

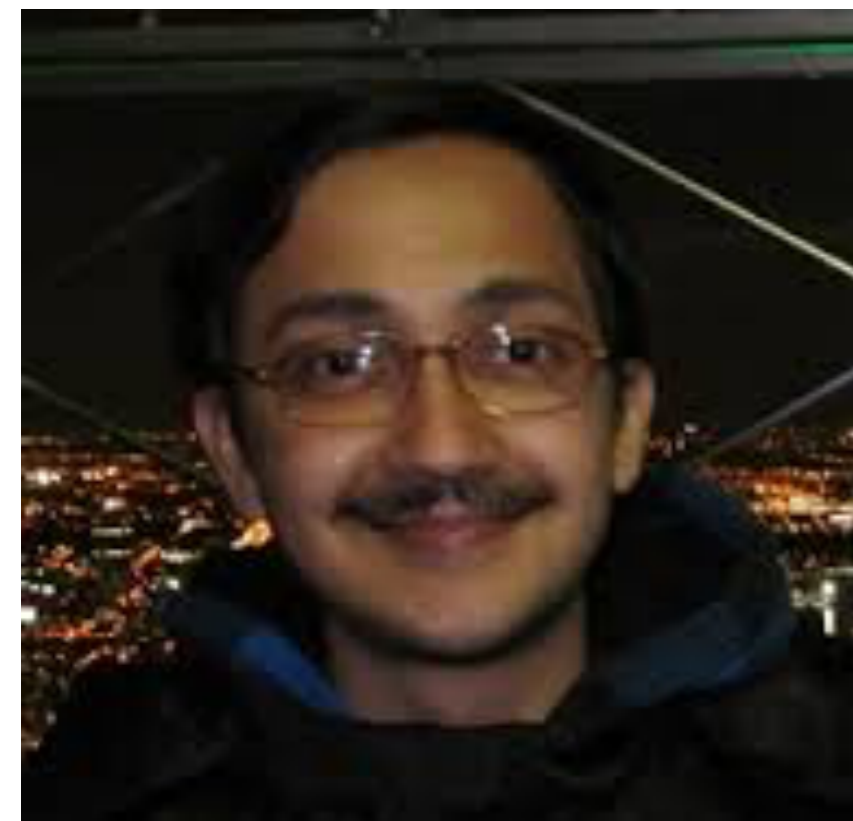
Z_2 topological order near the Neel state on the square lattice

Institut für Theoretische Physik
Universität Heidelberg
April 28, 2017

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Talk online: sachdev.physics.harvard.edu





**Shubhayu
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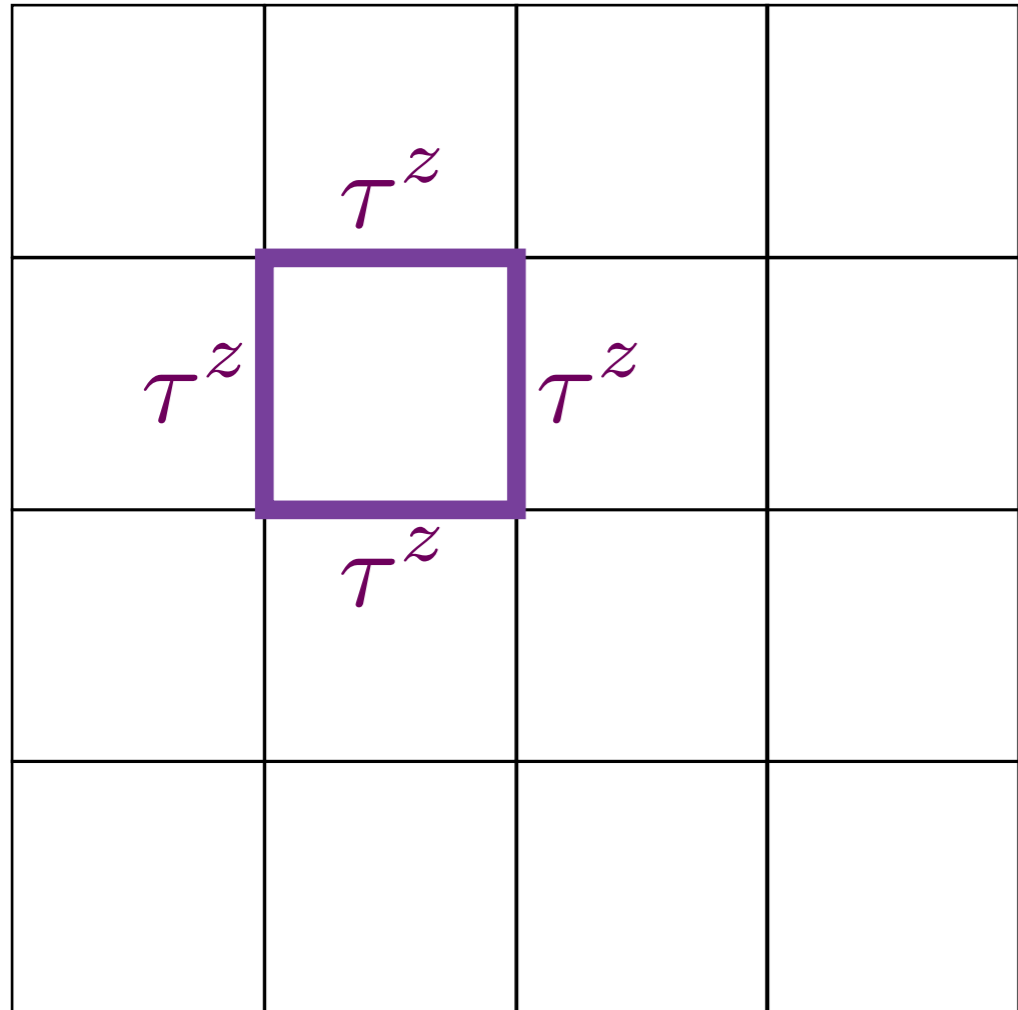
1. Z_2 lattice gauge theory and topological order

2. CP^1 theory of square lattice antiferromagnets and Z_2 topological order

3. Z_2 topological order and the volume enclosed by the Fermi surface

Z_2 lattice gauge theory

(Wegner, 1971)



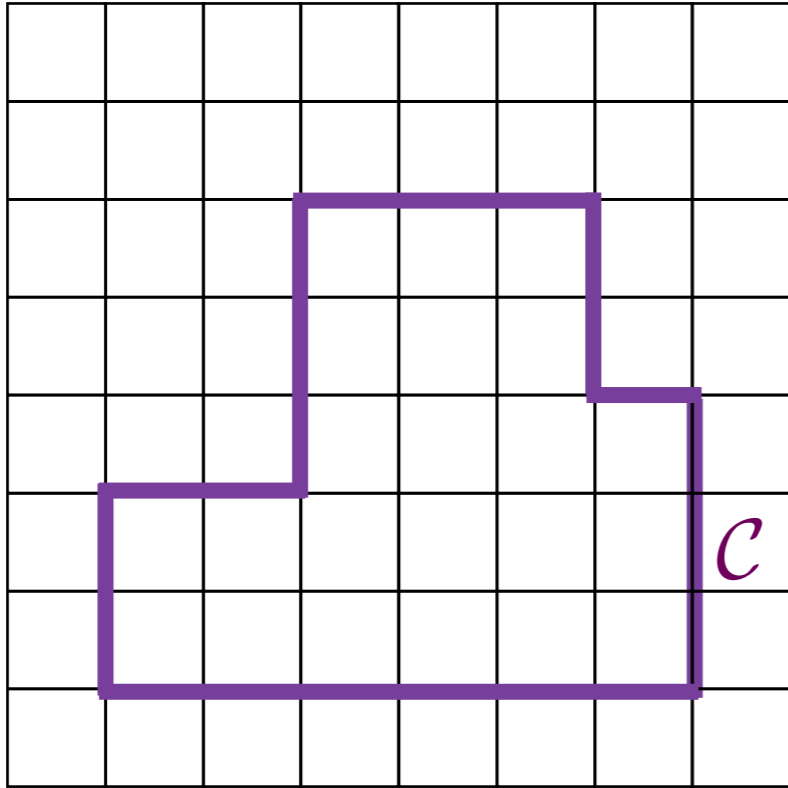
$$H = - \sum_{\square} \tau^z \tau^z \tau^z \tau^z - g \sum_i \tau^x$$

$$G_i = \begin{array}{c|c} & \tau^x \\ \hline \tau^x & \tau^x \\ \hline & \tau^x \end{array}$$

Gauss's Law: $[H, G_i] = 0$, $G_i = 1$

\mathbb{Z}_2 lattice gauge theory

(Wegner, 1971)



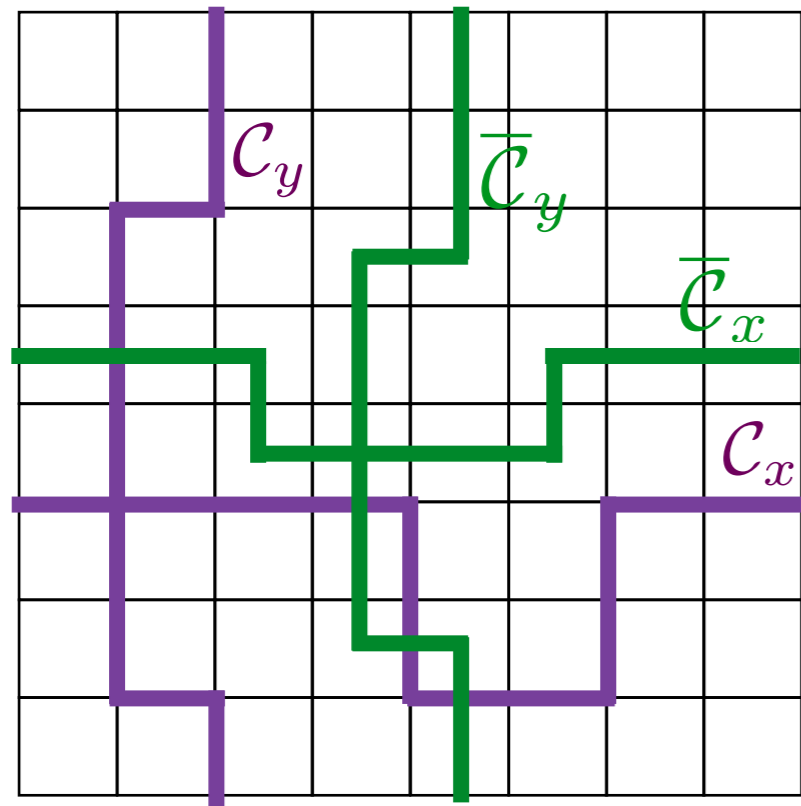
$$W_C = \prod_C \tau^z$$

Deconfined phase
 $W_C \sim$ Perimeter Law

Confined phase
 $W_C \sim$ Area Law



Topological order



$$V_x = \prod_{\bar{C}_x} \tau^x, \quad V_y = \prod_{\bar{C}_y} \tau^x$$

$$W_x = \prod_{C_x} \tau^z, \quad W_y = \prod_{C_y} \tau^z$$

$$V_x W_y = -W_y V_x, \quad V_y W_x = -W_x V_y$$

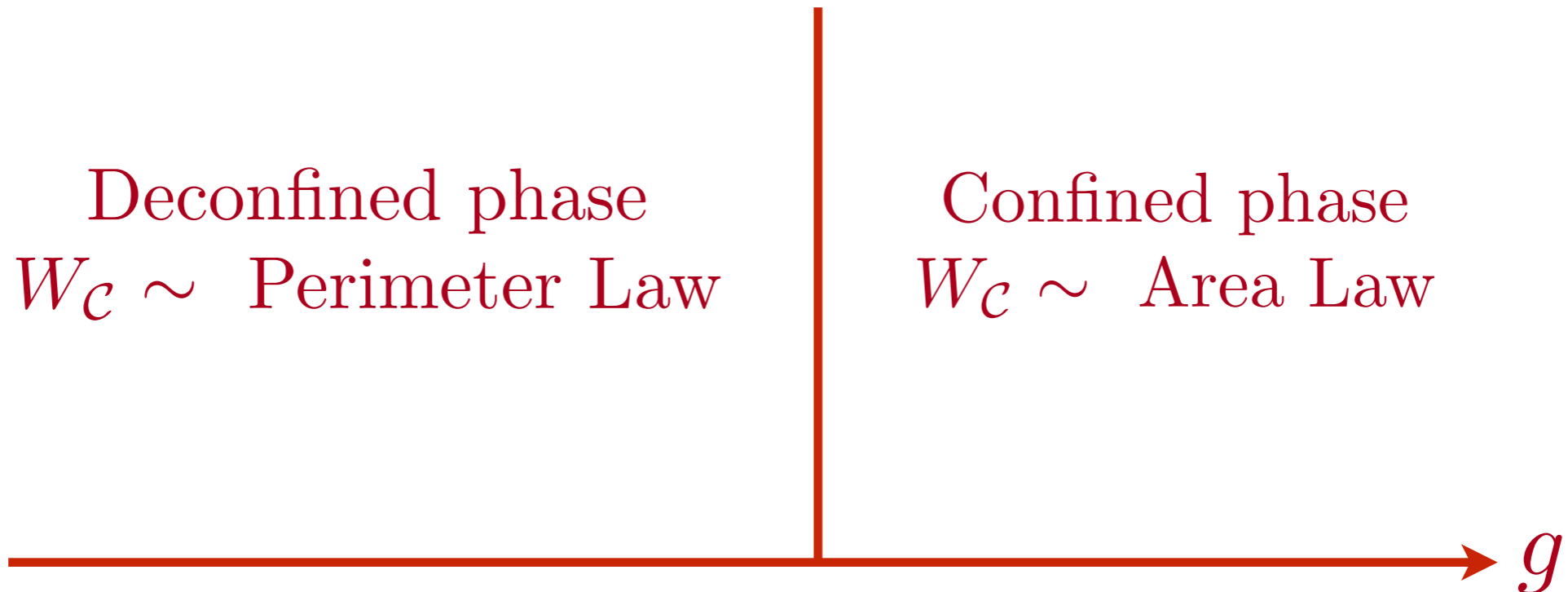
and all other pairs commute.

On a torus, there are two additional independent operators, V_x and V_y which commute with the Hamiltonian:

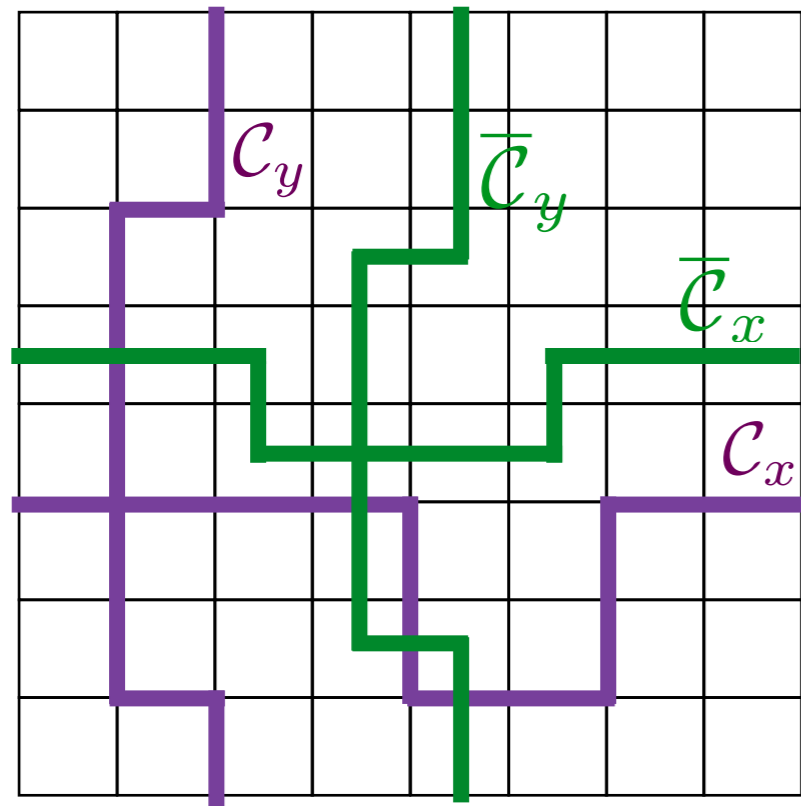
$$[H, V_x] = [H, V_y] = 0$$

Deconfined phase
 $W_C \sim$ Perimeter Law

Confined phase
 $W_C \sim$ Area Law



Topological order



$$V_x = \prod_{\bar{C}_x} \tau^x, \quad V_y = \prod_{\bar{C}_y} \tau^x$$

$$W_x = \prod_{C_x} \tau^z, \quad W_y = \prod_{C_y} \tau^z$$

$$V_x W_y = -W_y V_x, \quad V_y W_x = -W_x V_y$$

and all other pairs commute.

On a torus, there are two additional independent operators, V_x and V_y which commute with the Hamiltonian:

$$[H, V_x] = [H, V_y] = 0$$

Deconfined phase.

4-fold degenerate ground state: $V_x = \pm 1, V_y = \pm 1$.

Can take linear combinations to make eigenstates with $W_x = \pm 1, W_y = \pm 1$.

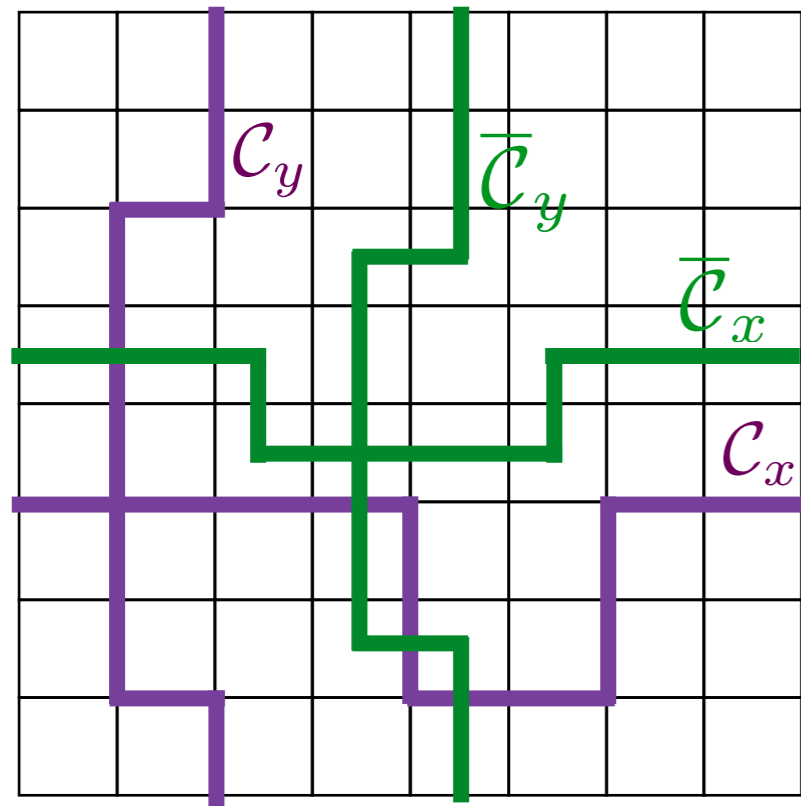
Topological order

Confined phase.

Unique ground state has $V_x = 1, V_y = 1$.
No topological order

g

Topological order



$$V_x = \prod_{\bar{C}_x} \tau^x, \quad V_y = \prod_{\bar{C}_y} \tau^x$$

$$W_x = \prod_{C_x} \tau^z, \quad W_y = \prod_{C_y} \tau^z$$

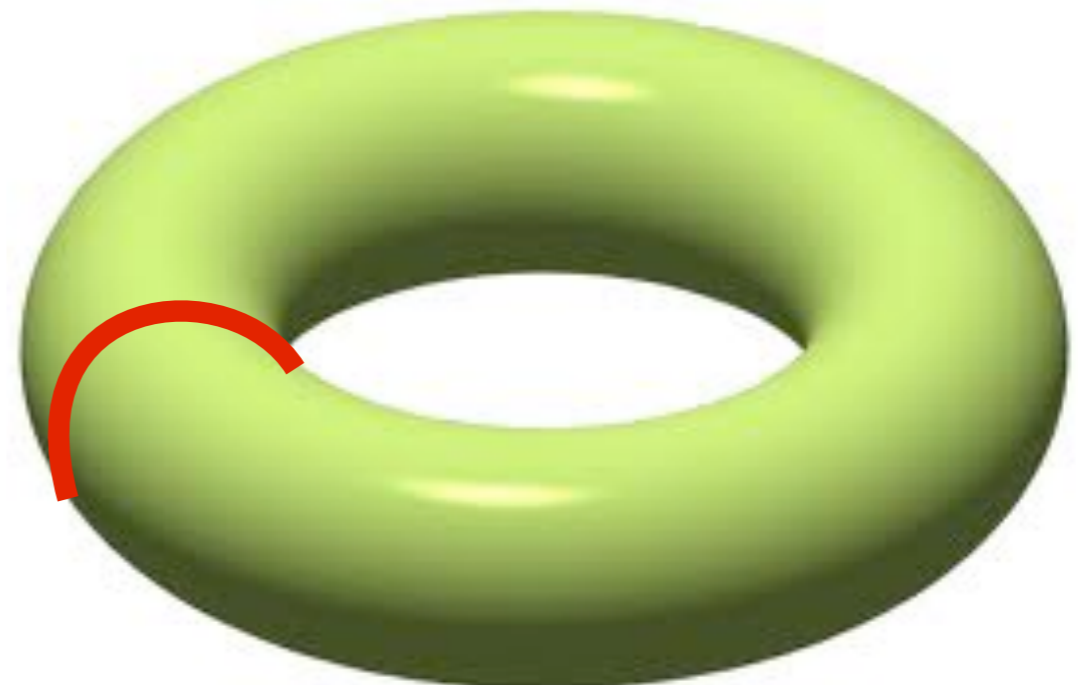
$$V_x W_y = -W_y V_x, \quad V_y W_x = -W_x V_y$$

and all other pairs commute.

On a torus, there are two additional independent operators, V_x and V_y which commute with the Hamiltonian:

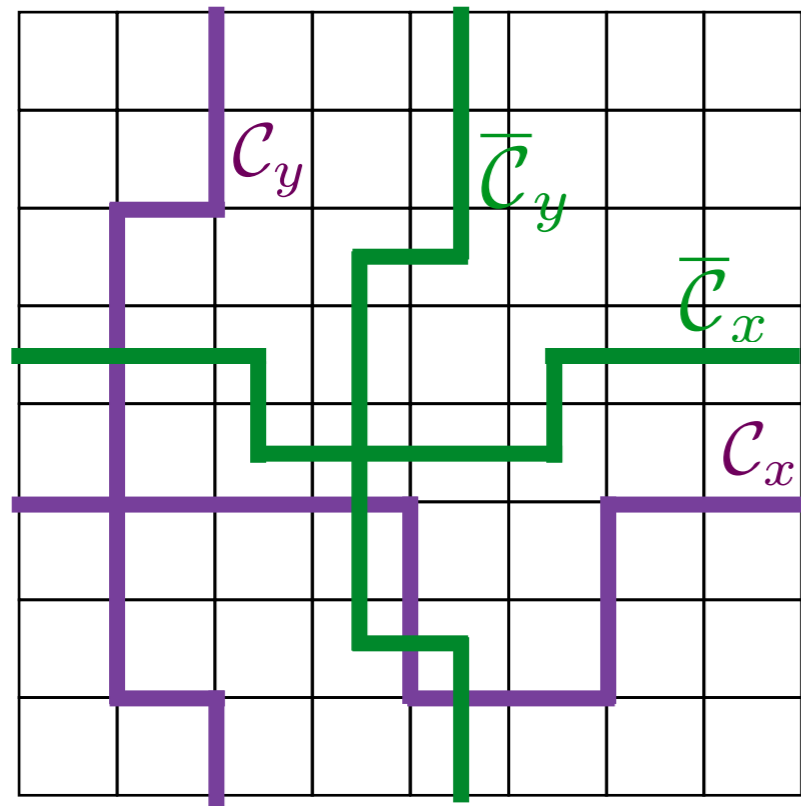
$$[H, V_x] = [H, V_y] = 0$$

Topological phase has degenerate states with Z_2 flux $W = \pm 1$ through the holes of the torus



(N. Read and S.S., 1991)

Topological order



$$V_x = \prod_{\bar{C}_x} \tau^x, \quad V_y = \prod_{\bar{C}_y} \tau^x$$

$$W_x = \prod_{C_x} \tau^z, \quad W_y = \prod_{C_y} \tau^z$$

$$V_x W_y = -W_y V_x, \quad V_y W_x = -W_x V_y$$

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On a torus, there are two additional independent operators, V_x and V_y which commute with the Hamiltonian:

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Deconfined phase.

4-fold degenerate ground state: $V_x = \pm 1, V_y = \pm 1$.

Can take linear combinations to make eigenstates with $W_x = \pm 1, W_y = \pm 1$.

Topological order

Confined phase.

Unique ground state has $V_x = 1, V_y = 1$.
No topological order

This criterion can distinguish the phases when dynamical (or even gapless) matter fields are present

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Symmetry-enriched topological (SET) order and deconfined criticality

$$H = - \sum_{\square} \tau^z \tau^z \tau^z \tau^z - g \sum_i \tau^x, \quad G_i = -1$$

Symmetry-enriched topological (SET) order and deconfined criticality

$$H = - \sum_{\square} \tau^z \tau^z \tau^z \tau^z - g \sum_i \tau^x, \quad G_i = -1$$

Deconfined quantum criticality
with a U(1) gauge theory

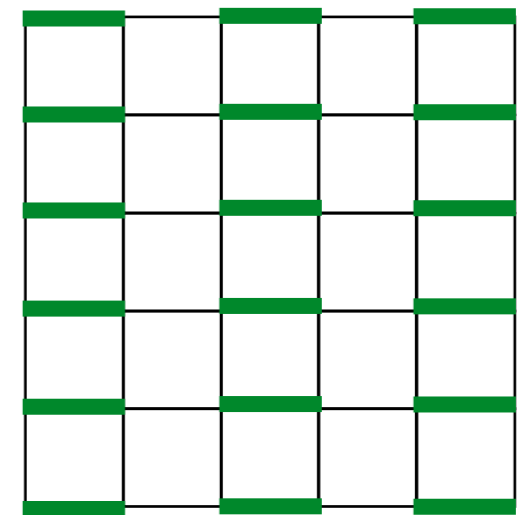
Deconfined phase.

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Topological order

Confined phase.
Broken symmetry and
valence bond solid (VBS) order

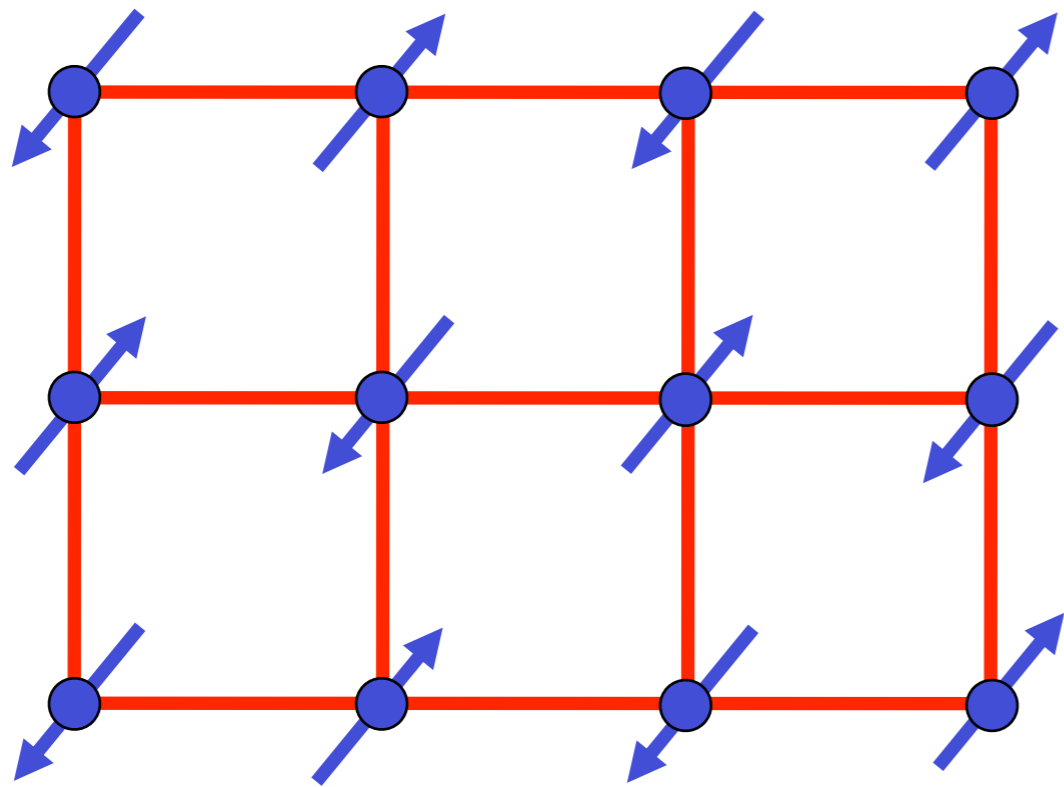


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1. Z_2 lattice gauge theory and topological order

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Insulating
Antiferromagnet

Néel order parameter $\mathbf{n}(x_i, \tau) = \eta_i \mathbf{S}_i(\tau)$, where $\eta_i = \pm 1$ on two sublattices.
O(3) non-linear sigma model:

$$S = \frac{1}{2g} \int d^2x d\tau (\partial_\mu \mathbf{n})^2$$

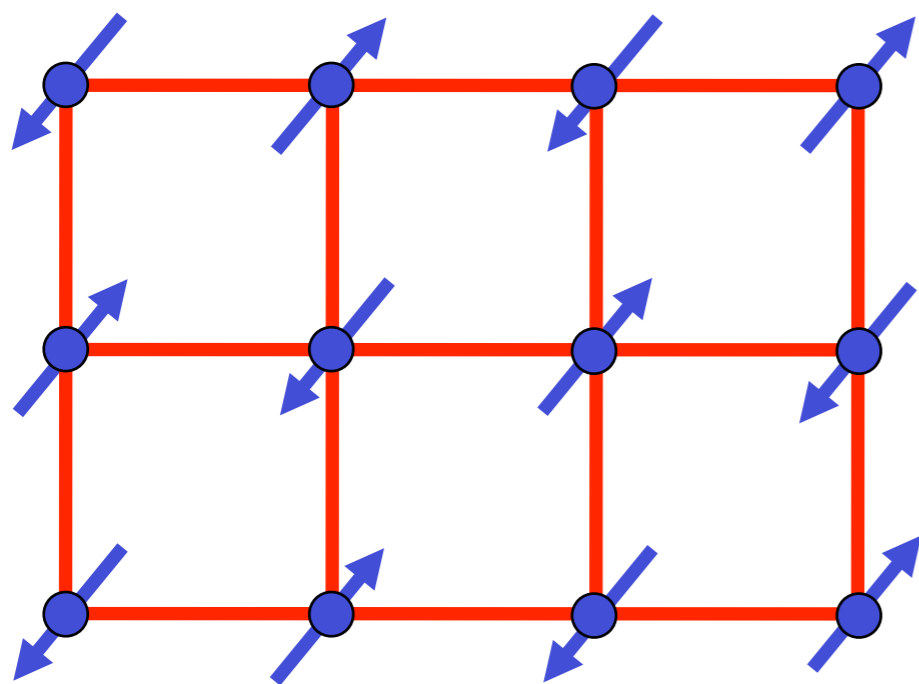
$\mathbb{C}\mathbb{P}^1$ model: use $\mathbf{n} = z_\alpha^* \vec{\sigma}_{\alpha\beta} z_\beta$ with $\alpha, \beta = \uparrow, \downarrow$, and then

$$S = \frac{1}{g} \int d^2x d\tau |(\partial_\mu - ia_\mu) z_\alpha|^2$$

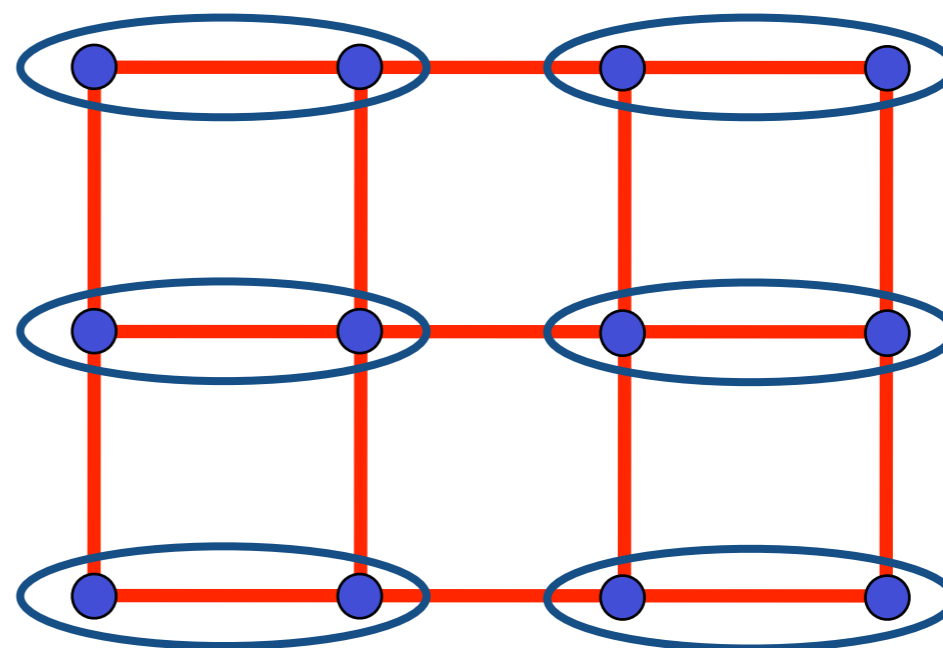
where a_μ is an emergent U(1) gauge field.

Theory for $S = 1/2$ antiferromagnet also has spin Berry phase terms

$$S = \frac{1}{g} \int d^2x d\tau |(\partial_\mu - ia_\mu)z_\alpha|^2 + i \sum_i \int d\tau \eta_i a_{i\tau}$$



Higgs phase with $\langle z_\alpha \rangle \neq 0$
 Néel order with Nambu-Goldstone
 (spin-wave) gapless excitations.



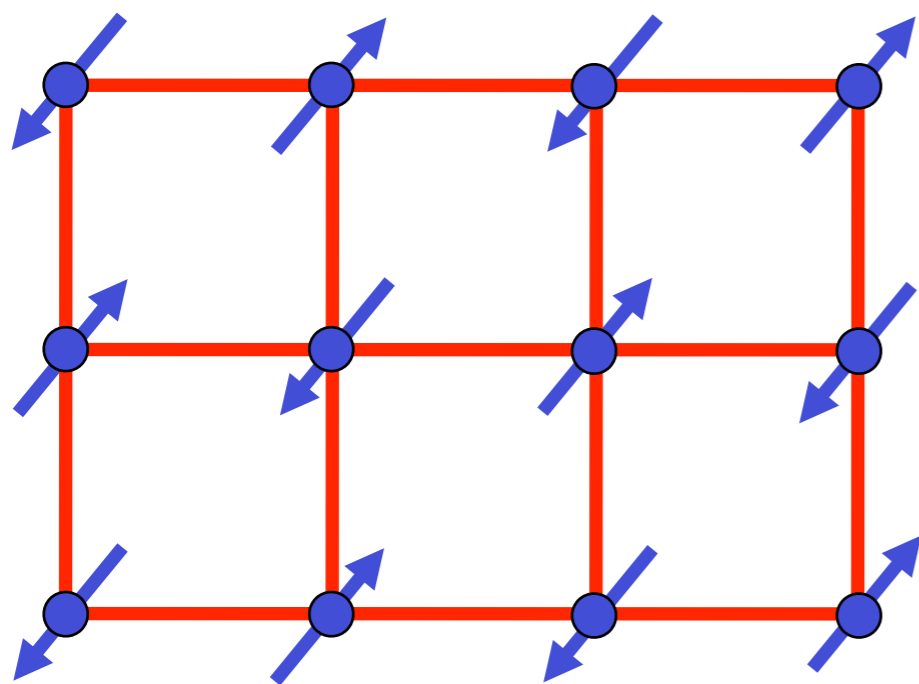
Confined phase with $\langle z_\alpha \rangle = 0$
 VBS order

g

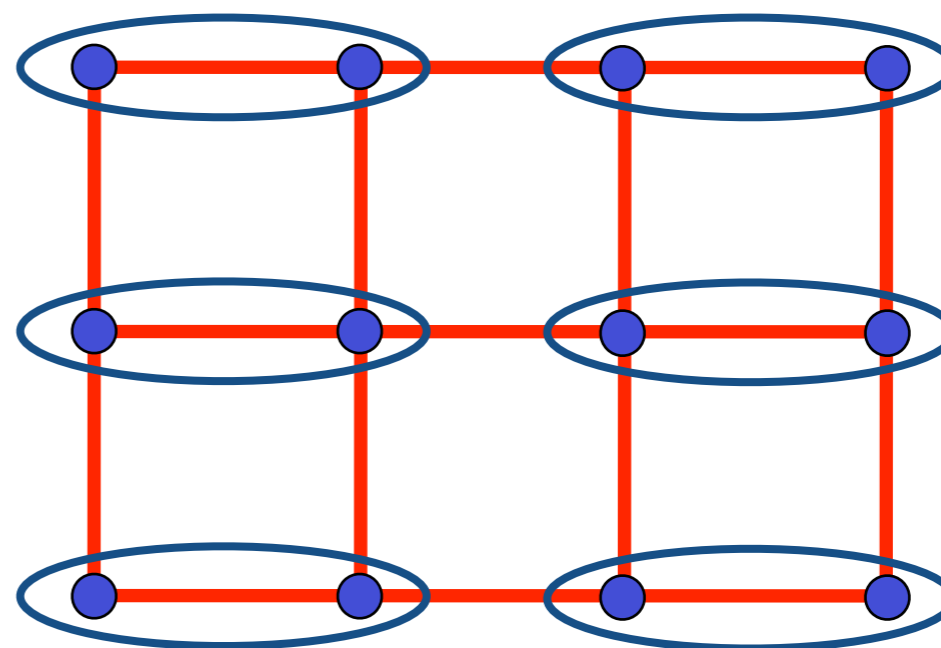
Theory for $S = 1/2$ antiferromagnet also has spin Berry phase terms

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Deconfined quantum criticality
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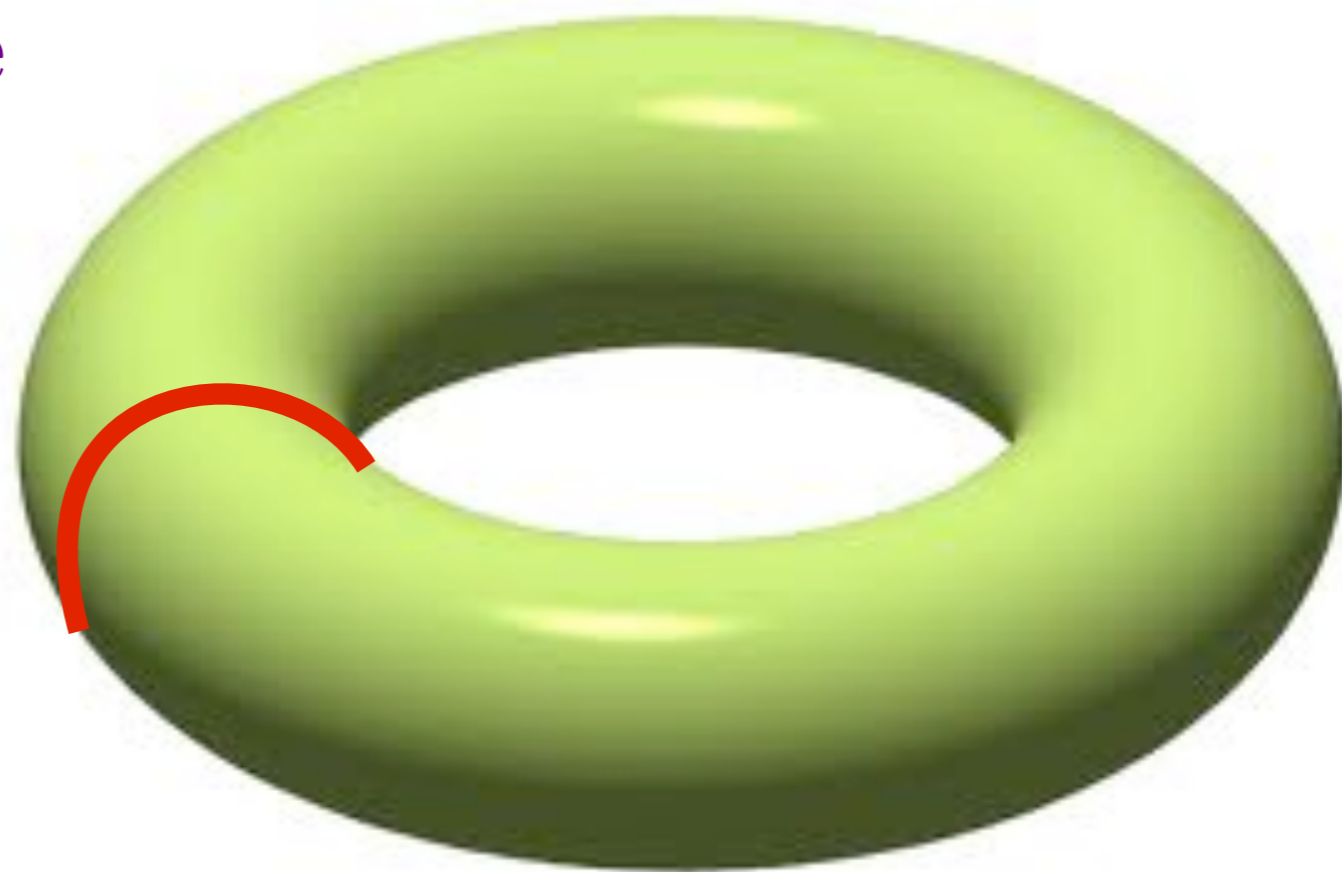
Confined phase with $\langle z_\alpha \rangle = 0$
VBS order

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To obtain a Z_2 deconfined phase, we need to condense a Higgs field, Φ , with U(1) charge 2.

(Fradkin and Shenker, 1979)

The phase of Φ winds by 2π around the cycle of the torus, trapping U(1) flux π in the hole of the torus. This leads to 4-fold ground state degeneracy



(N. Read and S.S., 1991; X.G.Wen, 1991)

The simplest route to such Higgs fields is to condense spin-singlet pairs of long-wavelength spinons, z_α . There are two candidates for such Higgs fields, corresponding to the operators

$$\varepsilon_{\alpha\beta} z_\alpha \partial_\tau z_\beta \quad , \quad \varepsilon_{\alpha\beta} z_\alpha \vec{\nabla} z_\beta$$

So we introduce corresponding Higgs fields, P and \vec{Q} , and the following effective action with additional tuning parameters s_1 and s_2

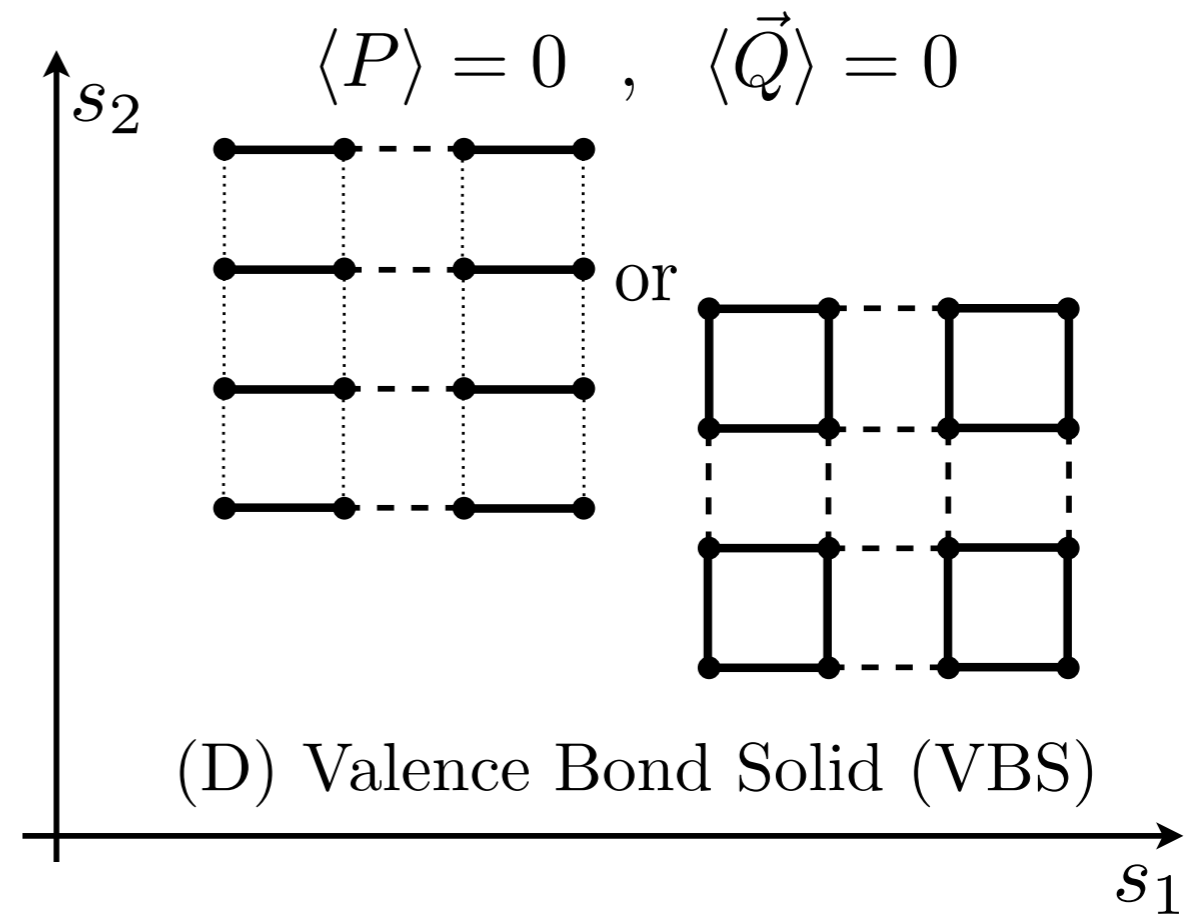
$$S = \frac{1}{g} \int d^2x d\tau |(\partial_\mu - ia_\mu)z_\alpha|^2 + i \sum_i \int d\tau \eta_i a_{i\tau}$$

$$\int d^2x d\tau \left[iP \varepsilon_{\alpha\beta} z_\alpha \partial_\tau z_\beta + \vec{Q} \cdot \varepsilon_{\alpha\beta} z_\alpha \vec{\nabla} z_\beta + \text{H.c.} \right.$$

$$\left. + s_1 |P|^2 + s_2 |\vec{Q}|^2 + u_1 |P|^4 + u_2 |\vec{Q}|^4 + \dots \right]$$

Phase diagram at large g with $\langle z_\alpha \rangle = 0$

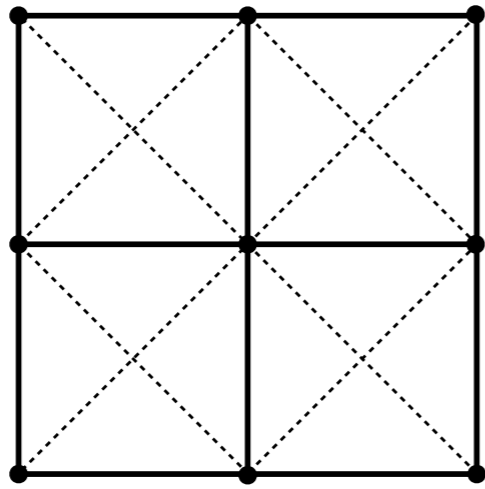
Three phases with Z_2 topological order



Phase diagram at large g with $\langle z_\alpha \rangle = 0$

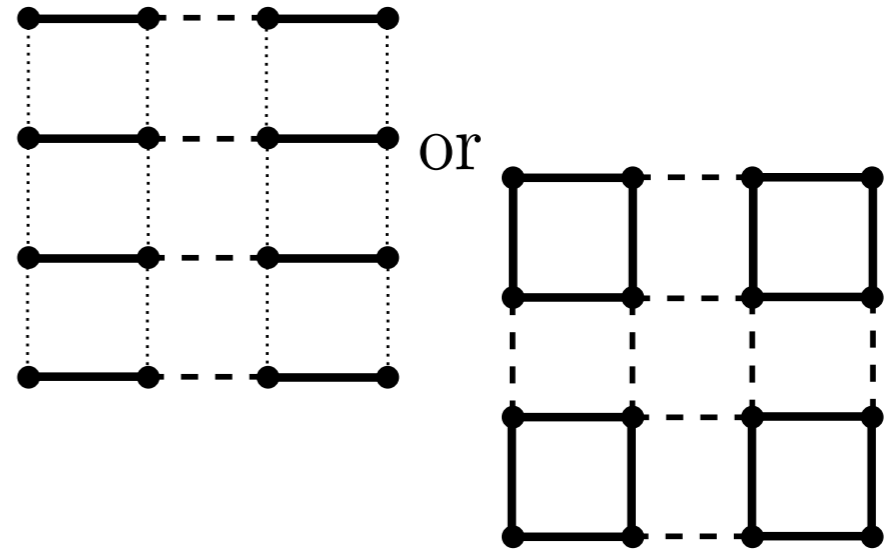
Three phases with Z_2 topological order

$$\langle P \rangle \neq 0, \quad \langle \vec{Q} \rangle = 0$$



(A) Z_2 topological order
and all symmetries preserved

$$\langle P \rangle = 0, \quad \langle \vec{Q} \rangle = 0$$



(D) Valence Bond Solid (VBS)

(X. Yang and F. Wang, 2016;
X.-G Wen, 2002)

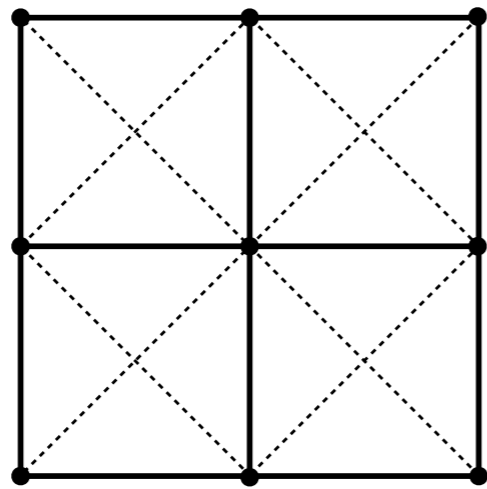
s_1

s_2

Phase diagram at large g with $\langle z_\alpha \rangle = 0$

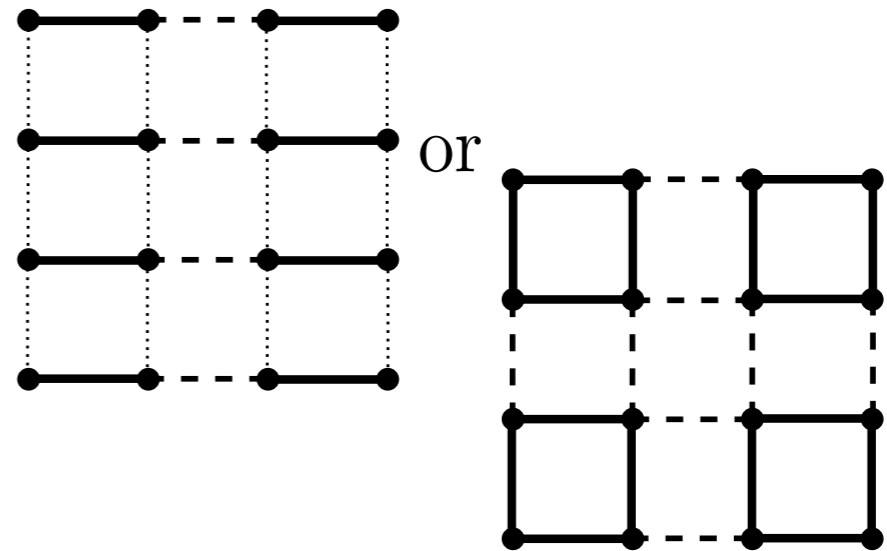
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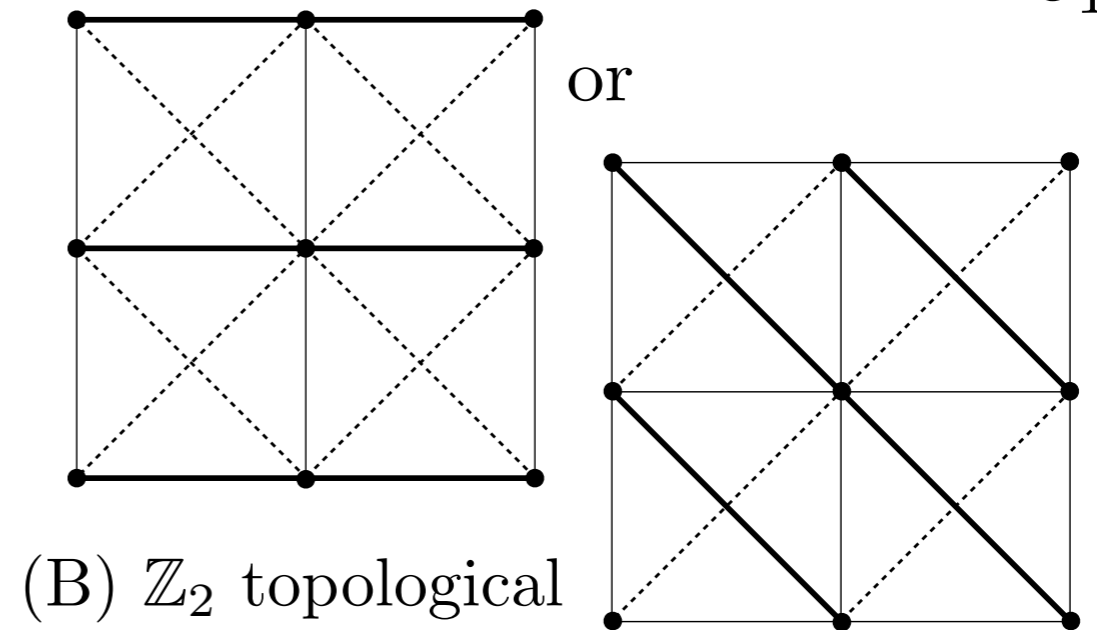


(A) Z_2 topological order and all symmetries preserved

$$\langle P \rangle = 0, \quad \langle \vec{Q} \rangle = 0$$



(D) Valence Bond Solid (VBS)



(B) Z_2 topological and Ising-nematic order

(N. Read and S.S. 1991)

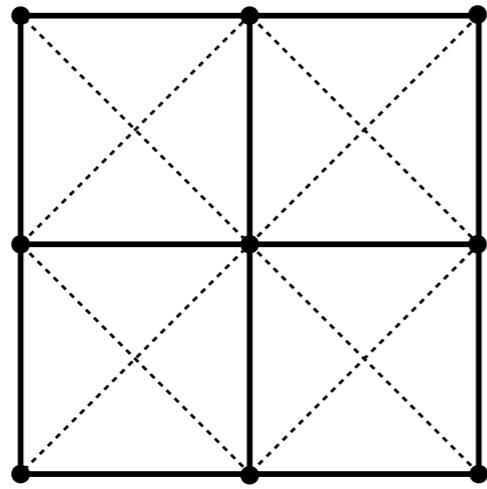
$$\langle P \rangle = 0, \quad \langle \vec{Q} \rangle \neq 0$$

(X. Yang and F. Wang, 2016;
X.-G. Wen, 2002)

Phase diagram at large g with $\langle z_\alpha \rangle = 0$

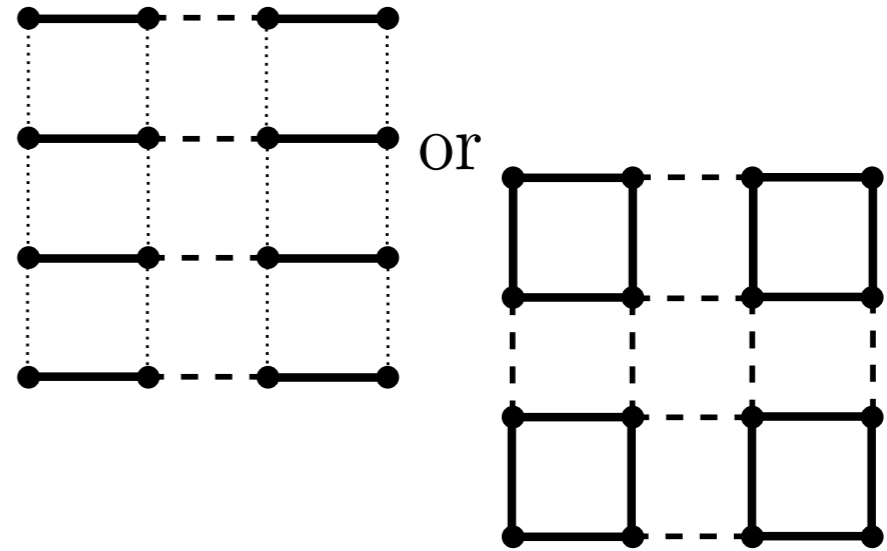
Three phases with Z_2 topological order

$$\langle P \rangle \neq 0, \quad \langle \vec{Q} \rangle = 0$$

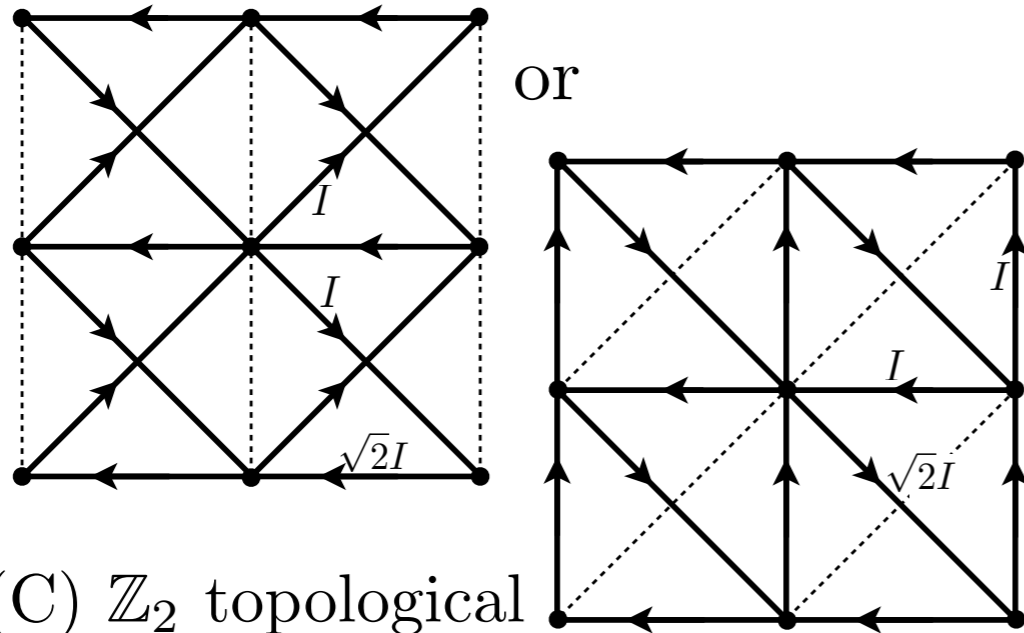


(A) Z_2 topological order and all symmetries preserved

$$\langle P \rangle = 0, \quad \langle \vec{Q} \rangle = 0$$

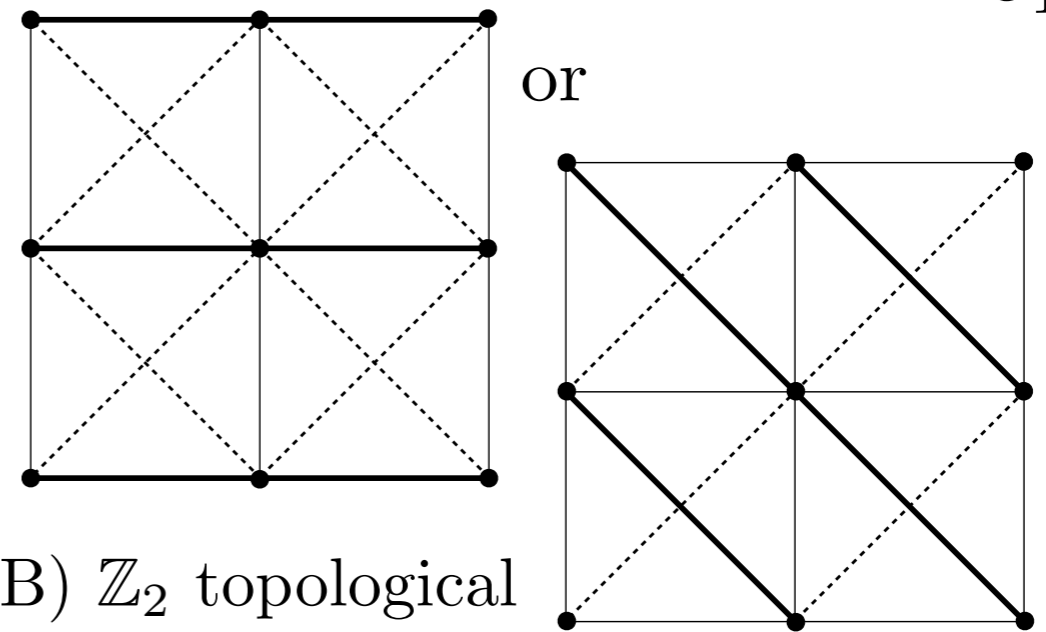


(D) Valence Bond Solid (VBS)



(C) Z_2 topological and current loop order

$$\langle P \rangle \neq 0, \quad \langle \vec{Q} \rangle \neq 0$$



(B) Z_2 topological and Ising-nematic order

$$\langle P \rangle = 0, \quad \langle \vec{Q} \rangle \neq 0$$

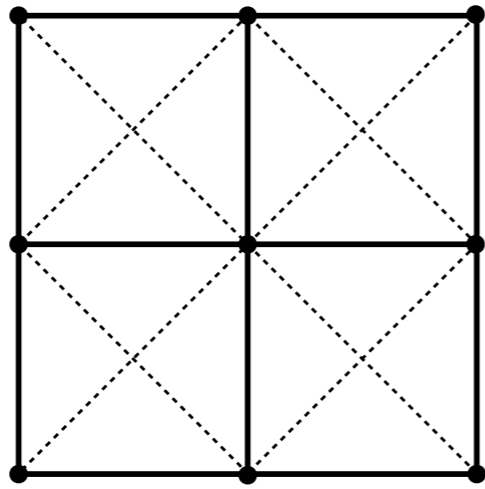
(N. Read and S.S. 1991)

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Phase diagram at large g with $\langle z_\alpha \rangle = 0$

Three phases with Z_2 topological order

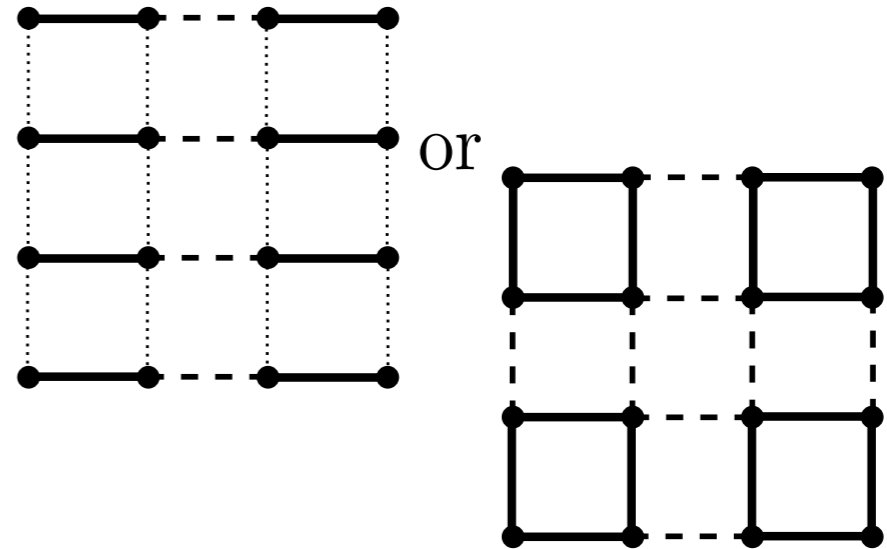
$$\langle P \rangle \neq 0, \quad \langle \vec{Q} \rangle = 0$$



(A) Z_2 topological order and all symmetries preserved

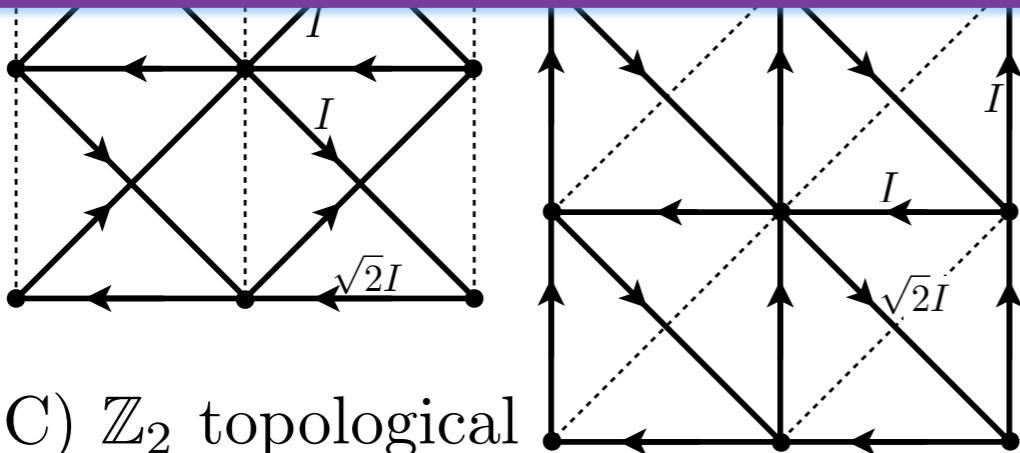
s_2

$$\langle P \rangle = 0, \quad \langle \vec{Q} \rangle = 0$$



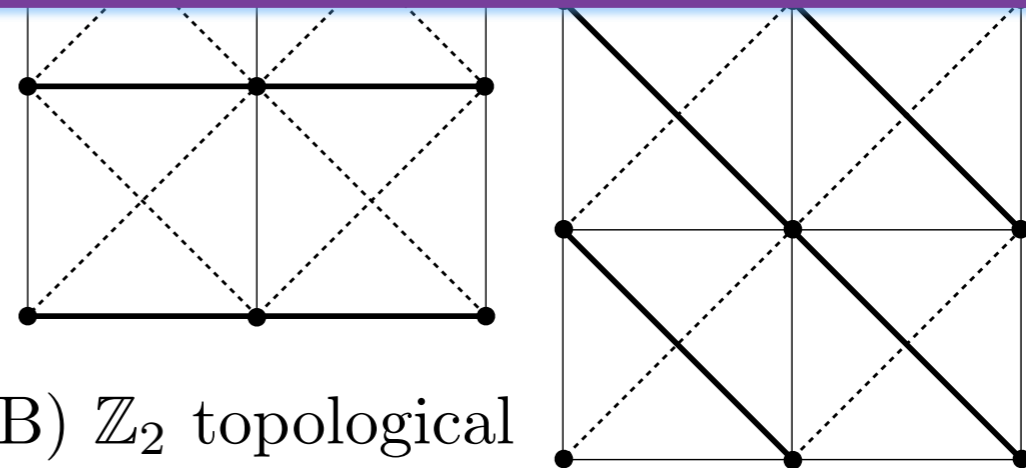
(D) Valence Bond Solid (VBS)

The broken symmetries co-existing with Z_2 topological order are precisely those observed in the pseudogap phase of the cuprates



(C) Z_2 topological and current loop order

$$\langle P \rangle \neq 0, \quad \langle \vec{Q} \rangle \neq 0$$

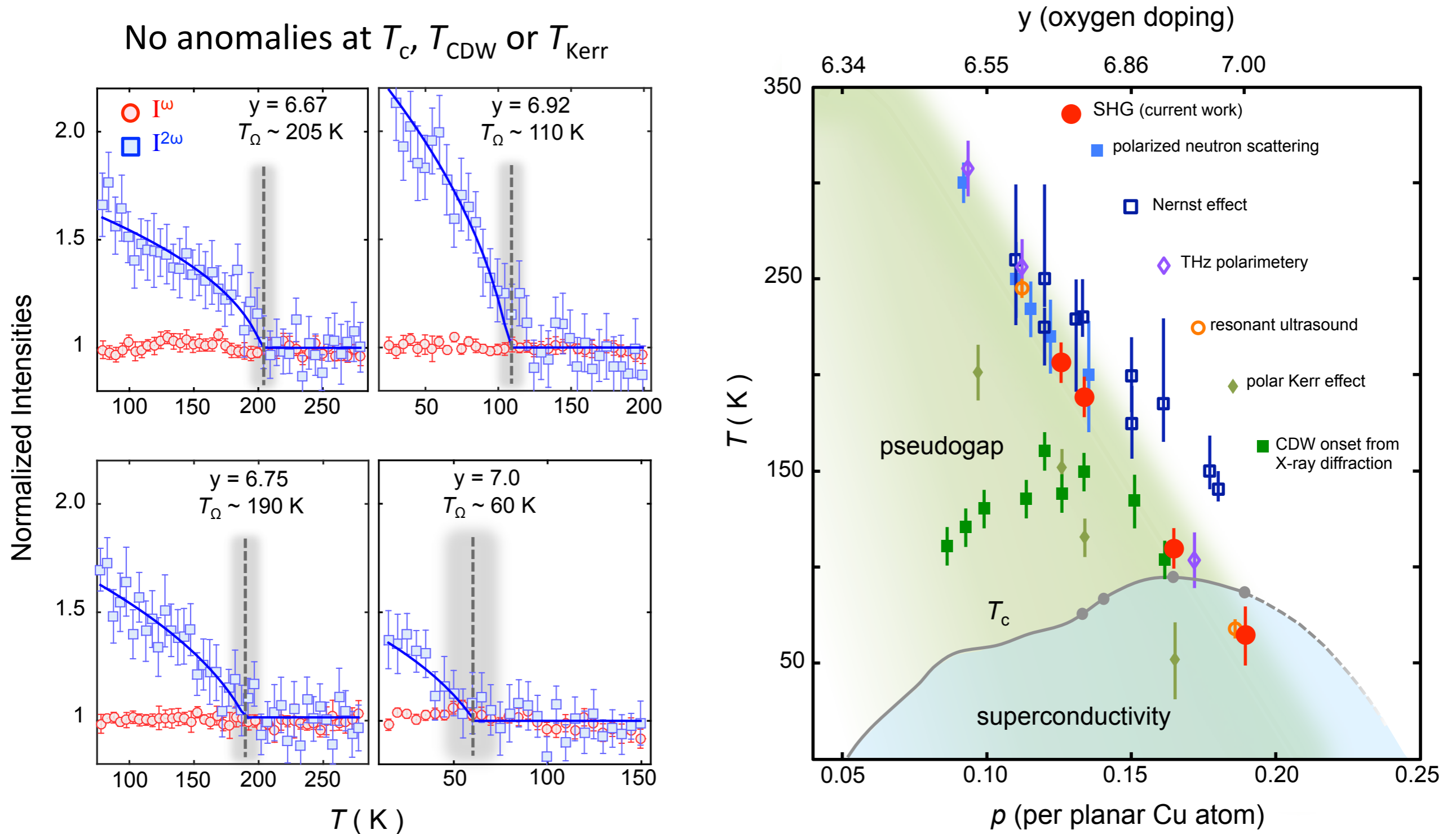


(B) Z_2 topological and Ising-nematic order

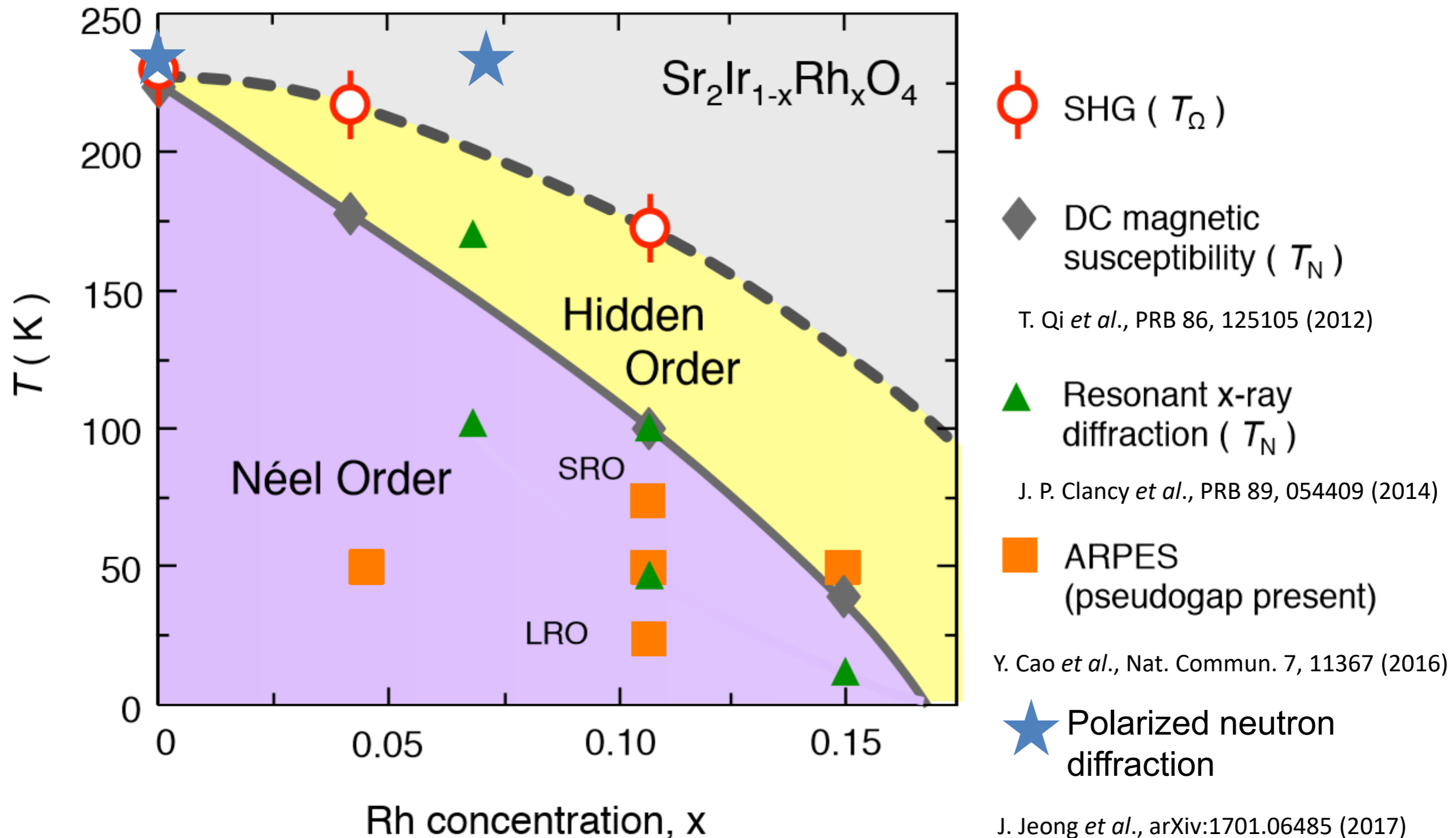
$$\langle P \rangle = 0, \quad \langle \vec{Q} \rangle \neq 0$$

(N. Read and S.S. 1991)

Broken inversion symmetry below T^* in $\text{YBa}_2\text{Cu}_3\text{O}_y$



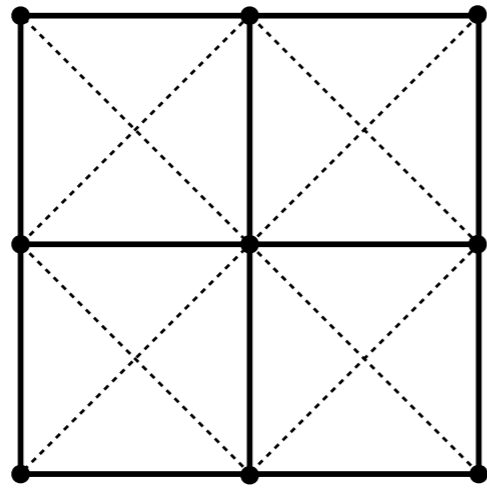
Phase diagram of $\text{Sr}_2\text{Ir}_{1-x}\text{Rh}_x\text{O}_4$



Phase diagram at large g with $\langle z_\alpha \rangle = 0$

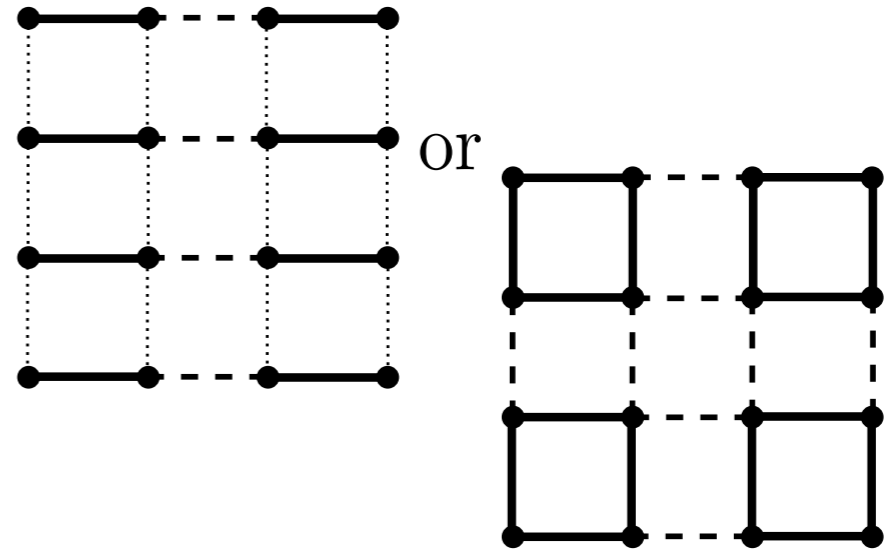
Three phases with Z_2 topological order

$$\langle P \rangle \neq 0, \quad \langle \vec{Q} \rangle = 0$$

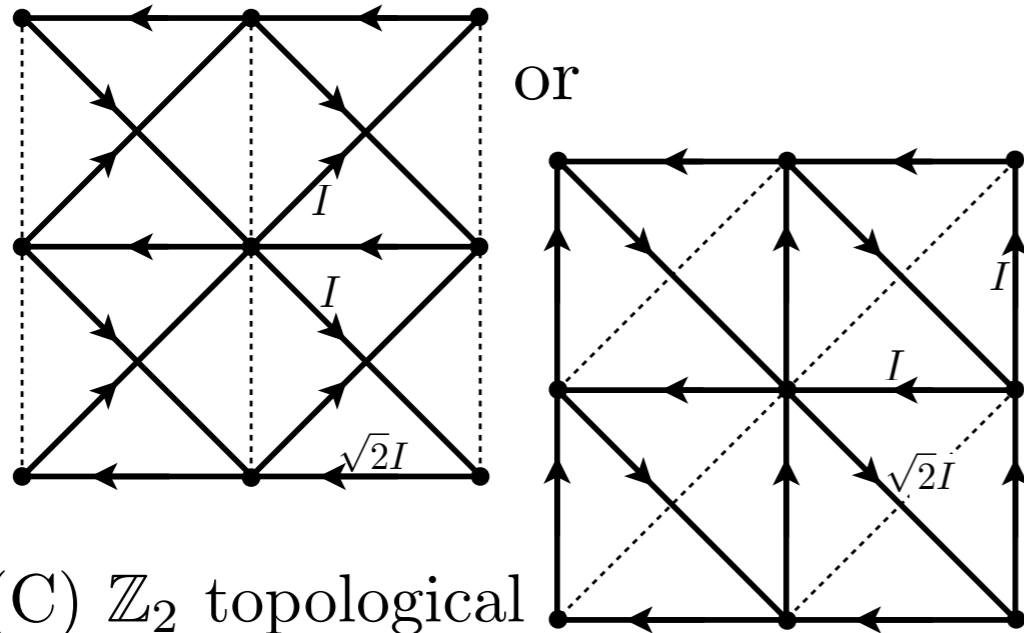


(A) Z_2 topological order and all symmetries preserved

$$\langle P \rangle = 0, \quad \langle \vec{Q} \rangle = 0$$

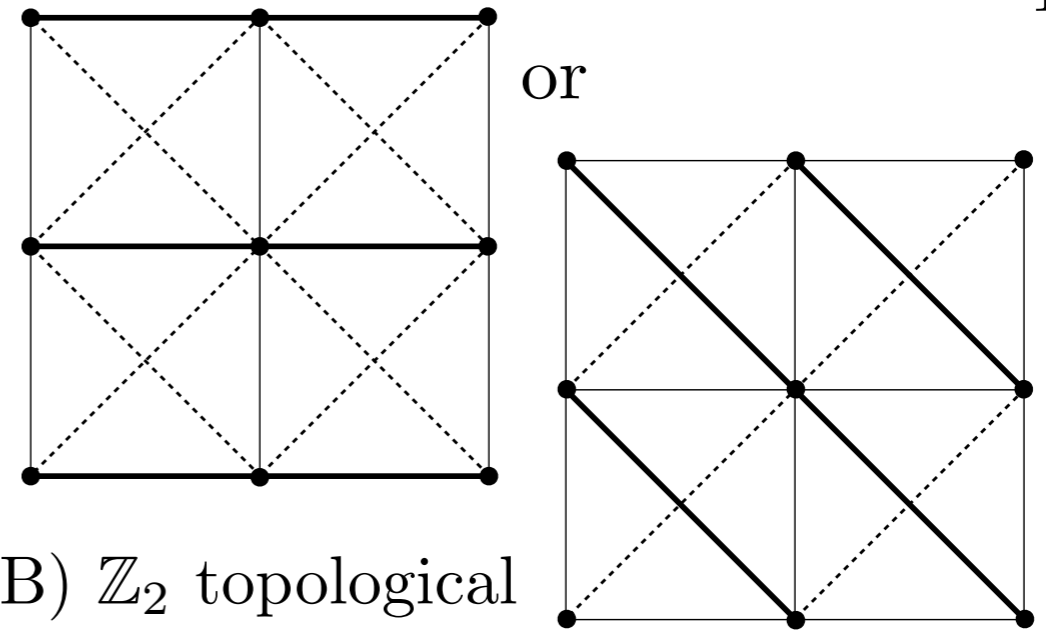


(D) Valence Bond Solid (VBS)



(C) Z_2 topological and current loop order

$$\langle P \rangle \neq 0, \quad \langle \vec{Q} \rangle \neq 0$$



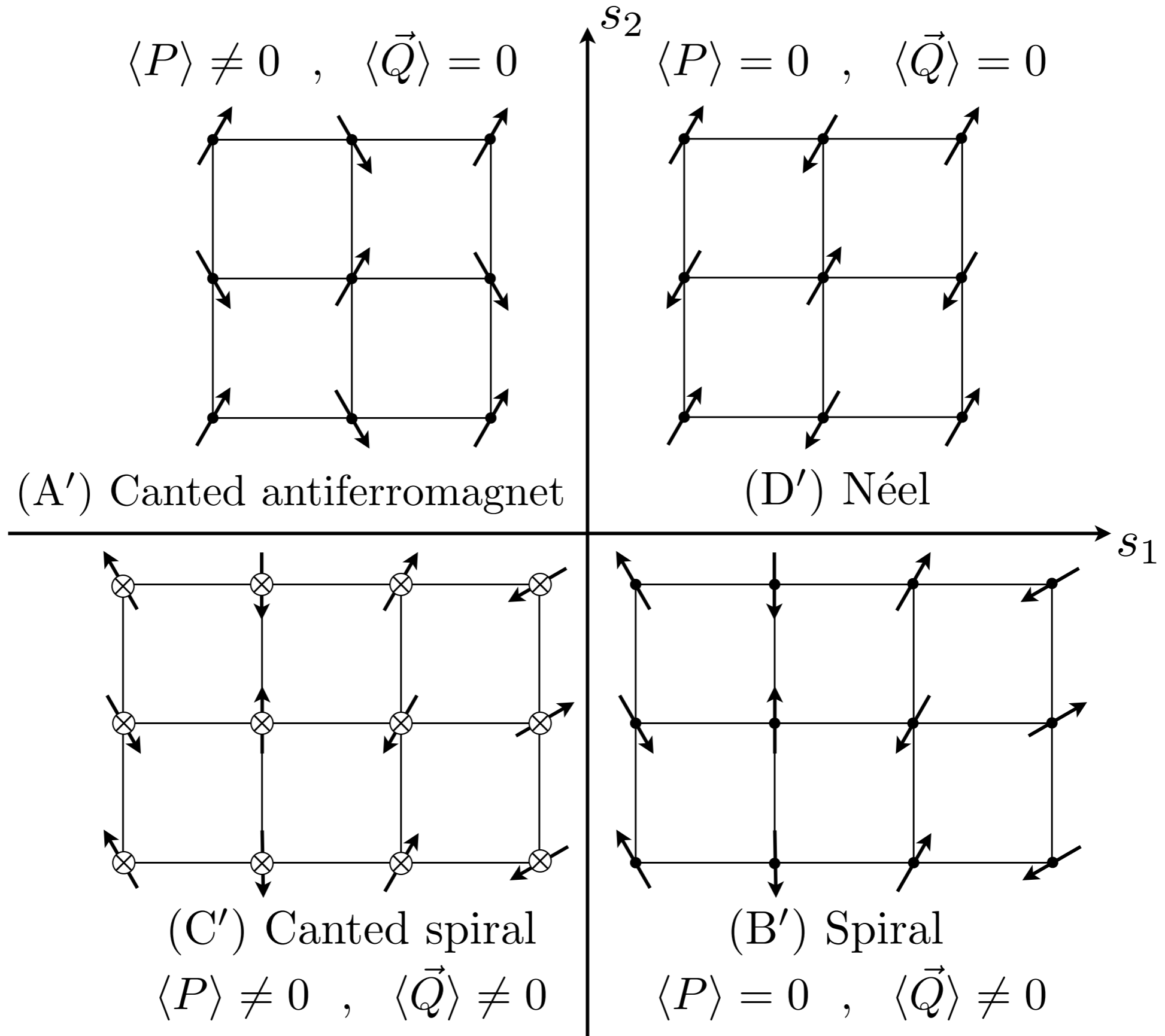
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$$\langle P \rangle = 0, \quad \langle \vec{Q} \rangle \neq 0$$

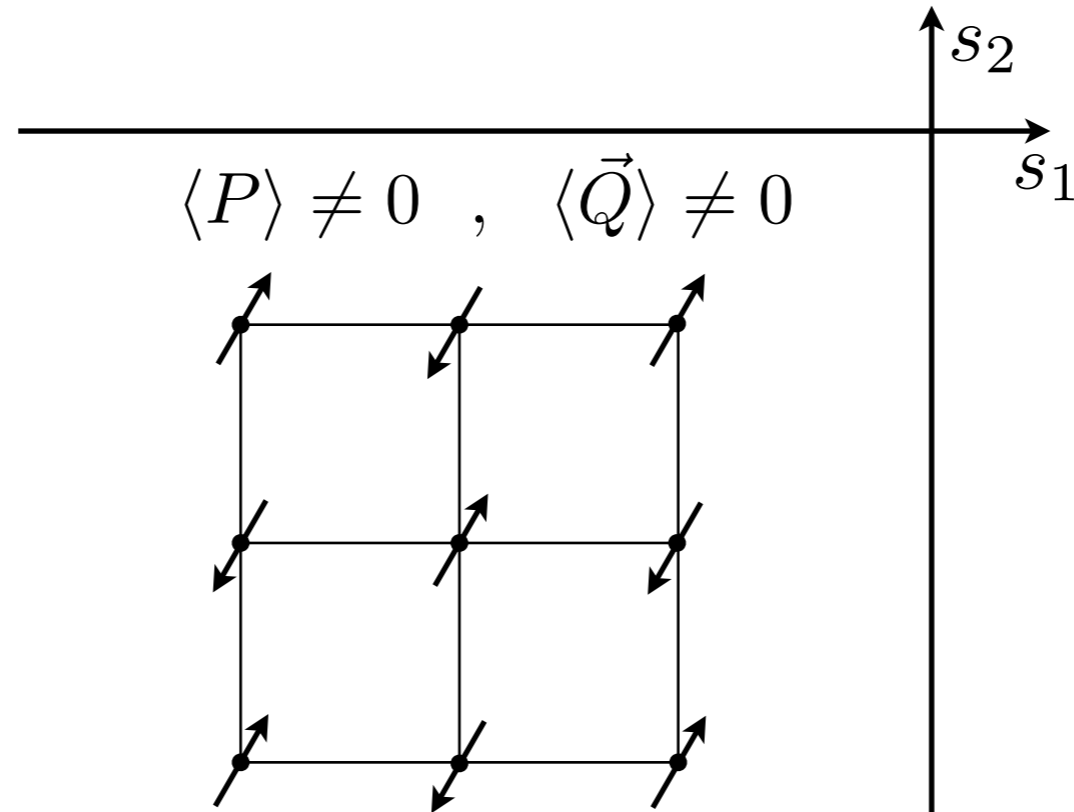
(N. Read and S.S. 1991)

(X. Yang and F. Wang, 2016;
X.-G. Wen, 2002)

Phase diagram at small g with $\langle z_\alpha \rangle \neq 0$



An attractive possibility at intermediate g with $\langle z_\alpha \rangle = 0$



$$\langle z_\alpha^* \sigma_{\alpha\beta}^a z_\beta \rangle \neq 0$$
$$\langle z_\alpha \rangle = 0$$

The AF* state:

co-existing

\mathbb{Z}_2 topological

and Néel order.

Gapped $S = 1/2$ spinons
and gapless spin waves.

Fractional excitations in the square-lattice quantum antiferromagnet

B. Dalla Piazza, M. Mourigal,
N. B. Christensen, G. J. Nilsen,
P. Tregenna-Piggott, T. G. Perring,
M. Enderle, D. F. McMorrow,
D. A. Ivanov, and H. M. Rønnow,
Nature Physics **11**, 62 (2015)

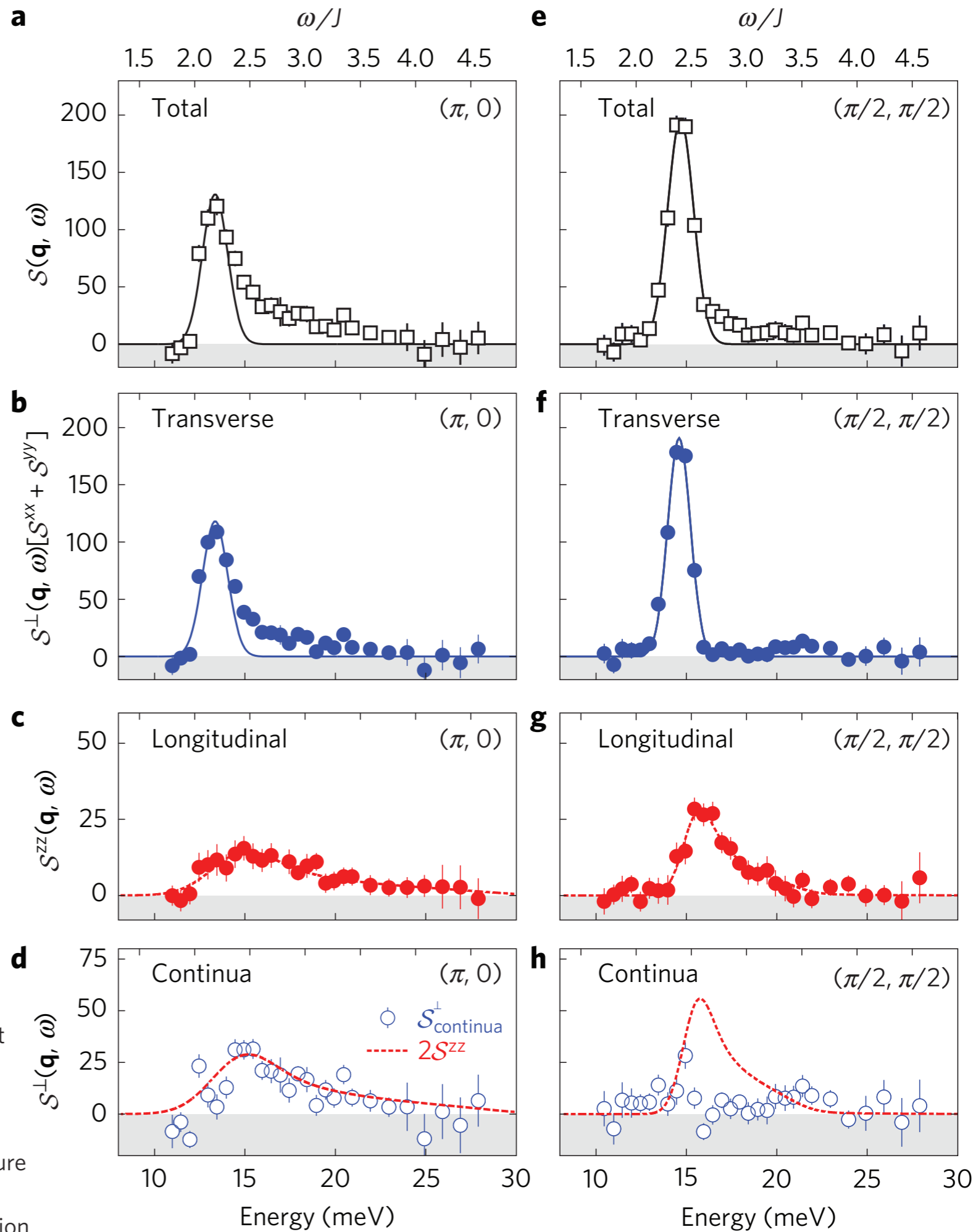


Figure 2 | Summary of the polarized neutron scattering data.
a-c, e-g, Energy dependence of the total, transverse and longitudinal contributions to the dynamic structure factor, respectively, at constant wavevectors $\mathbf{q} = (\pi, 0)$ (**a-c**) and $\mathbf{q} = (\pi/2, \pi/2)$ (**e-g**) measured by polarized neutron scattering on CFTD. The solid lines indicate resolution-limited Gaussian fits, while the dashed lines are empirical lineshapes used as guides-to-the-eye. **d, h**, Transverse dynamic structure factor with subtracted resolution-limited Gaussian fits at $(\pi, 0)$ and $(\pi/2, \pi/2)$, respectively. Error bars correspond to one standard deviation.

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2. CP^1 theory of square lattice antiferromagnets and Z_2 topological order

3. Z_2 topological order and the volume enclosed by the Fermi surface

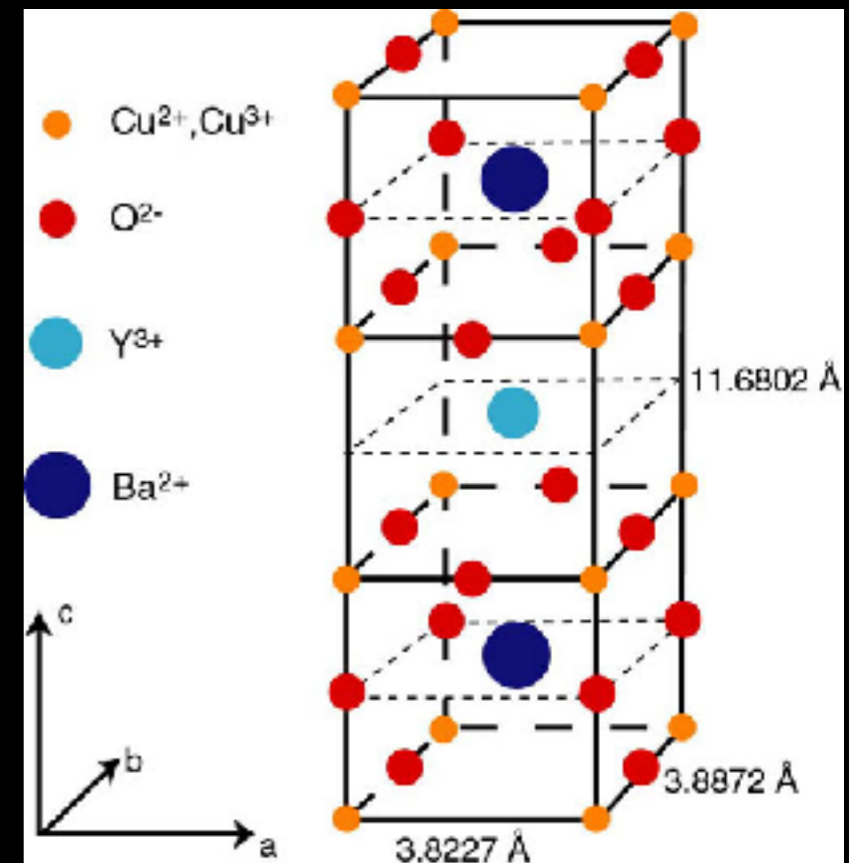
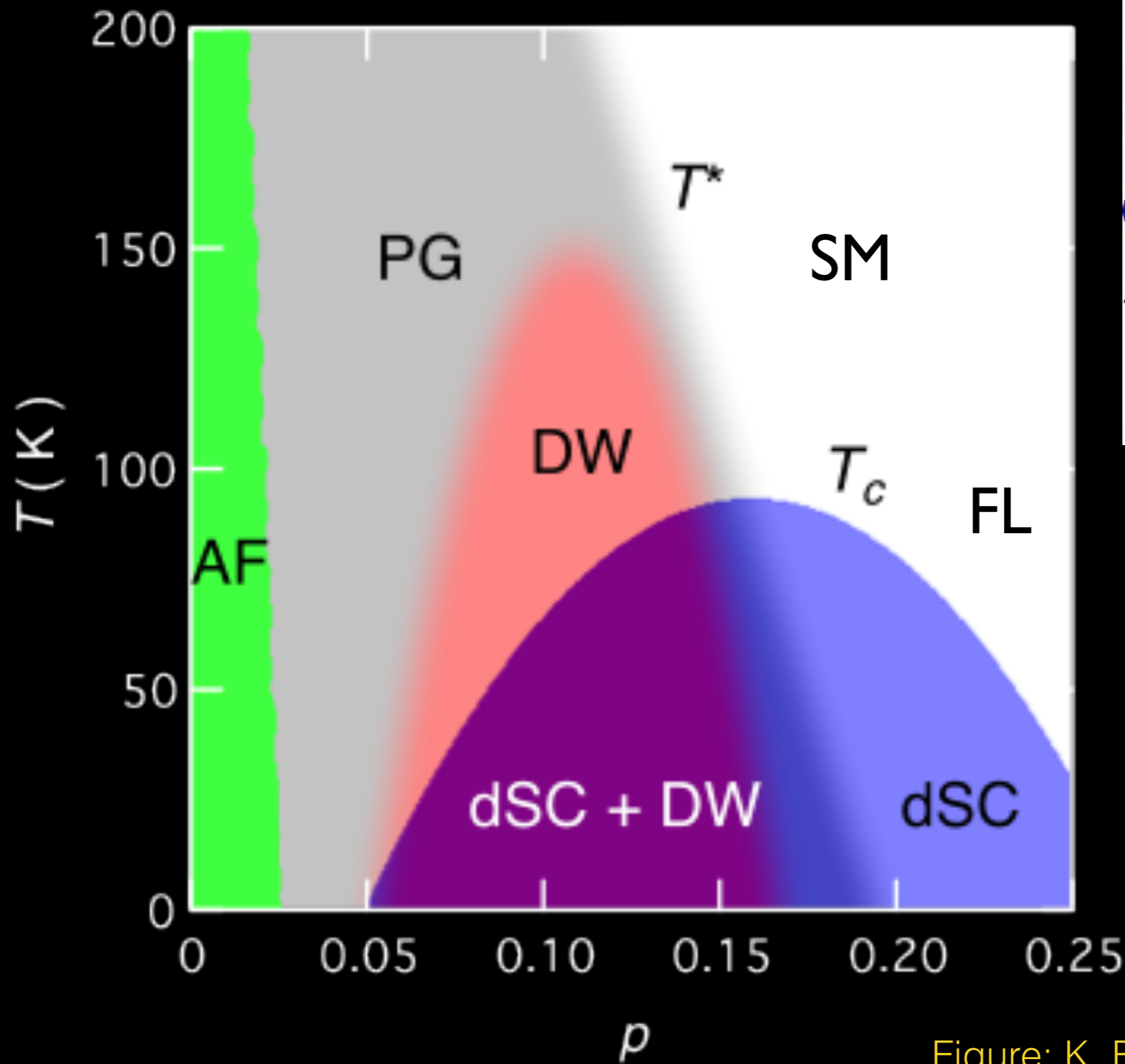
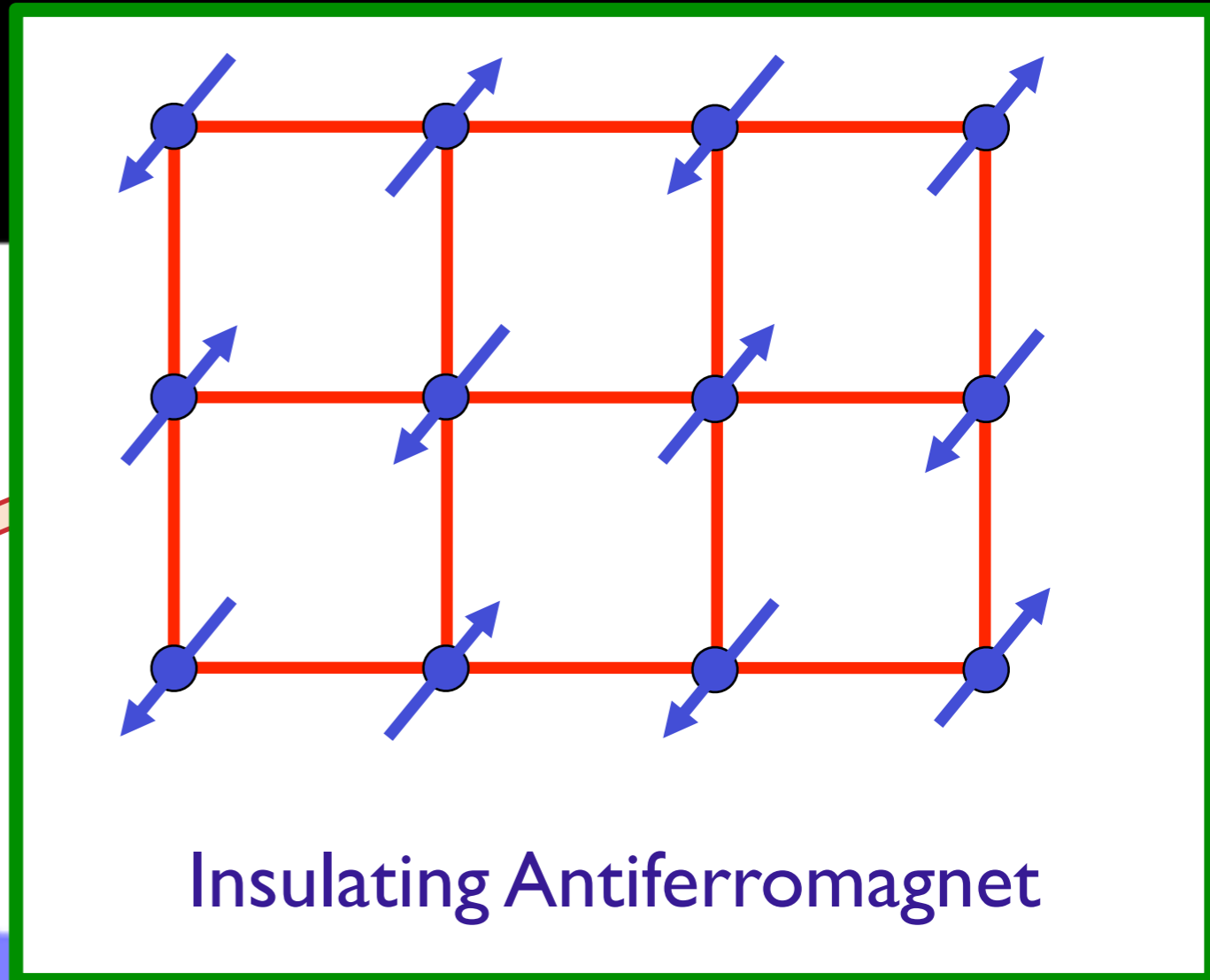
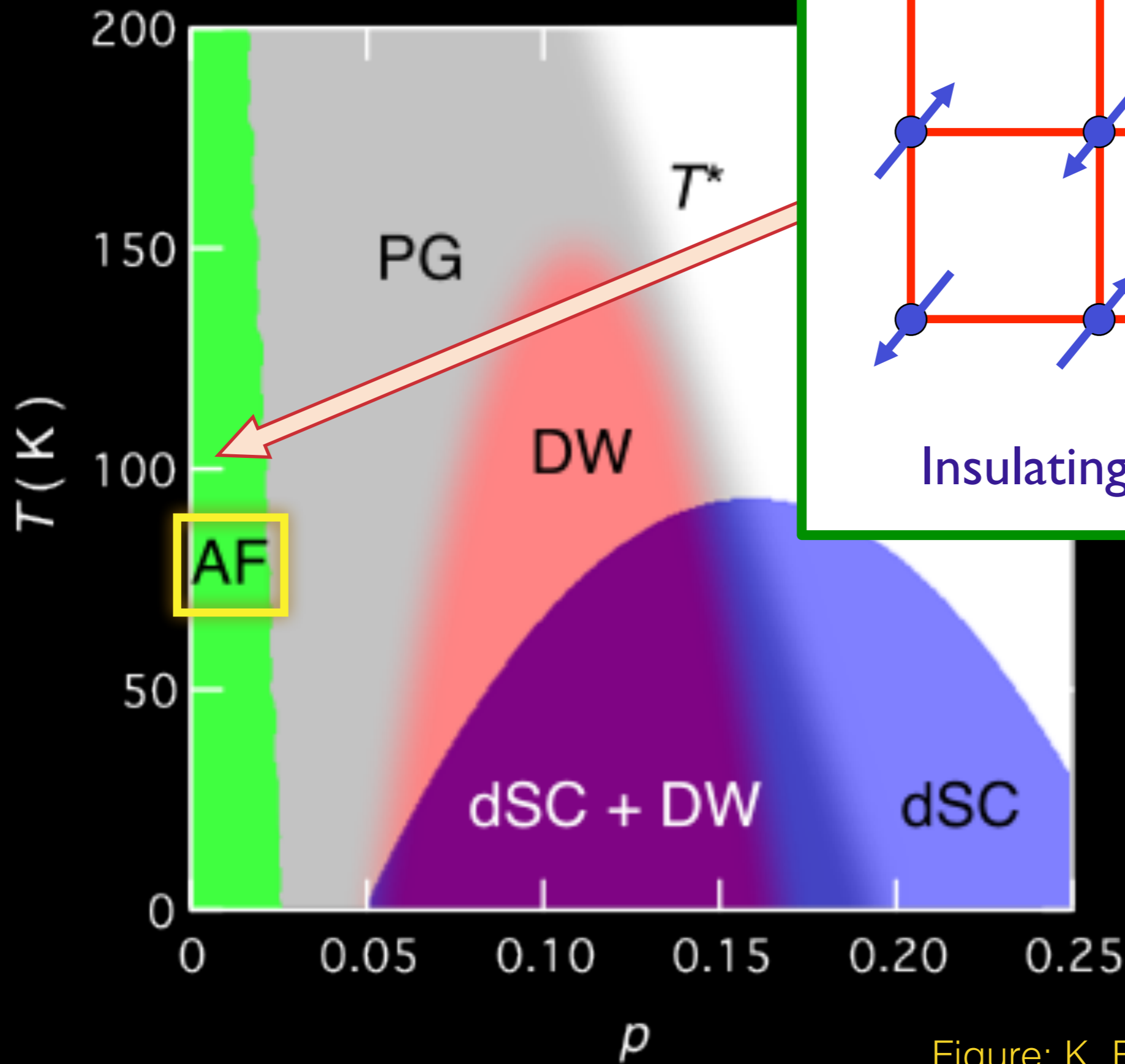
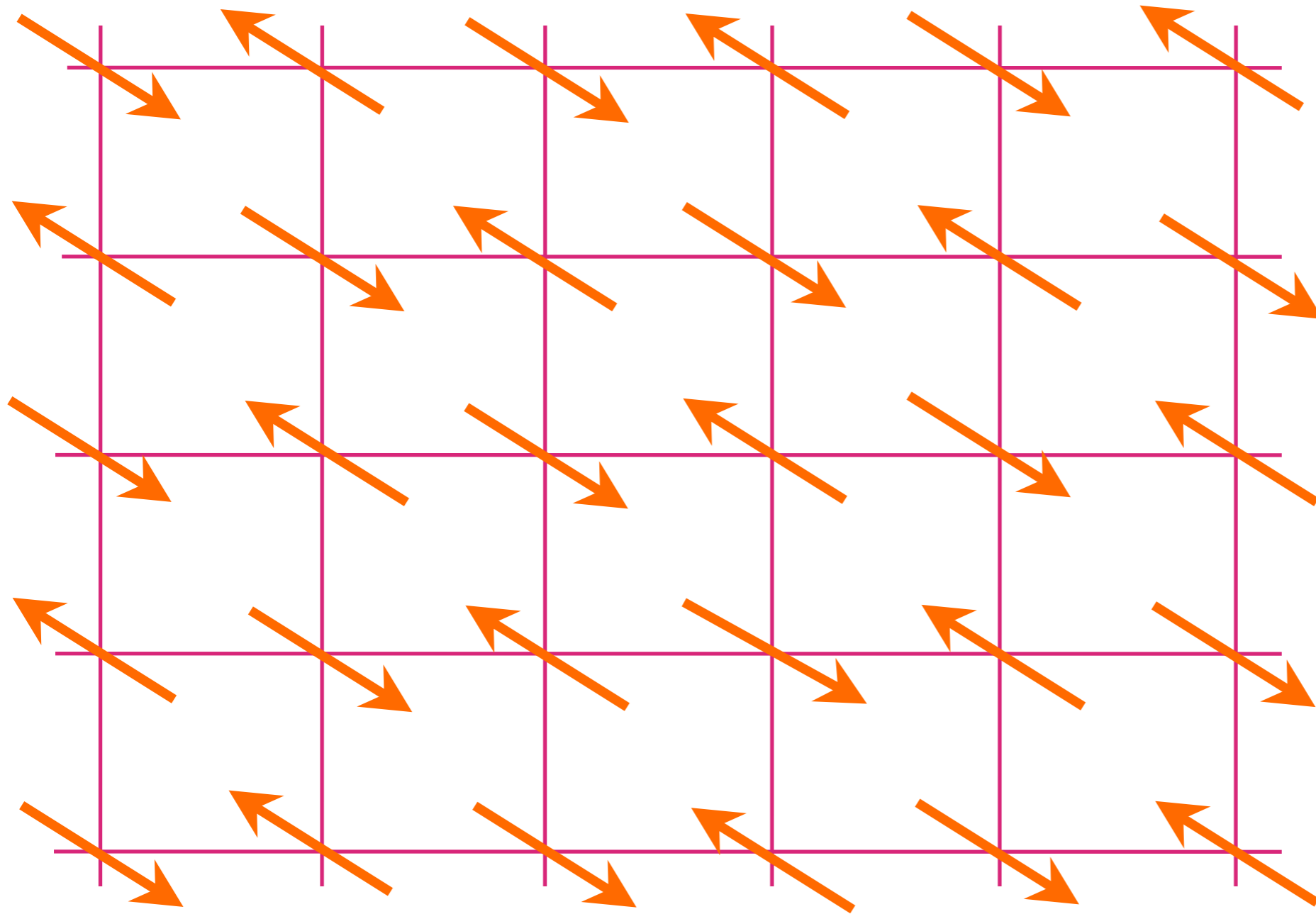


Figure: K. Fujita and J. C. Seamus Davis

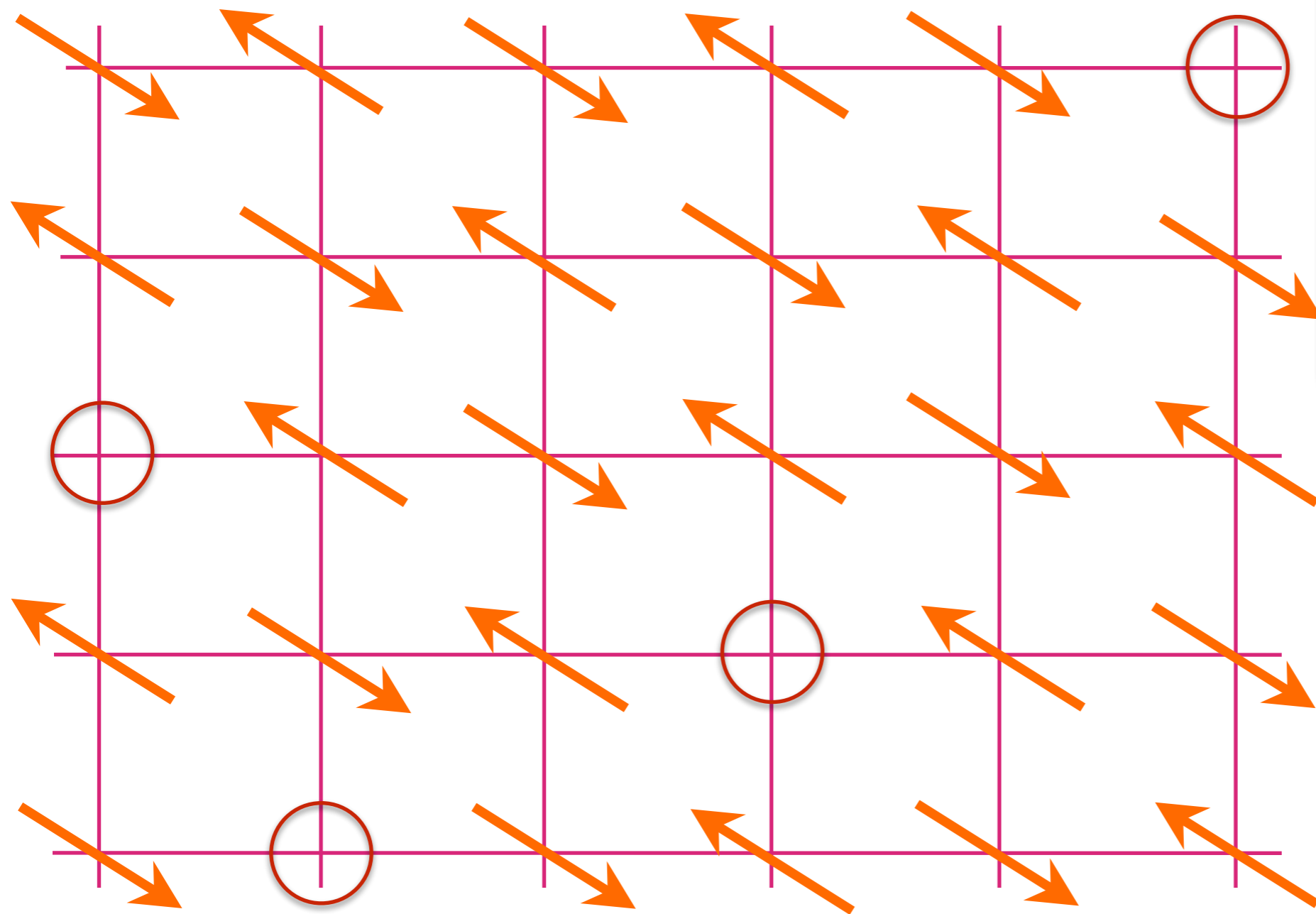


$$T = Da^2 \cup a_3 \cup 6 + x$$

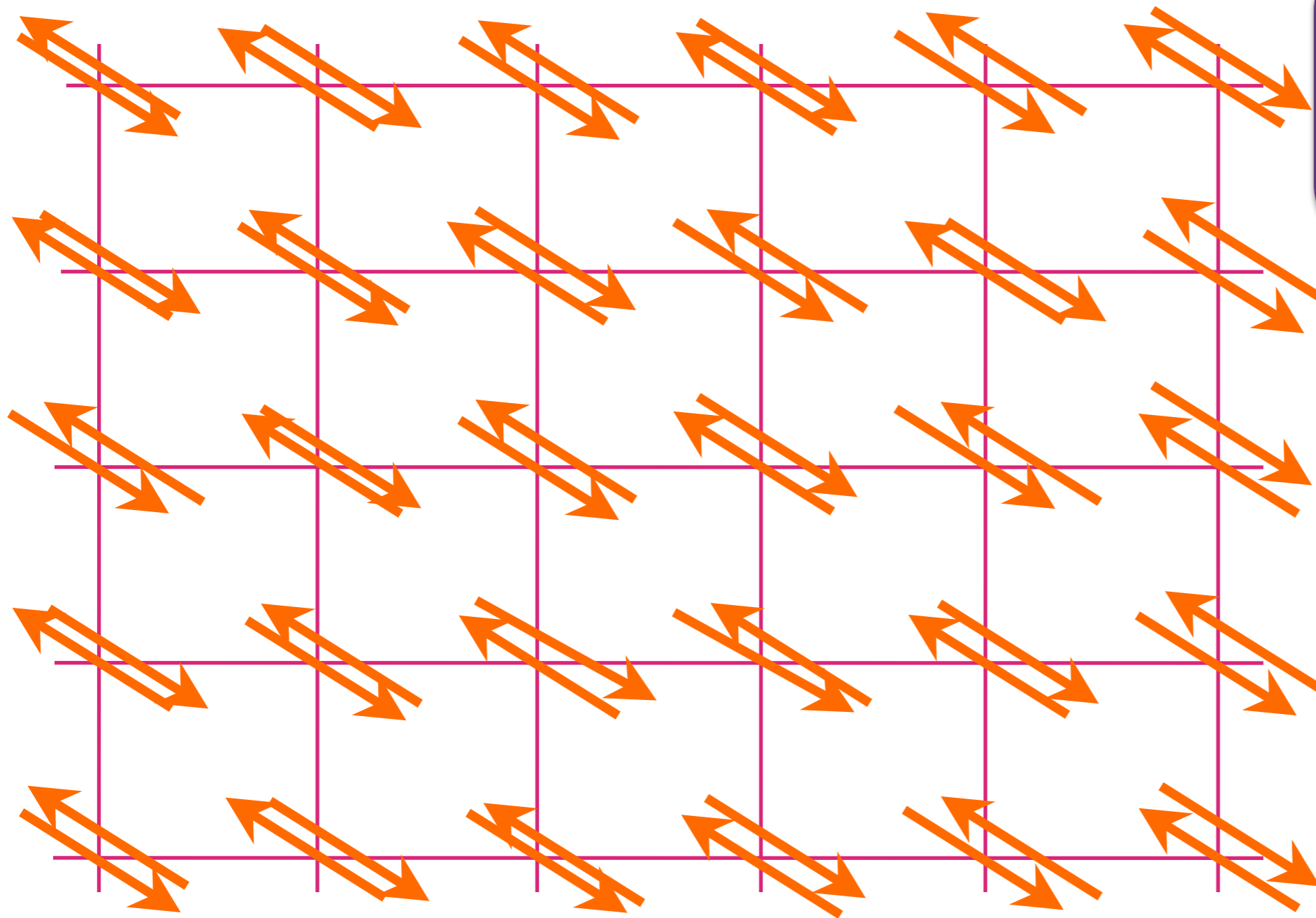
Figure: K. Fujita and J. C. Seamus Davis



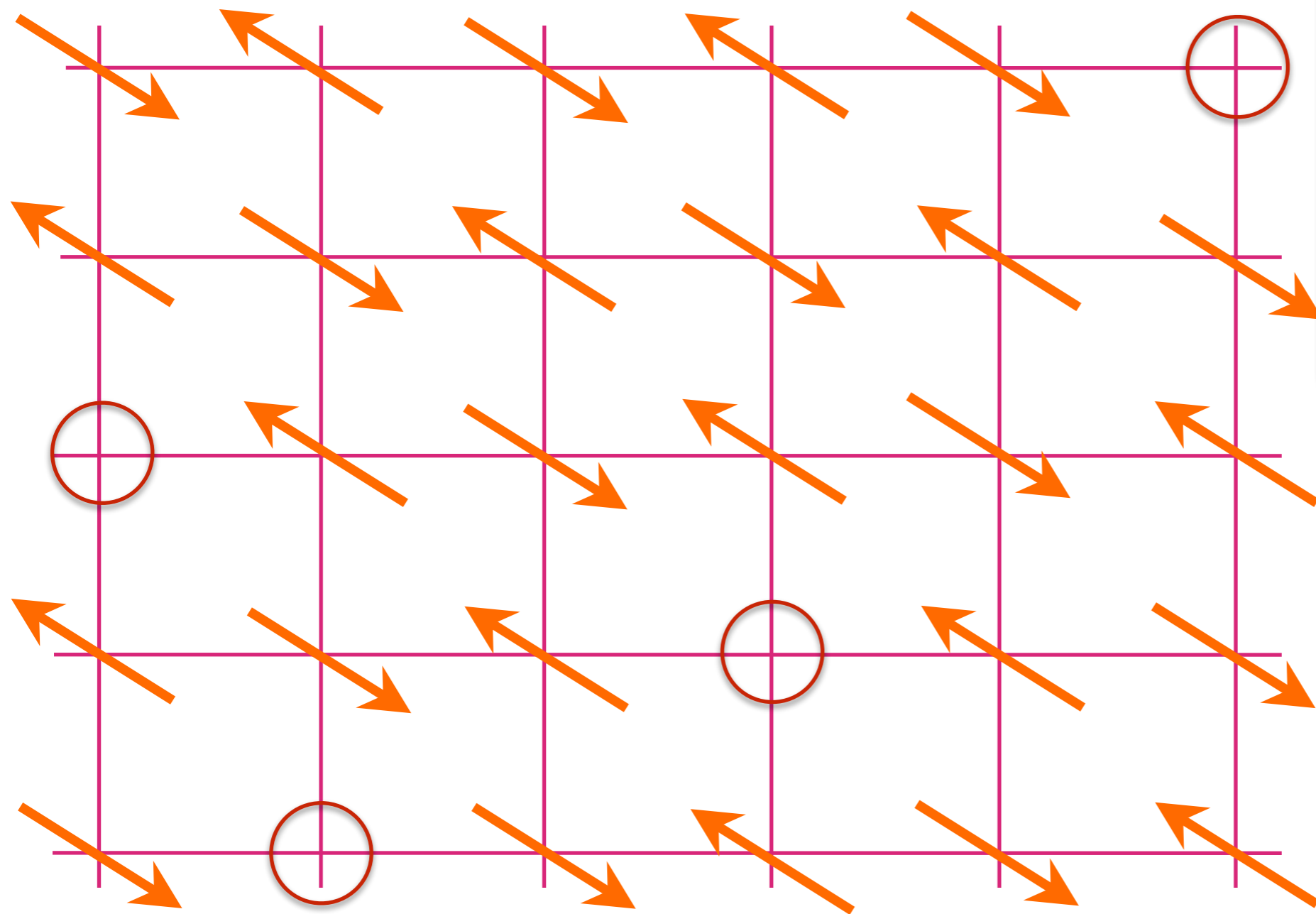
“Undoped”
insulating
anti-
ferromagnet



Anti-ferromagnet
with p mobile
holes
per square

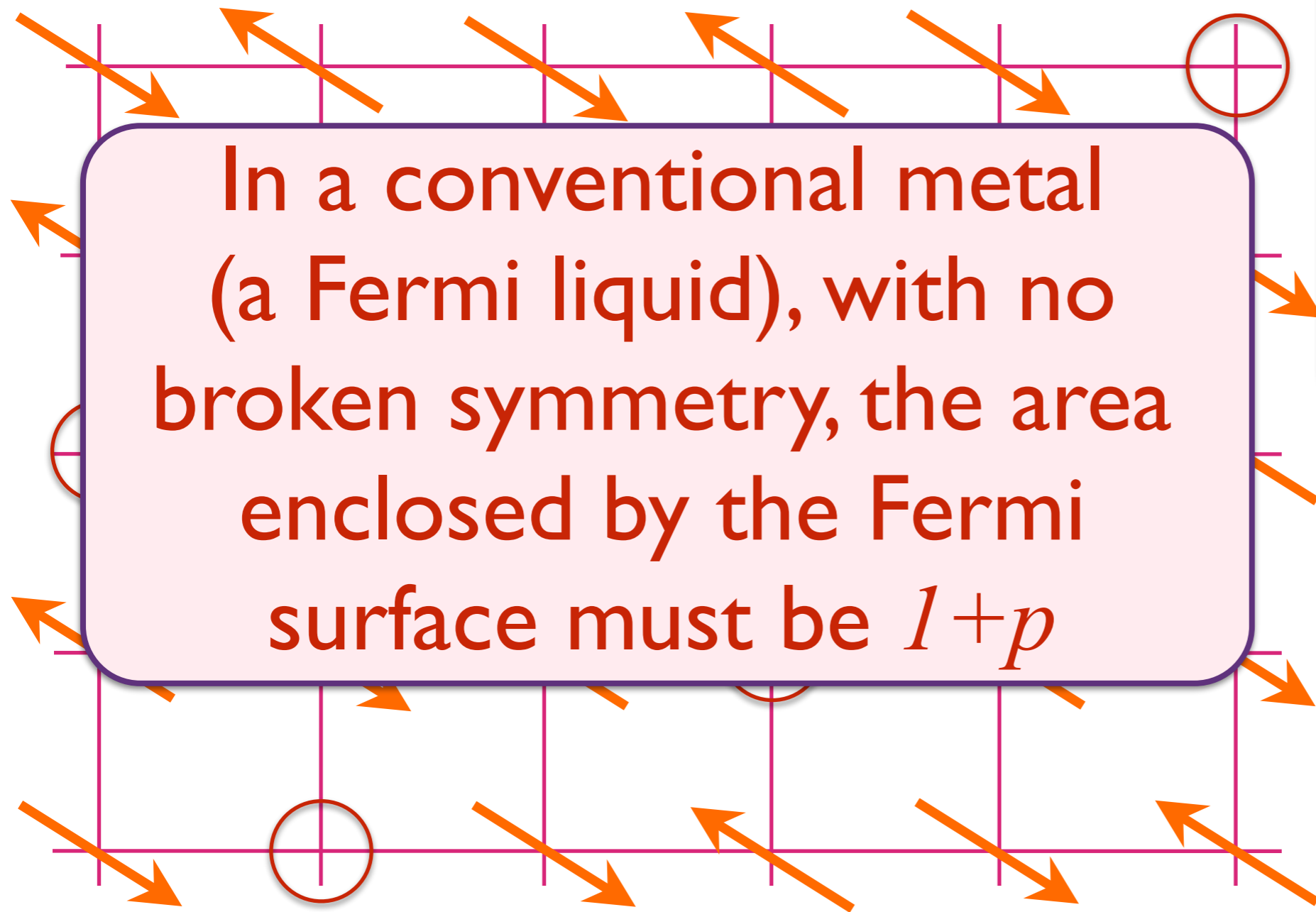


Filled
Band



Anti-ferromagnet with p mobile holes per square

But relative to the band insulator, there are $1 + p$ holes per square

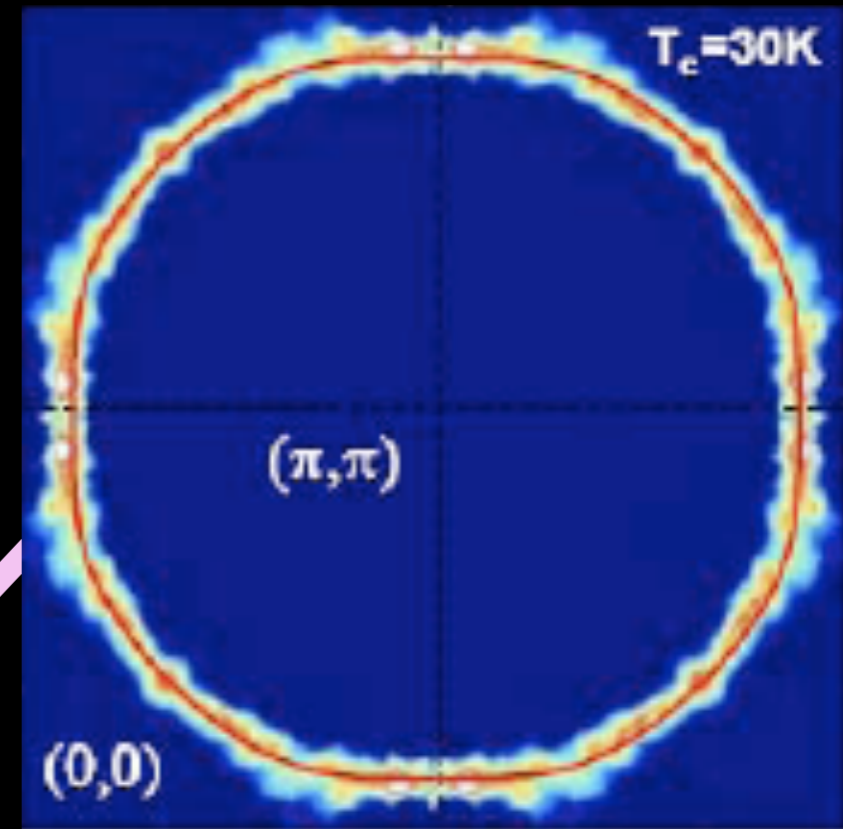
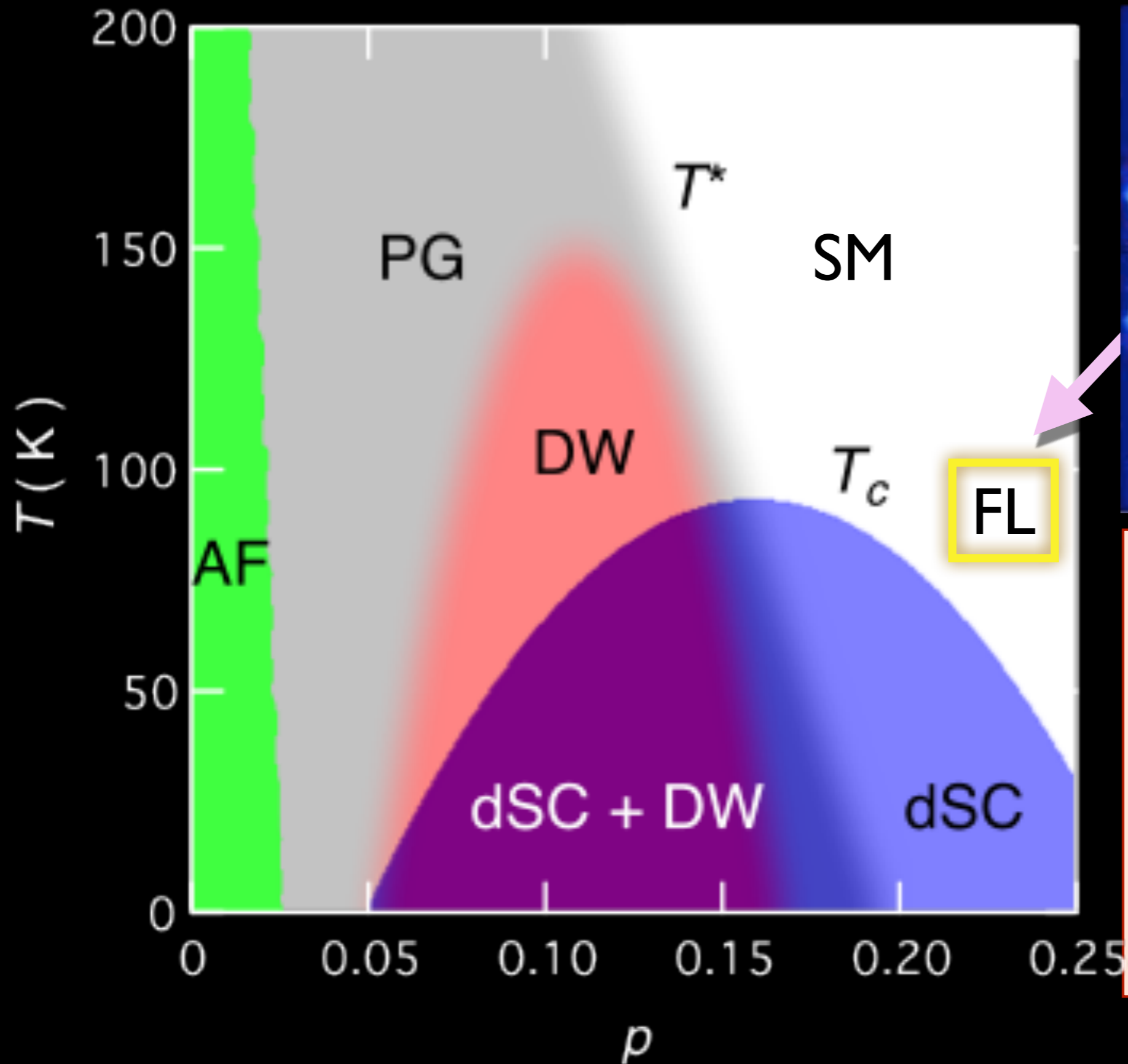


In a conventional metal (a Fermi liquid), with no broken symmetry, the area enclosed by the Fermi surface must be $l+p$

Anti-ferromagnet with p mobile holes per square

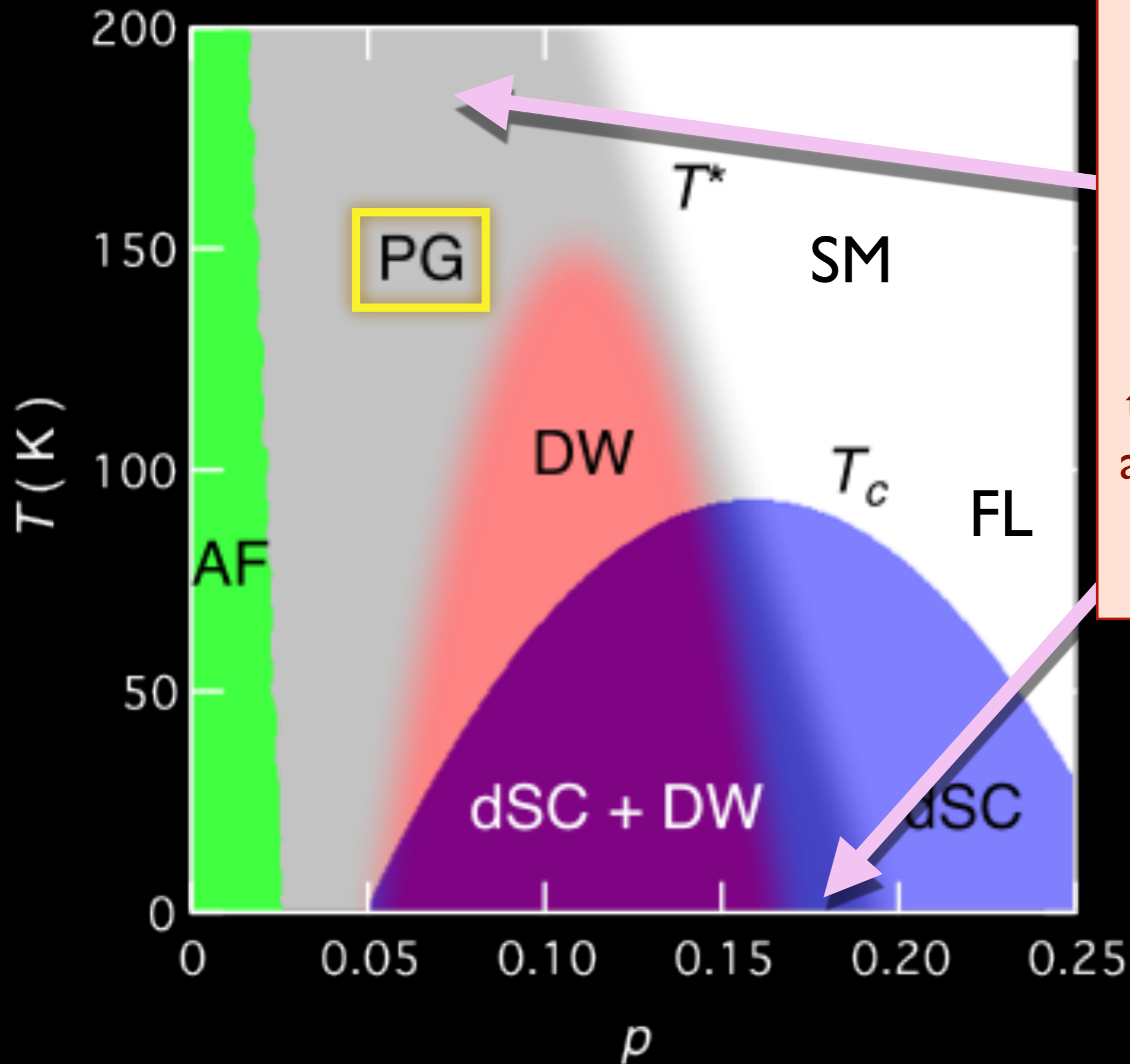
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M. Platé, J. D. F. Mottershead, I. S. Elfimov, D. C. Peets, Ruixing Liang, D. A. Bonn, W. N. Hardy, S. Chiuzbaian, M. Falub, M. Shi, L. Patthey, and A. Damascelli, Phys. Rev. Lett. **95**, 077001 (2005)



A conventional metal:
the Fermi liquid
with Fermi
surface of size
 $l+p$

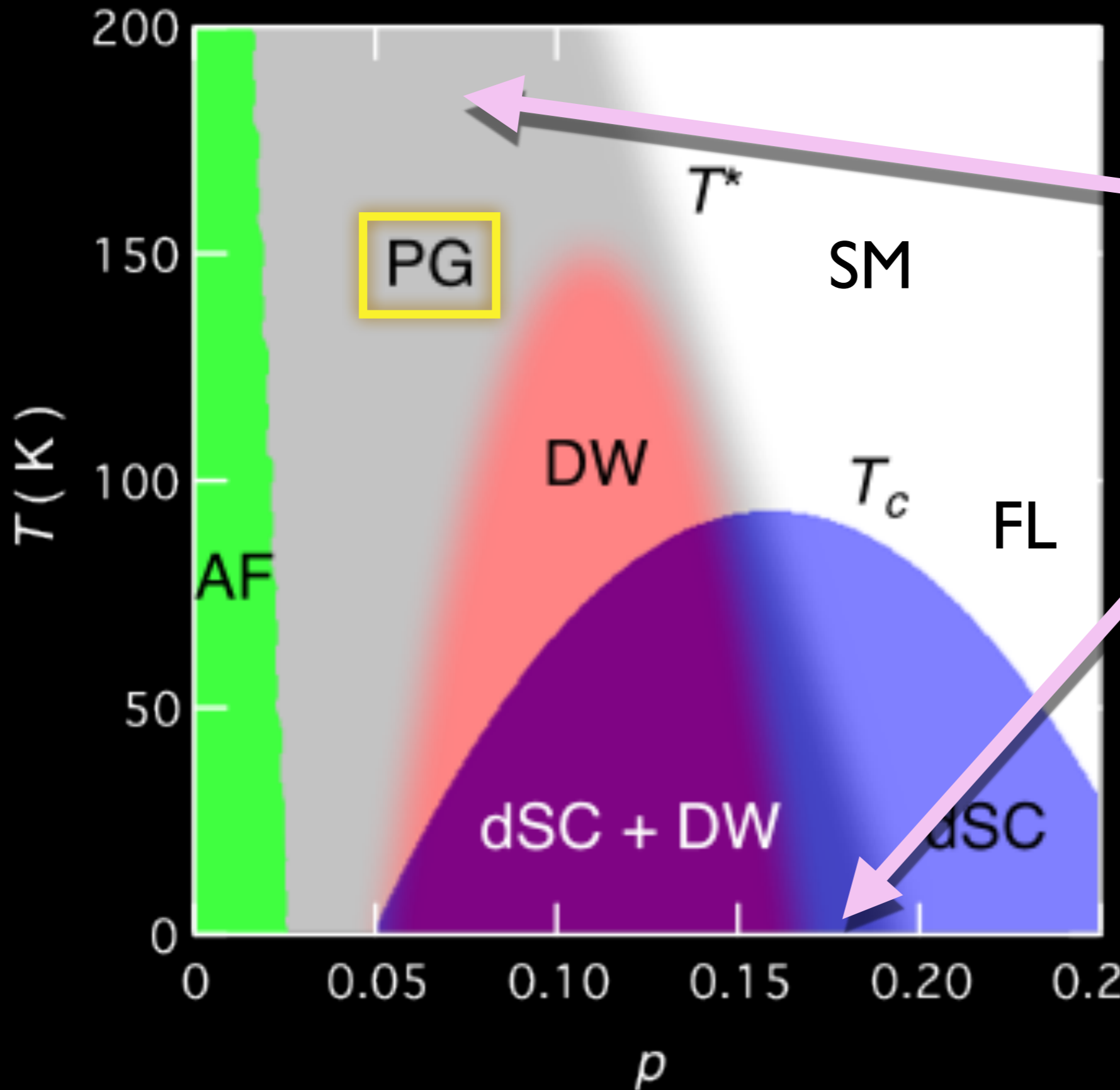
S. Badoux, W. Tabis, F. Laliberté, G. Grissonnanche, B. Vignolle, D. Vignolles, J. Béard, D.A. Bonn, W.N. Hardy, R. Liang, N. Doiron-Leyraud, L. Taillefer, and C. Proust, Nature **531**, 210 (2016).



Pseudogap
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at low p

Many indications that this metal behaves like a Fermi liquid, but with Fermi surface size p and *not* $1+p$.



Pseudogap metal at low p

Many indications that this metal behaves like a Fermi liquid, but with Fermi surface size p and *not* $1+p$.

If present at $T=0$, a metal with a size p Fermi surface (and translational symmetry preserved) must have topological order

Begin with the “spin-fermion” model. **Electrons** $c_{i\alpha}$ on the square lattice with dispersion

$$\mathcal{H}_c = - \sum_{i,\rho} t_\rho \left(c_{i,\alpha}^\dagger c_{i+\mathbf{v}_\rho,\alpha} + c_{i+\mathbf{v}_\rho,\alpha}^\dagger c_{i,\alpha} \right) - \mu \sum_i c_{i,\alpha}^\dagger c_{i,\alpha} + \mathcal{H}_{\text{int}}$$

are coupled to an **antiferromagnetic order parameter** $\Phi^\ell(i)$, $\ell = x, y, z$

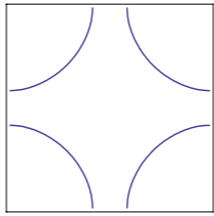
$$\mathcal{H}_{\text{int}} = -\lambda \sum_i \eta_i \Phi^\ell(i) c_{i,\alpha}^\dagger \sigma_{\alpha\beta}^\ell c_{i,\beta} + V_\Phi$$

where $\eta_i = \pm 1$ on the two sublattices.

When $\Phi^\ell(i) = \text{constant}$ independent of i , we have long-range AFM, and a gap in the fermion spectrum at the anti-nodes.

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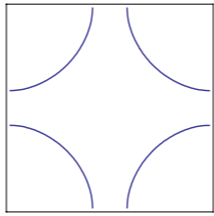
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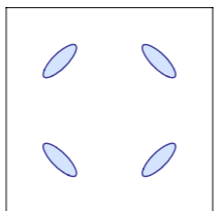


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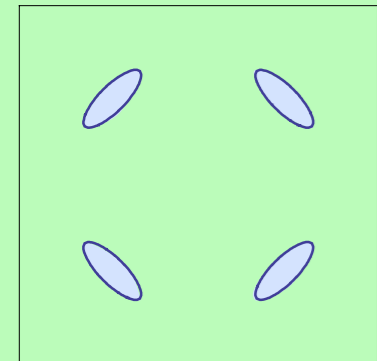
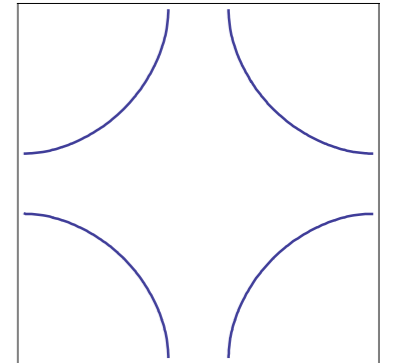
LGW-Hertz criticality
of antiferromagnetism

(A) Antiferromagnetic
metal

$$\langle \Phi \rangle \neq 0$$

(B) Fermi liquid with
large Fermi surface

$$\langle \Phi \rangle = 0$$



Criticality in Fe-based and
electron-doped-cuprate
materials

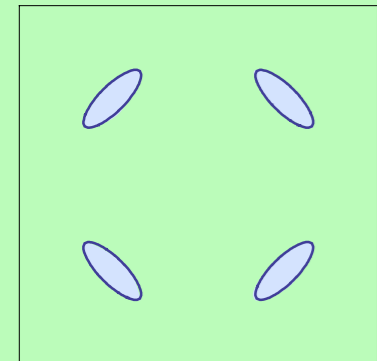
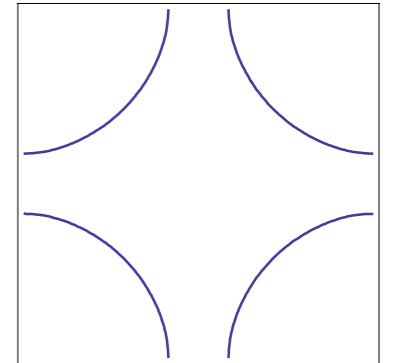
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Yes, provided the metal has topological order (e.g. Z_2 topological order)

T. Senthil, M. Vojta and S. Sachdev, PRB **69**, 035111 (2004)

For fluctuating antiferromagnetism, we transform to a **rotating reference frame** using the SU(2) rotation R_i

$$\begin{pmatrix} c_{i\uparrow} \\ c_{i\downarrow} \end{pmatrix} = R_i \begin{pmatrix} \psi_{i,+} \\ \psi_{i,-} \end{pmatrix},$$

in terms of fermionic “chargons” ψ_s and a **Higgs field** $H^a(i)$

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Note that this representation is ambiguous up to a SU(2) gauge transformation, V_i

$$\begin{pmatrix} \psi_{i,+} \\ \psi_{i,-} \end{pmatrix} \rightarrow V_i \begin{pmatrix} \psi_{i,+} \\ \psi_{i,-} \end{pmatrix}$$

$$R_i \rightarrow R_i V_i^\dagger$$

$$\sigma^a H^a(i) \rightarrow V_i \sigma^b H^b(i) V_i^\dagger.$$

Fluctuating antiferromagnetism

After transforming to the rotating reference frame, the “Yukawa” coupling between the electrons and the spin density wave order becomes the **Yukawa coupling between the chargons and the Higgs field**

$$\Phi^\ell(i) c_{i,\alpha}^\dagger \sigma_{\alpha\beta}^\ell c_{i,\beta} = H^a(i) \psi_{i,s}^\dagger \sigma_{ss'}^a \psi_{i,s'}$$

Fluctuating antiferromagnetism

The simplest effective Hamiltonian for the fermionic chargons is the same as that for the electrons, with the **AFM order replaced by the Higgs field**.

$$\mathcal{H}_\psi = - \sum_{i,\rho} t_\rho \left(\psi_{i,s}^\dagger \psi_{i+\mathbf{v}_{\rho,s}} + \psi_{i+\mathbf{v}_{\rho,s}}^\dagger \psi_{i,s} \right) - \mu \sum_i \psi_{i,s}^\dagger \psi_{i,s} + \mathcal{H}_{\text{int}}$$

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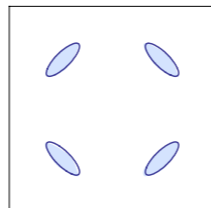
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IF we can transform to a rotating reference frame in which $H^a(i) =$ a constant independent of i and time, **THEN** the ψ fermions in the presence of fluctuating AFM will inherit the anti-nodal gap of the electrons in the presence of static AFM.



Lattice gauge theory

Field	Symbol	Statistics	$SU(2)_{\text{gauge}}$	$SU(2)_{\text{spin}}$	$U(1)_{\text{e.m.charge}}$
Electron	c	fermion	1	2	-1
Spin magnetic moment	Φ	boson	1	3	0
Chargon	ψ	fermion	2	1	-1
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- **Higgs phase:** $\langle H \rangle \neq 0$ leads to reconstructed “small” Fermi surfaces. Because $H \in SO(3)$ and $\pi_1(SO(3)) = Z_2$ there can be Z_2 flux through the hole of a torus *i.e.* Z_2 topological order.
- **Confining phase:** Color superconductor —model of the overdoped superconductor.

Criticality in Fe-based and
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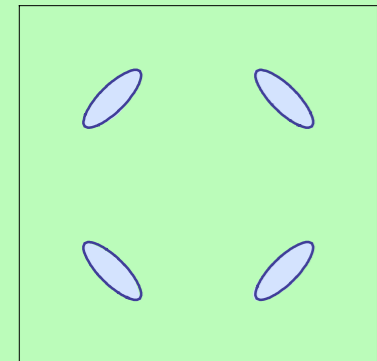
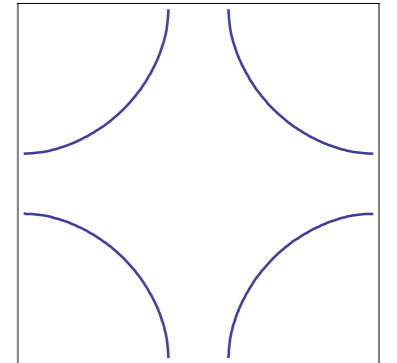
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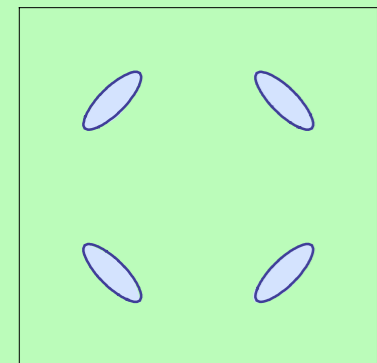
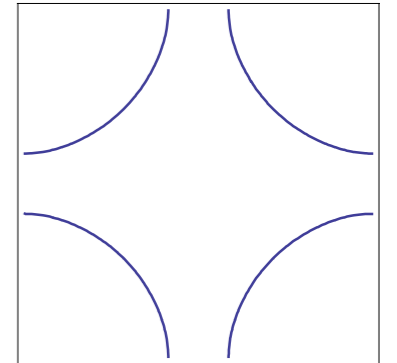
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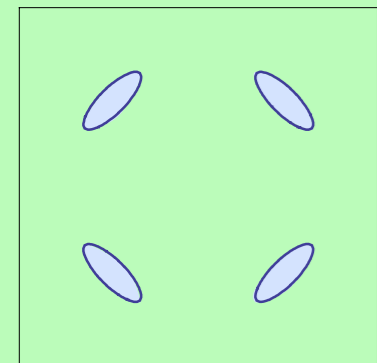
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Global phase diagram

LGW-Hertz criticality of antiferromagnetism

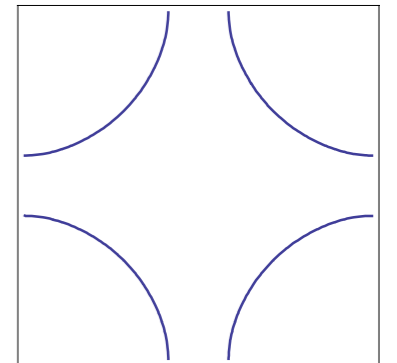


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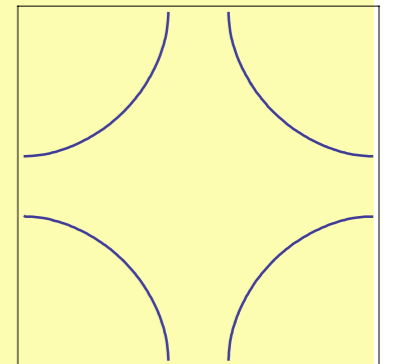
(C) Metal with Z_2 topological order and discrete symmetry breaking

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Higgs criticality: Deconfined $SU(2)$ gauge theory with large Fermi surface

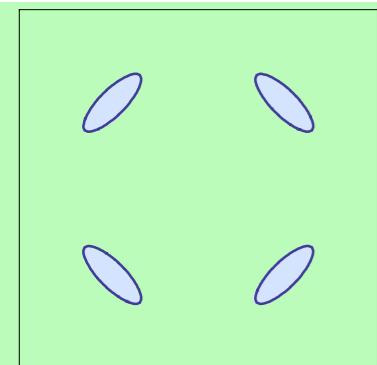
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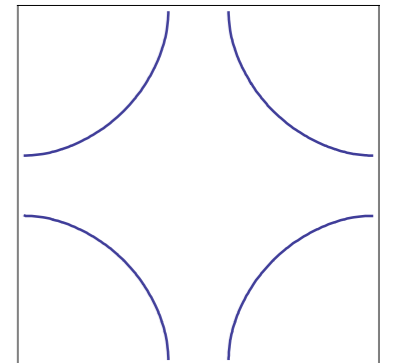


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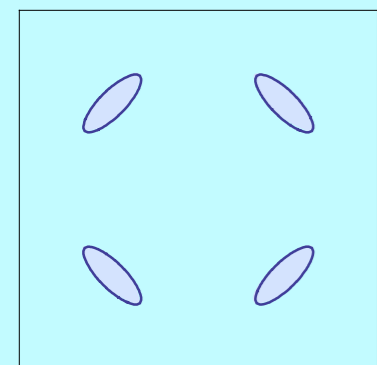
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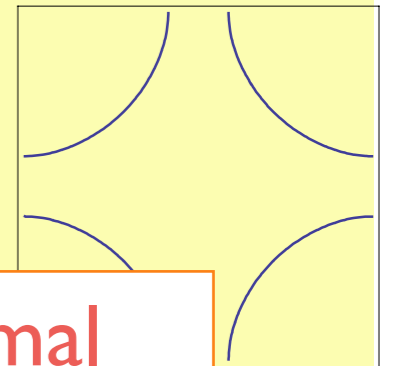
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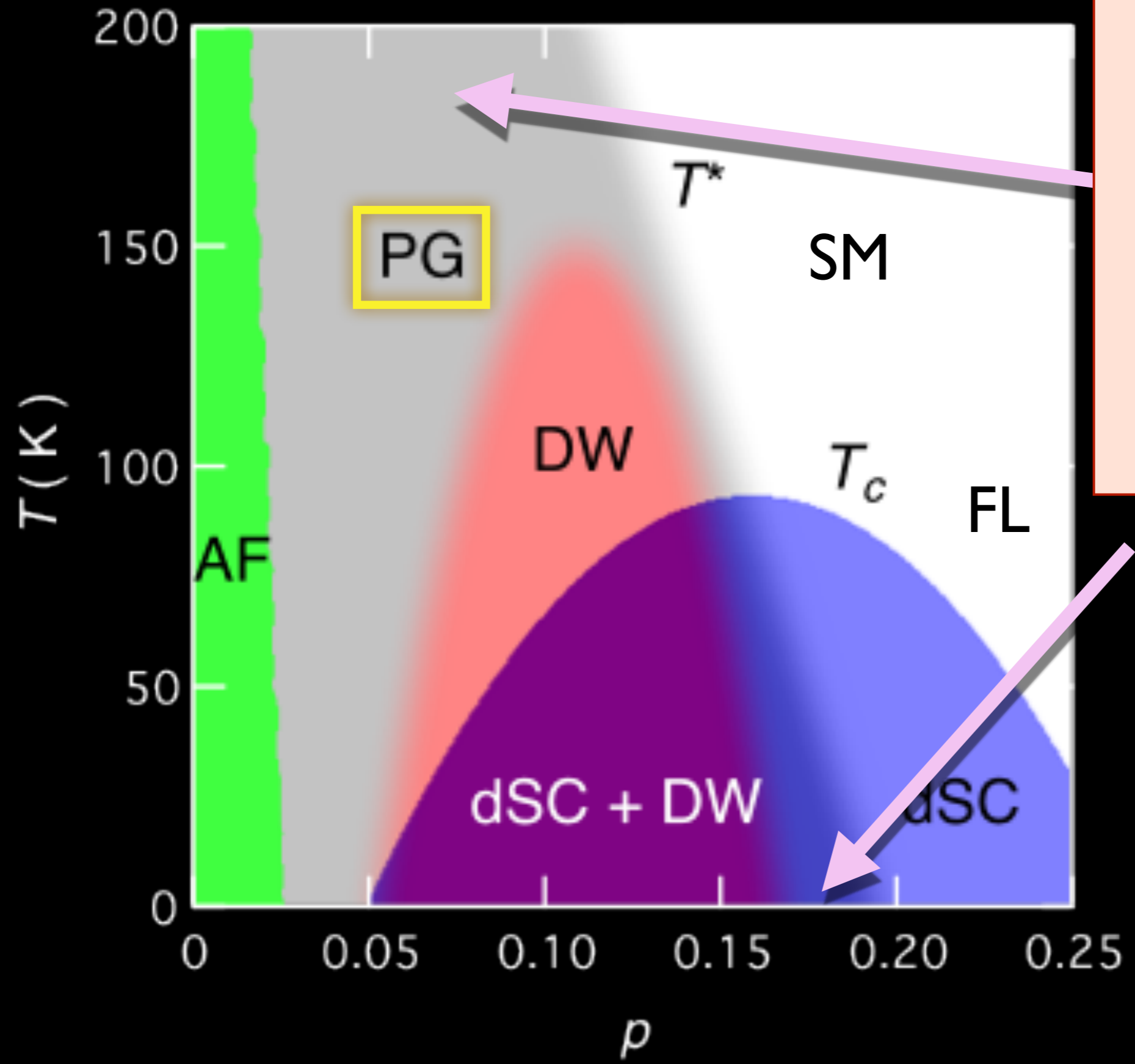
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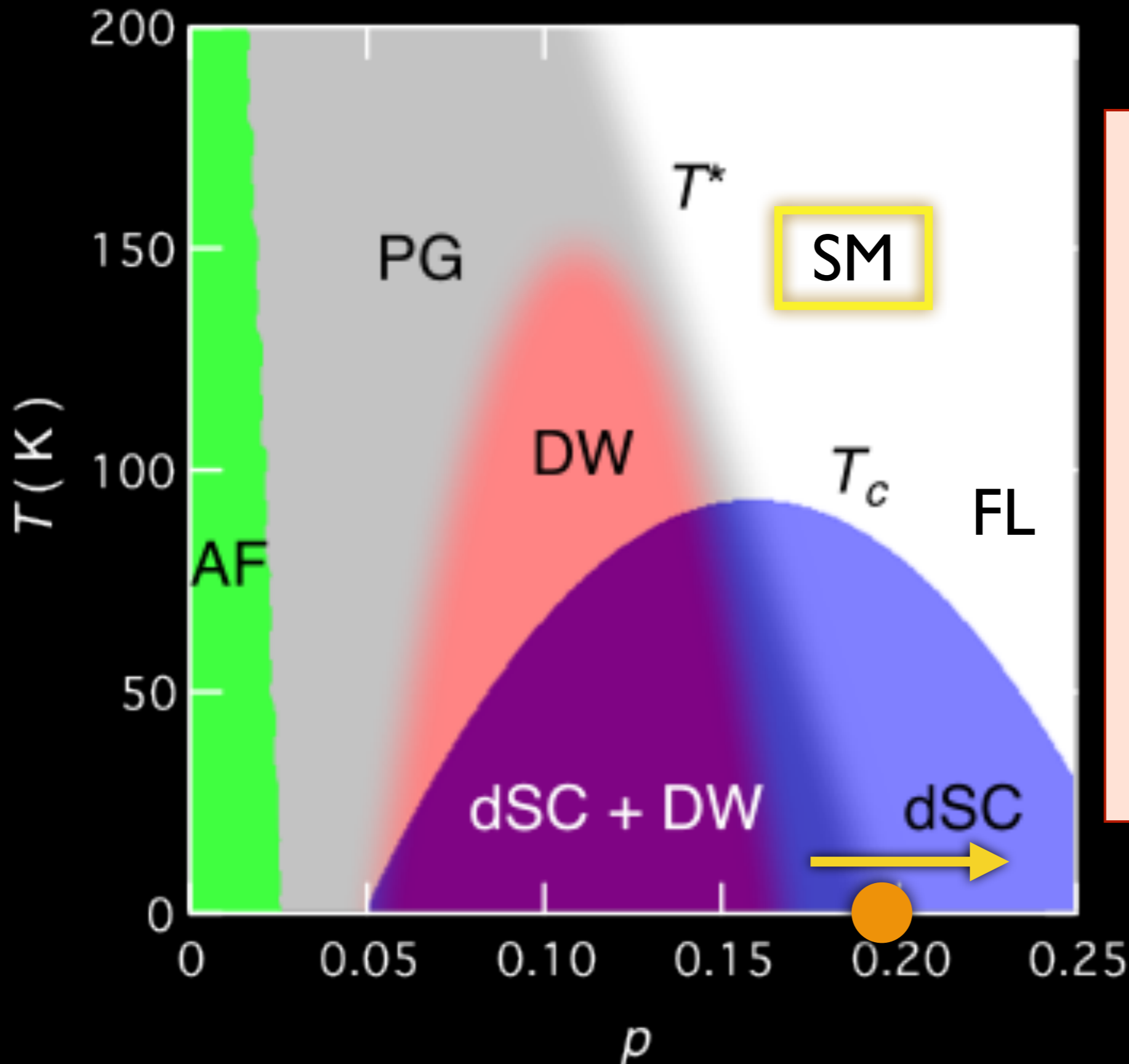
Higgs criticality: Deconfined SU(2) gauge theory with large Fermi surface

Proposal for optimal doping criticality in hole-doped cuprates



Pseudogap
metal
at low p
Lattice gauge theory
for a metal with
topological order

S. Sachdev, M. A. Metlitski, Y. Qi, and C. Xu, PRB **80**, 155129 (2009); D. Chowdhury and S. Sachdev, PRB **91**, 115123 (2015); S. Sachdev and D. Chowdhury, arXiv:1605.03579.



Gauge theory
for a
topological
phase
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metal (SM)