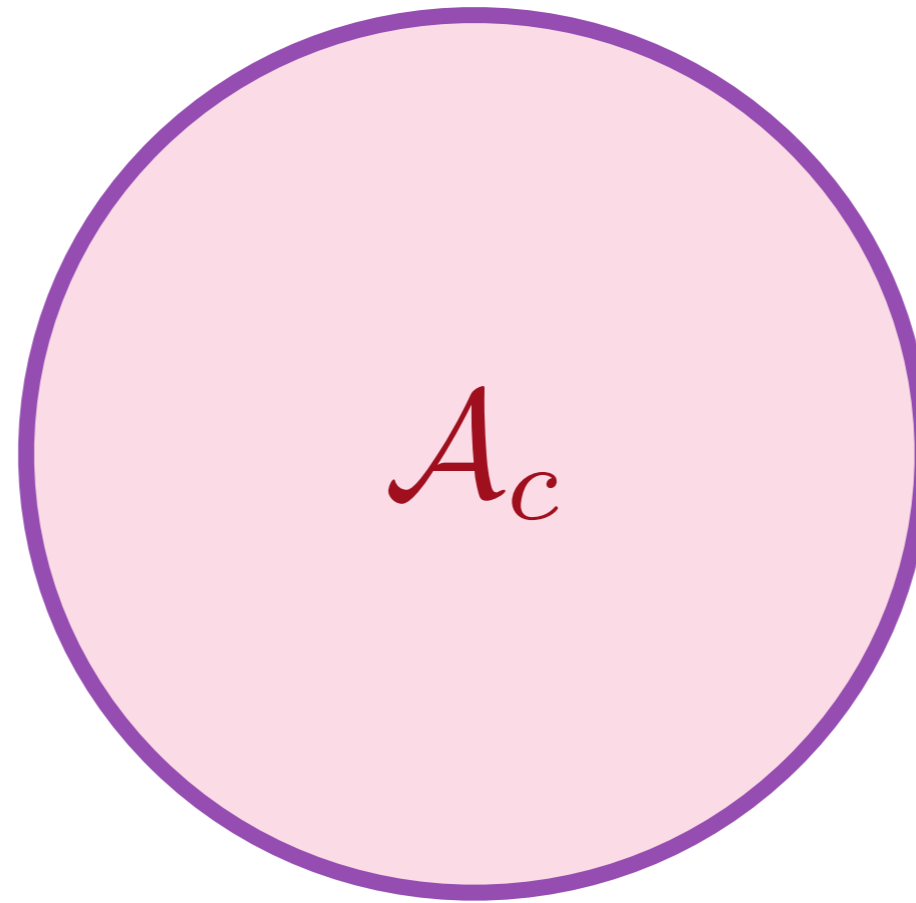
The index $\sigma = \pm 1$, and $\epsilon_{1,2}$ are tuning parameters of phase diagram

$$\text{Conserved U(1) charge: } \mathcal{Q} = f_\sigma^\dagger f_\sigma + b_\sigma^\dagger b_\sigma + 2c^\dagger c$$

L. Huijse and S. Sachdev, arXiv:1104.5022

Phases of ABJM-like theories

$$\langle b_{\pm} \rangle = 0$$

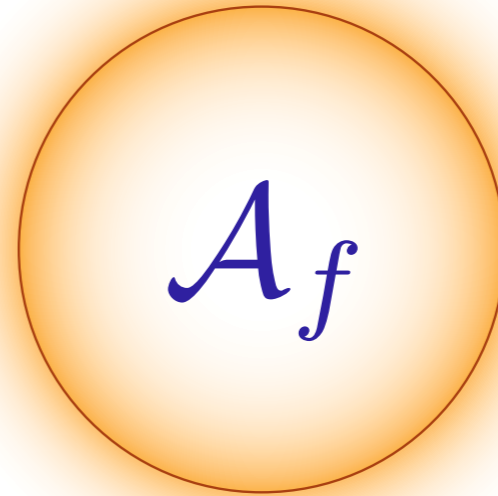
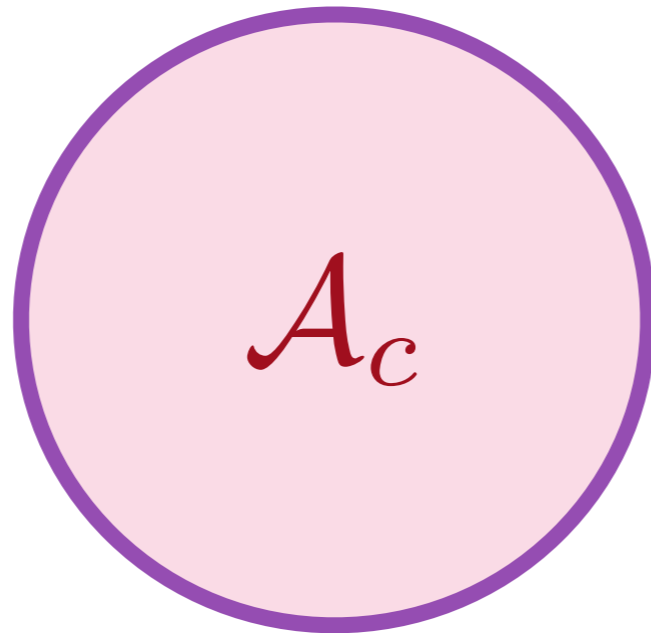


$$2\mathcal{A}_c = \langle \mathcal{Q} \rangle$$

Fermi liquid (FL) of gauge-neutral particles
U(1) gauge theory is in confining phase

Phases of ABJM-like theories

$$\langle b_{\pm} \rangle = 0$$



$$2\mathcal{A}_c + 2\mathcal{A}_f = \langle \mathcal{Q} \rangle$$

Fractionalized Fermi liquid (FL*)

U(1) gauge theory is in deconfined phase

Phases of ABJM-like theories

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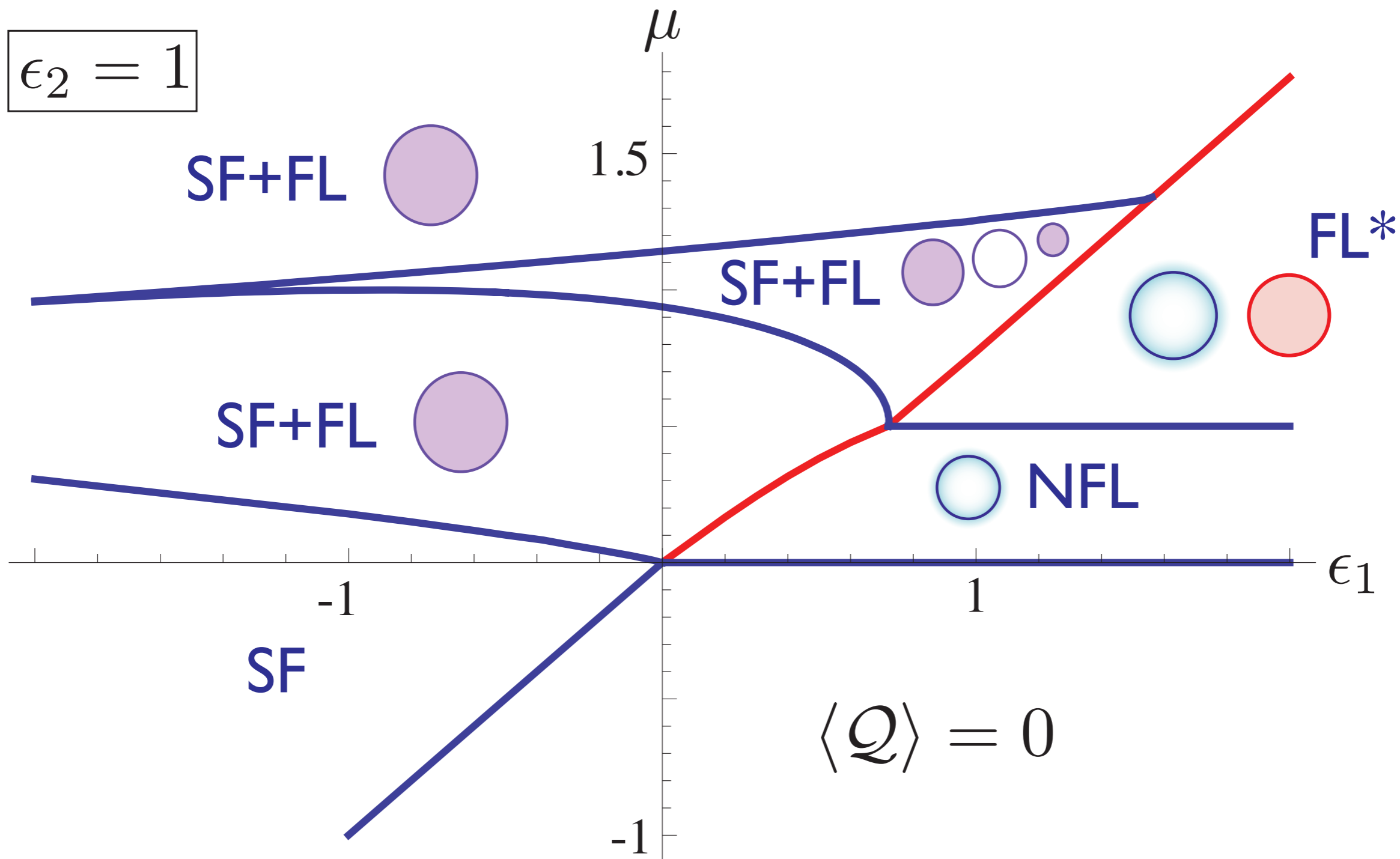
$$\langle b_+ b_- \rangle \neq 0$$

No constraint on Fermi surface area,
which can be zero

Superfluid (SF)

$U(1)$ gauge theory is in Higgs phase,
due to condensation of fermion pairs,
and global $U(1)$ is broken

$$\epsilon_2 = 1$$



L. Huijse and S. Sachdev, arXiv:1104.5022

Outline

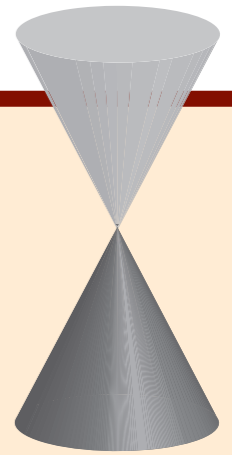
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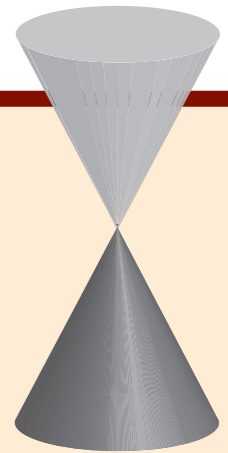
Gauge-gravity duality

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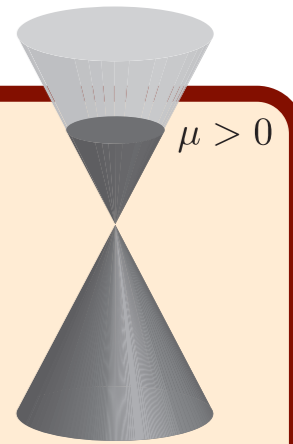
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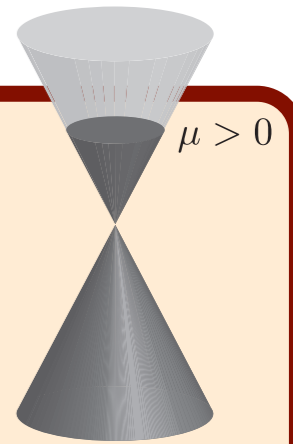


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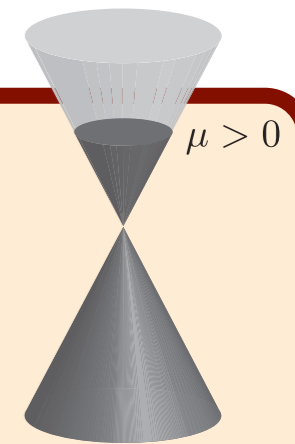
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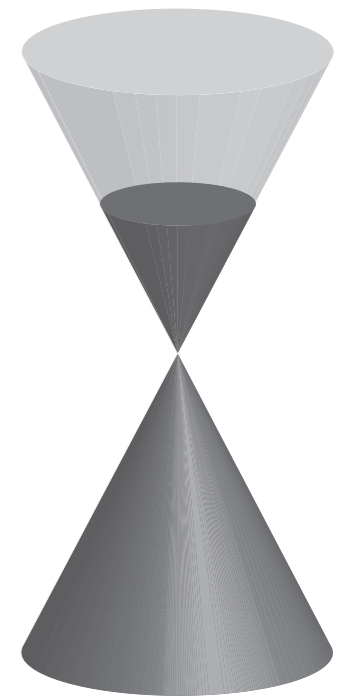
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T. Faulkner, H. Liu, J. McGreevy, and D. Vegh, arXiv:0907.2694

Examine the free energy and Green's function of a probe particle

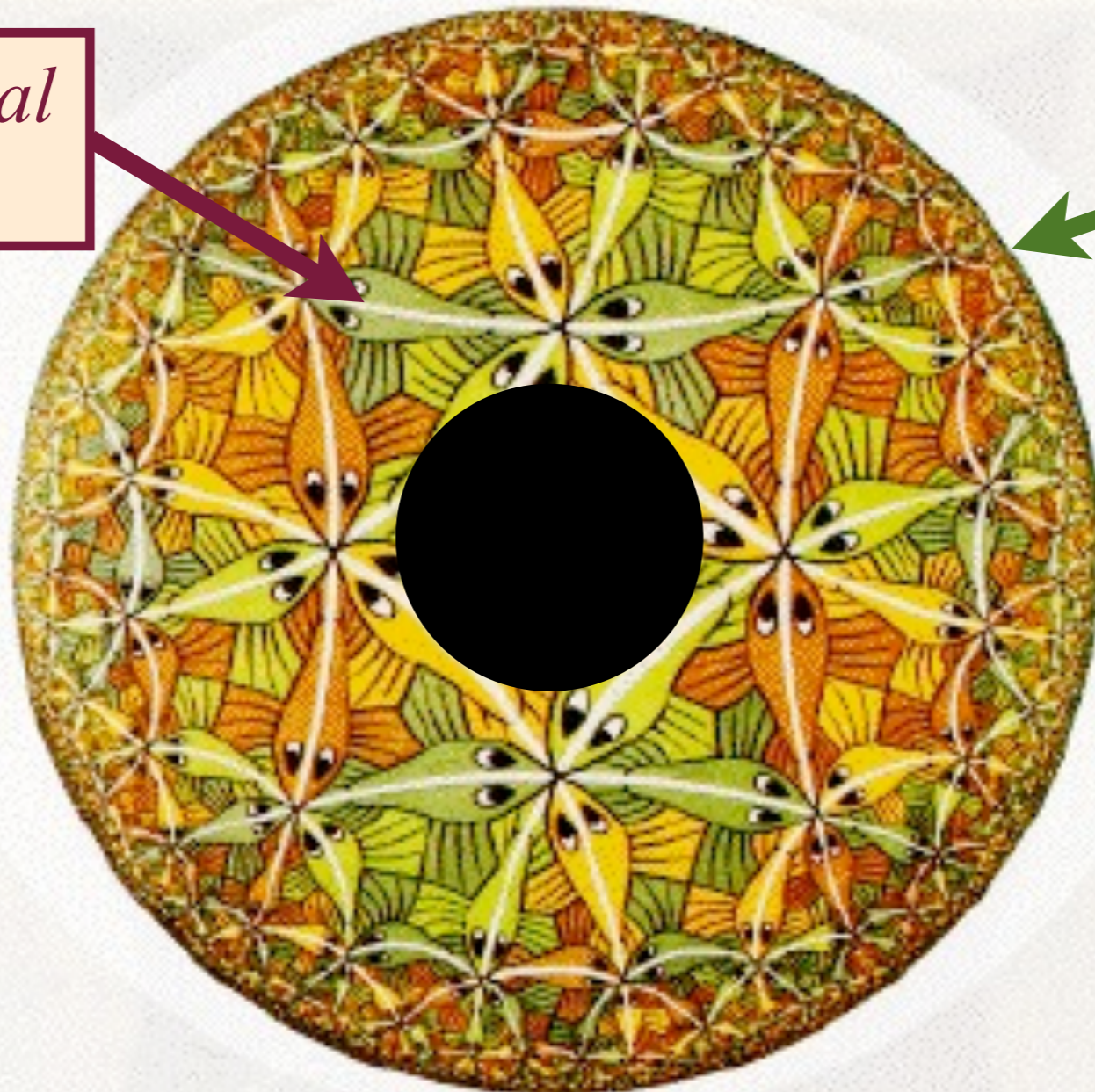
T. Faulkner, H. Liu, J. McGreevy, and D. Vegh, arXiv:0907.2694
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*3+1 dimensional
AdS space*

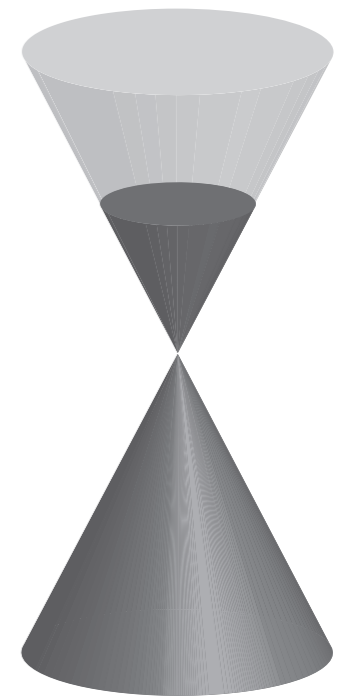
Finite
density
matter in
2+1
dimensions

Extremal
Reissner-
Nordstrom
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Examine the free energy and Green's function of a probe particle

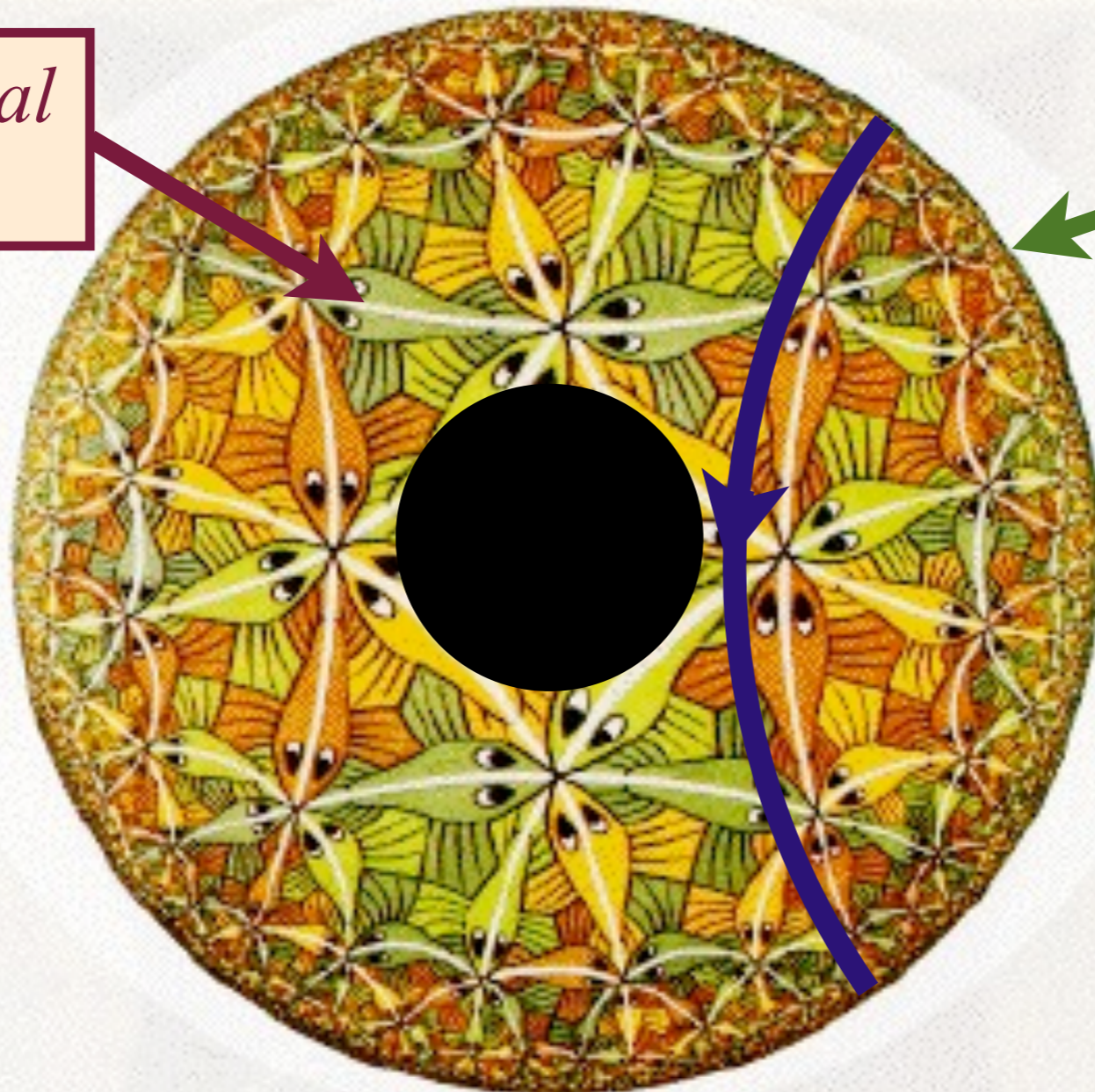
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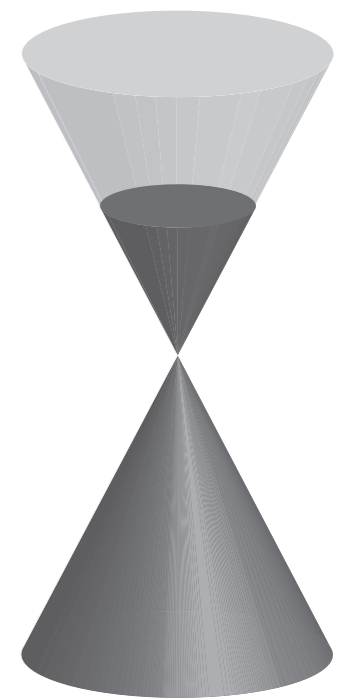
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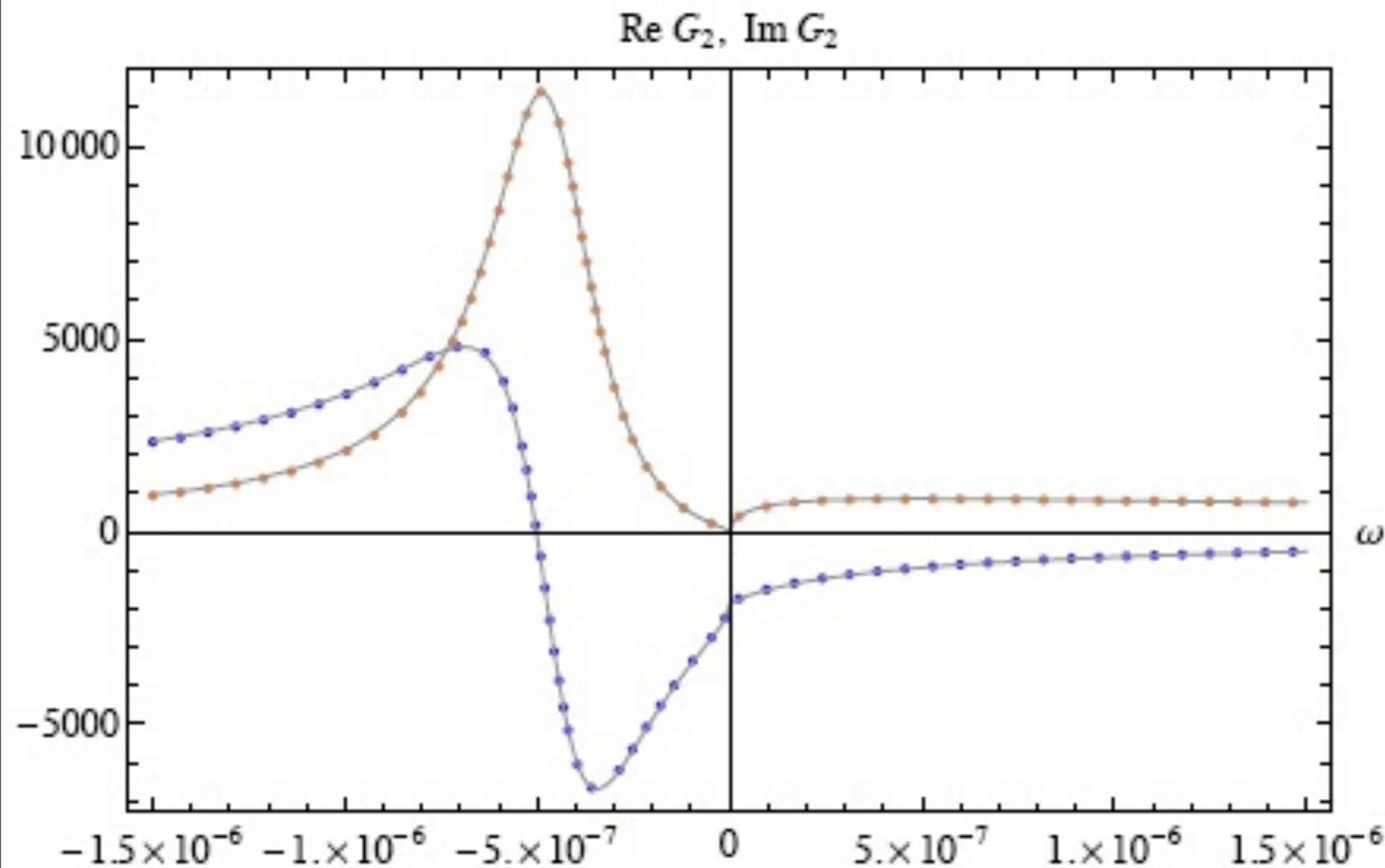
Extremal
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Green's function of a fermion



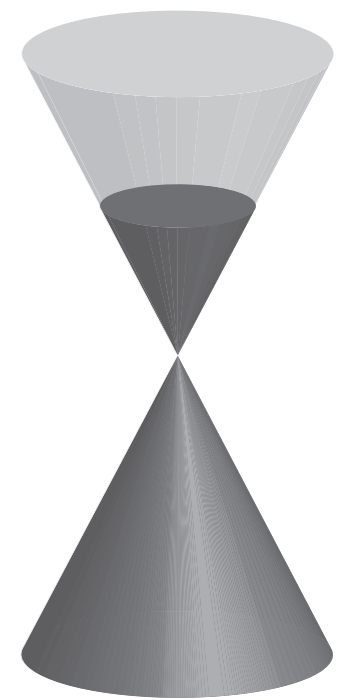
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arXiv:0907.2694



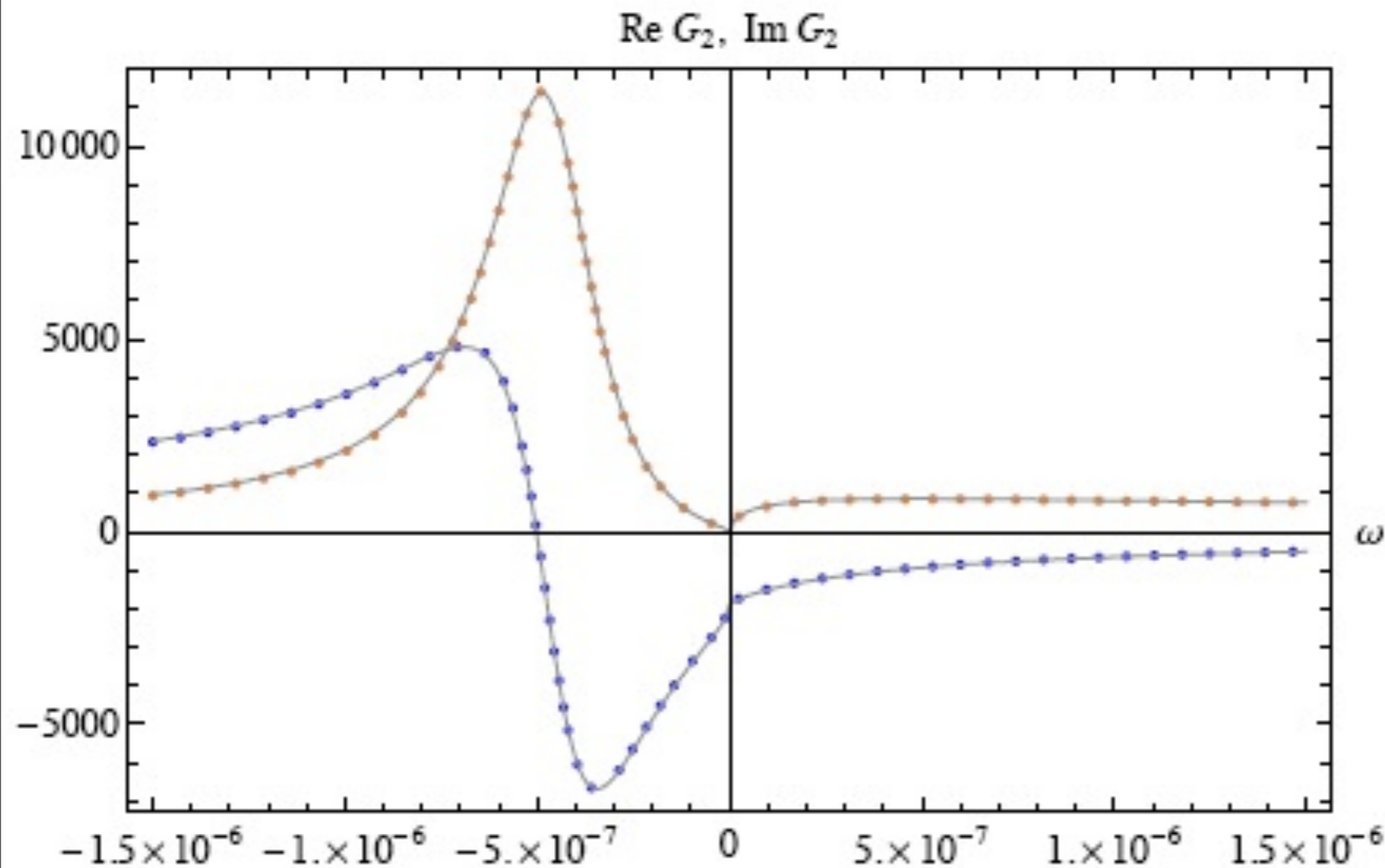
$$G(k, \omega) \approx \frac{1}{\omega - v_F(k - k_F) - i\omega^\theta(k)}$$

See also S.-S. Lee, *Phys. Rev. D* **79**, 086006 (2009);
M. Cubrovic, J. Zaanen, and K. Schalm, *Science* **325**, 439 (2009);
F. Denef, S.A. Hartnoll, and S. Sachdev, *Phys. Rev. D* **80**, 126016 (2009)

Green's function of a fermion



T. Faulkner, H. Liu,
J. McGreevy, and
D. Vegh,
arXiv:0907.2694



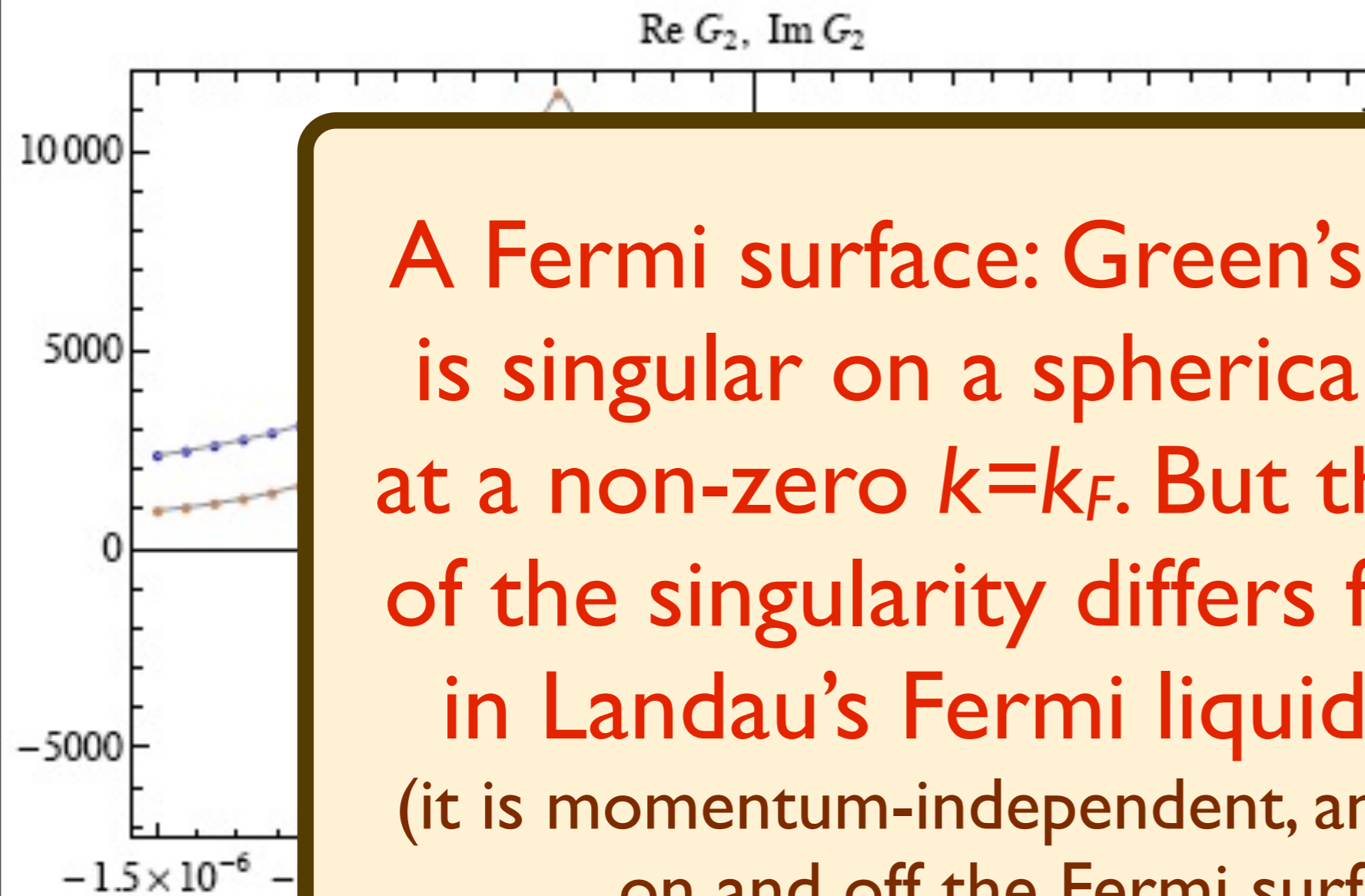
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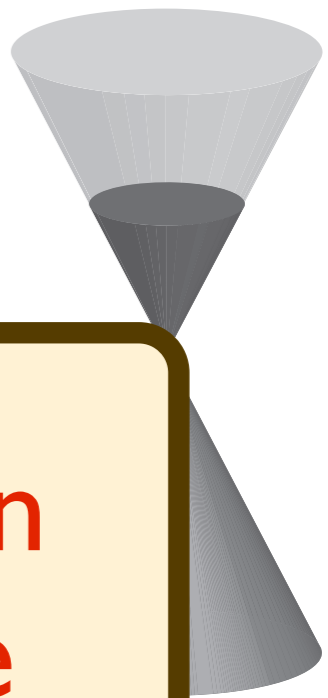
A Fermi surface: Green's function is singular on a spherical surface at a non-zero $k=k_F$. But the nature of the singularity differs from that in Landau's Fermi liquid theory (it is momentum-independent, and the same on and off the Fermi surface)

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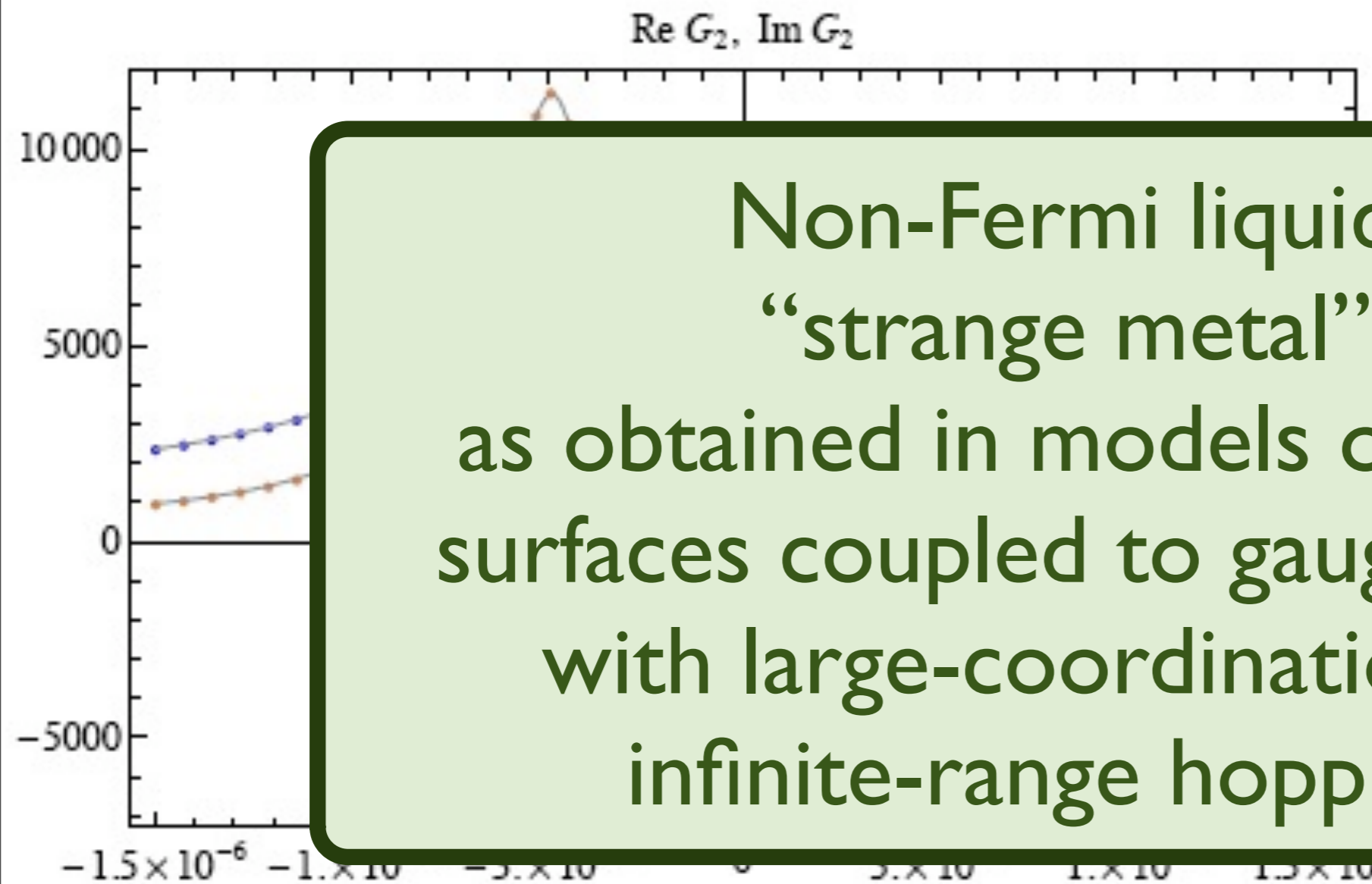
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H. Liu,
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7.2694

Green's function of a fermion



Non-Fermi liquid
“strange metal”:
as obtained in models of Fermi
surfaces coupled to gauge fields,
with large-coordination or
infinite-range hopping.

$$G(k, \omega) \approx \frac{1}{\omega - v_F(k - k_F) - i\omega^\theta(k)}$$

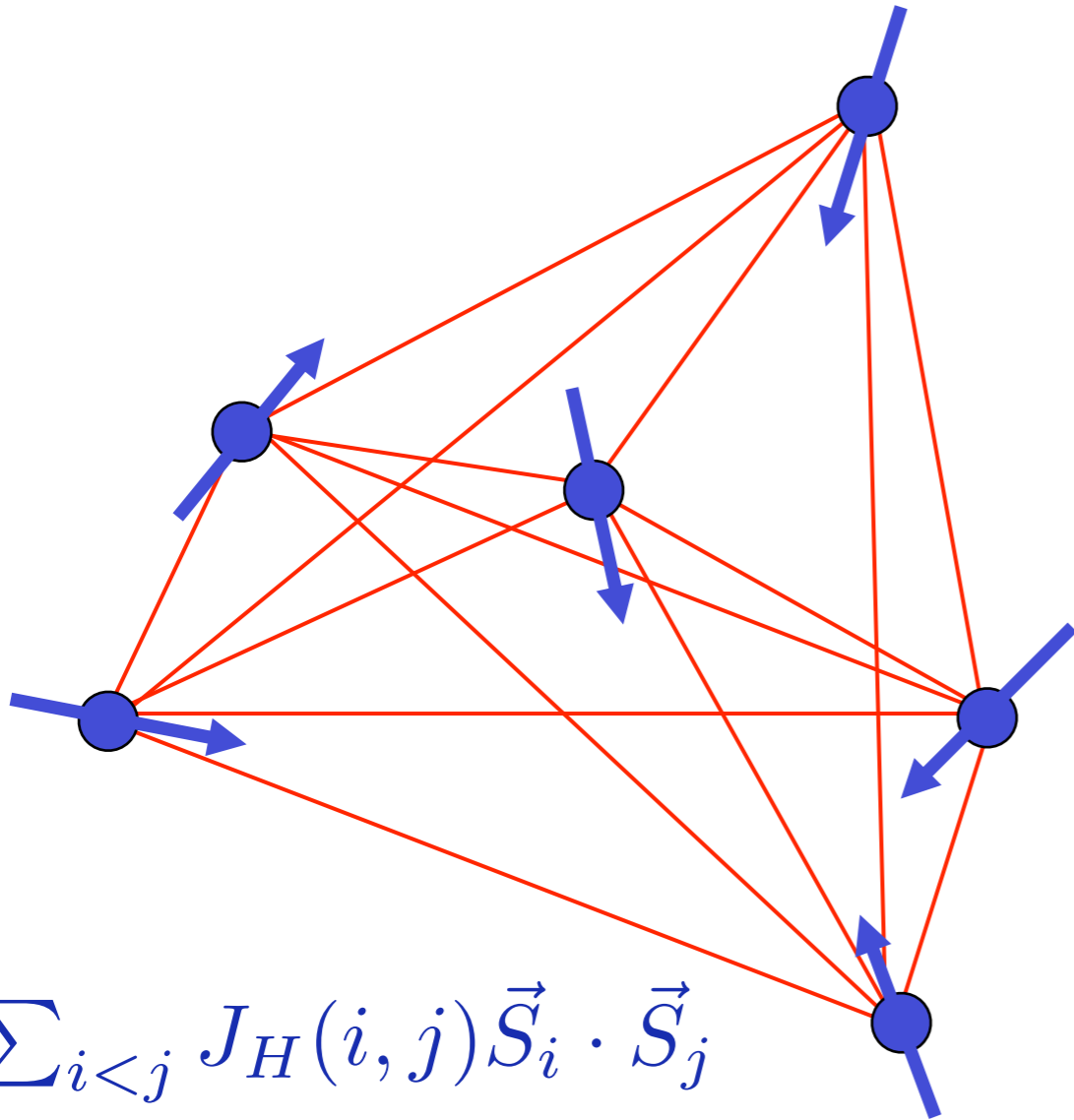
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A Kondo lattice model for the $AdS_2 \times R^d$ region of an extremal Reissner-Nordstrom black hole

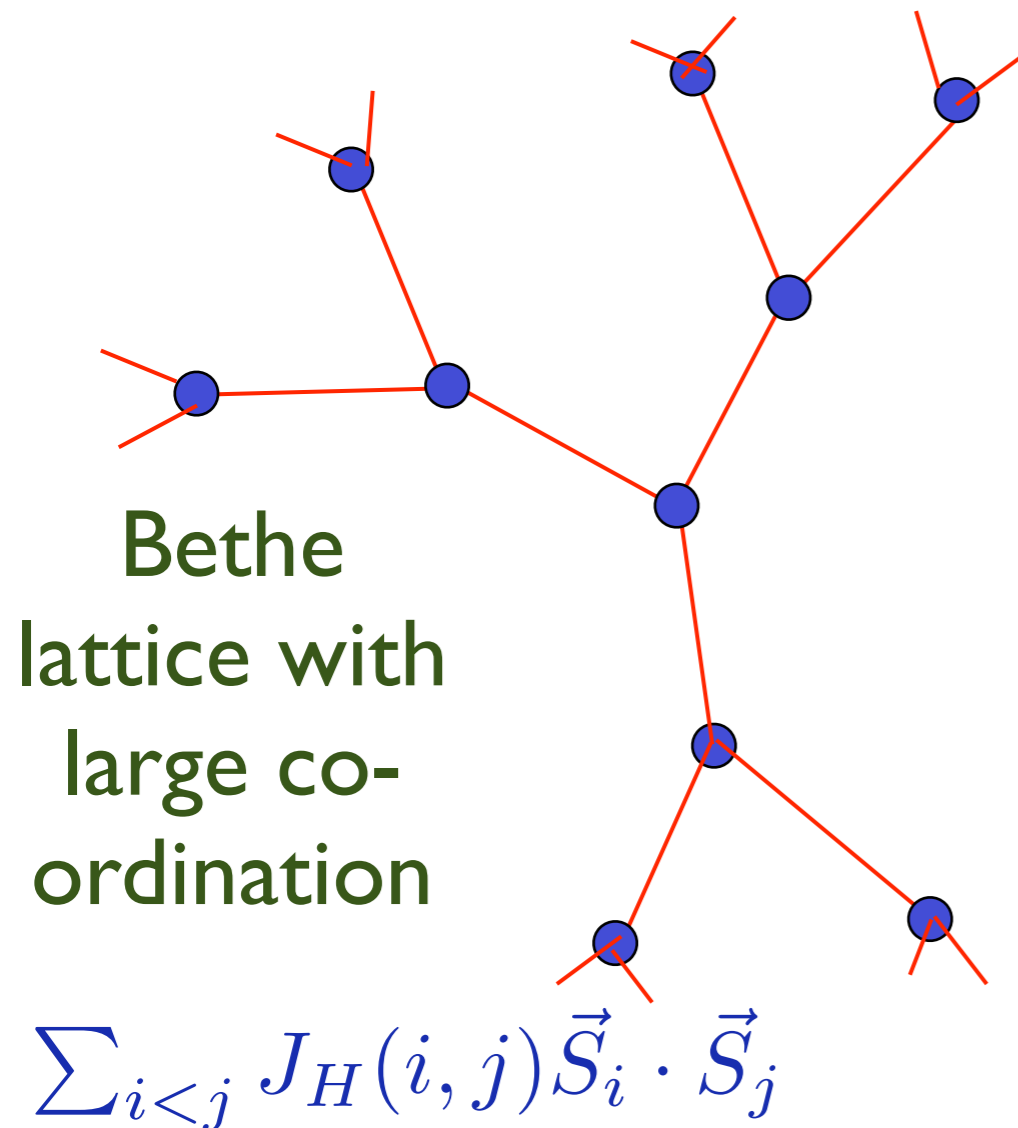


$$\sum_{i < j} J_H(i, j) \vec{S}_i \cdot \vec{S}_j$$

$J_H(i, j)$ Gaussian random variables.
A quantum Sherrington-Kirkpatrick
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S. Sachdev, *Phys. Rev. Lett.* **105**, 151602 (2010).

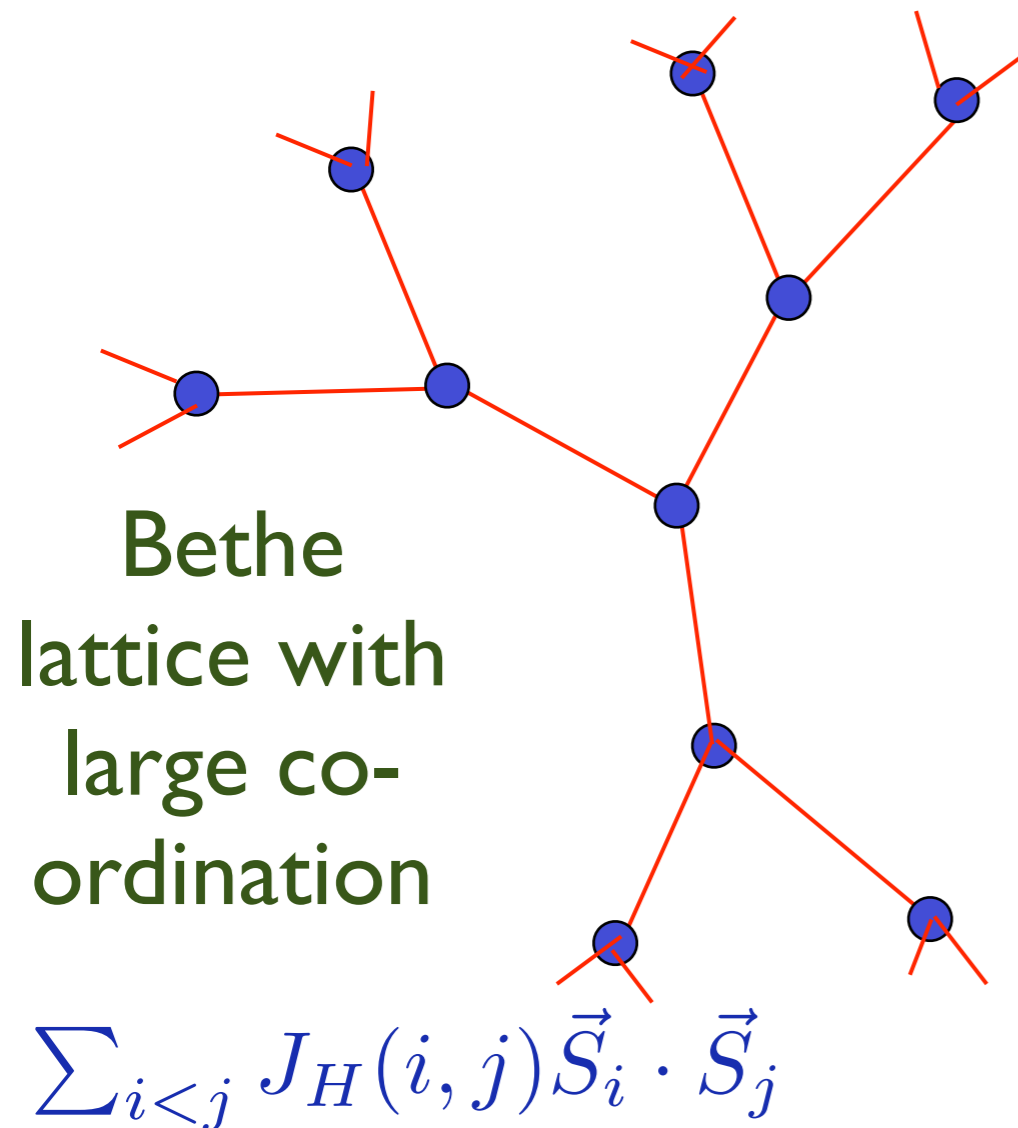
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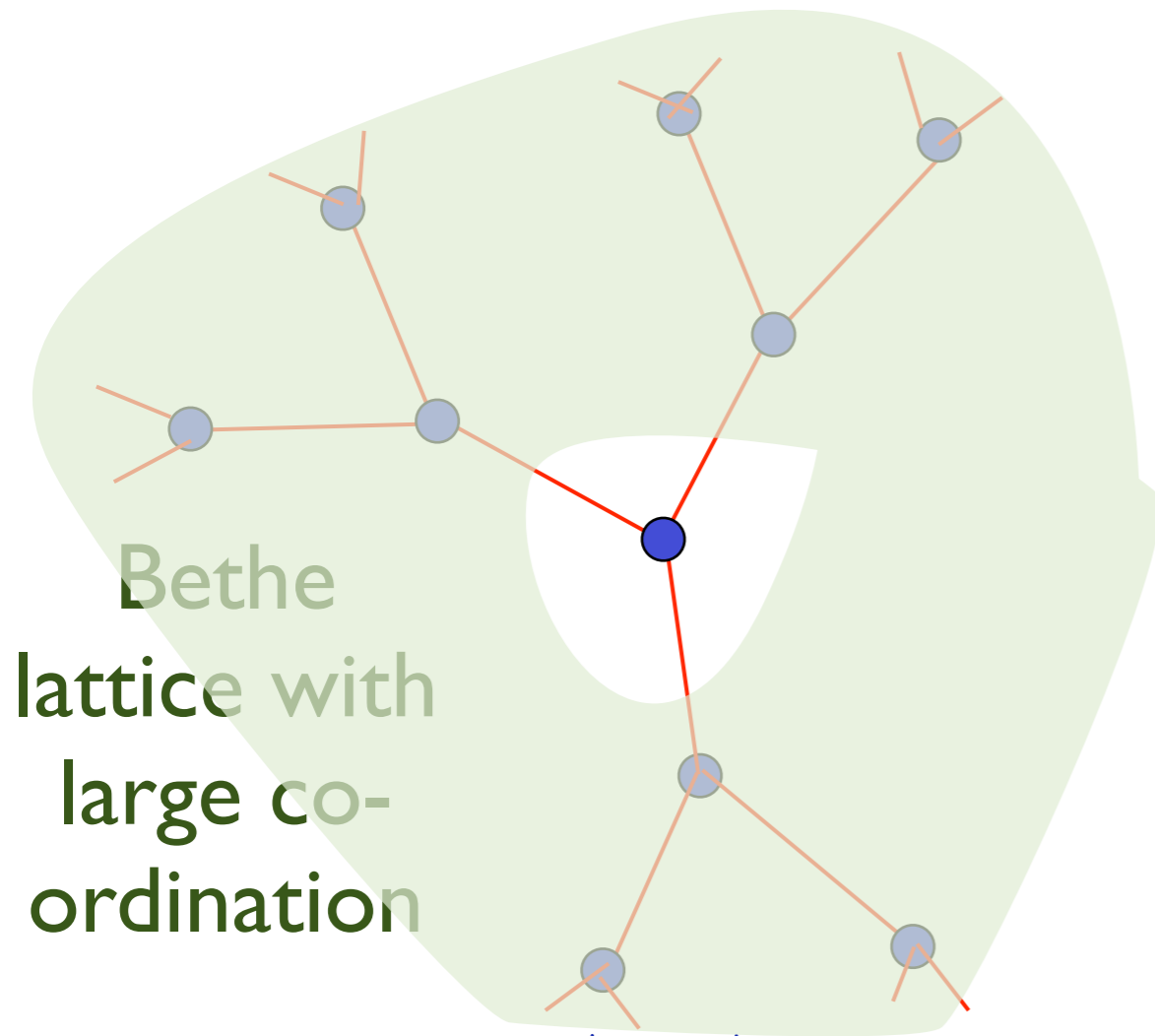
Described by the conformal quantum mechanics of a quantum spin fluctuating in a self-consistent time-dependent magnetic field: a realization the finite entropy density $AdS_2 \times R^d$ state

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Bethe
lattice with
large co-
ordination

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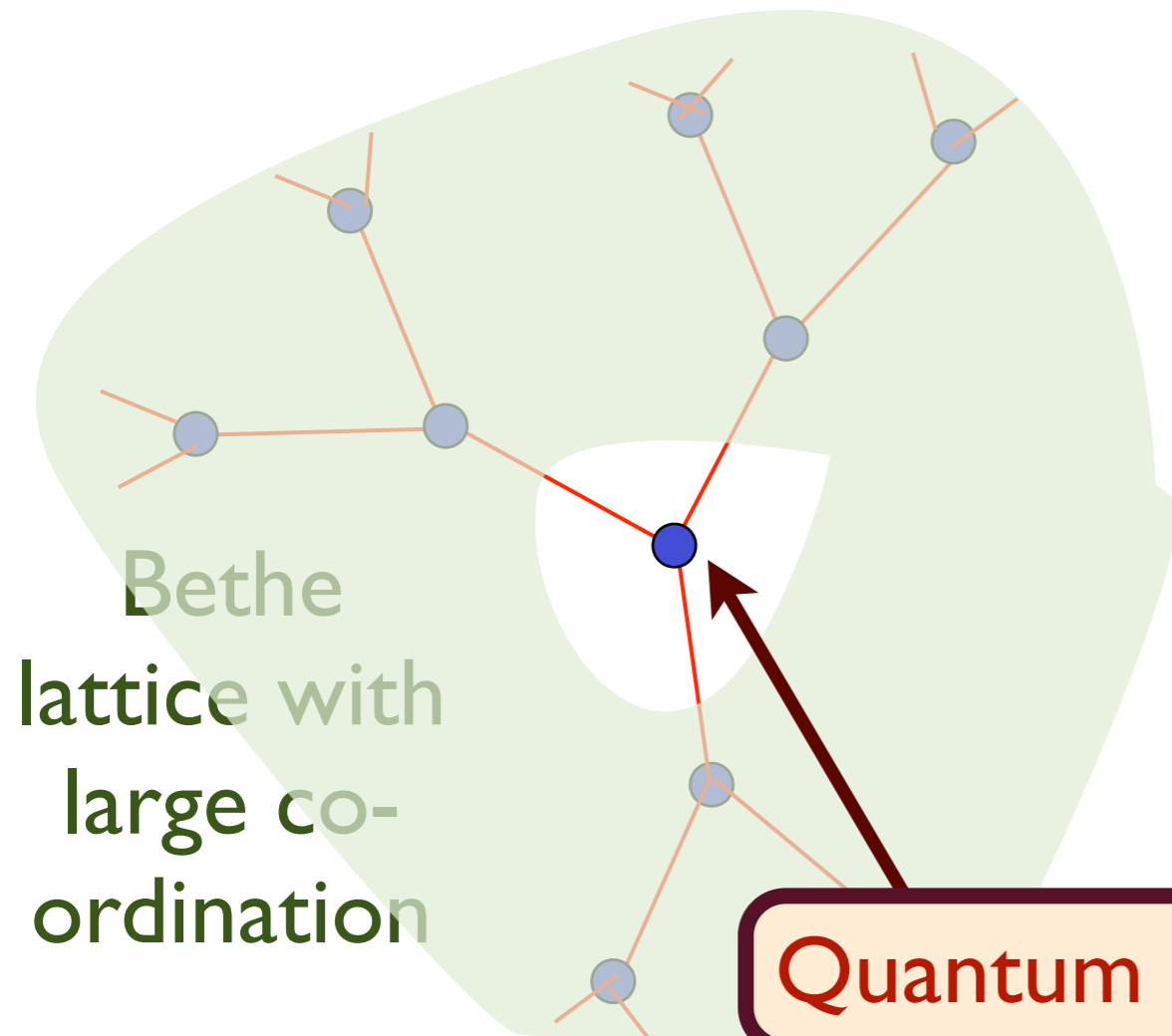
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Quantum spin

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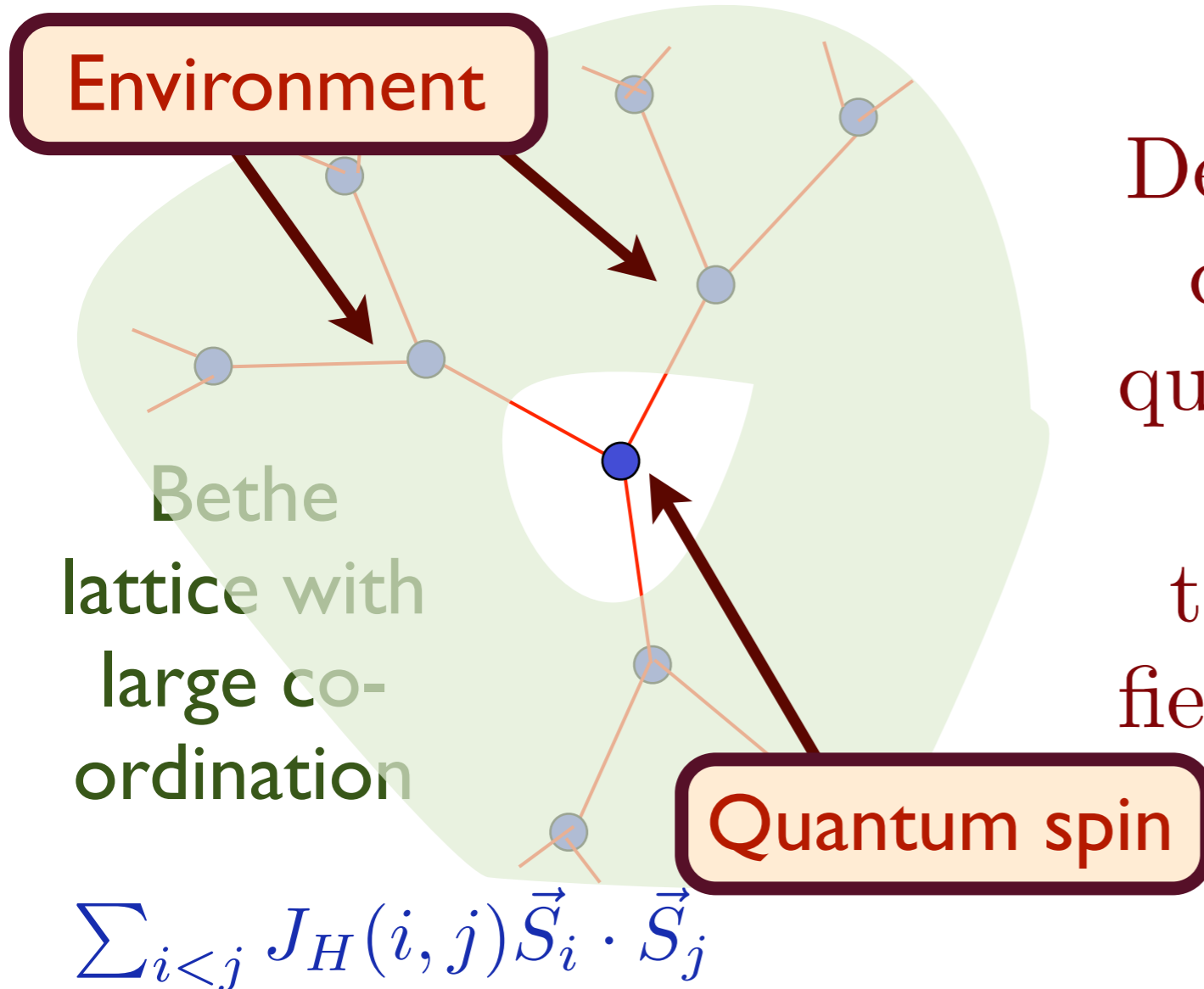
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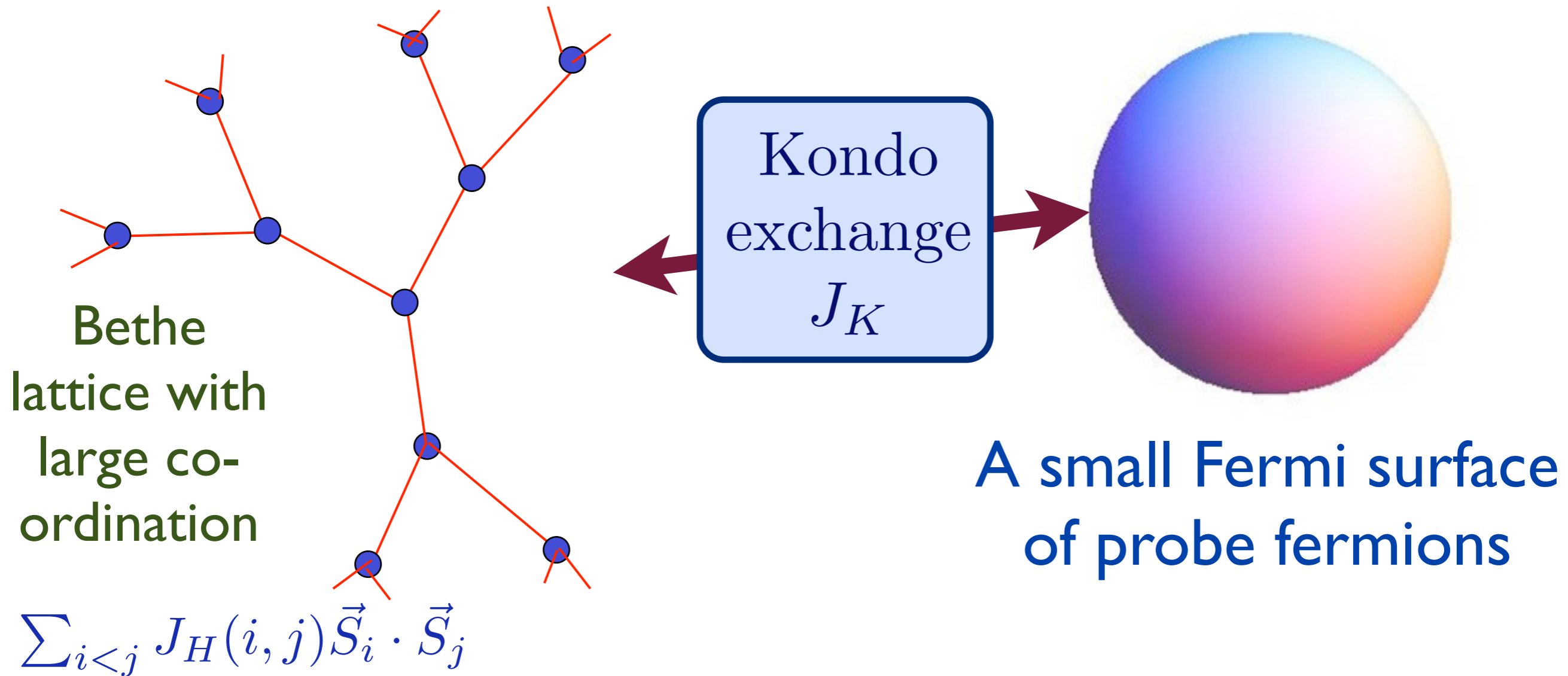
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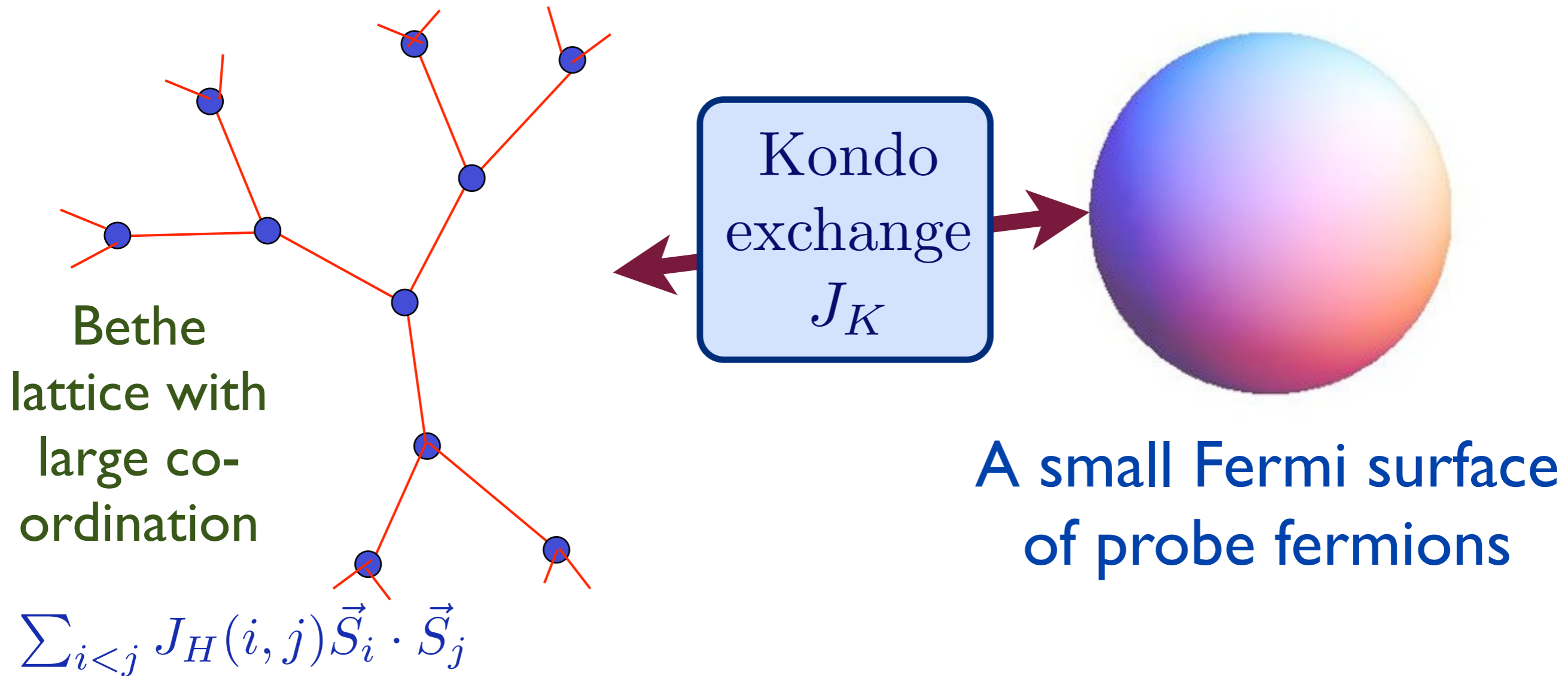
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Low energy properties of the Sherrington-Kirkpatrick-Kondo model map onto the near-horizon physics of an extremal Reissner-Nordstrom black hole

Bethe
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ordinat

$$\sum_{i < j} J_{ij}$$

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S. Sachdev, *Phys. Rev. Lett.* **105**, 151602 (2010).

- The infinite-range Sherrington-Kirkpatrick-Kondo model has properties which match with those of the $\text{AdS}_2 \times R^2$ holographic solutions:
 - A non-zero ground state entropy.
 - Single fermion self energies are momentum independent, and their singular behavior is the same on and off the Fermi surface.
 - A marginal Fermi liquid spectrum for the conduction electrons (for the holographic solution, this requires tuning a free parameter).
 - The low energy sector has conformally invariant correlations which are consistent with the AdS_2 geometry.

S. Sachdev, *Phys. Rev. Lett.* **105**, 151602 (2010).

Much work remains in extending these solvable models to a realistic theory of the cuprate superconductors. Considerable recent progress in gravitational theories which include back-reaction of Fermi surfaces on the AdS metric

Conclusions

New insights and solvable models for
diffusion and transport of
strongly interacting systems near
quantum critical points

The description is far removed
from, and complementary to, that of
the quantum Boltzmann equation
which builds on the
quasiparticle/vortex picture.

Conclusions

The AdS/CFT correspondence offers promise in providing a new understanding of strongly interacting quantum matter at non-zero density